



# Initial Environmental Examination

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July 2023

## India: Rajasthan Secondary Towns Development Sector Project

Subproject : Sewerage Project in Fatehpur, District - Sikar, Rajasthan

Prepared by Rajasthan Urban Drinking Water Sewerage and Infrastructure Corporation Limited, Government of Rajasthan for the Asian Development Bank. This is an updated version of the initial environmental examination report originally posted in November 2021 available on (<https://www.adb.org/projects/documents/ind-42267-031-iee-28>).

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## Asian Development Bank



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**Subject:- Compliance of ADB's Environmental Safeguard policy- Submission of updated Initial Environmental Examination Reports of 14 towns under RSTDSP (Loan Number-3972 IND).**

Please find enclosed herewith updated Initial Environmental Examination reports of 14 town projects:- Laxmangarh water supply subproject; Ratangarh, Fatehpur, Pratapgarh, Ladnu, Didwana & Makrana sewerage subprojects and Abu Road, Sirohi, Sardarshahar, Banswara, Kuchaman, Khetri & Mandawa water supply and sewerage subprojects for ADB's review and approval.

Kindly acknowledge the receipt.

**Encl:** Soft copies (word and pdf) of above Updated IEEs.

  
**(Dr. Hemant Kumar Sharma)**  
**Addl. Project Director**  
**RUIDP, Jaipur**

# Updated Initial Environmental Examination Report

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Document Stage: Updated IEE  
Project Number: 42267-031  
June, 2023

## IND: Rajasthan Secondary Towns Development Sector Project (RSTDSP)-Sewerage Project in Fatehpur, District - Sikar, Rajasthan

Prepared by Project Management Unit, Rajasthan Urban Drinking Water Sewerage and Infrastructure Corporation Limited, Government of Rajasthan for the Asian Development Bank.

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**CURRENCY EQUIVALENTS**(as of 3 March 2020)

|                      |          |                         |
|----------------------|----------|-------------------------|
| <u>Currency unit</u> | <u>=</u> | <u>Indian rupee (₹)</u> |
| <u>₹ndia</u>         | <u>=</u> | <u>\$0.0138</u>         |
| <u>\$1.00</u>        | <u>=</u> | <u>₹1.0038</u>          |

**Abbreviations**

|             |   |                                                                                                          |
|-------------|---|----------------------------------------------------------------------------------------------------------|
| ADB         | – | Asian Development Bank                                                                                   |
| ASI         | – | Archaeological Survey of India                                                                           |
| CTE         | – | Consent to Establish                                                                                     |
| CTO         | – | Consent to Operate                                                                                       |
| CPCB        | – | Central Pollution Control Board                                                                          |
| EAC         | – | Expert Appraisal Committee                                                                               |
| EHS         | – | Environmental Health & Safety                                                                            |
| EIA         | – | Environmental Impact Assessment                                                                          |
| SEIAA       | – | State Environmental Impact Assessment Authority                                                          |
| EMP         | – | Environmental Management Plan                                                                            |
| GOI         | – | Government of India                                                                                      |
| GOR         | – | Government of Rajasthan                                                                                  |
| IEE         | – | Initial Environmental Examination                                                                        |
| PIU         | – | Project Implementation Unit                                                                              |
| PMU         | – | Project Management Unit                                                                                  |
| LSGD        | – | Local Self Government Department                                                                         |
| MOEF & CC   | – | Ministry of Environment, Forest and Climate Change                                                       |
| MLD         | – | Million Liters per Day                                                                                   |
| LPCD        | – | Liters per Capita per Day                                                                                |
| DWC         | – | Double Wall Corrugated                                                                                   |
| NHAI        | – | National Highways Authority of India                                                                     |
| NOC         | – | No Objection Certificate                                                                                 |
| PHED        | – | Public Health Engineering Department                                                                     |
| PWD         | – | Public Works Department                                                                                  |
| REA         | – | Rapid Environmental Assessment Checklist                                                                 |
| RoW         | – | Right of Way                                                                                             |
| RSPCB       | – | Rajasthan Pollution Control Board                                                                        |
| RUDSICO-EAP | – | Rajasthan Urban Drinking Water Sewerage and Infrastructure Corporation Limited-Externally Aided Projects |
| RUDSICO     | – | Rajasthan Urban Drinking Water Sewerage and Infrastructure Corporation                                   |
| RSTDSP      | – | Rajasthan Secondary Towns Development Sector Project                                                     |
| SPS         | – | Safeguard Policy Statement, 2009                                                                         |
| STP         | – | Sewage Treatment Plant                                                                                   |
| ULB         | – | Urban Local Body                                                                                         |
| TEER        | – | Treated effluent elevated reservoir                                                                      |
| TESR        | – | Treated effluent storage reservoir                                                                       |
| WTP         | – | Water Treatment Plant                                                                                    |

## **WEIGHTS AND MEASURES**

|       |                           |
|-------|---------------------------|
| °C    | Degree Celsius            |
| km    | kilometre                 |
| lpcd  | litres per capita per day |
| mm    | milli meter               |
| m     | metre                     |
| MLD   | million litres per day    |
| mm    | millimetre                |
| Nos   | Numbers                   |
| Sq.km | Square Kilometer          |

## **NOTES**

- (i) In this report, "\$" refers to US dollars.  
"INR" and "₹" refer to Indian rupees

## TABLE OF CONTENTS

### EXECUTIVE SUMMARY

|              |                                                                    |            |
|--------------|--------------------------------------------------------------------|------------|
| A.           | Project Background                                                 | 1          |
| B.           | Purpose of Initial Environmental Examination Report                | 2          |
| C.           | Report Structure                                                   | 5          |
| <b>II.</b>   | <b>DESCRIPTION OF THE PROJECT</b>                                  | <b>6</b>   |
| A.           | Present Status of Water Supply and Sewerage                        | 6          |
| B.           | Infrastructure Improvements proposed in Fatehpur City under RSTDSP | 6          |
| C.           | Land Acquisition and Involuntary Resettlement                      | 13         |
| D.           | Implementation Schedule                                            | 13         |
| E.           | Changes in project location / scope                                | 13         |
| F.           | Status of Detailed Design                                          | 13         |
| <b>III.</b>  | <b>ANALYSIS OF ALTERNATIVES</b>                                    | <b>28</b>  |
| <b>IV.</b>   | <b>POLICY, LEGAL AND ADMINISTRATIVE FRAMEWORK</b>                  | <b>31</b>  |
| A.           | ADB Policy                                                         | 31         |
| B.           | National Laws                                                      | 33         |
| <b>V.</b>    | <b>DESCRIPTION OF THE ENVIRONMENT</b>                              | <b>48</b>  |
| A.           | Physical Resources                                                 | 48         |
| B.           | Ecological Resources .....                                         | 53         |
| C.           | Economic Development .....                                         | 53         |
| E.           | Socio Cultural Resources .....                                     | 54         |
| F.           | Environmental Settings of Investment Program Component Sites.....  | 56         |
| <b>VI.</b>   | <b>ANTICIPATED ENVIRONMENTAL IMPACTS AND MITIGATION MEASURES</b>   | <b>60</b>  |
| A.           | Introduction                                                       | 60         |
| B.           | Pre-Construction Impacts – Design and Location                     | 61         |
| C.           | Pre-construction Impacts                                           | 72         |
| D.           | Construction Impacts                                               | 72         |
| E.           | Operation and Maintenance Impacts                                  | 84         |
| <b>VII.</b>  | <b>PUBLIC CONSULTATION AND INFORMATION DISCLOSURE</b>              | <b>87</b>  |
| A.           | Overview                                                           | 87         |
| B.           | Public Consultation                                                | 87         |
| C.           | Information Disclosure                                             | 89         |
| <b>VIII.</b> | <b>GRIEVANCE REDRESS MECHANISM</b>                                 | <b>90</b>  |
| A.           | Project Specific Grievance Redress Mechanism                       | 90         |
| <b>IX.</b>   | <b>ENVIRONMENTAL MANAGEMENT PLAN</b>                               | <b>95</b>  |
| A.           | Environmental Management Plan                                      | 95         |
| B.           | Institutional Requirements                                         | 141        |
| C.           | Training Needs                                                     | 153        |
| D.           | Monitoring and Reporting                                           | 154        |
| E.           | EMP Implementation Cost                                            | 155        |
| <b>X.</b>    | <b>CONCLUSION AND RECOMMENDATION</b>                               | <b>157</b> |

## **List of Appendices**

|                                                                                                                                                                                   |     |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|
| Appendix 1: REA Check list                                                                                                                                                        | 162 |
| Appendix 2: Drinking Water Standards, Ambient air quality standards, emission limits, emission stack height requirements for diesel generators and Vehicle exhaust emission norms | 167 |
| Appendix 3: Ambient Air Quality Standards in Respect of Noise and and noise limits for DG sets                                                                                    | 172 |
| Appendix 4: Effluent Discharge Standards for STPs as per NGT Order Dated 30.04.2019                                                                                               | 175 |
| Appendix 5: Pages from Rajasthan State Sewerage and Waste Water Policy for reuse of treated effluent and sludge                                                                   | 176 |
| Appendix 6: Guidelines for Sewerage System Operations, Reuse of Treated Effluent and Sludge from STP for Beneficial Purposes                                                      | 180 |
| Appendix 7: Compliance with Environmental Criteria for Subproject Selection                                                                                                       | 183 |
| Appendix 8: Extract from Construction and Demolition Management Rules, 2016                                                                                                       | 188 |
| Appendix 9: Salient Features of Major Labor Laws Applicable to Establishments Engaged in Construction of Civil Works                                                              | 193 |
| Appendix 10: Sample Outline Spoil Management Plan                                                                                                                                 | 196 |
| Appendix 11: Sample Outline Traffic Management Plan                                                                                                                               | 197 |
| Appendix 12: Consultations Conducted During Project Preparation Stages                                                                                                            | 207 |
| Appendix 13: Minutes of City level Stakeholder Committee (CLC) Meeting                                                                                                            | 216 |
| Appendix 14: Semi Annual Environmental Monitoring Report Format                                                                                                                   | 220 |
| Appendix 15: Sample Environmental Site Inspection Report                                                                                                                          | 230 |
| Appendix 16: Sample Grievance Registration Form                                                                                                                                   | 232 |
| Appendix 17: Officer order for establishing GRM                                                                                                                                   | 233 |
| Appendix 18: IFC benchmark standards for workers accommodation                                                                                                                    | 236 |
| Appendix 19: Guidelines and Emergency plan for handling and storing chlorine                                                                                                      | 249 |
| Appendix 20: Management Plan for Night works at Project Sites                                                                                                                     | 256 |
| Appendix 21: Photographs of Proposed Sites                                                                                                                                        | 258 |
| Appendix 22: Environmental Monitoring Plan - Ambient Air, Noise, Water and Soil                                                                                                   | 260 |
| Appendix 23: Sample Chance Find Protocol                                                                                                                                          | 261 |
| Appendix 24: Guidelines for Safety during Monsoon/Heavy rainfall                                                                                                                  | 263 |
| Appendix 25: Details of land availability, Ownership and Status of No Objection Certificate (NOC) for Proposed Sites                                                              | 265 |
| Appendix 26: Physical Cultural Resources Map of Fatehpur Fort and Its distance from Proposed sewer work                                                                           | 272 |
| Appendix 27: IBAT Proxiatyreport for Subproject area                                                                                                                              | 273 |
| Appendix 28: Biodiversity Assessment Report , Fatehpur town                                                                                                                       | 278 |
| Appendix 29: WHO Interim Guidance on Water, Sanitation, Hygiene and Waste Management for the COVID19 virus                                                                        | 302 |
| Appendix 30: Site Visit Report and Contractor Orientation Report                                                                                                                  | 308 |
| Appendix 31: Locations and Results of environmental monitoring in Fatehpur                                                                                                        | 312 |
| Appendix 32: Consent to Establish for 4.3 MLD STP and its Compliance Status                                                                                                       | 312 |

## EXECUTIVE SUMMARY

Rajasthan Secondary Towns Development Sector Project (RSTDSP), the fourth phase of investment projects financed by Asian Development Bank (ADB) and implemented by the Rajasthan Urban Drinking Water Sewerage and Infrastructure Corporation Limited (RUDSICO), previously known as Rajasthan Urban Infrastructure Development Project (RUDSICO-EAP). RSTDSP will support the ongoing efforts of the Government of Rajasthan (GOR) towards improving the water and wastewater services in about 14 towns<sup>1</sup>. RSTDSP seeks to improve water supply and sewerage (WSS) services in secondary towns with populations between 20,000-115,000 through a sector loan modality. The project is aligned with the following impact(s): (i) access to potable, affordable, reliable, equitable, and environmentally sustainable drinking water supply in all urban areas of Rajasthan improved<sup>2</sup>; and (ii) health status of urban population, especially the poor and under-privileged improved<sup>3</sup>. The project will have the following outcome: urban service delivery in secondary towns of Rajasthan improved. There are three outputs.

**Output 1:** Water supply infrastructure in project towns improved with climate-resilient and inclusive features. By 2027: (i) about 1,350 kilometers (km) of water supply pipelines will be commissioned through a district metered area approach for effective non-revenue water (NRW) management, (ii) about 100,000 households will be connected to an improved water supply system (including at least 95% below poverty line [BPL] households) with 100% functional meters allowing for the introduction of volumetric billing, (iii) 3 new water treatment plants (WTP) will be commissioned with total capacity of at least 28 million liters per day (MLD) and (iv) 2 water treatment plants WTP will be rehabilitated.

**Output 2:** Sanitation systems in project towns improved with climate-resilient, cost-effective and inclusive features.<sup>4</sup> By 2027: (i) about 1,300 kilometers of sewers will be constructed, (ii) 19 sewerage treatment plants (STP)s with co-treatment of wastewater and fecal sludge and with a total capacity of about 80 million liters per day will be commissioned and 3 existing STPs will be upgraded to meet current effluent standards, (iii) about 103,000 new household connections (including at least 95% BPL households) to sewer system will be installed, (iv) 1 fecal sludge treatment plant with total 10 kilo liters per day (KLD) capacity will be commissioned, and (v) agreements for reuse of wastewater mainly for industry or agriculture will be signed in at least 5 project ULBs.

**Output 3:** Institutional and human capacities strengthened for service improvements, gender equality and sustainability. Under the sector project: (i) at least 500 women will gain professional experience through an internship program at RUDSICO, (ii) about 500 staff and 500 elected representatives of project ULBs, including 80% of eligible women, will report increased knowledge on operation and maintenance (O&M) of WSS services, CWIS, financial sustainability and gender equality and social inclusion (GESI) action plan implementation, (iii) about 500 girls will report enhanced knowledge in conducting water

<sup>1</sup>Secondary towns under consideration are Abu Road, Banswara, Didwana, Fatehpur, Kuchaman, Ladnu, Laxmangarh, Makrana, Pratapgarh, Ratangarh, Sardarshahar, Sirohi, and Heritage towns - Khetri, Mandawa.

<sup>2</sup>Government of Rajasthan. 2018. *Rajasthan: Urban Water Supply Policy*. Jaipur.

<sup>3</sup>Government of Rajasthan. 2016. *State Sewerage and Wastewater Policy*. Jaipur.

<sup>4</sup>Climate resilient and inclusive features included are: improvements in the distribution system to reduce losses; rainfall water harvesting; energy-efficient pumps; solar panels at project facilities; pressure control mechanisms in the water system to help avoid losses through pipe bursts; and wastewater reuse for productive uses.



audits in schools and households, and (iv) data platforms will be established in all project towns<sup>5</sup>.

Fatehpur City sewerage subproject is one of the subprojects proposed under the investment component of RSTDSP. Presently some part of the Fatehpur City has sewer and about 108 km sewer system has been laid under previous sewerage project and is on the verge of commissioning. There is one 7.5 MLD sewage treatment plant (STP) and three sewage pumping stations (SPS) constructed. However, balance area where sewerage system is not present, the wastewater from kitchens & bathrooms is discharged into storm water drains culminating finally to the Nallah or on ground, which pollutes the environment and contaminates the ground water. Open defecation is not uncommon in the town. Most of the residential, commercial buildings and educational institutions have on-site septic tanks and soak pits. The effluent from the septic tanks directly drains into the open drains.

**Screening and assessment of potential impacts.** ADB requires consideration of environmental issues in all aspects of the Bank's operations, and the requirements for environmental assessment are described in ADB's Safeguard Policy Statement (SPS) 2009. Per the Government of India Environmental Impact Assessment (EIA) Notification 2006, this subproject does not require EIA study or environmental clearance. The potential environmental impacts of the subproject have been assessed using ADB Rapid Environmental Assessment (REA) checklist for sewerage. Potential impacts were identified in relation to design, construction and operation phases. This initial environmental examination (IEE) addresses the infrastructure components proposed under Fatehpur City sewerage subproject.

**Categorization.** Environmental assessment has been conducted for the Fatehpur sewerage subproject based on (i) preliminary detailed design, and (ii) most likely environmentally sensitive components. The environmental assessment used ADB's rapid environmental assessment (REA) checklists for sewerage works and water supply and "No Mitigation Scenario Checklist". The environmental assessment of Fatehpur sewerage subprojects are not likely to have any significant adverse environmental impacts that are irreversible, diverse, or unprecedented. Potential impacts are mostly site-specific and few of them are irreversible. In most cases mitigation measures can be designed with uncomplicated measures commonly used at construction sites and known to civil works contractors.

Fatehpur sewerage subproject is classified as Category B for environment per the ADB SPS 2009 as no significant impacts are envisaged. Accordingly, this Initial Environmental Examination (IEE) assesses the environmental impacts and provides mitigation and monitoring measures to ensure that there are no significant impacts as a result of the project.

IEE of this subproject was prepared and approved by ADB (May, 2020) based on feasibility/preliminary design, and included in bid and contract of this DBO package. IEE of the subproject was updated twice during the implementation in August 2021 and November, 2021 and approved by ADB and disclosed in ADB website. This Updated IEE reflect the final subproject designs including change in scope. Current update (third update) of IEE is being done owing to (i) increase in length of sewerage network from 92.40 km to 100.57 km within the proposed sewerage zones due to actual confirmatory survey done by DBO contractor (ii) Decrease in trenchless sewer laying from 15.12 km to 15.09 km, and (iii) decreased in length of

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<sup>5</sup>Includes supervisory control and data acquisition system, hydraulic model, geographic information system, and drinking water and treated wastewater quality monitoring system.

Rising main from 3.2 km to 2.89 km. SIP of the subproject has been approved) on dated 20.05.2023. While updating this IEE, subproject continued to remain under environmental category 'B'. The updated and approved IEE will supersede the earlier version of IEE and shall be contractually binding on the contractor.

**Project Scope.** It is designed to develop a comprehensive sewerage system in Fatehpur town to collect, treat and dispose/reuse the domestic wastewater safely. This is being provided in a combination of underground sewerage system including treatment facility, and Fecal Sludge and Septage Management (FSSM) system in areas that are not fully developed at present, low population density (less than 100 persons per hectare), not feasible to provide sewer network as scattered population and outskirts of municipal area. About 86.3% of the total base year population (2021) is proposed to be covered by sewerage system, while the rest 13.7% will be covered by FSSM. Proposed subproject component include: (i) Construction of 1 no. new STP of 4.30 MLD (on sequential batch reactor or SBR process) (ii) 3 nos. sewage pumping station (SPS) of capacities of 3.5 MLD, 1.1 MLD and 0.6 MLD for unsewered area of the Fatehpur town (iii) 2.89 Km of sewage pumping mains (200mm-400 mm dia) DI pipes (iv) Total nos of Manholes to be constructed are-4231 (v) Laying of 100.57 km sewer in town including 15.09 km trenchless (vi) House connection 6484 nos. (vii) Electrical and Mechanical works. (viii) one no. Treated Effluent Storage Reservoir (TESR) of 430 KL capacity and one no. Treated Effluent Elevated Reservoir (TEER) of 220 KL capacity and 22m staging, (ix) Desludging trucks- 3 nos. (4000 litre capacity-1, 1000 litre capacity-2 nos.) (x) Construction of one Consumer Relation and Management Centre (CRMC) (xi) 10 Years operation and Maintenance of Sewerage System.

**Description of the Environment.** Subproject components are located in Fatehpur City in Sikar district and in its immediate surroundings which were converted into urban use for many years ago, and there is no natural habitat left at these sites. The project sites are located in Government lands for structures and for pipe laying in existing road right of way (RoW). There are no protected areas, wetlands, mangroves, or estuaries in or near the project locations. Soils are deep, and do not require cutting of rocks for pipe laying. The climate of Fatehpur City is dry and hot in summers and cold in winters. Rainfall is medium (421 mm) in Fatehpur City.

**Potential Environmental Impacts and Mitigation Measures.** Potential impacts were identified in relation to location, design, construction and operation of the improved infrastructure. During the construction phase, impacts mainly arise from the need to dispose of moderate quantities of waste soil and disturbance of residents and traffic. These are common temporary impacts of construction in urban areas, and there are well developed methods for their mitigation. Mitigation measures have been developed to reduce all negative impacts to acceptable levels.

Locations and siting of the proposed infrastructures were considered to further reduce impacts. These include (i) locating facilities on government-owned land to avoid the need for land acquisition and relocation of people; and (ii) laying of pipes in RoW alongside main/access roads, to reduce acquisition of land and impacts on livelihoods specifically in densely populated areas of the town.

**Implementation Arrangements.** Government of Rajasthan's Local Self Government Department (LSGD) acting through RUDSICO is the project Executing Agency. The project management unit (PMU) is housed in RUDSICO's division for externally aided projects (EAP). There are two Zonal officers in Jaipur and Jodhpur and project implementation units (PIUs) in each project town/ urban local body (ULB). PMU is responsible for submitting environmental assessment and monitoring reports to ADB, monitoring of safeguards compliance, addressing safeguards issues, providing support and guidance to PIUs. The PIUs are responsible for the

day-to-day monitoring of EMP implementation, information disclosure, consultations and other field-level activities. PMU has appointed a Project Officer for environment and each PIU deputed an Assistant Safeguard Officers (ASOs). The PMU environment project officer is being assisted by specialists from Project Management and Capacity Building Consultants (PMCBC) and Construction Management and Supervision Consultants (CMSC).

**Consultation, Disclosure and Grievance Redress.** The stakeholders were involved in developing the IEE through discussions on-site and a public consultation workshop at city level, after which views expressed were incorporated into the IEE and in the planning and development of the project. Apart from on-site public consultations, a stakeholder meeting of City Level Committee (CLC) was held and CLC has appreciated and approved the subproject. The IEE will be made available at public locations. The Draft IEE, first and second updated IEE were disclosed and this updated IEE will also be disclosed to a wider audience via the ADB and RUDSICO websites. The consultation process will be continued and expanded during project implementation to ensure that stakeholders are fully engaged in the project and have the opportunity to participate in its development and implementation. A grievance redress mechanism (GRM) is described within the IEE to ensure any public grievances are addressed quickly.

**Monitoring and Reporting.** The PMU, PIU and consultants will be responsible for monitoring and reporting. During construction, results from internal monitoring by the DBO contractor will be reflected in their monthly EMP implementation reports to the PIU. PIU with the assistance of CMSC, will monitor the compliance of contractor, prepare a quarterly environmental monitoring report (QEMR) and submit to PMU. The PMU will oversee the implementation and compliance and will submit semi-annual environmental monitoring reports (SEMR) to ADB. ADB will post the environmental monitoring reports on its website. Monitoring reports will also be posted RUDSICO-EAP/PMU websites.

**Conclusions.** The citizens of the Fatehpur City will be the major beneficiaries of this subproject. The subproject is primarily designed to improve environmental quality and living conditions of Fatehpur Town through provision of sewerage network. This improved sewerage system will remove the human waste from their homes safely and quickly. This subproject, in addition to improved environmental conditions, will improve the over-all health condition of the town. People would spend less on healthcare and lose fewer working days due to illness, so their economic status should also improve, as well as their overall health.

The subproposed project is therefore unlikely to cause significant adverse impacts. The potential impacts that are associated with design, construction and operation can be mitigated to standard levels without difficulty through proper engineering design and the incorporation or application of recommended mitigation measures and procedures. Based on the findings of the third updated IEE, there are no significant impacts and the classification of the project as Category "B" is continued. There is no further environmental impact envisaged due to (i) increase in length of sewerage network from 92.40 km to 100.57 km within the proposed sewerage zones due to actual confirmatory survey done by DBO contractor (ii) Decrease in trenchless sewer laying from 15.12 km to 15.09 km, and (iii) decreased in length of Rising main from 3.2 km to 2.89 km. The subproject is not covered by the Government of India EIA Notification (2006).

After second update of IEE; designs of all the project components (sewer networks, 2 SPSs, one STP and CRMC etc) are approved. This IEE shall again be updated if any amendment is required in scope and location of subproject components.

**Recommendations.** The applicable recommendations to this sub project based on the findings of Draft IEE, as per this update, the compliances status of recommendations of Draft IEE are as follows:

**Recommendations already implemented :**

- Include this IEE in bid and contract documents
- Ensure contractor appointed qualified environment, health and safety (EHS) officers prior to start of works
- Involvement of contractors, including subcontractors, in first level GRM
- Conduct safeguards induction to the contractor upon award of contract
- Update/revise this IEE based on detailed design and/or if there are unanticipated impacts, change in scope, alignment, or location
- Obtain all statutory clearances at the earliest time possible and ensure conditions/provisions are incorporated in the detailed design
- Ensure that sludge management protocols are compliant with environmental regulations (Solid Waste Management Rules 2000 and its amendments) and solid waste disposal should have a designated site (dumping on vacant lot is not allowed);- sludge management plan will be prepared, solid waste management plan is prepared and being implemented.
- Strictly supervise EMP implementation
- Documentation and reporting on a regular basis as indicated in the IEE
- Continuous consultations with stakeholders
- Timely disclosure of information and establishment of GRM
- Commitment from PMU, PIUs, project consultants, and contractors to protect the environment and the people from any impact during project implementation

**Recommendation which is not applicable to the sub-project :**

- Update and implement the asbestos management plan per site-specific conditions- Not applicable as only sewerage works are proposed in Fatehpur and no AC pipes are expected to be encountered during pipe laying works;

## I. INTRODUCTION

### A. Project Background

1. Rajasthan Secondary Towns Development Sector Project (RSTDSP), the fourth phase of investment projects financed by Asian Development Bank (ADB) and implemented by the Rajasthan Urban Drinking Water Sewerage and Infrastructure Corporation Limited (RUDSICO), previously known as Rajasthan Urban Infrastructure Development Project (RUDSICO-EAP). RSTDSP will support the ongoing efforts of the Government of Rajasthan (GOR) towards improving the water and wastewater services in about 14 towns<sup>6</sup>. RSTDSP seeks to improve water supply and sewerage (WSS) services in secondary towns with populations between 20,000-115,000 through a sector loan modality. The project is aligned with the following impact(s): (i) access to potable, affordable, reliable, equitable, and environmentally sustainable drinking water supply in all urban areas of Rajasthan improved<sup>7</sup>; and (ii) health status of urban population, especially the poor and under-privileged improved<sup>8</sup>. The project will have the following outcome: urban service delivery in secondary towns of Rajasthan improved. There are three outputs.

**Output 1:** Water supply infrastructure in project towns improved with climate-resilient and inclusive features. By 2027: (i) about 1,350 kilometers (km) of water supply pipelines will be commissioned through a district metered area approach for effective non-revenue water (NRW) management, (ii) about 100,000 households will be connected to an improved water supply system (including at least 95% below poverty line [BPL] households) with 100% functional meters allowing for the introduction of volumetric billing, (iii) 3 new water treatment plants (WTP) will be commissioned with total capacity of at least 28 million liters per day (MLD) and (iv) 2 water treatment plants WTP will be rehabilitated.

**Output 2:** Sanitation systems in project towns improved with climate-resilient, cost-effective and inclusive features.<sup>9</sup> By 2027: (i) about 1,300 kilometers of sewers will be constructed, (ii) 19 sewerage treatment plants (STP)s with co-treatment of wastewater and fecal sludge and with a total capacity of about 80 million liters per day will be commissioned and 3 existing STPs will be upgraded to meet current effluent standards, (iii) about 103,000 new household connections (including at least 95% BPL households) to sewer system will be installed, (iv) 1 fecal sludge treatment plant with total 10 kilo liters per day (KLD) capacity will be commissioned, and (v) agreements for reuse of wastewater mainly for industry or agriculture will be signed in at least 5 project ULBs.

**Output 3:** Institutional and human capacities strengthened for service improvements, gender equality and sustainability. Under the sector project: (i) at least 500 women will gain professional experience through an internship program at RUDSICO, (ii) about 500 staff and 500 elected representatives of project ULBs, including 80% of eligible women, will report increased knowledge on operation and maintenance (O&M) of WSS services, CWIS, financial sustainability and gender equality and social inclusion (GESI) action plan

<sup>6</sup> Secondary towns under consideration are Abu Road, Banswara, Didwana, Fatehpur, Kuchaman, Ladnu, Laxmangarh, Makrana, Pratapgarh, Ratangarh, Sardarshahar, Sirohi, and Heritage towns - Khetri, Mandawa.

<sup>7</sup> Government of Rajasthan. 2018. *Rajasthan: Urban Water Supply Policy*. Jaipur.

<sup>8</sup> Government of Rajasthan. 2016. *State Sewerage and Wastewater Policy*. Jaipur.

<sup>9</sup> Climate resilient and inclusive features included are: improvements in the distribution system to reduce losses; rainfall water harvesting; energy-efficient pumps; solar panels at project facilities; pressure control mechanisms in the water system to help avoid losses through pipe bursts; and wastewater reuse for productive uses.

implementation, (iii) about 500 girls will report enhanced knowledge in conducting water audits in schools and households, and (iv) data platforms will be established in all project towns<sup>10</sup>.

2. A series of subprojects will be implemented under the Project, with each subproject providing improvements to water supply or sewerage or both in a project town. Fatehpur sewerage subproject is one of the subprojects proposed under RSTDSP. Presently, Fatehpur City has an 88-km sewer network, three sewage pumping stations (SPS) and one 7.5 MLD Sewage Treatment Plant (STP) on SBR basis on approximately 2.5 km from bypass road junction on NH-11 & 65 on Naribari village road. However, the uncovered areas do not have an organized sewerage system. It is these balance areas that are being covered under this subproject. While a portion of the city is covered with an organized wastewater management system, the same has not yet been commissioned. As such, presently the entire wastewater from kitchens & bathrooms from the city's households is discharged into storm water drains culminating finally to the Nallah or on ground, which pollutes the environment and contaminates the ground water. Open defecation is not uncommon in the city. Most of the residential, commercial buildings and educational institutions have on-site septic tanks and soak pits. The effluent from the septic tanks directly drain into the open drains.

3. It is designed to develop a comprehensive sewerage system in Fatehpur town to collect, treat and dispose/reuse the domestic wastewater safely. This is being provided in a combination of underground sewerage system including treatment facility, and Fecal Sludge and Septage Management (FSSM) system in areas that are not fully developed at present, low population density (less than 100 persons per hectare), not feasible to provide sewer network as scattered population and outskirts of municipal area. About 86.3% of the total base year population (2021) is proposed to be covered by sewerage system, while the rest 13.7% will be covered by FSSM. Proposed subproject component include: (i) Construction of 1 no. new STP of 4.30 MLD (on sequential batch reactor or SBR process with one no. Treated Effluent Storage Reservoir of 430 KL capacity and one no. Treated Effluent Elevated Reservoir of 220 KL capacity with 22m staging) (ii) 3 nos. sewage pumping station (SPS) of capacities of 3.5 MLD, 1.1 MLD and 0.6 MLD for unsewered area of the Fatehpur town (iii) 2.89 Km of sewage pumping mains (200mm-400 mm dia) DI pipes (iv) Total nos of Manholes to be constructed are- 4231 (v) Laying of 100.57 km sewer in town including 15.09 km trenchless (vi) House connection 6484 nos. (vii) Electrical and Mechanical works. (viii) Desludging trucks- 3 nos. (4000 litre capacity-1, 1000 litre capacity-2 nos.) (ix) Construction of one Consumer Relation and Management Centre (CRMC) (x) 10 Years operating and Maintenance of Sewerage System.

## **B. Purpose of Initial Environmental Examination Report**

4. ADB requires the consideration of environmental issues in all aspects of the Bank's operations, and the requirements for environmental assessment are described in ADB's SPS, 2009. The potential environmental impacts of the subproject have been assessed using ADB rapid environmental assessment (REA) checklist for water supply and sewerage treatment (Appendix 1). Then potential negative impacts were identified in relation to pre-construction, construction and operation of the improved infrastructure, and results of the assessment show that the subproject is unlikely to cause significant adverse impacts. Thus, this IEE has been prepared in accordance with ADB SPS, 2019 requirements for environment category B projects.

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<sup>10</sup>Includes supervisory control and data acquisition system, hydraulic model, geographic information system, and drinking water and treated wastewater quality monitoring system.

5. The Fatehpur sewerage subproject is proposed for implementation under the design-build-operate (DBO) modality, where in which the design is carried out by the selected bidder based on the feasibility/preliminary project report prepared prior to bidding. Thus, this IEE is based on the preliminary project report prepared by RUDSICO-EAP/PMU. The IEE was based mainly on field reconnaissance surveys and secondary sources of information. Baseline environmental monitoring has been conducted as per the environmental monitoring program developed as part of the environmental management plan (EMP). Quarterly environmental monitoring is proposed in the project and results will be reported as part of the semi-annual environmental monitoring report and will be the basis to ensure no degradation will happen during subproject implementation. Stakeholder consultation was an integral part of the IEE.

1. Draft IEE of this subproject was prepared and approved by ADB based on feasibility/preliminary design, and included in bid and contract of this DBO package. Updated IEE reflecting the final subproject designs including any change in scope, locations etc., and the approval of the same by ADB is required prior to start of construction. Since the designs are being finalized zone/subzone / component wise, it is also planned to update IEE in stages to proceed with the construction of components for which designs are completed. This is the third updated IEE of this package, and reflects the updated designs and change in scope. IEE of this subproject was prepared and approved by ADB (May, 2020) based on feasibility/preliminary design, and included in bid and contract of this DBO package. IEE of the subproject was updated twice during the implementation in August 2021 and November, 2021 and approved by ADB and disclosed in ADB website. This Updated IEE reflect the final subproject designs including change in scope. Current update (third update) of IEE is being done owing to IEE of this subproject was prepared and approved by ADB (May, 2020) based on feasibility/preliminary design, and included in bid and contract of this DBO package. IEE of the subproject was updated twice during the implementation in August 2021 and November, 2021 and approved by ADB and disclosed in ADB website. This Updated IEE reflect the final subproject designs including change in scope. Current update (third update) of IEE is being done owing to (i) increase in length of sewerage network from 92.40 km to 100.57 km within the proposed sewerage zones due to actual confirmatory survey done by DBO contractor (ii) Decrease in trenchless sewer laying from 15.12 km to 15.09 km, and (iii) decreased in length of Rising main from 3.2 km to 2.89 km. SIP of the subproject has been approved. While updating this IEE, subproject continued to remain under environmental category 'B'. The updated and approved IEE will supersede the earlier version of IEE and shall be contractually binding on the contractor.

2. The revised and approved IEE will supersede the earlier version of IEE and shall be contractually binding on the contractor.

**Table 1: Subproject Scope, Components, status of detailed design, and changes –Upto June 2023**

| Components / scope of works as per the Draft IEE | Components completed detailed design |                    |                                        | Change in scope, design            | Change in location |
|--------------------------------------------------|--------------------------------------|--------------------|----------------------------------------|------------------------------------|--------------------|
|                                                  | Last IEE Update                      | Current IEE update | Cumulative (including this IEE update) |                                    |                    |
| <b>Sewerage</b>                                  |                                      |                    |                                        |                                    |                    |
| Sewage Collection networks – 92.4 km with        | 92.4 km                              | 8.17 KM            | 100.57 km (100%) in all                | Total length has been changed from | None               |

|                                                                                                                                                                               |                                                                         |          |                                                                |                                                                                                                                                       |      |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|----------|----------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|------|
| Manholes and House service connection- 5600 Nos.                                                                                                                              |                                                                         |          | 3 zones with 4231 manholes and 6484 house service connections. | 92.1 km to 100.57 km and house sewer connections increased from 5600 to 6484 nos.<br><br>Trenchless sewer laying decreased to 15.09 km from 15.12 km. |      |
| 1 no. Sewage Pumping Station (3.5 MLD) behind Dholi Sati temple                                                                                                               | Inlet Chamber, Screen Channel & Sump Well approved                      | Approved | Approved                                                       | -                                                                                                                                                     | None |
| 1 no. Sewage Pumping Station (1.1 MLD) near Railway underpass (Khasra no. 1818)                                                                                               | Inlet Chamber, Screen Channel & Sump Well approved                      | Approved | Approved                                                       | -                                                                                                                                                     | None |
| 1 no. Sewage Pumping Stations (0.6 MLD) near Fatima Masjid                                                                                                                    | Process and GA approved                                                 | Approved | Approved                                                       | -                                                                                                                                                     | None |
| Rising Mains for Pumping the Sewage – 3200 m.                                                                                                                                 | -                                                                       | -        | -                                                              | Rising main length decreased from 3200 m to 2890 m                                                                                                    | none |
| Sewerage Treatment Plant (STP) – 1 nos (4.3 MLD) at Existing STP campus                                                                                                       | Inlet Chamber, Mechanical Grit Separator Units (PTU), SBR, CCT approved | Approved | Approved                                                       | -                                                                                                                                                     | none |
| Treated wastewater storage tanks<br>Treated Effluent Elevated Reservoir (TEER), - 1 no: 220 KL and 22m staging<br><br>Treated Effluent Storage Reservoir (TESR), 1 no: 430 KL | Approved                                                                | Approved | Approved                                                       | -                                                                                                                                                     |      |
| Sludge Management and Disposal– upto 10 km                                                                                                                                    | Approved                                                                | Approved | Approved                                                       | -                                                                                                                                                     |      |
| Consumer Relation and Management Centre (CRMC) – 1 no                                                                                                                         | Approved                                                                | Approved | Approved                                                       | -                                                                                                                                                     | none |
| FSSM - 3 trucks of 1 nos.- 4000 liters [L] and 2 nos.- 1000 L.                                                                                                                | -                                                                       | -        | -                                                              | -                                                                                                                                                     | -    |



6. The implementation of the subprojects will be governed by Government of India and Rajasthan and other applicable environmental acts, rules, regulations, and standards. Environmental safeguards will be followed in accordance with the ADB SPS, 2009. During the design, construction, and operation of the project the borrower/client will apply pollution prevention and control technologies and practices consistent with international good practice, as reflected in internationally recognized standards.

### **C. Report Structure**

7. This Report contains the following eleven (11) sections including the executive summary at the beginning of the report:

- Executive Summary
- Introduction
- Description of the Project
- Analysis of alternatives
- Policy, Legal and Administrative Framework
- Description of the Environment
- Anticipated Environmental Impacts and Mitigation Measures
- Public consultation and information disclosure
- Grievance Redress Mechanism
- Environmental management plan
- Conclusions and Recommendations

## II. DESCRIPTION OF THE PROJECT

8. Fatehpur is a town in the Sikar district of Indian state Rajasthan. It is part of the Shekhawati region. It is midway between Jaipur and Bikaner on National Highway 11. Fatehpur is surrounded by Laxmangarh Tehsil towards South, Ratangarh Tehsil towards west, Nawalgarh Tehsil towards East, Churu Tehsil towards North. The closest major city to Fatehpur is Churu which is 36 km away. Nawalgarh, Sikar and Jhunjhunu are also close, at 38 Km, 54 Km and 50 km away respectively. The town is 166 km from Jaipur, 298 km from New Delhi and 1247 km from Mumbai. Fatehpur Shekhawati Railway Station is the closest railway station to Fatehpur. However, Jaipur Railway Station is major railway station 161 km near to Fatehpur. Fatehpur City is one of the project towns selected for implementation of the under RSTDSP. Under the investment component of the project, it is proposed to provide sewerage system in Fatehpur City and cover whole town within municipal limit by sewerage system.

### A. Present Status of Water Supply and Sewerage

9. **Water Supply.** Water is supplied for one and half hour on daily @ 70 to 80 lpcd. Water supply is currently intermittent. Current water supply is 8.10 MLD and dependent on groundwater. Town is already covered by PHED under Fatehpur-Laxmangarh water supply Project and water allocation from canal for town is 19.90 MLD. Project is commissioned and currently 1.5 MLD treated surface water is being received at Fatehpur town.. After full commissioning of project per capita water supply will be increased to 135 lpcd.

10. **Sewerage.** Presently, Fatehpur City has an 88-km sewer network, three sewage pumping stations (SPS) and one 7.5 MLD Sewage Treatment Plant (STP) on SBR basis approximately 2.5 km from bypass road junction on NH-11 and 65 on Naribari village road. However, the uncovered areas do not have an organized sewerage system. It is these balance areas that are being covered under this subproject. While a portion of the city is covered with an organized wastewater management system, the same has not yet been commissioned.

11. Proposed in balance areas of Fatehpur Municipality does not have any organized sewerage system. Presently the wastewater from kitchens and bathrooms is discharged into storm water drains culminating finally to the Nallah or on ground, which pollutes the environment and contaminates the ground water. Open defecation is not uncommon in the town. Balance area are majorly residential area having on-site septic tanks and soak pits. The effluent from the septic tanks directly drain into the open drains.

### B. Infrastructure Improvements proposed in Fatehpur City under RSTDSP

12. **Sewerage Works.** Under RSTDSP, it is proposed to develop a sewerage system in Fatehpur City to collect, treat, and dispose/reuse the domestic wastewater safely. This is being provided in a combination of underground sewerage system including treatment facility, and Fecal Sludge and Septage Management (FSSM) system in areas that are not fully developed at present and not feasible to provide sewer network. About 86.3% of the total base year population (2021) is proposed to be covered by sewerage system while the rest 13.7% will be covered by FSSM. The objectives of the proposed sewerage works are:

- (i) Construction of sewerage network, including house sewer connection and collection of wastewaters from point of generation.
- (ii) Construction of energy efficient and mechanized Sewage Treatment Plant and electromechanical machinery;

- (iii) Septage management and decentralized wastewater treatment systems in suitable areas;
- (iv) Provision for reuse of treated effluent etc.
- (v) To ensure 100% house service connections for wastewater collection.
- (vi) To ensure sustainability of the project by implementing a comprehensive asset management plan focusing on an integrated approach to operation and maintenance to minimize lifecycle costs.

13. **Sewage treatment.** It is proposed to develop Sequential Batch Reactor (SBR) based sewage treatment facility that will treat the incoming sewage to stringent discharge standards specified in this IEE and included in the bid documents. SBR is a cyclic activated sludge treatment process and provides highest treatment efficiency possible in a single step biological process. Sewage shall be fed into the Cyclic Activated Sludge Process/SBR Process Basins for biological treatment to remove BOD, COD and Suspended Solids. This eliminates all the inefficiencies of the continuous processes. A batch reactor is a perfect reactor, which ensures 100% treatment. Two modules are provided to ensure continuous treatment. The complete process takes place in a single reactor, within which all biological treatment steps take place sequentially. No additional settling unit, Secondary Clarifier is required.

14. **Reuse of treated effluent.** The Rajasthan State Sewerage and Wastewater Policy, 2016, promotes the reuse of treated sewage for non-potable applications, and also to make sewerage projects environmentally sustainable. This policy:

- (i) aims to ensure improved health status of urban population, specially the poor and under privileged, through the provision of sustainable sanitation services and protection of environment.
- (ii) promotes the reuse and provides guidance on the same
- (iii) prioritizes reuse in irrigation (agriculture, forestry, and landscaping), followed by fish farming, industry and non-potable domestic reuse.
- (iv) requires monitoring of treated wastewater quality, soil quality etc.,
- (v) prohibits artificial recharge of aquifers using treated wastewater, and promotes construction of storage tanks to store treated wastewater to facilitate reuse
- (vi) prescribes that the detailed project report (DPR) of a sewerage project should clearly define the best reuse option specific to the town and prepare a Reuse Action Plan part of the DPR duly following the water quality norms and legal implications
- (vii) suggests use of sludge produced from the treatment as fertilizer and soil conditioner after processing.

15. To further the implementation of the Policy, to promote the reuse and provide guidance to the stakeholders, the Local Self Government Department (LSGD) is currently in the process of publishing "Guidelines for Reuse of Treated Wastewater in Rajasthan 2019. These guidelines:

- (i) promote the use the treated wastewater and envisages to maximize the collection and treatment of sewage generated and reuse of treated wastewater on a sustainable basis, thereby reducing dependency on freshwater resources.
- (ii) promotes the use of treated wastewater as an economic resource.

16. Under the subproject, following the State Policy, treated effluent will be reused in applications such as agriculture, horticulture, development of urban forestry and industry as

appropriate. A Treated Effluent Reuse Plan will be prepared by the DBO Contractor during the detailed design phase as envisaged by the State Policy, and reuse modalities will be firmed up. To facilitate reuse and supply of treated effluent, A treated effluent storage reservoir (TESR), effluent pumping station and a treated effluent elevated reservoir (TEER) are proposed at the STP in the subproject. Treated effluent will be chlorinated and prior to its entry into TESSR/TEER.

17. **Discharge of treated wastewater.** The excess / surplus treated wastewater that is not reused will be discharged into nearby land, water channels/drain, and necessary facilities like pipelines and pumping requirements, will be developed. The structures for reuse of treated effluent proposed under the project is as below: -

For STP (4.3 MLD) - on Fatehpur – Naribari village road in existing STP campus

- Treated Effluent Storage Reservoir (TESR) Capacity : 430 KI
- Treated Effluent Elevated Reservoir (TEER) Capacity : 220KI, 22 mtr Staging

18. The sewer system will be designed as a separate sewer system that carries only the domestic wastewater, the open drain system that exists in the town will cater to storm runoff only after implementation of proposed works. No industrial wastewater will be allowed into the sewers.

19. **Sludge treatment and disposal.** A Sludge Sump shall be provided to collect thickened sludge from SBR basins. Supernatant from the sump will be returned to inlet/equalization tank for treatment. Sludge from sump will be pumped to sludge thickener, and the thickened sludge will be pumped to mechanical sludge dewatering system (such as centrifuge). Dewatered sludge cake will further air dried in a sludge storage shed for 15 days and disposed in an identified site.

20. **Operation and maintenance (O and M) of Sewerage system.** The DBO contractor will operate and maintain the system for a period of 10 years after completion of construction and commissioning the new system. This will include the following:

- (i) Sewage pumping system to pump sewage to STP including maintenance of entire system and maintaining the infrastructure (power charges to be paid by the Employer)
- (ii) Sewage treatment plant (STP) including maintenance of entire system and maintaining the infrastructure (power charges to be paid by the Employer)
- (iii) Managing the sewerage network for collection of sewage including maintenance of entire system from property chambers up to disposal outfall of Sewage to STP
- (iv) Sampling treated effluent to ensure that it meets the guaranteed treatment parameters;
- (v) Provide house connections for collection of sewage from house properties on approval or sanction by Employer
- (vi) Contractor will provide continuous on-the-job trainings that will start from the day the contractor gets mobilized, and other capacity building programs by the contractor as important regular activities for staff of the Employer, PHED and Nagar Palika.
- (vii) Maintaining environmental norms at entire system components.

21. **Fecal Sludge and Septage Management (FSSM).** It is proposed to provide FSSM system in areas where the population density is low (less than 100 persons per hectare), sewer network not feasible as scattered population, outskirts of municipal area and will not generate sewage in adequate quantity to convey by sewer network. FSSM will provide low cost sanitation

in areas where sewer network is not an immediate requirement, will make septage collection, treatment and effluent management environment- friendly. Of the 35 wards in Fatehpur, there are 13 wards (Wards 1,2,3,4,32, 33,34,35, 36, 37, 38, 39 and 40) that have areas with scattered development and low population density. Total base year (2021) population of these wards is 1,06,140, of which about 13.7% (14557 persons) will be covered with FSSM and rest will be covered by sewer network. This is about 13.7% of total projected town population of base year (1,06,140 persons). Under the FSSM, fecal sludge / septage will be collected from the household level septic tanks using truck mounted mobile desludging equipment and transported to Sewage Treatment Plant (STP) for treatment. STP will have necessary provisions to receive and treat the septage along with the wastewater received via sewer network. STP will be designed accordingly by the successful bidder during the detailed design phase to comply with the treated effluent discharge standards specified in the bidding documents.

22. The sewer system will be designed as a separate sewer system that carries only domestic/municipal wastewater. This subproject complies with the environmental subproject selection criteria agreed between the government and the ADB (Appendix 7). Following Table 2 shows the nature and size of the various components of the subproject along with land availability.

**Table 2 : Proposed Fatehpur Sewerage Subproject Components**

| Component                  | Function                                                                                                                              | Description                                                                                                                                                                                                                                                                                                                                                                                         | Location                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
|----------------------------|---------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Sewage Collection networks | Collect wastewater from houses and convey by a combination of gravity and pressure pumping to pumping station                         | Total 100.57 km with following details <ul style="list-style-type: none"> <li>• HDPE DWC SN8 -77.28 km,</li> <li>• Trenchless Method adopting with HDPE PE-100 / PN-6 –15.09 km,</li> <li>• Railway Crossing – 2 nos (near railway underpass of (i) Mandawa road and (ii) State Highway -41)</li> <li>• Construction of Manholes; 4231 Nos.</li> <li>House service connection- 6484 Nos.</li> </ul> | Sewers will be laid underground in the roads and internal streets in the town. Sewers will be located in the centre of the road. The existing/proposed water pipes are located on side of the roads, and therefore sewers will be laid in the centre without distributing the water pipes.<br><br>It is proposed that 15.09km length of sewers will be laid by trenchless method; these will railway/main road crossings, and the sewers to be laid deeper than 3.5 m |
| Sewage Pumping Stations    | Sewage pumping stations are used to move wastewater to higher elevations in order to allow transport by gravity flow until the sewage | Design, construction, execution, testing and commissioning of Sewage Pumping Station followed by operation and maintenance period for the specified period including but not limited to all relevant Civil (including inlet structure), electrical, mechanical (including Pumps, motors, internal piping, sluice valves, NRVs, Expansion                                                            | 1. Behind Dholi Sati Temple – 3.50 MLD- Location is confirmed<br><br>2. Near Railway underpass (Khasra no 1806) – 1.10 MLD<br><br>3.0.60 MLD SPS,                                                                                                                                                                                                                                                                                                                     |

| Component                           | Function                                                                                                                       | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Location                                                                       |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------|
|                                     | reaches treatment plant.                                                                                                       | joints, mechanical screen, screen conveyor, EOT/HOT Crane etc.), instrumentation, automisation and SCADA works and Stores etc. along with ancillary civil works at following locations for the designed capacity specified in BOQ.                                                                                                                                                                                                                                                                                                                                                                                                                       | near Fatima Masjid, Khasra no. 982, National Highway no. 52, Jahanghir ki kui. |
| Rising Mains for Pumping the Sewage | Rising mains are required to pump the raw sewage from SPS to STP                                                               | Supply, Laying, Testing and Commissioning of Rising Main for Pumping the Sewage from SPS behind Dholisati Temple (node no. 1385) to node no. 862 (proposed sewer) Approx. L = 2500 m, D=400 mm DI K9 pipe..<br>2. Supply, Laying, Testing and Commissioning of Rising Main for Pumping the Sewage. From SPS near Fatima Masjid (node no. 675) to node no. 483 (proposed sewer) Approx. L = 450 m, D=200 mm DI K9 pipe.<br>3. Supply, Laying, Testing and Commissioning of Rising Main for Pumping the Sewage. From SPS near railway under pass (node no. 905) to node no. 697 (existing sewer) Approx. L = 250 m, D=250 mm DI K9 pipe.                   | Existing roads from SPS to designated nodes as described                       |
| Sewerage Treatment Plant (STP)      | Treatment of collected wastewater to meet stipulated discharge standards                                                       | Design, construction, execution, testing and commissioning followed by operation and maintenance for specified period sewage treatment plant of 4.3 MLD capacity with SBR Technology (within existing STP campus) including primary and tertiary treatment and sludge management and disposal but not limited to all relevant Civil (including inlet structure), electrical, mechanical (including Pumps, motors, air blowers, interconnecting piping, valves, mechanical screens, screen conveyor, dewatering unit, disinfection unit EOT/HOT Crane etc.), instrumentation, automation and SCADA works and Stores etc. along with ancillary civil works | Existing STP campus- vacant land is available and confirmed                    |
| Treated wastewater storage tanks    | For temporary store the treated wastewater in underground tank and elevated reservoir for ease to reuse in beneficial purposes | Design, construction, execution, testing and commissioning of Treated Effluent Elevated Reservoir (TEER), Treated Effluent Storage Reservoir (TESR), Effluent pumping station including but not limited to all relevant Civil, electrical, mechanical (including Pumps, motor, interconnecting piping, valves, EOT/HOT Crane etc.), instrumentation, automisation and                                                                                                                                                                                                                                                                                    | Within the STP premises                                                        |

| Component                                      | Function                                                                                     | Description                                                                                                                                                                                                                                            | Location                     |
|------------------------------------------------|----------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|
|                                                |                                                                                              | SCADA works and Stores etc. along with ancillary civil works.                                                                                                                                                                                          |                              |
|                                                |                                                                                              | <b>Sludge Management and Disposal</b> – Safe Disposal of sludge up to designated places provided by the Employer or line agency within 10 km distance from STP                                                                                         | Design review under process  |
|                                                |                                                                                              | <b>Disposal of Treated Effluent</b> - Design, construction, execution, testing and commissioning of sewer pipe from STP effluent chamber to natural drain identified by Employer Representative including but not limited to all ancillary civil works | Design review under process  |
| Consumer Relation and Management Centre (CRMC) | Consumer relations and SCADA system control for entire sewerage system                       | Construction of one building of CRMC                                                                                                                                                                                                                   | Nagar Palika Office premises |
| <b>FSSM</b>                                    |                                                                                              |                                                                                                                                                                                                                                                        |                              |
| Truck mounted mobile desludging equipment      | Desludging of septage from household pits/ septic tanks, transportation and discharge to STP | Mobile tankers 3 Nos.including (1 nos. of 4000 L and 2 Nos. of 1000 L) for desludging of septic tanks with suction and discharge arrangements                                                                                                          | Mobile equipment.            |

23. Table 3 shows the nature and size of the land details of various components of the subproject: Details of land availability, ownership and status of No Objection Certificate (NOC) for Proposed Sites are given in Appendix 25.

**Table 3: Land details of Proposed Sewerage Subproject Components**

| Sub-project Components                      | Location                                                                      | Land availability                                                                                   | Capacity/ Work Proposed                        |
|---------------------------------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|------------------------------------------------|
| STP                                         | Nawalgarh Road, Fatehpur – Naribari Village road in existing STP Campus       | Will be constructed on the available land which is under the possession of Nagar Palika.            | 4.30 MLD in existing STP Campus                |
| Sewage Pumping Station (SPS)                | Near Dhole Sati Temple                                                        | Will be constructed on the available land with Nagar Palika.                                        | 3.5 MLD                                        |
| Sewage Pumping Station (SPS)                | Near Railway Underpass, Khasra no. 1806                                       | Will be constructed on the available land with Nagar Palika                                         | 1.10 MLD                                       |
| Sewage Pumping Station (SPS)                | Near Fatima Maszid, Khasra no. 982, National Highway no. 52, Jahanghir ki kui | This Land has been purchased from the private owner and now it is under possession of Nagar Palika. | 0.6 MLD                                        |
| Laying of 100.57 km sewer in town with 4231 | Entire Town                                                                   | Existing road right of way (RoW)                                                                    | The pipelines will be buried below in a trench |

| Sub-project Components                             | Location                      | Land availability                                                                   | Capacity/ Work Proposed                                                                                                                                                  |
|----------------------------------------------------|-------------------------------|-------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Manholes                                           |                               |                                                                                     | on the edge of the road within the existing right of way (RoW). There are no impacts of pipe laying on permanent/semi-permanent structures and livelihoods of the people |
| House connection                                   | Entire Town                   | -                                                                                   | 6484 Nos.                                                                                                                                                                |
| Electrical and Mechanical works                    | At STP and SPS                | Land available in existing STP campus                                               | -                                                                                                                                                                        |
| One No. Treated Effluent Storage Reservoir (TESR)  | At STP campus                 | Will be constructed on the available land in existing STP campus with Nagar Palika. | 430 KL capacity                                                                                                                                                          |
| One No. Treated Effluent Elevated Reservoir (TEER) | At STP campus                 | Will be constructed on the available land in existing STP campus with Nagar Palika. | 220 KL capacity with 22m staging                                                                                                                                         |
| One CRMC Building                                  | At Nagar Palika Office campus | Vacant land available in Nagar Palika Office campus                                 | One building for Consumer relations and SCADA system control for entire sewerage system                                                                                  |

24. Locations of STP , SPS & sewer network in google maps are shown in **Figures 1-7** and in SOI toposheet in **Figure 10**. Layout of sewer network, STP and schematic diagram of proposed STP is given in **Figure 8, 11, 12 and 13** and FSSM map in **Figure 9**. Coordinates of proposed components are given below in table 3A:

**Table 3A: Coordinates of Proposed Component of Sewerage**

| Components                                                                    | Latitude      | Longitude     |
|-------------------------------------------------------------------------------|---------------|---------------|
| STP 4.30 MLD Capacity Fatehpur – Naribari Village road in existing STP Campus | 27°59'57.93"N | 74°54'50.67"E |
| SPS 1: beside the existing SPS and 250 m behind the Dholi Sati Dadi Temple    | 28° 0'25.90"N | 74°57'5.94"E  |
| SPS 2 : Near Railway Underpass                                                | 27°59'32.31"N | 74°58'19.17"E |
| SPS 3: Near Fatima Masjid                                                     | 27°59'21.85"N | 74°56'35.21"E |
| CRMC                                                                          | 27°59'21.77"N | 74°57'48.75"E |

25. Excavation for the pipe/sewer laying works will be undertaken through open trenching, which will be maximum width of 1 m only on one side of the road ROW with maximum length, an average 70 m for sewers. Excavation, laying of pipes and backfilling will be completed within the day. Subsequent to completion of works, road reinstatement will be undertaken by the contractor as part of the civil works. The same shall be mentioned in the bid document to make it binding on the contractor.



### **C. Land Acquisition and Involuntary Resettlement**

The project will be in properties held by the local government and access to the project location is through public rights-of-way and existing roads. Hence, land acquisition and encroachment on private property will not occur. For 0.60 MLD SPS, land has been identified by Nagar Palika near Fatima Masjid, Khasra no. 982, near National Highway no. 52, Jahanghir ki kui and it is under possession of Nagar Palika. Land (Khasra no. 1806) near railway underpass has been identified for construction of 1.10 MLD SPS and permission for the same has been given by municipality vide letter no. NPF/2021-22/5198-5200 dated 14.09.2021. Copy of the same is given with this IEE Report as Appendix 25. A small portion of the project, passes through a railway crossings twice and from National Highway (NH-11,NH-58) /State Highway (SH-41) crossing. Permission from the railway authorities and Highway authorities is obtained.

### **D. Implementation Schedule**

After the completion feasibility study /preliminary designs, bids were invited in March 2019 for the subproject to be implemented under the DBO modality. After evaluation of Bids. work was awarded to successful bidder on 22.06.2020. Project duration of Design Build is 48 months. After completion of construction and commissioning, scheme will be operated by DBO contractor for 10 years, and after which the O&M will be carried out by ULB.

### **E. Changes in project location / scope**

3. No change in project location took place during the second IEE and current update. The total sewerage network length increased from 92.4 km to 100.57 km and trenchlaying of sewer lines is decreased from 15.12 km to 15.09 km and length of rising main decreased from 3.2 km to 2.89 km. Change in the length of sewerage network and trenchless laying took place in proposed sewerage zones after the confirmatory survey of DBO contractor and requires no additional impact mitigation plan other than already provided in second updated approved IEE.

### **F. Status of Detailed Design**

26. SIP of the subproject has been approved and currently, complete sewer network with 100.57 km (100%) in all 3 zones have been approved. Under civil structures, designs of one SPS of 3.5 MLD capacity (Inlet Chamber, Screen Channel & Sump Well), one STP of 4.3 MLD capacity (Inlet Chamber, Mechanical Grit Separator Units (PTU), SBR, CCT), 1 no of TESR (430 KL), 1 no. of TEER (220 KL) and 1 no. of CRMC building have been approved. Due diligence of the areas for all approved zones has been done and it was found that there are no any significant impacts. Due diligence report is attached as **Appendix 30**.

Figure 1: Locations of proposed subproject components in Google Earth Map





Figure 2: Google Map showing Location of Proposed STP near existing STP (4.30 MLD) site at Naribari village road









**Figure 4 : Location of Proposed SPS site (1.10 MLD), near Railway underpass in Google Earth Map**





Figure 5 : Location of Proposed SPS site (3.5 MLD), behind Dholi Sati Temple Google Earth Map





Figure 6: Location of Proposed SPS site (0.60 MLD) near Fatima Masjid in Google Earth Map



Figure 6A: proposed CRMC building in Nagar Palika Campus, Fatehpur







Figure 8 : Approved sewerage network map for all 3 zones in Fatehpur town

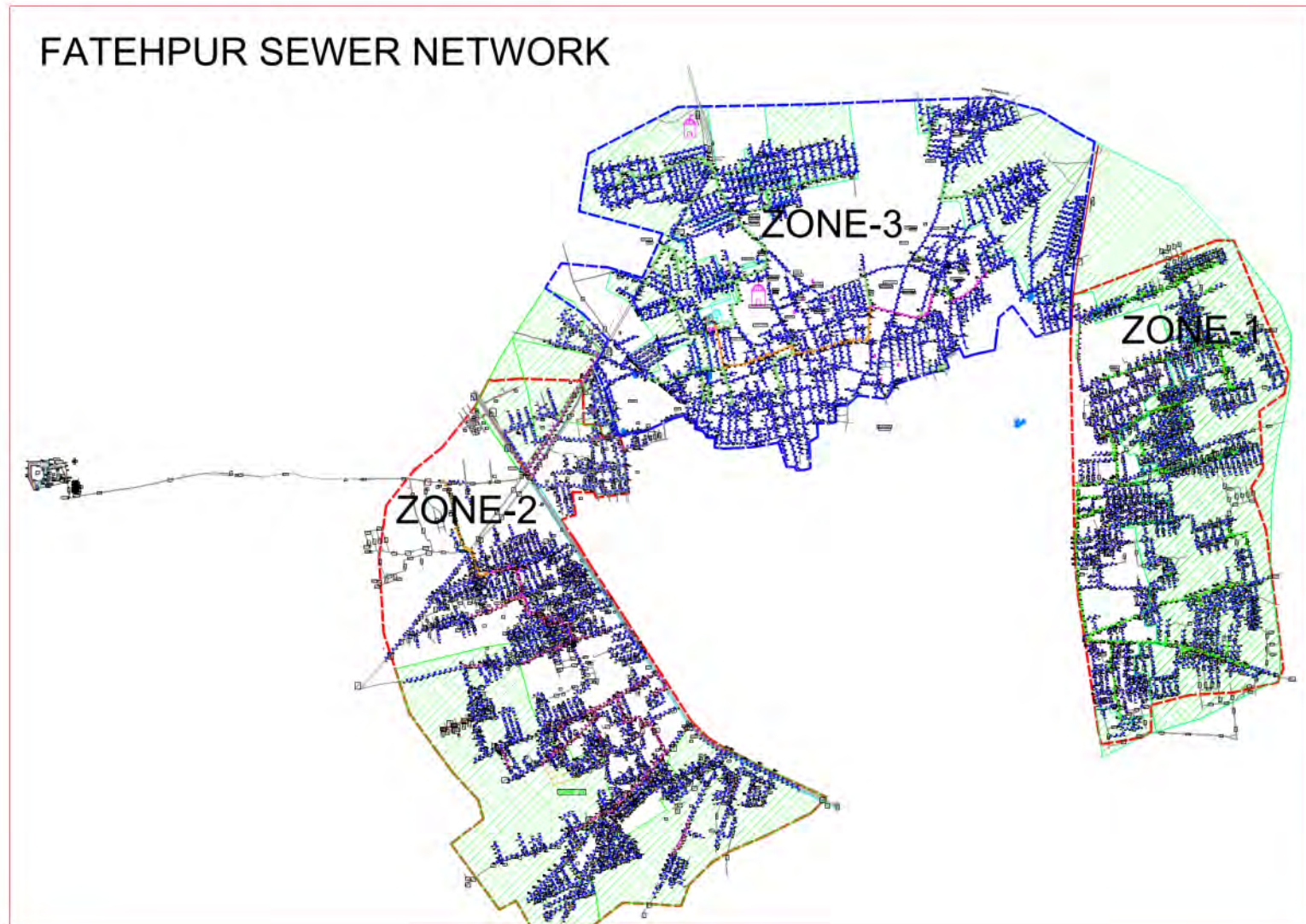




Figure 9: FSSM Map of Fatehpur Town

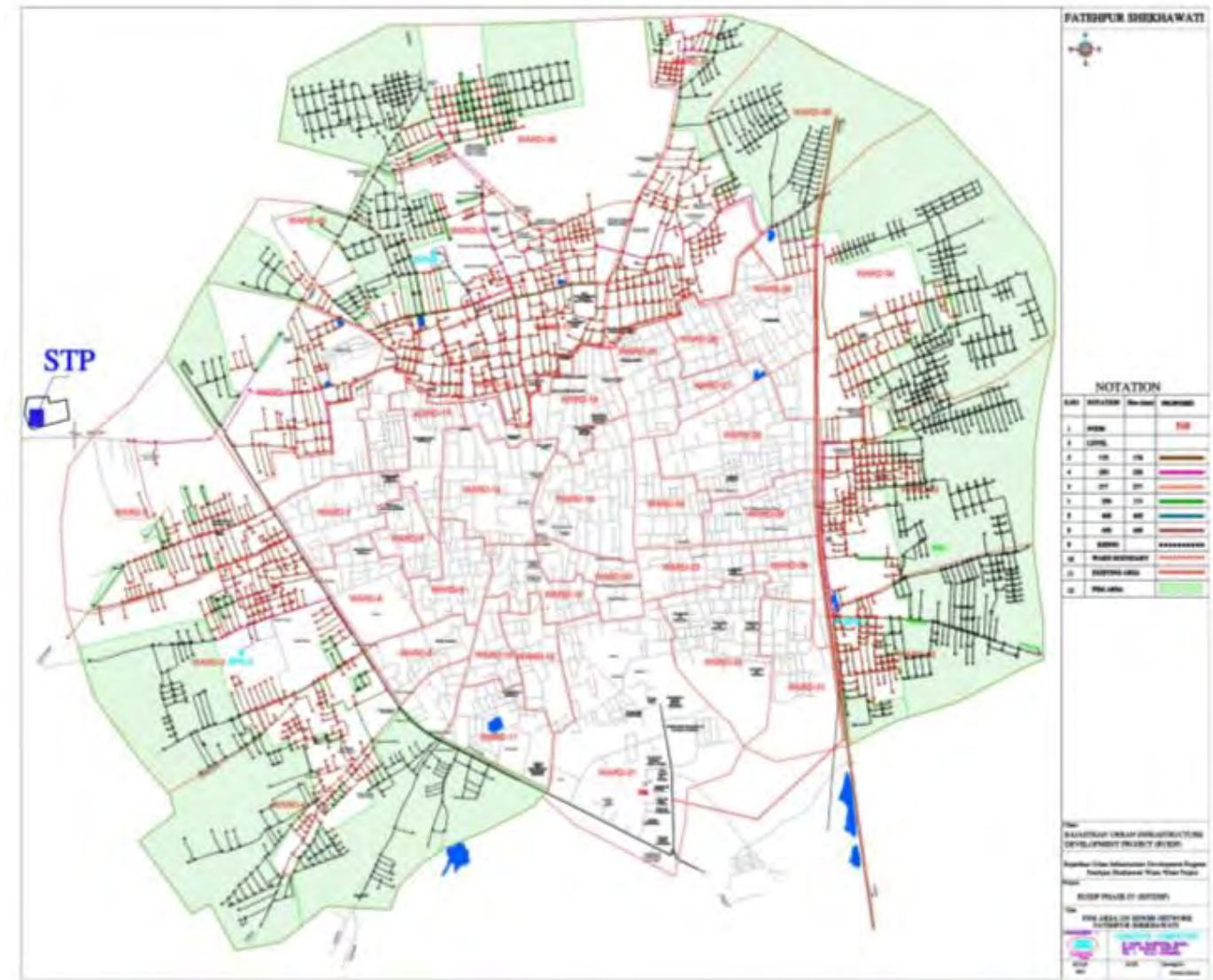






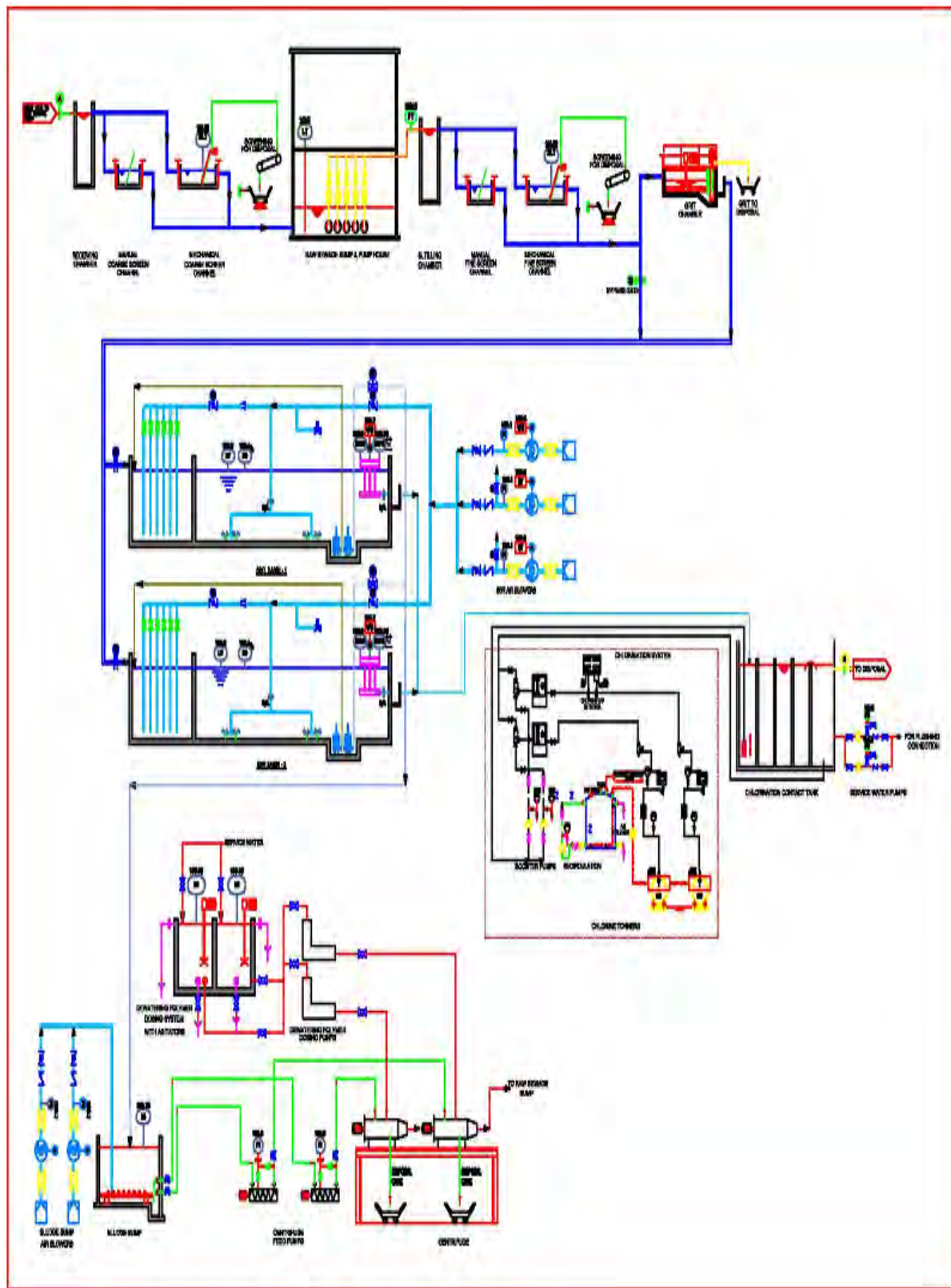
Figure 11 : Layout of STP 4.3 MLD showing sludge Shed







**Figure 13: Schematic Diagram of proposed STP**



### III. ANALYSIS OF ALTERNATIVES

27. The ADB SPS requires an analysis of project alternatives to determine the best method of achieving project objectives (which is providing safe collection and disposing the human waste generated in Fatehpur town, in this case) while minimizing environmental impacts. Alternative analysis provides opportunity to integrate environmental considerations into early stages of project (i.e. pre-feasibility or feasibility study), so that adverse environmental impacts can be avoided or minimized by various alternatives. It also provides opportunity to study various options vis a vis costs, provides a logical base, via transparent process, assist in decision making, gaining public support and ultimately in project approvals and timely implementation.

28. The proposed sewerage subproject component in Fatehpur town include safe collection and disposing the human waste generated. In sewerage component includes sewage collection network, transmission, treatment and treated wastewater reuse and disposal. Descriptions of various alternatives considered for critical components such as water source, sewage treatment, treated wastewater disposal etc., are presented in the following table 4.

**Table 4: Analysis of Alternatives**

| <b>1</b>                    | <b>Sewage Treatment Process</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                          |                                                                                                                                   |                                                                                               |      |                               |                   |                                             |                                       |                       |               |               |                                     |                 |     |         |         |         |         |     |          |          |          |          |                  |         |         |          |         |                |              |              |              |         |                   |              |              |              |        |                     |       |       |   |        |                |                                                                                                          |                                                                                                          |                                                                                                                                   |                                                                                               |
|-----------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|------|-------------------------------|-------------------|---------------------------------------------|---------------------------------------|-----------------------|---------------|---------------|-------------------------------------|-----------------|-----|---------|---------|---------|---------|-----|----------|----------|----------|----------|------------------|---------|---------|----------|---------|----------------|--------------|--------------|--------------|---------|-------------------|--------------|--------------|--------------|--------|---------------------|-------|-------|---|--------|----------------|----------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|
| Type of Alternative         | Sewage treatment technology                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                          |                                                                                                                                   |                                                                                               |      |                               |                   |                                             |                                       |                       |               |               |                                     |                 |     |         |         |         |         |     |          |          |          |          |                  |         |         |          |         |                |              |              |              |         |                   |              |              |              |        |                     |       |       |   |        |                |                                                                                                          |                                                                                                          |                                                                                                                                   |                                                                                               |
| Description of alternatives | <p>Various secondary treatment technologies have been considered in the sewage treatment process after the primary treatment consisting of screening and grit removal. Secondary treatment is the critical process that removes the organic putrescible organic matters and brings down the BOD of the effluent to meet the discharge standards. Following process technologies considered: Waste Stabilization Ponds; Aerated Lagoons; Up Flow Anaerobic Sludge Blanket (UASBR) + FAL; Conventional Activated Sludge Process; and Cyclic Activated Sludge Process/Sequential Batch Reactor (SBR)</p> <p>A comparison of various treatment technologies is presented below in terms of merits of the process over key parameters like quality characteristics and land requirement:</p> <table> <tr> <th>Item</th><th>Conventional Activated Sludge</th><th>Extended Aeration</th><th>UASB followed by Facultative Aerobic Lagoon</th><th>Cyclic Activated Sludge Process / SBR</th></tr> <tr> <td>Performance (Typical)</td><td>Mostly stable</td><td>Mostly stable</td><td>Varying with temperature variations</td><td>Complete Stable</td></tr> <tr> <td>BOD</td><td>&lt;30 ppm</td><td>&lt;30 ppm</td><td>&lt;30 ppm</td><td>&lt;10 ppm</td></tr> <tr> <td>COD</td><td>&lt;250 ppm</td><td>&lt;250 ppm</td><td>&lt;250 ppm</td><td>&lt;100 ppm</td></tr> <tr> <td>Suspended solids</td><td>&lt;50 ppm</td><td>&lt;50 ppm</td><td>&lt;100 ppm</td><td>&lt;10 ppm</td></tr> <tr> <td>Total Nitrogen</td><td>No Treatment</td><td>No Treatment</td><td>No Treatment</td><td>&lt;10 ppm</td></tr> <tr> <td>Total Phosphorous</td><td>No Treatment</td><td>No Treatment</td><td>No Treatment</td><td>&lt;2 ppm</td></tr> <tr> <td>Coliform removal, %</td><td>60-90</td><td>60-90</td><td>-</td><td>99.99%</td></tr> <tr> <td>Re-use Options</td><td>can only be used for low end usages like flushing and gardening tertiary treatment required for high and</td><td>can only be used for low end usages like flushing and gardening tertiary treatment required for high and</td><td>can only be used for low end usages like flushing and gardening tertiary treatment required for high and usages like construction</td><td>Can be used for low end usages as well as for high end usages without any tertiary treatment.</td></tr> </table> |                                                                                                          |                                                                                                                                   |                                                                                               | Item | Conventional Activated Sludge | Extended Aeration | UASB followed by Facultative Aerobic Lagoon | Cyclic Activated Sludge Process / SBR | Performance (Typical) | Mostly stable | Mostly stable | Varying with temperature variations | Complete Stable | BOD | <30 ppm | <30 ppm | <30 ppm | <10 ppm | COD | <250 ppm | <250 ppm | <250 ppm | <100 ppm | Suspended solids | <50 ppm | <50 ppm | <100 ppm | <10 ppm | Total Nitrogen | No Treatment | No Treatment | No Treatment | <10 ppm | Total Phosphorous | No Treatment | No Treatment | No Treatment | <2 ppm | Coliform removal, % | 60-90 | 60-90 | - | 99.99% | Re-use Options | can only be used for low end usages like flushing and gardening tertiary treatment required for high and | can only be used for low end usages like flushing and gardening tertiary treatment required for high and | can only be used for low end usages like flushing and gardening tertiary treatment required for high and usages like construction | Can be used for low end usages as well as for high end usages without any tertiary treatment. |
| Item                        | Conventional Activated Sludge                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Extended Aeration                                                                                        | UASB followed by Facultative Aerobic Lagoon                                                                                       | Cyclic Activated Sludge Process / SBR                                                         |      |                               |                   |                                             |                                       |                       |               |               |                                     |                 |     |         |         |         |         |     |          |          |          |          |                  |         |         |          |         |                |              |              |              |         |                   |              |              |              |        |                     |       |       |   |        |                |                                                                                                          |                                                                                                          |                                                                                                                                   |                                                                                               |
| Performance (Typical)       | Mostly stable                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Mostly stable                                                                                            | Varying with temperature variations                                                                                               | Complete Stable                                                                               |      |                               |                   |                                             |                                       |                       |               |               |                                     |                 |     |         |         |         |         |     |          |          |          |          |                  |         |         |          |         |                |              |              |              |         |                   |              |              |              |        |                     |       |       |   |        |                |                                                                                                          |                                                                                                          |                                                                                                                                   |                                                                                               |
| BOD                         | <30 ppm                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | <30 ppm                                                                                                  | <30 ppm                                                                                                                           | <10 ppm                                                                                       |      |                               |                   |                                             |                                       |                       |               |               |                                     |                 |     |         |         |         |         |     |          |          |          |          |                  |         |         |          |         |                |              |              |              |         |                   |              |              |              |        |                     |       |       |   |        |                |                                                                                                          |                                                                                                          |                                                                                                                                   |                                                                                               |
| COD                         | <250 ppm                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | <250 ppm                                                                                                 | <250 ppm                                                                                                                          | <100 ppm                                                                                      |      |                               |                   |                                             |                                       |                       |               |               |                                     |                 |     |         |         |         |         |     |          |          |          |          |                  |         |         |          |         |                |              |              |              |         |                   |              |              |              |        |                     |       |       |   |        |                |                                                                                                          |                                                                                                          |                                                                                                                                   |                                                                                               |
| Suspended solids            | <50 ppm                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | <50 ppm                                                                                                  | <100 ppm                                                                                                                          | <10 ppm                                                                                       |      |                               |                   |                                             |                                       |                       |               |               |                                     |                 |     |         |         |         |         |     |          |          |          |          |                  |         |         |          |         |                |              |              |              |         |                   |              |              |              |        |                     |       |       |   |        |                |                                                                                                          |                                                                                                          |                                                                                                                                   |                                                                                               |
| Total Nitrogen              | No Treatment                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | No Treatment                                                                                             | No Treatment                                                                                                                      | <10 ppm                                                                                       |      |                               |                   |                                             |                                       |                       |               |               |                                     |                 |     |         |         |         |         |     |          |          |          |          |                  |         |         |          |         |                |              |              |              |         |                   |              |              |              |        |                     |       |       |   |        |                |                                                                                                          |                                                                                                          |                                                                                                                                   |                                                                                               |
| Total Phosphorous           | No Treatment                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | No Treatment                                                                                             | No Treatment                                                                                                                      | <2 ppm                                                                                        |      |                               |                   |                                             |                                       |                       |               |               |                                     |                 |     |         |         |         |         |     |          |          |          |          |                  |         |         |          |         |                |              |              |              |         |                   |              |              |              |        |                     |       |       |   |        |                |                                                                                                          |                                                                                                          |                                                                                                                                   |                                                                                               |
| Coliform removal, %         | 60-90                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | 60-90                                                                                                    | -                                                                                                                                 | 99.99%                                                                                        |      |                               |                   |                                             |                                       |                       |               |               |                                     |                 |     |         |         |         |         |     |          |          |          |          |                  |         |         |          |         |                |              |              |              |         |                   |              |              |              |        |                     |       |       |   |        |                |                                                                                                          |                                                                                                          |                                                                                                                                   |                                                                                               |
| Re-use Options              | can only be used for low end usages like flushing and gardening tertiary treatment required for high and                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | can only be used for low end usages like flushing and gardening tertiary treatment required for high and | can only be used for low end usages like flushing and gardening tertiary treatment required for high and usages like construction | Can be used for low end usages as well as for high end usages without any tertiary treatment. |      |                               |                   |                                             |                                       |                       |               |               |                                     |                 |     |         |         |         |         |     |          |          |          |          |                  |         |         |          |         |                |              |              |              |         |                   |              |              |              |        |                     |       |       |   |        |                |                                                                                                          |                                                                                                          |                                                                                                                                   |                                                                                               |

|                               |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                        |                                                                                                                    |                                                                                                                                                                                                              |                                                                                                                                                                        |
|-------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                               |                                                                                                                                                                                                                                                                                                                                 | usages like construction water, industrial usages, cooling water etc.                                                                                  | usages like construction water, industrial usages, cooling water etc.                                              | water, industrial usages, cooling water etc.                                                                                                                                                                 |                                                                                                                                                                        |
|                               | Land requirement (m2/person)                                                                                                                                                                                                                                                                                                    | 0.1-0.18                                                                                                                                               | 0.08-0.15                                                                                                          | 0.2-0.25                                                                                                                                                                                                     | 0.035-0.07                                                                                                                                                             |
|                               | Process Power requirement (kWh/person/year)                                                                                                                                                                                                                                                                                     | 12-15                                                                                                                                                  | 16-19                                                                                                              | 4-5                                                                                                                                                                                                          | 6-8                                                                                                                                                                    |
|                               | Sludge handling                                                                                                                                                                                                                                                                                                                 | Sludge needs digestion prior to drying on beds or use mech. devices                                                                                    | Digested sludge, dry on beds or use mech. devices                                                                  | Digested sludge, dry on beds or use mech. Devices                                                                                                                                                            | Digested sludge, dry on beds or use mech. devices                                                                                                                      |
|                               | Equipment requirement (excluding screening and grit removal)                                                                                                                                                                                                                                                                    | Aerators, recycle pumps, scrapers, thickeners, digester, dryers, gas equipment                                                                         | Aerators, recycle pumps, sludge scrapers, (for large settlers)                                                     | Nil (gas collection optional)                                                                                                                                                                                | Diffuse aeration system, recycle sludge and waste sludge pumps, decanters                                                                                              |
|                               | Operational characteristics                                                                                                                                                                                                                                                                                                     | Skilled Operation required                                                                                                                             | Simpler than activated sludge                                                                                      | Simpler than activated sludge                                                                                                                                                                                | Complete automatic operation by computer and PLC. Negligible manpower Intervention required                                                                            |
|                               | Special features                                                                                                                                                                                                                                                                                                                | Considerable equipment and skilled operation required especially if gas collection and usage involved. Method considered mainly for large sized plants | BOD removal high, effluent nitrified relatively high power requirement, favoured for small and medium sized plants | Minimal to negligible power requirement of the system makes it an economical alternative if gas revenue is neglected land requirement is also relatively small but depends on type of past treatment adopted | Highest treatment efficiency with crystal quality power requirement is 50% of conventional technologies land requirement is less than 50% of conventional technologies |
| Selected Alternatives         | Selected processes: Sequential batch reactor (SBR)                                                                                                                                                                                                                                                                              |                                                                                                                                                        |                                                                                                                    |                                                                                                                                                                                                              |                                                                                                                                                                        |
|                               | The genesis of selecting a suitable treatment process is primarily correlated with degree of treatment aimed to be achieved. In India, the latest court Order of April 2019 (NGT Order dated 30-04-2019) mandates all the civic authorities to adopt the treated sewage characteristics applicable are as shown in table below: |                                                                                                                                                        |                                                                                                                    |                                                                                                                                                                                                              |                                                                                                                                                                        |
|                               | Paramater                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                        | Standards                                                                                                          |                                                                                                                                                                                                              |                                                                                                                                                                        |
|                               | BOD, mg/l                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                        | 10                                                                                                                 |                                                                                                                                                                                                              |                                                                                                                                                                        |
|                               | TSS, mg/l                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                        | 20                                                                                                                 |                                                                                                                                                                                                              |                                                                                                                                                                        |
|                               | COD, mg/l                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                        | 50                                                                                                                 |                                                                                                                                                                                                              |                                                                                                                                                                        |
|                               | Nitrogen-Total, mg/l                                                                                                                                                                                                                                                                                                            |                                                                                                                                                        | 10                                                                                                                 |                                                                                                                                                                                                              |                                                                                                                                                                        |
|                               | Phosphorus- Total, , mg/l                                                                                                                                                                                                                                                                                                       |                                                                                                                                                        | 1                                                                                                                  |                                                                                                                                                                                                              |                                                                                                                                                                        |
| Faecal Coliform (MPN/ 100 ml) |                                                                                                                                                                                                                                                                                                                                 | 100 Desirable                                                                                                                                          |                                                                                                                    |                                                                                                                                                                                                              |                                                                                                                                                                        |



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|                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 230 (Permissible) |
|                             | <p>SBR provides highest treatment efficiency possible in a single step biological process. The system is operated in a batch reactor mode this eliminates all the inefficiencies of the continuous processes. A batch reactor is a perfect reactor, which ensures 100% treatment. Separate modules are provided to ensure continuous treatment. The complete process takes place in a single reactor, within which all biological treatment steps take place sequence. The complete biological operation is divided into cycles. Each cycle is of 3 – 5-hour duration, during which all treatment steps take place.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                   |
| <b>2</b>                    | <b>Treated waste water disposal</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                   |
| Type of Alternatives        | Treated wastewater disposal – reuse applications                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                   |
| Description of alternatives | <p>(i) Discharge of treated wastewater into ponds / on land<br/>(ii) Reuse the treated wastewater in non-potable uses</p> <p>Rajasthan is a water scarce region and receives low rainfall. Recognizing the importance of treated wastewater in reducing the demand on water, Sewerage and Wastewater Policy, 2016, of Rajasthan promotes the reuse of treated sewage for non-potable applications, and to make sewerage projects environmentally sustainable. This policy prioritizes reuse in irrigation (agriculture, forestry, and landscaping), followed by fish farming, industry and non-potable domestic reuse. Policy suggests construction of storage tanks to store treated wastewater to facilitate reuse. Policy prescribes that the detailed project report (DPR) should clearly define the best reuse option specific to the town and prepare a Reuse Action Plan part of the DPR following water quality norms and legal implications.</p> <p>Accordingly, it is proposed to utilize the treated wastewater for non-potable uses. A detailed Reuse Action Plan will be prepared during the detailed design phase, and, implemented. It is also proposed that the excess / surplus treated wastewater which is not being utilized in reuse will be discharge into local drains/streams and necessary facilities – pipelines and pumping facilities, will be developed.</p>                                                                                                                                                                                                                                                                       |                   |
| Selected Alternative        | Reuse in non-potable applications and discharge excess/surplus into local pond/ drain                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                   |
| <b>3</b>                    | <b>Project Locations</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                   |
| Description of alternatives | <p>Location of three SPS are selected to utmost consideration to energy efficiency. Gravity flow systems have been adopted. The sites are selected considering no involuntary resettlement.</p> <p>STPs location. Based on the technical feasibility of gravity flow system, sewerage system in Fatehpur is designed and optimized with three sewage treatment plants (STPs). Site selection is guided by technical suitability, availability of government owned one existing STP and adequate vacant land is available within existing STP campus at Nari Bari village Road and site is away from habitation. Disposal point is quite located about 1.37km WSW direction. Site selected for STP is away from habitation and surround by agricultural lands (nearest house is approx. 100 m from proposed STP site. Although a 500m distance away from habitation is desirable as far as possible, due to lack of suitable lands and also considering the selected superior and compact sewage treatment technology (SBR), the proposed STP site are selected.</p> <p><b>sewer lines.</b> Sewer pipes are proposed along the roads/streets in the town within the road right of way (ROW). In wider roads water pipes will be laid in the road shoulder beside the tarmac, and in narrow roads, where there is no space, pipes will be laid in the road carriage way by break opening the tarmac. Sewers will be mostly laid in the centre of the road, away from water pipes. There are existing asbestos cement pipes underground, the alignment will be fine tuned during the detailed design, to avoid existing AC pipe alignments as far as possible</p> |                   |

#### IV. POLICY, LEGAL AND ADMINISTRATIVE FRAMEWORK

##### A. ADB Policy

29. ADB SPS Requires that during the design, construction, and operation of the project necessary compliance to all applicable laws and international conventions/ treaties along with pollution prevention and control technologies and practices consistent with international good practice, are ensured.

30. **Screening and Categorization with that of ADB SPS 2009.** ADB uses a classification system to reflect the significance of a project's potential environmental impacts. A project's category is determined by the category of its most environmentally sensitive component, including direct, indirect, cumulative, and induced impacts in the project's area of influence. Each proposed project is scrutinized as to its type, location, scale, and sensitivity and the magnitude of its potential environmental impacts. Projects are assigned to one of the following four categories:

(i) **Category A.** A proposed project is classified as category A if it is likely to have significant adverse environmental impacts that are irreversible, diverse, or unprecedented. These impacts may affect an area larger than the sites or facilities subject to physical works. An environmental impact assessment (EIA) is required.

(ii) **Category B.** A proposed project is classified as category B if its potential adverse environmental impacts are less adverse than those of category A projects. These impacts are site-specific, few if any of them are irreversible, and in most cases mitigation measures can be designed more readily than for category A projects. An initial environmental examination (IEE) is required.

(iii) **Category C.** A proposed project is classified as category C if it is likely to have minimal or no adverse environmental impacts. No environmental assessment is required although environmental implications need to be reviewed.

(iv) **Category FI.** A proposed project is classified as category FI if it involves investment of ADB funds to or through a FI.

31. The environmental impacts of Fatehpur city sewerage subproject have been identified and assessed as part of the planning and design process. An environmental assessment using ADB's Rapid Environmental Assessment Checklist for Sewerage (see Appendix 1) was conducted, and results of the assessment show that the subproject is unlikely to cause significant adverse impacts. Thus, this IEE has been prepared in accordance with ADB SPS's requirements for environment Category B projects.

32. **Environmental Management Plan.** An EMP which addresses the potential impacts and risks identified by the environmental assessment shall be prepared. The level of detail and complexity of the EMP and the priority of the identified measures and actions will be commensurate with the Project's impact and risks.

33. **Environmental Audit of Existing Facilities.** ADB SPS requires an environmental audit, if a subproject involves facilities and/or business activities that already exist or are under

construction, including an on-site assessment to identify past or present concerns related to impacts on the environment. The objective of this compliance audit is to determine whether actions were in accordance with ADB's safeguard principles and requirements for borrowers/clients, and to identify and plan appropriate measures to address outstanding compliance issues.

34. **Public Disclosure.** The IEE will be put in an accessible place (e.g., local government offices, libraries, community centers, etc.), and a summary translated into local language for the project affected people and other stakeholders. The following safeguard documents will be put up in ADB's website so that the affected people, other stakeholders, and the public can provide meaningful inputs into the project design and implementation:

- (i). For environmental category A projects, a draft EIA report at least 120 days before Board consideration;
- (ii). Final or updated EIA and/or IEE upon receipt; and
- (iii). Environmental monitoring reports submitted by the Project Management Unit (PMU) during project implementation upon receipt.

35. **Consultation and Participation.** ADB SPS require borrower to conduct meaningful consultation<sup>11</sup> with affected people and other concerned stakeholders, including civil society, and facilitate their informed participation. The consultation process and its results are to be documented and reflected in the environmental assessment report.

36. **Grievance Redress Mechanism.** ADB SPS require borrowers to establish a mechanism to receive and facilitate resolution of affected people's concerns, complaints, and grievances about the subproject's performance. The grievance mechanism shall be scaled to the risks and adverse impacts of the subproject.

37. **Monitoring and Reporting.** Borrower shall monitor, measure and document the implementation progress of the EMP. If necessary, the borrower shall identify the necessary corrective actions, and reflect them in a corrective action plan. Borrower shall prepare and submit to ADB semi-annual environmental monitoring reports that describe progress with implementation of the EMP and compliance issues and corrective actions, if any. For subprojects likely to have significant adverse environmental impacts during operation, reporting will continue at the minimum on an annual basis until ADB issues a project completion report.

38. **Unanticipated Environmental Impacts.** Where unanticipated environmental impacts become apparent during subproject implementation, ADB SPS requires the borrower to update the environmental assessment and EMP or prepare a new environmental assessment and EMP to assess the potential impacts, evaluate the alternatives, and outline mitigation measures and resources to address those impacts.

39. **Occupational Health and Safety.** ADB SPS requires the borrower<sup>12</sup> to ensure that workers<sup>13</sup> are provided with a safe and healthy working environment, taking into account risks

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11 Per ADB SPS, 2009, meaningful consultation means a process that (i) begins early in the project preparation stage and is carried out on an ongoing basis throughout the project cycle; (ii) provides timely disclosure of relevant and adequate information that is understandable and readily accessible to affected people; (iii) is undertaken in an atmosphere free of intimidation or coercion; (iv) is gender inclusive and responsive, and tailored to the needs of disadvantaged and vulnerable groups; and (v) enables the incorporation of all relevant views of affected people and other stakeholders into decision making, such as project design, mitigation measures, the sharing of development benefits and opportunities, and implementation issues

12 In case where responsibility is delegated to subproject contractors during construction phase, borrower shall

inherent to the sector and specific classes of hazards in the subproject work areas, including physical, chemical, biological, and radiological hazards. Borrower shall take steps to prevent accidents, injury, and disease arising from, associated with, or occurring during the course of work, including: (i) identifying and minimizing, so far as reasonably practicable, the causes of potential hazards to workers; (ii) providing preventive and protective measures, including modification, substitution, or elimination of hazardous conditions or substances; (iii) providing appropriate equipment to minimize risks and requiring and enforcing its use; (iv) training workers and providing them with appropriate incentives to use and comply with health and safety procedures and protective equipment; (v) documenting and reporting occupational accidents, diseases, and incidents; and (vi) having emergency prevention, preparedness, and response arrangements in place.

40. **Community Health and Safety.** ADB SPS requires the borrower to identify and assess risks to, and potential impacts on, the safety of affected communities during the design, construction, operation, and decommissioning of the subproject, and shall establish preventive measures and plans to address them in a manner commensurate with the identified risks and impacts.

41. **Physical Cultural Resources.** Borrower is responsible for siting and designing the subproject to avoid significant damage to physical cultural resources. ADB SPS requires that such resources likely to be affected by the subproject are identified, and qualified and experienced experts assess the subproject's potential impacts on these resources using field-based surveys as an integral part of the environmental assessment process. When the proposed location of a subproject component is in areas where physical cultural resources are expected to be found as determined during the environmental assessment process, chance finds procedures shall be included in the EMP.

42. **ADB SPS International Best Practice Requirements.** ADB SPS requires that, during the design, construction, and operation of the project, the executing agency shall apply pollution prevention and control technologies and practices that are consistent with international good practice, as reflected in internationally recognized standards. These standards contain performance levels and measures that are normally acceptable and applicable to projects. When Government of India regulations differ from these levels and measures, the PMU and PIUs will achieve whichever is more stringent. If less stringent levels or measures are appropriate in view of specific project circumstances, the PMU and PIUs will provide full and detailed justification for any proposed alternatives that are consistent with the requirements presented in ADB SPS.

## **B. National Laws**

43. The implementation of the subprojects will be governed by Government of India and State of Rajasthan and other applicable environmental acts, rules, regulations, and standards. These regulations impose restrictions on the activities to minimize or mitigate likely impacts on the environment. It is the responsibility of the project executing and implementing agencies to ensure subprojects are consistent with the legal framework, whether applicable international,

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ensure that the responsibilities on occupational health and safety are included in the contract documents

13Including nonemployee workers engaged by the borrower/client through contractors or other intermediaries to work on project sites or perform work directly related to the project's core functions.



national, state or municipal or local. Key standards include those related to drinking water quality, air quality, effluent discharge, and protected areas. Compliance is required in all stages of the subprojects including design, construction, and operation and maintenance.

44. **Environmental assessment.** The GoI EIA Notification of 2006 (replacing the EIA Notification of 1994), sets out the requirement for Environmental Assessment in India. This states that Environmental Clearance (EC) is required for specified activities/projects, and this must be obtained before any construction work or land preparation (except land acquisition) may commence. Projects are categorized as A or B depending on the scale of the project and the nature of its impacts.

45. None of the components of this sewerage system subproject falls under the ambit of the EIA Notification 2006, and, therefore EIA Study or EC is not required for the subproject.

46. **Table 5** presents a summary of environmental regulations and mandatory requirements applicable to Fatehpur City sewerage subproject.

**Table 5: Applicable Environmental Regulations**

| Law                                                                                                                                    | Description                                                                                                                                                                                                                                                                                                                                                                                                                                     | Requirement                                                                                                                                                                                                                                                                                            | Relevance to Project Phase |
|----------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|
| EIA Notification                                                                                                                       | Projects indicated in the schedule of this notification requires EIA study and environmental clearance.                                                                                                                                                                                                                                                                                                                                         | None of the components of this subproject falls under the ambit of the notification; no EIA study or environmental clearance required                                                                                                                                                                  | -                          |
| National Environment Policy (NEP), 2006.                                                                                               | NEP is a comprehensive guiding document in India for all environmental conservation programs and legislations by Central, State and Local Government. The dominant theme of this policy is to promote betterment of livelihoods without compromising or degrading the environmental resources. The policy also advocates collaboration method of different stakeholders to harness potential resources and strengthen environmental management. | RSTDSP should adhere to NEP principle of “enhancing and conservation of environmental resources and abatement of pollution”.                                                                                                                                                                           | All phases of project      |
| Rajasthan State Environment Policy, 2010 including And Rajasthan Environment Mission and Climate Change Agenda for Rajasthan (2010-14) | Follows the National Environment Policy, 2006 and core objectives and policies are: -Conserve and enhance environmental resources; assure environmental sustainability of key economic sectors; and, improve environmental governance and capacity building                                                                                                                                                                                     | Project implementation should adhere to the policy aims of: conservation and enhancement of environmental resources, integration of environmental concerns into projects/plans, and capacity building in environmental management.<br><br>Under water sector, major concerns, as the policy notes, are | All phases of project      |

| Law                                                                                           | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Requirement                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Relevance to Project Phase |
|-----------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|
|                                                                                               | <p>- it recommends specific strategies and actions to address the key environmental issues: water resources, desertification and land degradation, forest and biodiversity, air quality, climate change: adoption and mitigation, mining, industry, tourism, energy, urban development, etc.</p> <p>- Establishment of Environment Mission under the chairpersonship of the Chief Minister and a Steering Committee under the chairpersonship of Chief Secretary, Government of Rajasthan</p> <p>Tasks force set up for six key areas</p>                                                                                                                                                                                                                                                                                  | <p>huge water losses and wastage, declining water availability, pollution.</p> <p>Relevant recommendations for the project include control of losses, integrated water resources management, control of raw water pollution<sup>18</sup>, reuse and recycling.</p> <p>Avoid/minimize use of forest lands.</p> <p>With reference to climate change adoption and mitigation following should be considered in the project: (i) diminishing flows in surface water bodies, and groundwater depletion, and revival traditional water bodies as water sources (lakes/tanks); (ii) equal stress on demand side management in water; and (iii) minimize energy use - design energy efficiency systems.</p> |                            |
| Water (Prevention and Control of Pollution) Act of 1974, Rules of 1975, and amendments (1987) | <p>Act was enacted to provide for the prevention and control of water pollution and the maintaining or restoring of wholesomeness of water, by Central and State Pollution Control Boards and for conferring on and assigning to CPCB/SPCBs powers and functions relating to water pollution control.</p> <p>Control of water pollution is achieved through administering conditions imposed in consent issued under provision of the Water (Prevention and Control of Pollution) Act of 1974. These conditions regulate the quantity and quantity of effluent, the location of discharge and the frequency of monitoring of effluents. Any component of the subproject having the potential to generate sewage or trade effluent will come under its purview. Such projects have to obtain Consent to establish (CTE)</p> | <p>Proposed STP will require CTE (prior to start of construction works) and CTO (prior to start of operation) from Rajasthan State Pollution Control Board (RSPCB)</p> <p>All relevant forms, prescribed fees and procedures to obtain the CTE and CTO can be found in the RPCB website.<br/>(<a href="http://environment.rajasthan.gov.in">http://environment.rajasthan.gov.in</a>)</p> <p>Consent to Establish for 4.3 MLD STP has been issued from RSPCB and valid from 07.09.2020 to 31.08.2025 under Air Act and from 13.02.2021 to 31.01.2026 under Water act. Compliance of these CTEs is given as Appendix 32 of this IEE Report.</p>                                                       | Construction and Operation |

| Law                                                                                  | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Requirement                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Relevance to Project Phase |
|--------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|
|                                                                                      | under Section 25 of the Act from Rajasthan Pollution Control Board (RPCB) before starting implementation and Consent to Operate (CTO) before commissioning.                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                            |
| Air (Prevention and Control of Pollution) Act of 1981, Rules of 1982 and amendments. | This Act was enacted to achieve prevention, control and abatement of air pollution activities by assigning regulatory powers to Central and State boards for all such functions. The Act also establishes ambient air quality standards. The projects having potential to emit air pollutants into the atmosphere have to obtain CFE and CFO under Section 21 of the Act from RPCB. The occupier of the project/facility has the responsibility to adopt necessary air pollution control measures for abating air pollution. | The following will require CTE and CTO from RSPCB: (i) Diesel generators; (ii) Batching Plant hot mix plants; and (iii) stone crushers, if installed for construction.<br><br>All relevant forms, prescribed fees and procedures to obtain the CTE and CTO can be found in the RSPCB website ( <a href="http://environment.rajasthan.gov.in">http://environment.rajasthan.gov.in</a> ) If ready mix concrete and hot mix bitumen is procured from third party, contractor to ensure that the plants, from where material is being purchased is having CTE/CTO and copy should be collected from third party and submitted in PIU | Construction and operation |
| Biodiversity Act of 2002                                                             | This Act primarily addresses access to genetic resources and associated knowledge by foreign individuals, institutions or companies, to ensure equitable sharing of benefits arising out of the use of these resources and knowledge to the country and the people.                                                                                                                                                                                                                                                          | Not Applicable                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | -                          |
| Wildlife Protection Act, 1972 and amendment 1991                                     | This overarching Act provides protection to wild animals, birds, plants and matters connected with habitat protection, processes to declare protected areas, regulation of wildlife trade, constitution of state and national board for wildlife, zoo authority, tiger conservation authority, penalty clauses and other important regulations.                                                                                                                                                                              | Not applicable – none of the project components are located in or near protected areas.<br><br>Nearest protected areas is Tal Chhaper Sanctuary, about 50km from Fatehpur town.                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Construction               |
| Forest (Conservation) Act, 1980                                                      | The Forest (Conservation) Act prohibits the use of forest land for non-forest purposes without the approval of                                                                                                                                                                                                                                                                                                                                                                                                               | Not applicable; none of the components of the subproject are located in forest.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Construction               |

| Law                                                                                         | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Requirement                                                                                                                                                                                       | Relevance to Project Phase |
|---------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|
|                                                                                             | Ministry of Environment Forests and Climate Change (MoEFCC), Government of India                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                   |                            |
| Environmental (Protection) Act, 1986 amended in 1991 and the following rules/notifications: | This is an “umbrella” legislation that empowers the Central Government to take all necessary measures to protect and improve the quality of the environment and prevent, control and abate environmental pollution. Empowers central government to enact various rules to regulate environmental pollution, including standards for quality of air, water, noise, soil; discharge standards or allowable concentration limits for environmental pollutants, handling of hazardous substances, locating/prohibiting industries, etc., | There are rules / notifications that have been brought out under this Act, which are relevant to RSTDSP, and are listed below                                                                     | Construction and operation |
| Environmental Standards (ambient and discharge).                                            | Emissions and discharges from the facilities to be created or refurbished or augmented shall comply with the notified standards                                                                                                                                                                                                                                                                                                                                                                                                      | Appendix 2 provides applicable standards for ambient air quality, emission limits and emission stack height requirements for diesel generators<br><br>Appendix 4 provides STP discharge standards | Construction and operation |
| Noise Pollution (Regulation and Control) Rules, 2000 amended up to 2010.                    | Rule 3 of the Act specifies ambient air quality standards in respect of noise for different areas/zones.                                                                                                                                                                                                                                                                                                                                                                                                                             | Appendix 3 provides applicable noise standards                                                                                                                                                    | Construction and operation |
| Indian Drinking Water Standards                                                             | Gives details of the permissible and desirable limits of various parameters in drinking water as per the Bureau of Indian Standards                                                                                                                                                                                                                                                                                                                                                                                                  | Appendix 2 provides drinking water standards                                                                                                                                                      | Construction and operation |
| Solid Waste Management Rules 2016                                                           | Responsibility of Solid Waste Generator segregate and store the waste generated in three separate streams namely bio-degradable, non-biodegradable and domestic hazardous wastes in suitable bins and handover                                                                                                                                                                                                                                                                                                                       | Contractor to follow all the rules during construction works                                                                                                                                      | Construction and operation |

| Law                                                     | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Requirement                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Relevance to Project Phase |
|---------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|
|                                                         | <p>segregated wastes to authorized waste pickers or waste collectors as per the direction or notification by the local authorities from time to time;</p> <p>store separately construction and demolition waste, as and when generated, in his own premises and shall dispose off as per the Construction and Demolition Waste Management Rules, 2016;</p> <p>(iii) No waste generator shall throw, burn or bury the solid waste generated by him, on streets, open public spaces outside his premises or in the drain or water bodies.</p>                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                            |
| Construction and Demolition Waste Management Rules 2016 | <p>Every waste generator shall segregate construction and demolition waste and deposit at collection centre or handover it to the authorized processing facilities</p> <p>Shall ensure that there is no littering or deposition so as to prevent obstruction to the traffic or the public or drains</p> <p>Large generators (who generate more than 20 tons or more in one day or 300 tons per project in a month) shall submit waste management plan and get appropriate approvals from the local authority before starting construction or demolition or remodeling work,</p> <p>Large generators shall have environment management plan to address the likely environmental issues from construction, demolition, storage, transportation process and disposal / reuse of C and D Waste.</p> <p>Large generators shall segregate the waste into four streams such as concrete, soil, steel, wood and plastics, bricks and mortar,</p> | <p>Construction waste shall be collected at stockpile area for 8-10 days and will be sent to disposal site. Disposal site shall be identified and allotted by Nagar palika after mobilization of contractor (during SIP period) and can't be mentioned at this time.</p> <p>Contractor to follow all the rules during construction works.</p> <p>Sludge or any material if classified as hazardous waste / material is to be handled and disposed according to this Rules</p> <p>Excerpts from C and D Rules are provided in Appendix 8.</p> | Construction               |



| Law                                                                             | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Requirement                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Relevance to Project Phase |
|---------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|
|                                                                                 | Large generators shall pay relevant charges for collection, transportation, processing and disposal as notified by the concerned authorities;                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                            |
| Hazardous and Other Wastes (Management and Transboundary Movement) Rules, 2016, | Responsibilities of the occupier for management of hazardous and other wastes.- (1) For the management of hazardous and other wastes, an occupier shall follow the following steps, namely:- (a) prevention; (b) minimization; (c) reuse, (d) recycling; (e) recovery, utilization including co-processing; (f) safe disposal. (2) The occupier shall be responsible for safe and environmentally sound management of hazardous and other wastes. (3) The hazardous and other wastes generated in the establishment of an occupier shall be sent or sold to an authorized actual user or shall be disposed of in an authorized disposal facility. (4) The hazardous and other wastes shall be transported from an occupier's establishment to an authorized actual user or to an authorized disposal facility in accordance with the provisions of these rules. (5) The occupier who intends to get its hazardous and other wastes treated and disposed of by the operator of a treatment, storage and disposal facility shall give to the operator of that facility, such specific information as may be needed for safe storage and disposal. (6) The occupier shall take all the steps while managing hazardous and other wastes to- 6 (a) contain contaminants and prevent | Contractor to comply all the requirements of this Act, if there are any hazardous wastes, are generated, handled or managed during construction and operation works. However, it is unlikely that it will involve any hazardous waste. Sludge generated from STP, if the incoming sewage mixes with industrial wastewater, there is a possibility of STP sludge classified as hazardous waste. Proper measures will be included to avoid mixing of industrial wastewater into sewage. | Construction and operation |

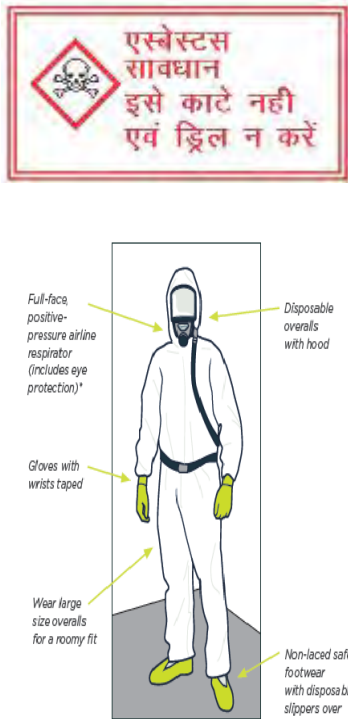
| Law                                                                                                                                                               | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Requirement                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Relevance to Project Phase |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|
|                                                                                                                                                                   | accidents and limit their consequences on human beings and the environment; and (b) provide persons working in the site with appropriate training, equipment and the information necessary to ensure their safety.                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                            |
| Wetlands (Conservation and Management) Rules, 2017                                                                                                                | The Rules specify activities which are harmful and prohibited in the wetlands such as industrialization, construction, dumping of untreated waste and effluents, and reclamation. The Central Government may permit any of the prohibited activities on the recommendation of Central Wetlands Regulatory Authority.                                                                                                                                                                   | Not applicable as subprojects components are not located in or near to designated wetland area.                                                                                                                                                                                                                                                                                                                                                                                                                  |                            |
| Ancient Monuments and Archaeological Sites and Remains Act, 1958 and Ancient Monuments and Archaeological Sites and Remains (Amendment and Validation) Act, 2010. | The Act designates areas within 100 meters (m) of the "protected monument/area" as "prohibited area" and beyond that up to 200 m as "regulated area" respectively. No "construction" is permitted in the "prohibited area" and any construction activity in the "regulated area" requires prior permission of the Archaeological Survey of India (ASI).                                                                                                                                | Not applicable - there are no protected monuments / places of archeological / historical places in or near Fatehupur Town<br><br>In case of chance finds, the contractor/ PIU will be required to follow a protocol as defined in the Environmental Management Plan (EMP)                                                                                                                                                                                                                                        | Construction               |
| The Building and Other Construction Workers (BOCW) Act 1996 and Rajasthan Building and Construction Workers Rules 2009                                            | Employer shall-<br>Provide and maintain, at suitable point, sufficient quantity of wholesome drinking water, such point shall be at least 6 meters away from any washing areas, urinals or toilets<br>Provide sufficient urinals and latrines at convenient place, easily accessible by workers<br>Provide free of charge, temporary living accommodations near to work sites with separate cooking place, bathing and lavatory facilities and restore the site as preconditions after | Contractors are required to follow all the provisions of BOCW Act and Rajasthan BOCW Rules. Salient features of Rajasthan BOCW Rules are-<br>Chapter III, section 17- Registration of establishments<br>Chapter VIII, section 61- Hours of works, intervals or rest and spread over, overtime<br>Section 62- weekly rest<br>Section 63- night shift<br>Section 67- registers of workers<br>Section 68- Muster roll, wages register<br>Section 70- latrine and urinal facilities<br>Chapter XI- Safety and Health | Construction               |

| Law | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Requirement                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Relevance to Project Phase |
|-----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|
|     | <p>completing the construction works</p> <p>Provide crèche with proper accommodation, ventilation, lighting, cleanliness and sanitation if more than fifty female workers are engaged</p> <p>Provide first aid facilities in all construction sites</p> <p>For safety of workers employer shall provide-</p> <p>Safe access to site and workplace</p> <p>Safety in demolition works</p> <p>Safety in use of explosives</p> <p>Safety in operation of transporting equipment and appoint competent person to drive or operate such vehicles and equipment</p> <p>Safety in lifting appliance, hoist and lifting gears</p> <p>Adequate and suitable lighting to every workplace and approach</p> <p>Prevention of inhalation of dust, smoke, fumes, gases during construction works and provide adequate ventilation in workplace and confined space</p> <p>Safety in material handling and stacking/unstacking</p> <p>Safeguarding the machinery with flywheel of moving parts</p> <p>Safe handling and use of plants operated by compressed air</p> <p>Fire safety</p> <p>Limit of weight to be lifted by workers individually</p> <p>Safety in electric wires, apparatus, tools and equipment</p> <p>Provide safety net, safety sheet, safety belts while working at height (more than 1.6 m as per OSHA)</p> <p>Providing scaffolding, ladders and stairs, lifting appliances, chains and accessories where required</p> <p>Safety in pile works, concrete</p> | <p>Section 78- fire protection</p> <p>Section 79- emergency action plan</p> <p>Section 80- fencing of motors</p> <p>Section 81- lifting and carrying of weight</p> <p>Section 82- H andS policy</p> <p>Section 83- dangerous and harmful environment</p> <p>Section 84- Overhead protection</p> <p>Section 88- eye protection</p> <p>Section 89- PPEs</p> <p>Section 90- electrical hazards</p> <p>Section 97- use of safety helmets and shoes</p> <p>Chapter XIII-lifting appliances and gears</p> <p>Chapter XV- transport and earth moving equipment</p> <p>Chapter XVI- concrete works</p> <p>Chapter XVII- demolition works</p> <p>Chapter XVIII-Excavation and tunneling</p> <p>Chapter XX- ladders and step ladders</p> <p>Chapter XXII- structural frame and formworks</p> <p>Chapter XXIV- medical facilities and first aid box</p> |                            |

| Law                                                                                                                                                        | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Requirement                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Relevance to Project Phase |
|------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|
|                                                                                                                                                            | works, hot asphalt, tar, insulation, demolition works, excavation, underground construction and handling materials<br>Provide and maintain medical facilities for workers<br>Any other matters for the safety and health of workers                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                            |
| Contract Labor (Regulation and Abolition) Act, 1970;<br><br>The Inter-State Migrant Workmen (Regulation of Employment and Conditions of Service) Act, 1979 | Provides for welfare measures to be provided by the Contractor to contract labor and in case the Contractor fails to provide, the same are required to be provided by the Principal Employer by Law. The principal employer is required to take Certificate of Registration and the Contractor is required to take a License from the designated Officer. The Act is applicable to the establishments or Contractor of principal employer if they employ 20 or more contract labor.<br>The inter-state migrant workmen, in an establishment to which this Act becomes applicable, are required to be provided certain facilities such as housing, medical aid, traveling expenses from home up to the establishment and back, etc., | Applicable to all construction works in the project<br>Principle employer (RUDSICO-EAP) to obtain Certificate of Registration from Department of Labour, as principle employer<br>Contractor to obtain license from designated labor officer<br>Contractor shall register with Labor Department, if Inter-state migrant workmen are engaged<br>Adequate and appropriate amenities and facilities shall be provided to workers including housing, medical aid, traveling expenses from home and back, etc.,<br><br>Appendix 9 provides applicable labor laws including amendments issued from time to time applicable to establishments engaged in construction of civil works. | Construction and operation |
| The Child Labour (Prohibition and Regulation) Act, 1986                                                                                                    | Prohibits employment of children below 14 years of age in certain occupations and processes<br>Employment of child labor is prohibited in building and construction Industry.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | No child labour shall be employed                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Construction and operation |
| Minimum Wages Act, 1948                                                                                                                                    | Minimum wages fixed by appropriate Government as per provisions of the Act if the employment is a scheduled employment. Construction of buildings, roads and runways are scheduled employment.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Applicable to all construction works in the project<br>All construction workers should be paid not less than the prescribed minimum wage                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Construction and operation |

| Law                                                                                                                                     | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Requirement                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Relevance to Project Phase |
|-----------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|
| Workmen Compensation Act, 1923                                                                                                          | Provides for compensation in case of injury by accident arising out of and during the course of employment.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Compensation for workers in case of injury by accident                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Construction and operation |
| Equal Remuneration Act, 1979                                                                                                            | Provides for payment of equal wages for work of equal nature to male and female workers and not for making discrimination against female employees in the matters of transfers, training and promotions etc.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Equal wages for work of equal nature to male and female workers                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Construction and operation |
| Rajasthan State Environment Policy, 2010 including And Rajasthan Environment Mission and Climate Change Agenda for Rajasthan (2010-14). | Follows the National Environment Policy, 2006 and core objectives and policies are: -Conserve and enhance environmental resources; assure environmental sustainability of key economic sectors; and, improve environmental governance and capacity building<br>- it recommends specific strategies and actions to address the key environmental issues: water resources, desertification and land degradation, forest and biodiversity, air quality, climate change: adoption and mitigation, mining, industry, tourism, energy, urban development, etc.<br>-Establishment of Environment Mission under the chairpersonship of the Chief Minister and a Steering Committee under the chairpersonship of Chief Secretary, Government of Rajasthan<br>Tasks force set up for six key areas | -Project implementation should adhere to the policy aims of conservation and enhancement of environmental resources, integration of environmental concerns into projects/plans, and capacity building in environmental management<br>-under water sector, major concerns, as the policy notes, are huge water losses and wastage, declining water availability, pollution<br>- Relevant recommendations for the project include control of losses, integrated water resources management, control of raw water pollution, reuse and recycling<br>-avoid/minimize use of forest lands<br>With reference to Climate change adoption and mitigation following should be considered in the project:<br>- diminishing flows in surface water bodies, and groundwater depletion, and revival traditional water bodies as water sources (lakes/tanks)<br>- equal stress on demand side management in water<br>-minimize energy use - design energy efficiency systems- | Operation                  |
| IS 11768: 1986/2005: Recommendations for disposal of asbestos waste material                                                            | The standard emphasis that every employer who undertakes work which is liable to generates asbestos containing waste, shall undertake adequate steps to prevent and /or reduce the generation of airborne dust during handling, storing,                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | The crux is waste avoidance: the practice inculcated should focus the on minimal waste generation.<br><br>Waste Collection: In the project circumstance, the waste is referred to the damaged powered asbestos which will be collected in the Permissible plastic bags to be                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Construction               |



| Law                                                                                                          | Description                                                                                                                                   | Requirement                                                                                                                                                                                                                                                                              | Relevance to Project Phase |
|--------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|
|                                                                                                              | transportation and final disposal of final disposal of asbestos and asbestos containing products.                                             | disposed to the nearest TSDF facilities.                                                                                                                                                                                                                                                 |                            |
| IS 12081: Pictorial Warning to be implemented on equipment containing Asbestos Contaminated Products.        | The objective of the caution is to make the person handling to take all pre-cautionary measures and make them aware of all the possible risk. | <p>The following signs and personal protective equipment shall be used in handling ACM.</p>                                                                                                           | Construction               |
| IS 11451: Safety and Health Requirements related to Occupational Exposure to Asbestos contaminated Products. | This standard details the occupational exposure allowable and safety at work place to be enforced.                                            | In the project the norms pertaining to limiting number of hours working with ACM will be 8.0 hrs/48 hrs a week and the medical examination has to be periodic, the environmental monitoring has to be done as per the protocol. The safety at work place shall be enforced.              | Construction               |
| IS 11768: Waste Disposal Procedure for Asbestos Containing Products.                                         | The protocol pertaining to disposal of the waste is emphasized.                                                                               | The collection of ACM powered will be in permissible plastic bags, which will be twisted tight at the neck so that the wear and tear due to abrasion will be minimum and the transportation of the asbestos waste has to be done by the authorized vendor to the approved landfill site. | Construction               |
| The Rajasthan Monuments,                                                                                     | Any construction/excavation work in the 'protected area'                                                                                      | Not applicable - there are no protected monuments in the town                                                                                                                                                                                                                            | Construction               |

| Law                                                                                                                                | Description                                                                                                                                                                                                                                                                                                                                                                                 | Requirement                                                                                                                               | Relevance to Project Phase |
|------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|
| Archaeological Sites and Antiquities Act, 1961; the Rajasthan Monuments, Archaeological Sites and Antiquities (amendment) Act 2007 | (as declared by GoR under the Act) requires prior permission of Department of Archeology and Museums<br>-Application under the Rules shall be submitted to Director, State Archeological Department, at least 3 months prior to the work. Department provides conditional permission, including time for completion, procedures to be followed during the work and for chance finds etc.    | In case of chance finds, the contractor/ PIU will be required to follow a protocol as defined in the Environmental Management Plan (EMP). |                            |
| Rajasthan Forest Act, 1953, and Rajasthan Forest Rules, 1962                                                                       | This Act makes the basis for declaration of Reserved Forests, constitution of village forest committees, management of reserved forests and penalties and procedures.                                                                                                                                                                                                                       | Not applicable; none of the components/ pipeline alignment are in forest areas.                                                           | Construction               |
| <b>International conventions and treaties</b>                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                           |                            |
| Ramsar Convention, 1971                                                                                                            | The Ramsar Convention is an intergovernmental treaty that provides the framework for national action and international co-operation for the conservation and wise use of wetlands and their resources. India is one of the signatories to the treaty. The Ramsar convention made it mandatory for the signatory countries to include wetland conservation in their national land use plans. | There are no Ramsar sites in or near Fatehpur.                                                                                            | -                          |
| Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES), 1973                                      | India is a signatory of this convention which aims to control international commercial trade in endangered species                                                                                                                                                                                                                                                                          | Not applicable in this project as no endangered species of wild fauna and flora is found in project town.                                 | -                          |
| Montreal Protocol 1992                                                                                                             | India is a signatory of this convention which aims to reduction in the consumption and production of ozone-depleting substances (ODS), while recognizing differences in a nation's responsibilities. Ozone depleting substances are divided in two groups                                                                                                                                   | Not applicable in this project as no ODS are involved in construction works                                                               | -                          |

| Law                                                                           | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Requirement                                                                                                                                                                                                                                                                                        | Relevance to Project Phase |
|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|
|                                                                               | Chlorofluorocarbons (CFCs) and Hydrochlorofluorocarbon carbons (HCFCs)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                    |                            |
| Basel Convention on Trans-boundary Movement of Hazardous Wastes, 1989         | India is a signatory of this convention which aims to reduce trans-boundary movement and creation of hazardous wastes                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Contractor to follow the provisions of Hazardous Waste Rules 2016 for storage, handling, transport and disposal of any hazardous waste emerged during construction works<br><br>Under this Convention, asbestos or asbestos waste in the form of dust and fibers is classified as hazardous waste. | -                          |
| Convention on Migratory Species of Wild Animals (CMS), 1979 (Bonn convention) | CMS, also known as Bonn convention, was adopted in 1979 and entered into force on 1 November 1983, which recognizes that states must be the protectors of migratory species that live within or pass through their national jurisdictions, and aims to conserve terrestrial, marine and avian migratory species throughout their ranges. Migratory species threatened with extinction are listed on Appendix I of the Convention. CMS Parties strive towards strictly protecting these species, conserving or restoring the places where they live, mitigating obstacles to migration and controlling other factors that might endanger them. Migratory species that need or would significantly benefit from international cooperation are listed in Appendix II, and CMS encourages the Range States to conclude global or regional agreements. | Not applicable to this project as no migratory species of wild animals are reported in the project areas.                                                                                                                                                                                          | -                          |

47. Clearances / permissions to be obtained prior to start of construction. **Table 6** shows the list of clearances/permissions required for project construction. This list is indicative and the contractor should ascertain the requirements prior to start of the construction, and obtain all necessary clearances/permission prior to start of construction.

**Table 6: Clearances and permissions required**

| <b>S. No</b> | <b>Construction Activity</b>                           | <b>Statute under which Clearance is Required</b>                                                                                          | <b>Implementation</b>                                                    | <b>Supervision</b> |
|--------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|--------------------|
| 1            | Land for project activity                              | Allotment and approval for specific land use                                                                                              | ULB                                                                      | PMU                |
| 2            | Use of construction area                               | Approval for use of city roads and shifting of utilities                                                                                  | ULB, PWD and other utility agencies such as BSNL, electricity department | PIU                |
| 3            | Establishment of construction camps                    | Allotment and approval for specific land use                                                                                              | Contractor                                                               | PIU                |
| 4            | Construction of new STP                                | Consent to establish and consent to operate under Water Act, 1974 from RSPCB                                                              | PIU & Contractor                                                         | PIU                |
| 5            | Tree Cutting                                           | State forest department/Revenue (Tehsildar)                                                                                               | PIU                                                                      | PIU and PMU        |
| 6            | Hot mix plants, Crushers, Batching plants and DG Set   | Consent to establish and consent to operate under Air Act, 1981 from RSPCB                                                                | Contractor                                                               | PIU                |
| 7            | Storage, handling and transport of hazardous materials | Hazardous Wastes (Management and Handling) Rules, 2016<br>Manufacturing, Storage and Import of Hazardous Chemicals Rules, 1989 from RSPCB | Contractor                                                               | PIU                |
| 8            | Sand mining, quarries and borrow areas                 | Permission from District Collector/ State Department of Mines & Geology                                                                   | Contractor                                                               | PIU                |
| 9            | New quarries and borrow areas                          | Environmental clearance under EIA Notification 2006                                                                                       | Contractor                                                               | PIU                |
| 10           | Use of vehicles and equipment                          | Pollution under control certificate (PUC) form RTO                                                                                        | Contractor                                                               | PIU                |
| 11           | Temporary traffic diversion measures                   | Temporary traffic diversion measure including use of alternate road from District traffic police                                          | Contractor                                                               | PIU                |
| 12           | Use of Railways ROW for construction area/ crossing    | Indian Railways                                                                                                                           | PIU                                                                      | PMU                |

48. PMU will be overall responsible for supervision in getting all clearances and provide details to ADB through semi-annual report. PMU will ensure all necessary regulatory clearances and approvals are obtained prior to commencement of works. Respective PIUs, with support of project consultants and DBO contractors, are responsible for obtaining the clearances/permits and ensuring conditions/specifications/provisions are incorporated in the subproject design, costs, and implementation. The PIUs shall report to PMU the status of compliance to clearances/permits as part of the regular progress reporting.

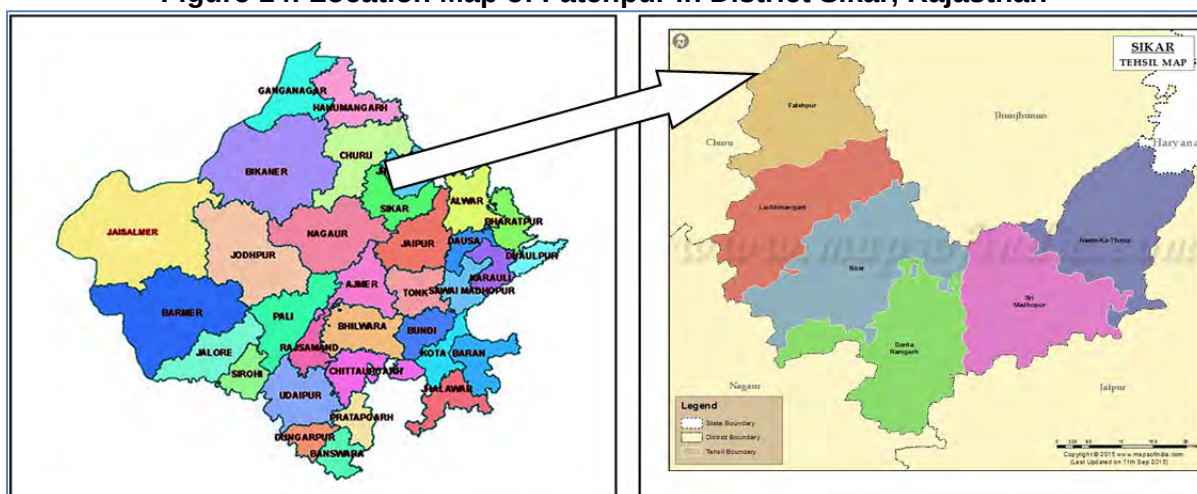
## V. DESCRIPTION OF THE ENVIRONMENT

### A. Physical Resources

#### 1. Location, Area & Connectivity

49. Fatehpur is a town in the Sikar district of Indian state Rajasthan. It is part of the Shekhawati region. It is midway between Jaipur and Bikaner on National Highway 11. Fatehpur is located at 27.98°N 74.95°E with average elevation of 324 metres (1066 ft). Fatehpur is surrounded by Laxmangarh Tehsil towards South, Ratangarh Tehsil towards west, Nawalgarh Tehsil towards East, Churu Tehsil towards North. The closest major city to Fatehpur is Churu which is 36 km away. Nawalgarh, Sikar and Jhunjhunu are also close, at 38 Km, 54 Km and 50 Km away respectively. The town is 166 km from Jaipur, 298 Km from New Delhi and 1247 km from Mumbai. Fatehpur Shekhawati Rail Way Station is the very nearby railway stations to Fatehpur. However Jaipur Rail Way Station is major railway station 161 KM near to Fatehpur. Location map of Fatehpur in district Sikar as given in Figure 14:

**Figure 14: Location Map of Fatehpur in District Sikar, Rajasthan**



#### 2. Topography, Soils and Geology

50. Sikar district is in north central of Rajasthan, covers an area of 7732 km<sup>2</sup>. The district has six tehsils namely Fatehpur, Lachhmangarh, Sikar, Danta Ramgarh, Shri Madhopur and Nim – ka – Thana. There is network of railways and Roads in the area. Semi arid to arid climate prevails in the district. The average annual rainfall is 460mm. The district can be divided into two main topographic units the western half characterized by dunal country and 22 waste land, and the eastern half characterized by NE-SW trending hill ranges. These hill ranges act as natural barriers and restrict large scale sand migration from the west.

51. Geologically, the district is not of much significance as the major part of the district is covered by Aeolian sand and sand dunes. Hard rock exposures are mainly confined to the eastern part of the district as isolated outcrop or as thin linear ridges. The Saladipura Group (Archaean) comprising quartzite, paragneiss dolomitic marble and schist, exposed in the central part of the Khetri Copper belt (Lower Proterozoic age) tapers down in the northcentral part of the district. The lithounits of the Khetri Copper belt mainly include quartzite, phyllite, calc –



silicate and marble. Quartzite, staurolite–garnet – biotite schist and marble belonging to Shyangarh Group of Delhi Supergroup are juxtaposed with the Saladipura Group in the southern and eastern part of the district, the scanty outcrops of the Ajabgarh Groups of the Delhi Supergroup (Lower to Middle proterozoic). Granite, pegmatites quartz veins gabbro are the acid and basic intrusive. Faults, shears, fold axis are the manifestation of deep- seated tectonic in the area.

52. Geomorphologically the district is classified into seven geomorphic units namely longitudinal dune, transverse dune, obstacle dunes, sand sheet, piedmont, ridge and valley and pediment. The shadow zones behind ridge and valley acting as sand barriers, are the only areas used for cultivation of seasonal crops. The area is characterized by two hydrogeological domains unconsolidated porous Quaternary formations and consolidated fissured formations with ground water potential ranging from less than 1 to 100 LPS, The district forms the catchment areas for various river valleys. These are Dohan in the northeast, Sabi, Sota and Banganga in the east and Mendha in the south. Although, these river systems originate from Sikar district, they remain dry for the most part of the year due to scanty rainfall and sand migration. As the district is prone to sand accumulation and migration, the effects of environmental hazards related to desertification is visible at most of the places these includes degradation of river valley, salinity of ground water and over-stepping of recent dunes over the cultivated lands.

53. **Mineral Resources:** Huge deposit of pyrite - pyrrhotites is recorded from Saladipura (27°40':75°31') which is mined extensively for sulphur extraction. The estimated reserves of 111.62 million tonne (Mt) of pyrite -pyrrhotite with an average of 21.63% Sulphur. Extensive ancient mining activities for copper in the form of old Working and slag, dump are recorded from a number of places within the South Khetri Belt namely Baleshwar (27°43':75°55') South of Mavanda (27°48':75°50').NW of Ghata (27°35':75°50')etc. Apatite is found In Kerpura (27°39' - 75°34') Salwari (27°39':75°36') area In post Delhi - granite as veins. P2O5 contains is nearly 41%. Fluorite occurs as stringers, veins and pockets in quartz veins, amphibole rich rock and granite Limestone deposit near Patan (27°50': 75°58') is estimated to contain 6.98 Mt of limestone with 46.54% CaO. Other occurrences are reported from Raipur Jhingar (27°38': 76°01') and Saladipura. The Khandela area has revealed the presence of moderately radioactive zones in quartz - biotite schist aplitic rocks and quartz-tourmaline veins. The Uranium zone contains 0.04 to 0.11% O3U8. The mineralised zone also contain molybdenum and copper mineralization. Barytes occurrences are reported from Kalakhera of Gaonri (27°42':75°50'). Iron ore occurrene from Kalakhera (27°42':75°59') and NW of Jhalra (27°52':75°52') Clay deposit is located NE- of Churla (27°34':75°56') Calcite occurrences are located at Mavanda, Raipur. West of Kalakhera north of Saladipura and many other places' Calcite occurs as veins, pockets and lenses in the marble and gneisses of Delhi Supergroup.

54. **Soil characteristics.** The soils of the district have been broadly classified by Agriculture Department, Govt. of Rajasthan as given below:

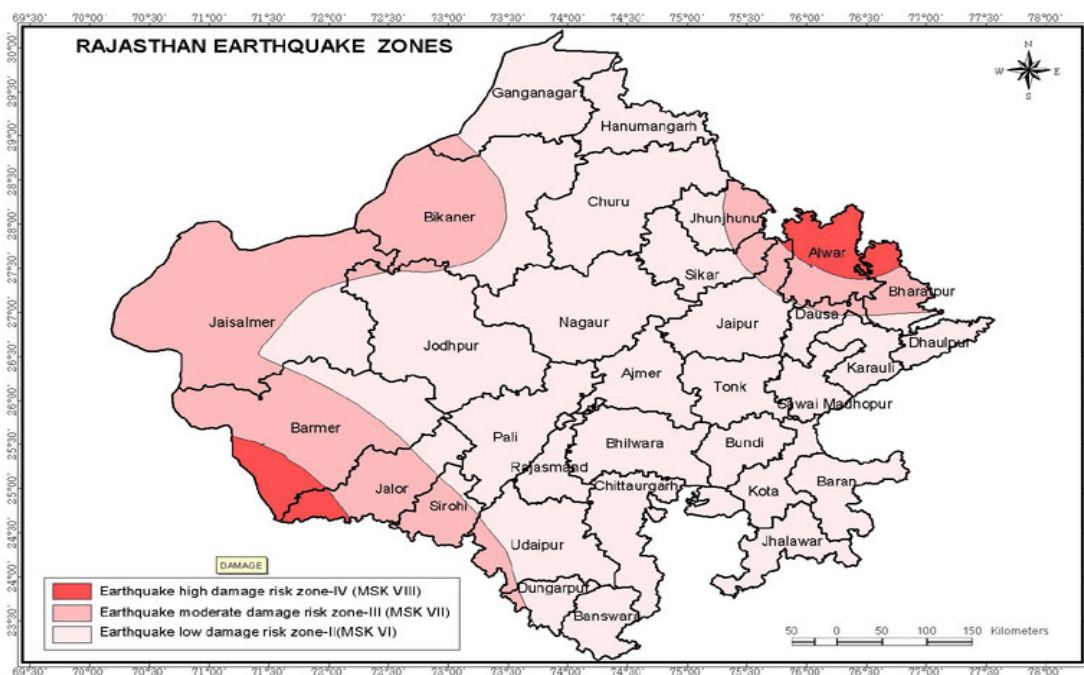
- Desertic soil
- Red desertic soil
- Serozems
- Saline soil
- Lithosols
- Regosols
- Old alluvium

Source: CGWB Sikar, 2013

### 3. Seismology

55. Sikar town lies in Low damage risk Zone – II. The area is less prone to earthquakes as it is located on relatively stable geological plains based on evaluation of the available earthquake zone information. Seismic zoning map of Rajasthan is given in Figure 15 below:

**Figure 15: Earthquake Zoning Map of District Sikar, Rajasthan**



### 4. Climatic Conditions

56. The town has a dry climate that's why temperature is high there in summer and low in winters. The maximum temperature during summer months goes above  $45.5^{\circ}\text{C}$  quite frequently. The temperature during winter months normally touches  $-1.2^{\circ}\text{C}$ . The mean annual rainfall is around 421 mm.

57. **Climate Change Projections.** Average temperature projections from global climate models (PRECIS) suggest a strong increase in temperature for state of Rajasthan. Baseline data of temperature from 1975 to 2005 has been considered. Projections of maximum temperature predicted that temperature varies from  $0.6^{\circ}\text{C}$  in 2020 to  $3.2^{\circ}\text{C}$  in 2080s. Projection trends of minimum temperature increases clearly stated that minimum temperature of atmosphere in the state is slightly increases from  $0.9^{\circ}\text{C}$  in 2020s to  $3.9^{\circ}\text{C}$  in 2080s. In context of precipitation, available rainfall projection from global climate models (PRECIS) suggest an increase in average rainfall for state of Rajasthan depicts that based on the baseline data of precipitation from 1975 to 2005, projection of average annual rainfall varied from 6.6% in 2020s to 9.1% in 2080s. The models also suggest an increase in the duration of dry spells as well as a tendency towards more intense rainfall events. Any likely increase in precipitation would occur in the northern part of the state and any decrease in the southern part of the state. Climate of Rajasthan is varied in nature. Temperature and rainfall are the main climatic factors that determine eco-climate of the area. Diurnal variations in temperature could be seen in the state

of Rajasthan. Temperature reaches above 50°C in summer and 0° in winter in some of district in the state. Pattern of rainfall is uneven and erratic in nature.

58. The proposed sewerage project provides for adequate laying of sewer lines and sewage treatment plants to improve the treated water quality and thus improving the environmental quality. By the proposed sewerage project, treated water will be used in beneficial purposes such as Agriculture etc. that is the major component of the economic sector which is largely dependent on rainfall for agricultural activities. Adequate treated water from treatment plant will ensure the sustainability of more crops per drops in the area/regions and to make less dependent on precious groundwater resources in the area/region.

## **5. Surface Water**

59. There is no as such prominent surface water body near the sub-project area. The water body is far from the town and the sub-project activities will not affect the water body in any manner. The major source of drinking water is surface source from Indira Gandhi Nahar Project (IGNP) and at present work is on the verge of completion & commissioning under Fatehpur-Laxmangarh Water Supply Project.

## **6. Groundwater**

60. In hard rock formations, ground water occurs under unconfined condition in weathered mantle and in joints and fractures etc. However, semi-confined conditions may occur in deep seated fracture zones. These form aquifer in eastern part of the district in Neem Ka Thana, Khandela blocks, parts of Danta Ramgarh and Piprali blocks (figure below). The hard rock aquifer is constituted by quartzite, schist, phyllite, gneiss and amphibolite of Delhi Super Group. These rocks have poor water yielding capacity as compared to the alluvium. The yield of open wells tapping hard rocks varies individually depending upon the extent of weathering. Higher discharge is observed when the well is located along the lineament. Moderate yield is also observed wherever the weathered mantle is overlain by considerable thickness of blown sand.

61. Exploratory drilling has been carried out in hard rock formations up to 143 mbgl depth. Wells tapping quartzites have generally good discharge compared to the other formations. Schist wherever traversed by quartz vein also yields fairly good discharge. Overall in hard rock especially in quartzite, the depth to water level ranges from 7.5 mbgl (Dariba in Neem ka Thana block) to 48.50 mbgl (Thet in Danta Ramgarh block). The average yield of this formation varies from 10 m<sup>3</sup>/day to 30 m<sup>3</sup>/day.

62. The water level ranges in the area from less than 2m to more than 75m. The depth to water level in general is less than 35m in eastern and central parts of the district falling in Neem Ka Thana, Khandela, Sri Madhopur and Danta Ramgarh blocks. In the western part of the district, it generally varies from 25 m to more than 45m. Depth to water level less than 10 m has been observed in central and eastern parts of the district, viz Khandela, Kanwat etc. Depth to water level as recorded in 156 key wells of GWD & NHS (2012) ranges from 1.80 to 77.20 and 0.80 to 78.25 mbgl during pre-monsoon and post monsoon respectively.

63. The pH value of ground water in the district ranges from 7.02 to 9.00 indicating alkaline nature of ground water. Electrical conductivity ranges from 405 to 10860 mmhos/cm at 250C. However, in greater part of the District, it varies from 750 mmhos/cm 250C to 2500 mmhos/cm 250C. The electrical conductivity in small areas around Fatehpur, Nechwa (in the western part of district), it is between 2000 and 3000 mmhos/cm 250C. More than 3000 mmhos/cm 250C is noticed around central part such as Samer, Kochhor, Gowati etc. Electrical conductivity more

than 5000 mmhos/cm 250C has been found around Samer, Motlawas, Rewasa etc.

64. Chloride concentration ranges from 14 to 1985 ppm. In greater part of the district, it lies within 300 ppm. The perusal of map indicates that in small patches around northern part of the District viz. Saladipura, Kotri etc., it is between 300 and 500ppm. More than 500 ppm chloride concentration is noticed around central part such as around villages viz. Motlawas, Sama, Sikar, Rewasa. In general, chloride concentration increases towards central part of the district.

65. Fluoride concentration in ground water ranges from 0.10 mg/l to 7.25 mg/l. Higher fluoride concentration (14.28 mg/l) has been observed at Dukia village. In northern part around Khandela & Guhala concentration of fluoride varies from 2.67 to 7.08 mg/l. Fluoride in excess of maximum permissible limit has been observed in parts of Fatehpur, Lachhmangarh, Dhod, Danta Ramgarh and Khandela blocks

66. Nitrate concentration in excess of maximum permissible limit of 45 mg/l has been observed from isolated pockets in all the blocks except Khandela and Sri Madhopur blocks. Iron concentration in major part of the district is within the maximum permissible limit of 1 mg/l. Excess iron concentration has been observed in isolated pockets in almost all the blocks. (source: Ground Water Scenario, Sikar District, Rajasthan, Central Ground Water Board, Govt. of India)

## 7. Ambient Air and Noise Quality

67. Ambient air quality in Rajasthan is monitored by Rajasthan State Pollution Control Board. However, at present there is no monitoring station in Fatehpur and therefore no data on ambient air quality available. Traffic and agriculture activities are the major sources of air pollution in Fatehpur City. Being dry area with low rainfall and sandy soil, particulate matters in Fatehpur city may be higher specially during dry and wind blown day.

68. Air quality monitoring has been conducted at 6 locations in the pre-construction phase (SIP period) by the contractor and results are annexed in Appendix 32. The Monitoring results shows all tested parameters are well within the CPCB's prescribed standards limits and slightly above IFC standards for Particulate matter (PM<sub>10</sub> and PM<sub>2.5</sub>), at SPS (1.1 MLD) near Railway Underpass and Near CRMC Building for PM<sub>2.5</sub> and at places for PM<sub>10</sub>.

73. As there are no major industries or other activities, which may create high noise in Fatehpur City, the main source of noise pollution in the city area is traffic only that also in main and busy roads and other inner and connecting roads are quiet peaceful.

69. Baseline ambient noise quality monitoring of Fatehpur City has been done at 6 locations during pre-construction stage (SIP) period by contractor and results are annexed in **Appendix 31**. Results show that noise quality conditions at proposed sites are well within prescribed national standards.

70. Ground water quality monitoring of Fatehpur City has been done at 6 locations during pre-construction stage by contractor and results are annexed in **Appendix 31**. Results show that ground water quality except for total dissolved solids at all places and near CRMC building all physical parameters are tested above National standards desirable limits.

71. Quarterly environmental monitoring (ambient air, noise, groundwater, surface water and soil quality) is proposed in the project and periodical environmental monitoring shall be reported

through Semi-annual Environmental Monitoring reports.

## B Ecological Resources

72. **Flora:** The flora of the district consists of a considerable variety. In 1973, 1.46 per cent of the total area of the district was classified as forests. The forest area in Sikar district may be broadly divided into four botanical divisions, some details of which are as follows.

- *Anogeissus pendula* Type: In this type *Anogeissus pendula* (Dhok) usually occurs as pure stands. Its common associates are *Acacia senega* (Kumtha), *Dischrostachys cinerea* (Buiya).
- *Anogeissus pendula* Degraded Type: The *Anogeissus pendula* degraded type is most commonly found on the hill slopes. Due to continuous biotic interferences, this has been reduced to a spreading and creeping form.
- Thorny Type: These forests are found on the marginal lands, foothills and consolidated sandy plains or sand-dunes and ravine lands.
- Savannah Type: These type of plants found in plains which are sandy or which contain sandy loam soils. The specie is specially managed for grass production. The tree layer is composed of *Acacia leucophloe*(Ronjh) and *Acacia Senegal* (Kumtha) etc.

73. In the south of Fatehpur Town there is a Beed Fatehpur Forest with an area of around 9380 acers out of which 9336 acer is reserved for Wool and sheep research center.

74. **Fauna:** The common mongoose and the hedgehog are seen in the entire area of the district due to their adaptability to varied surroundings. Besides, domesticated animals such as cows, oxen, horses, buffaloes and camels are found everywhere in the district. Birds commonly found in the district may be listed as house sparrow, house crow, jungle crow, blue rock common teal and brahminy duck.

75. Proposed works are in the urban area of Fatehpur town and no wildlife is reported in the town or nearby the proposed project locations. There is no any protected forest or eco-sensitive area nearby the sub-project site. IBAT proximaty report for project area is attached as Appendix 27 and biodiversity assesent report for area is attached as Appendix 27.

## C Economic Development

### 1. Land use

76. The Master plan for Fatehpur is prepared for the year 2011-2031. As per master plan, out of total municipal area of 2214 acer, 2069 acers was developed area (93%), out of which 63.45 % was residential area and only 3.78% area was under commercial category. The proposed and existing land use pattern is shown in Table7 overleaf:

**Table 7: Land Use Plan, Fatehpur : 2023**

| S. No. | Use         | Land Use - 2011 |                         | Proposed Land Use - 2031 |                         |
|--------|-------------|-----------------|-------------------------|--------------------------|-------------------------|
|        |             | Area in Ha      | % age of developed area | Area in Ha               | % age of developed area |
| 1      | Residential | 1314.60         | 63.54                   | 3024.6                   | 62.66                   |
| 2      | Commercial  | 78.2            | 3.78                    | 168.40                   | 3.49                    |



| S. No. | Use                                       | Land Use - 2011 |                         | Proposed Land Use - 2031 |                         |
|--------|-------------------------------------------|-----------------|-------------------------|--------------------------|-------------------------|
|        |                                           | Area in Ha      | % age of developed area | Area in Ha               | % age of developed area |
| 3      | Industrial                                | 12.20           | 0.59                    | 90                       | 1.86                    |
| 4      | Governmental                              | 25.0            | 1.21                    | 49                       | 1.02                    |
| 5      | Public & Semi Public                      | 268.0           | 12.95                   | 534                      | 11.06                   |
| 6      | Recreational                              | 33              | 1.59                    | 85                       | 1.76                    |
| 7      | Circulation                               | 338             | 16.34                   | 876                      | 18.15                   |
|        | <b>Developed Area</b>                     | <b>2069</b>     | <b>100</b>              | <b>4827</b>              | <b>100</b>              |
| 8      | Govt. Reserved (Vacant)                   | 125             |                         | 0                        |                         |
| 10     | Water Bodies                              | 3               |                         | 3                        |                         |
| 11     | Pastures, Plantation Nursery, Agriculture | 17              |                         | 17                       |                         |
|        | <b>Urbanized Area</b>                     | <b>2214</b>     | <b>100</b>              | <b>4847</b>              |                         |

Source: as per Fatehpur Master Plan 2011-2031

## 2. Industry & Agriculture

77. The state Government of Rajasthan Industrial Investment Corporation is providing various incentive and facilities for promoting Industrial activities. There is no large scale Industry in Fatehpur. Only small scale industries such as wood industry, dal and oil mill industry, saree industry etc are functioning with very small level. The agriculture production in crop is slightly more in Kharif season in compared to Rabi season. Jajoba is also grown in Fatehpur.

## 3. Infrastructure

78. **Water Supply.** The major source of drinking water is surface source from IGNP and at present work is on the verge of completion & commissioning under Fatehpur-Laxmangarh Water Supply Project. The Public Health Engineering Department takes care of the water supply in the town. The present water supply capacity in the town is that of 95 liters per capita per day, which after completion of ongoing water supply project undertaken by PHED will be 135 LPCD at consumer end.

79. **Sewerage.** Presently about 108 km sewer system has been laid under sewerage project and is on the verge of commissioning and one STP of 7.5 MLD (SBR Technology) and three SPS constructed. Around 88 Km sewer line of diameter 200 mm to 900 mm laid in the city. Overall existing sewerage system is not in functional stage. And, no further improvements to the original STP design is proposed under the project. Hence, no environmental audit of the existing STP facility has been conducted as part of the IEE.

80. **Solid Waste Management** – Door to Door collection is being done by municipality from own resources including 20 Nos. auto-trippers, 3 tractors and 1 JCB. Monthly charges of Rs. 30 is being collected from each household and ward wise door to door collection.

81. **Power Supply.** Ajmer Vidyut Vitran Nigam Ltd, (AJMER DISCOM) supply electricity in Sikar district.

## E. Socio Cultural Resources

## 1. Demography

82. Demographics as of 2011 India census, Fatehpur had a population of 92595. Males constitute 47601 of the population and females 44994. Fatehpur city has an average literacy rate of 63.94%, higher than the national average of 59.5%, with 58.17% of the males and 41.83% of females literate. In Fatehpur, 14.44% of the population is under 6 years of age. Total children (0-6) are 13367 as per figures of Census India report 2011. There are 7040 boys and 6327 girls. Sex Ratio of the city is 945 per 1000 males. Sex Ratio of child is 899 per 1000 boys.

83. The town has been growing steadily since 1951. The growth of the town has been phenomenal during 1951-61 when there was an influx of population of immigrants to this town. However, thereafter also the growth was not stable. The average decadal increase is 23.78%. However the last decadal (2001-2011) growth is 18.01%, vide the **Table 8** below:

**Table 8: Details of decadal population growth rate of Fatehpur city**

| Census year      | Population | Increase in Population | Growth rate (%) |
|------------------|------------|------------------------|-----------------|
| 1951             | 26,751     |                        |                 |
| 1961             | 27,039     | 288                    | 1.08            |
| 1971             | 34,929     | 7,890                  | 29.18           |
| 1981             | 51,084     | 16,155                 | 46.25           |
| 1991             | 66,387     | 15,303                 | 29.96           |
| 2001             | 78,462     | 12,075                 | 18.19           |
| 2011             | 92,595     | 14,133                 | 18.01           |
| Average increase |            | 10,974                 | 23.78           |

Source: DPR

## 2. History, Culture and Tourism

84. **History.** Nawab Fatehkhankayamkhani (who claim chohan decent) established the city Fatehpur in 1451AD. He constructed the fort of Fatehpur in 1449 and ruled upto 1474. This fort is now state protected monument by Govt. of Rajasthan. The city served as the capital of Shekhawati Riyasat under its ruler and founder Fatehkhani the Muslim Nawab. Fateh Khan's eldest son was Jalal Khan, who founded the village Jalalsar 10 kms south of Fatehpur city. After death of Fateh Khan in 1974. Jalal Khan become the Nawab of Fatehpur. Jalal Khan was a warrior and kind nawab. He established the Fatehpur bid (forest land) for the purpose of grazing of animals.

85. **Physical Cultural Resources– Fatehpur Fort.** The city of Fatehpur was established by Kayamkhani Nawab Fateh Mohd in 1508 AD. He also constructed the Fort of Fatehpur in 1516. The city once served as the capital of Sikar. Today, Fatehpur is popularly known as the culture capital of Shekhawati. It is full of stunning sights to visit, of which, the Dwarkadheesh Mandir, Singhania Haveli, Nadine Le Prince Cultural Centre and Fatehchandka Haveli are the more notable ones.

86. Fatehpur Fort is state protected monument in Rajasthan. Physical Cultural Resource (PCR) Map showing Fatehpur fort and its distance from proposed sewer work is about 432m given in (**Appendix 26**).

87. Fatehpur is a small city where you can see and witness beauty and simplicity at the same time. It is known as the cultural capital of Shekhawati because of its grand havelis and beautiful temples. Nadine Le Prince Cultural Center, which was the former Deora Haveli, was

purchased by a French Artist who transformed it into a cultural centre in 1999. The Dua Janti Balaji Dham temple of Lord Hanuman receives visitors from all around the country as it is said to grant wishes of the devotees who pray there. Many other havelis like Singhania Haveli and Goenka Haveli attract visitors from everywhere with their old and authentic charm. The Fresco paintings and murals on the haveli walls are one the main reasons why the culture of this place is rich and vibrant.

88. **Tourism.** This historic town has several attraction like Havelis of Nand Lal Devra, Saraogi, Singhaniya haveli and Goenka haveli, Qureshi farm, Nadine Le Prince cultural centre etc. therefore it is known as the heritage city. Due to the havelies foreigner come to see the havelies. At this time in Fatehpur city people of all the religion live. This city has many temples, mosque, dargah etc. such as Janti Balaji temple, Laxminathji temple, Hazi Nazmuddin dargah Wazir Hazi Masjid, Goenka Sati temple, Dwarkadheesh temple etc. Now, Government of Rajasthan has taken several initiatives for heritage city of Fatehpur.

#### **F. Environmental Settings of Investment Program Component Sites**

89. The subproject includes laying of sewer pipes in the municipal area of Fatehpur City. Sewers will be laid along the roads/streets in the town within the road right of way (ROW). In wider roads pipes/sewers will be laid in the road shoulder beside the tarmac, and in narrow roads, where there is no space, sewers will be laid in the road carriage way by break opening the tarmac. Roads in some part of the town are narrow. Roads are lined both sides with open drains (generally). In narrow roads sewers will be laid in the middle of the road, which may affect the traffic. Bigger diameter trunk sewers will be laid along the main roads, which are wide and have adequate space. No tree cutting is anticipated as there is adequate space to lay the sewer pipelines in those roads.

90. STP of 4.30 MLD is proposed in the existing STP (7.5 MLD) campus on Fatehpur – Naribari Village road about 2.3 km from Fatehpur town. There are around 5-10 trees and few shrubs present in the land. There is no need of tree cutting at this site and all the trees are saved during detail design.. There are vacant agricultural and non-agricultural lands around the proposed site. No wildlife exists near the proposed STP site.

Land for proposed SPS (0.6MLD) has been identified by Nagar Palika near Fatima Masjid, Khasra no. 982, near National Highway no. 52, Jahanghir Ki Kui which has been purchased from the private owner and now it is under possession of Nagar Palika. This land is vacant and it is surrounded by houses from 2 sides and road on 2 sides. Proposed land is situated within habitation areas, therefore all the required precautions should be taken during construction to minimize the impacts on nearby residents. No impact on commercial activities or community property resources are envisaged at this site. The land is flat and covered with sandy soil. Some small bushes are present on the land. There are no wildlife reported at this site.

91. Sewage Pumping Station (3.5 MLD), is proposed behind Dholi Sati Temple (within campus of existing SPS), where there is no any tree present. Site is clear of any vegetation or habitation and guarded by boundary wall. SPS (1.1MLD) is proposed near railway underpass, Nawalgarh road, is on vacant government land (Khasra no. 1806). There is no dense habitation within 50 meters from the proposed project site. Also, there is no wild life and no tree cutting is required at site.

92. One CRMC shall be constructed on the vacant land space available within the campus

of Nagar Palika Office of Fatehpur. This is government land and not being used for any other purpose. There is existing CRMC of previous sewerage project on one side and Nagar Palika office on other side. Site is having distinct boundary wall and there are no any trees or natural habitate at proposed site. People visit the Nagar Palika office for their official purpose and therefore this site is suitable for construction of CRMC. Required land of about 30x30 mt is available here and there is approach from main city road.

93. Treated effluent shall be reused for beneficial purposes for which it is proposed to construct one Treated Effluent Elevated Reservoir (TEER) of 220kl capacity of 22m staging), one Treated Effluent Storage Reservoir (TESR) of 430 kl capacity within the STP campus. Contractor will propose the best methods of reuse of treated effluent and sludge as per guidelines of CPHEEO (guidelines are attached as Appendix 7) and best international practices in consultation with RUDSICO-EAP and Nagar palika and submit a plan in RUDSICO-EAP for approval. Excess or unused treated effluent shall be stored in to adjacent nallah located near the STP site.

94. The sludge from the SBR process is composed of both inorganic and organic materials, large concentration of some plant nutrients and much smaller concentrations of numerous trace elements and organic chemicals. Hence, the sludge can be put to good use when applied on land. Under the project, the sludge reuse plan shall be developed and implemented by the Contractor in consultation with the Municipality authorities who shall be guided by the RUDSICO-EAP and the PMCBC experts, as well. Site environmental features are given in table 9 below:

**Table 9: Environmental Features**

| S.No. | Subproject Component                                                                                                                                                                                       | Environmental Features of the Site                                                                                                                                                                                                                                                                                                                                                                                                 | Photographs                                                                          |
|-------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|
| 1     | Construction of Sewage Treatment Plant (STP) of 4.3 MLD with Sequencing batch reactors (SBR) technology, Treated Effluent Elevated Reservoir, Treated Effluent Storage Reservoir, Effluent Pumping station | STP of 4.30 MLD is proposed in the existing STP (7.5 MLD) campus on Fatehpur – Naribari Village road about 2.3 km from Fatehpur town. There are around 5-10 trees and few shrubs present in the land. However, there is no need of tree cutting and all the trees are saved during detail design. There are vacant agricultural and nonagricultural lands around the proposed site. No wildlife exists near the proposed STP site. |   |
| 2     | Sewage Pumping Station (SPS) at Behind Dholi Sati temple (3.5 MLD)                                                                                                                                         | Construction of Sewage Pumping Stations (SPS- 2), one behind dholi sati temple. Vacant government land is available, which is not under any productive use. No sensitive receptor near the proposed site. Some shrubs and trees exist at the site.                                                                                                                                                                                 |   |
| 3     | Sewage Pumping Station (SPS) near Railway underpass (1.10 MLD) in Zone 2 Ward No. 3                                                                                                                        | Construction of Sewage Pumping Stations (1.1 MLD), near railway underpass, Nawalgarh road. Vacant government land (Khasra no. 1806) is available, which is not under any productive use. There is no dense habitation within 50 meters from the proposed project site. Also, there is no wild life and no tree cutting is required at site.                                                                                        |  |



|   |                                                           |                                                                                                                                                                                                                                                                                                                                             |                                                                                      |
|---|-----------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|
| 4 | Sewage Pumping Station (SPS) near Maszid Fatima (0.6 MLD) | Construction of Sewage Pumping Stations (1.1 MLD), near railway underpass, Nawalgarh road. Vacant government land (Khasra no. 1806) is available, which is not under any productive use. There is no dense habitation within 50 meters from the proposed project site. Also, there is no wild life and no tree cutting is required at site. |   |
| 5 | Customer Relation and Management Centre (CRMC)            | CRMC is proposed in the vacant land of Nagar Palika Office campus on the back side of office. Land is vacant and not being used for any other purpose. No any habitation, trees or natural habitat exist at this site                                                                                                                       |  |

## VI. ANTICIPATED ENVIRONMENTAL IMPACTS AND MITIGATION MEASURES

### A. Introduction

95. Potential environmental impacts of the proposed infrastructure components are presented in this section. Mitigation measures to minimize/mitigate negative impacts, if any, are recommended along with the agency responsible for implementation. Monitoring actions to be conducted during the implementation phase is also recommended to reduce the impact.

96. Screening of potential environmental impacts are categorized into four categories considering subproject phases: location impacts and design impacts (pre-construction phase), construction phase impacts and operations and maintenance phase impacts.

- i. **Location impacts** include impacts associated with site selection and include loss of on-site biophysical array and encroachment either directly or indirectly on adjacent environments. It also includes impacts on people who will lose their livelihood or any other structures by the development of that site.
- ii. **Design impacts** include impacts arising from Investment Program design, including technology used, scale of operation/throughput, waste production, discharge specifications, pollution sources and ancillary services.
- iii. **Construction impacts** include impacts caused by site clearing, earthworks, machinery, vehicles and workers. Construction site impacts include erosion, dust, noise, traffic congestion and waste production.
- iv. **Operation & Maintenance(O & M) impacts** include impacts arising from the operation and maintenance activities of the infrastructure facility. These include routine management of operational waste streams, and occupational health and safety issues.

120. Screening of environmental impacts has been based on the impact magnitude (negligible/moderate/severe- in the order of increasing degree) and impact duration (temporary/permanent).

121. This section of the IEE reviews possible project-related impacts, in order to identify issues requiring further attention and screen out issues of no relevance. ADB SPS (2009) require that impacts and risks will be analyzed during pre-construction, construction, and operational stages in the context of the project's area of influence.

122. The ADB Rapid Environmental Assessment Checklist has been used to screen the project for environmental impacts and to determine the scope of the IEE.

123. In the case of this project (i) most of the individual elements are relatively small and involve straight forward construction and operation, so impacts will be mainly localized and not greatly significant; (ii) most of the predicted impacts are associated with the construction process, and are produced because that process is invasive, involving excavation and earth movements; and (iii) being located in an urban area, will not cause direct impact on biodiversity values. The project will be in properties held by the local government body and access to the project location is through public rights-of-way and existing roads hence, land acquisition and encroachment on private property will not occur.

## B. Pre-Construction Impacts – Design and Location

### Location Impacts

124. **Odour Nuisance from STP and SPSs.** The proposed treatment technology, SBR, being an aerobic process and conducted in a compact and a closed system with automated operation, odour nuisance will be very minimal and negligible. Limited bad odors may be generated from wet well, primary treatment units and sludge treatment. However, to account for future development potential around the sites, and to enhance the environmental benefits following measures should be included in the STP/SPS site planning and design:

- (i) Provide a green buffer zone of 10-20 m wide all around the STP, and 5-10 m around SPSs, with local varieties of trees in two to three rows as far as possible. This will act as a barrier and visual screen around the facility and will improve the aesthetic appearance. Treated wastewater shall be used for plantation.
- (ii) Develop layout plan of SPS/STP such that odour generating units (such as sludge / solids handling facilities) are located away from the surrounding area with future development potential.

125. **Social and Cultural Resources.** There are no notable or significant archeological places or protected monuments or areas in Fatehpur project area. Fatehpur Fort is located at centre of Fatehpur i.e. state protected monument is located and proposed sewer works is about 432m distance. Therefore, no impacts envisaged but risk of uncovering archeological remains, given the long history of town, during the excavations cannot be ruled out completely. Construction contractors therefore should follow the below measures in conducting any excavation work:

- (i). Create awareness among the workers, supervisors and engineers about the chance finds during excavation work;
- (ii). Stop work immediately to allow further investigation if any finds are suspected;
- (iii). Inform Archeological Department if a find is suspected and take any action, they require to ensure its removal or protection in situ; and
- (iv). Prepare a chance find protocol (sample is provided in Appendix 23).

126. **Tree Cutting at project sites.** At the proposed STP site near Naribari village road at near existing STP campus, there are shrubs and bushes which are dominant in this area. No tree cutting is required at STP site. There are no notable tree cover or vegetation in other sites. Sewers will be laid along the road within road right of way. or at the middle of the road. There, are no notable trees in the alignment, therefore no tree cutting is envisaged. Following measures need to be implemented to minimize and/or compensate for the loss of tree cover.

- (i) Minimize removal of trees by adopting to site condition and with appropriate layout design of STP and SPS or any other site with trees;
- (ii) Obtain prior permission for tree cutting at sites that may require tree cutting finalized during detailed design; and
- (iii) Plant and maintain 3 trees for each tree that is felled as per RUDSICO-EAP policy

**127. Handling and Disposal of accumulated Solid Waste at proposed SPS Sites.** As stated earlier, the selected low-lying lands for sewage pumping stations are filled with wastewater and solid waste, which needs to be cleared for construction of sewage pumping stations. Site clearance and removal of solid waste is part of the scope of DBO contract. The handling of this accumulated waste will involve occupational health and safety issues to the workers engaged for such work and also to the people residing close to the work site. This is because the waste handling and working conditions will be harmful and may also emit harmful gases. Proper health and safety precautions are necessary. Following measures are to be implemented during the handling/ transfer of solid waste and site clearance:

- (i) Prepare a waste handling and management plan for the work, considering handling, disposal and occupational and public health safety;
- (ii) Assess the working conditions, develop appropriate working method, and work shall be only conducted under continuous supervision of EHS supervisor;
- (iii) Waste shall not be handled manually; use appropriate equipment;
- (iv) All workers shall be provided with necessary personal protection equipment, including gloves, boots, face/gas masks. and oxygen cylinders in handy for emergency use etc.; if gas emission is suspected at any point of time, workers shall use gas masks with oxygen cylinders;
- (v) Inform surrounding public about the work;
- (vi) Fire control and safety equipment shall be provided at the work site;
- (vii) Waste shall be properly covered during transport; and
- (viii) Manage the solid waste as per the Solid Waste Management Rules, 2016

**128. Location Impacts of Sewage Treatment Plants:** STP of 4.30 MLD is proposed in the existing STP (7.5 MLD) campus on Fatehpur – Naribari Village road about 2.3 km from Fatehpur town. There are around 5-10 trees and few shrubs present in the land. However, there is no need of tree cutting and all the trees are saved during detail design. There are vacant agricultural and non-agricultural lands around the proposed site. No wildlife exists near the proposed sites of STPs. If any tree cutting is required for construction works, prior permission from local administration for tree cutting will be required and compensatory plantation as per RUDSICO-EAP policy will also be required. There are few scattered habitations within 500 mtrs near the proposed site; therefore, all the precautions should be taken during construction and operation to minimize the impacts on nearby residents. Mitigation measures taken to minimize the locational impacts include planting at least three-rows of trees along the boundary of the STP, installation of odour control equipment at the STP and providing for power backup facility to ensure continuous STP operation including during times of power outages. Additionally, public consultations have been held near the STP location to gain the consent of the nearby residents for the construction of the proposed STP.

**Location Impacts of Sewage Pumping Station (1.1 MLD, near Maszid Fatima):** Construction of SPS proposed near maszid Fatima, National Highway no. 52, Jahanghir ki kui. There are scattered habitations within 50 mtrs near to proposed site. There are scattered habitations near to proposed site therefore all the precautions should be taken during construction to minimize the impacts on nearby residents. As per site visit, shrubs and bushes are present at site therefore tree cutting is not required during construction of SPS. There are no wildlife reported at this site.

**129.** SPS site near Dholi Sati Temple will be constructed on the available land with Nagar Palika beside the existing SPS. No habitations near the proposed site; There are vacant non-agricultural lands around the proposed site. No wildlife or environmentally sensitive area exists near the proposed SPS site.

Site for SPS construction (1.1 MLD) is near Railway underpass and is government land which belongs to Municipal Council. There are some wild shrubs and bushes at the site that shall be cleared before commencement of the construction. The location of SPS has been shifted from Khasra no. 1818 to Khasra no 1806 and permission for SPS (1.1 MLD) construction also has been provided by Nagar Palika, Fatehpur (refer Appendix 25). There is no dense habitation within 50 meters from the proposed project site. Also, there is no wild life and no tree cutting is required at site. Therefore, there shall be no impact on habitation and on any environmental feature due to this project component. However, all the precautions should be taken during construction to minimize the impacts on nearby residents. After construction of sewerage network, the accumulation of the wastewater in the area shall be prevented and the environmental impact of the surroundings will improve.

**130. Locations impacts of Sewerage Networks:** The sewerage collection networks will traverse through different city roads within ROW. Therefore no impacts shall be envisaged regarding location. These works will require advance permission from concerned authority for road cutting and traffic diversion etc. No tree cutting will be required as per preliminary design and if any tree cutting will be required during execution mitigation measures shall be adopted. Also, a couple of railway crossing are encountered while constructing the sewerage network. Approvals from railway authorities need to be obtained to carry out the works at these locations.

**131.** It has to be ensured that the Contractor obtains the desired consents from RPCB for construction of STP and that the treated effluent meets the parameters as set by CPCB/RPCB. Contractor will also ensure compliances to all the conditions as mentioned in the CTE during the construction stage and later the CTO during the operational stage. Consent to Establish for 4.3 MLD STP has been issued from RSPCB and valid from 07.09.2020 to 31.08.2025 under Air Act and from 13.02.2021 to 31.01.2026 under Water act. ( Copy of CTE and compliance of consents conditions are attached in Appendix 32)

## Design Impacts

**132. Design of the Proposed Components.** The Central Public Health and Environmental Engineering Organization (CPHEEO) manual suggests a design period of 15/30 years in general while designing the systems for water supply and sewerage components. It is proposed to consider 2051 as the design year for all the components in order to maintain unanimity in the design period and design population. Accordingly, 2021 shall be the base year and 2036 the intermediate year to cross check the designs pertaining to intermediate demand. Technical design of all the elements of sewerage (STP, reuse arrangements, sewer mains and network including manholes and house connections, etc., follows the relevant national planning and design guidelines, include the Sewerage and Wastewater Policy of Rajasthan 2016.

**133.** Following environmental considerations are already included in the project to avoid and/or minimize adverse impacts and enhance positive benefits:

- (i) Locating components and facilities appropriately by avoiding sensitive locations like forests and protected areas (environmentally, socially, and archeologically);
- (ii) Recovering wash water from treatment process to optimize the water use;
- (iii) Treatment and reuse of sludge from treatment process; providing a covered shed of adequate space to air dry the processed sludge for at least 15 days at STPs;
- (iv) Designing the entire system to maintain optimal flow and terminal pressure and optimizing the overall energy usage;



- (v) Avoiding usage of asbestos containing materials;
- (vi) Reducing the incidence of water borne diseases by providing 100% population including urban poor with improved sanitation facility;
- (vii) Reuse of treated wastewater from STP for non-potable uses thereby reducing the load in freshwater resources;
- (viii) Adopting a combined approach of sewerage system and faecal sludge and septage management to cover 100% population of the town with safe collection, conveyance and treatment of sewage generated in the town; and
- (ix) Provision of appropriate personal protection equipment to the workers and staff

134. Use of Hazardous/Harmful Substances in Water and Wastewater Treatment. Wastewater treatment may involve application hazardous/harmful chemicals., As the treatment process will be designed by DBO contractor, the use of chemicals in treatment is not available at this stage. Measures are required to reduce the usage as well the handle if any hazardous substances safely following prevailing rules and regulations. For disinfection, the bid specifies, however, the use of Chlorine as disinfectant. Disinfection with chlorine is proposed at STP. There is invariably a safety risk when chlorine is handled. Safety precautions are necessary to ensure the safety of workers and citizens. Following measures are suggested:

- (i) Reduce the use of chemicals in the treatment process to the extent possible provide non-chemical alternatives or easily recoverable and/or reusable chemicals or biocompatible alternatives;
- (ii) Establish proper handling/storage/application system according to the relevant standards, safety precautions and prevent accidental release/spill/
- (iii) Provide leak/spill detection, collection/capture and safe disposal facilities such as chlorine absorption and neutralization facility/
- (iv) Provide ventilation, lighting, entry and exit facilities; visible and audible alarm facilities to alert chemical/chlorine leak;
- (v) Facility for isolation in the event of major leakages;
- (vi) Eye wash and shower facility;
- (vii) Personal protection and safety equipment for the operators (masks, oxygen cylinders, gloves, etc.);
- (viii) Provide training to the staff in safe handling and application of chemicals, material safety, and standard operating procedures and emergency responses; and
- (ix) Develop emergency response procedures.

135. **Design of Sewage Treatment Plant.** One STP of capacity 4.3 MLD are proposed to be constructed at identified sites to treat the sewage generated from Fatehpur town. It is proposed to establish STP based on SBR (sequential batch reactor) process, followed by disinfection by chlorine. As the bid is DBO type, detailed design of the STP will be carried out by the contractor to the following specific discharge standards. Currently for STPs in India, the standards notified by Ministry of Environment, Forests and Climate Change (MOEFCC) in 2017 (see column (4) in table below) are applicable. However, under RSTDSP, PMU has decided to base the STP design on discharge standards for STPs suggested by CPCB in 2015, which are more stringent. The strident standards also facilitate maximum utilization of treated wastewater for reuse in various purposes following the Sewerage and Wastewater Policy, 2016, of Rajasthan<sup>14</sup>. It is

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<sup>14</sup>“The use of treated wastewater in irrigation and industrial application shall be given the highest priority and shall be pursued with care. Effluent quality standards shall be defined based on the best attainable treatment technologies,

also to be noted that, in April 2019, the National Green Tribunal (NGT) in one of its orders directed MOEFCC to reconsider stringent standards for STPs.

136. The STP is based on SBR technology and is designed to meet the latest standards defined in the CPCB Notification dated 21 April 2015 and NGT order dated 30.04.2019 (refer Appendix 4) as follows:

**Table 10: Treated Effluent Discharge Standards of STP**

| S. No | Parameter                              | Proposed Discharge Standards for STP | MOEF and CC STP Discharge Standards, 2017 | CPCB discharge standards, 2015# | IFC Guideline value for sewage discharge | WHO Guideline Value for safe use in agriculture |
|-------|----------------------------------------|--------------------------------------|-------------------------------------------|---------------------------------|------------------------------------------|-------------------------------------------------|
| 1     | pH                                     | 6.5 – 9.0                            | 6 – 9                                     | 6.5-9.0                         | 6 – 9                                    | 6 – 9                                           |
| 2     | Biochemical Oxygen Demand (BOD) (mg/l) | ≤10                                  | <30<br><20 (metro cities)                 | <10                             | 30                                       | -                                               |
| 3     | Chemical Oxygen Demand (COD) (mg/l)    | ≤50                                  | -                                         | 50                              | 125                                      | -                                               |
| 4     | Total Suspended Solids (TSS) (mg/l)    | ≤20                                  | <100 and <50 (metro cities)               | <20                             | 50                                       | -                                               |
| 5     | Total Nitrogen (mg/l)                  | <10                                  | -                                         | <10                             | 10                                       | -                                               |
| 6     | Ammonical Nitrogen (mg/l)              | <5                                   | -                                         | <5                              | -                                        | -                                               |
| 7     | Total Phosphorus (mg/l)                | -                                    | -                                         | -                               | 2                                        | -                                               |
| 8     | Fecal Coliform MPN/100 ml              | <100                                 | <1000                                     | <100                            | -                                        | <1000                                           |
| 9     | Oil and grease, mg/l                   | -                                    | -                                         | -                               | 10                                       | -                                               |
| 10    | Nematodes, number of eggs per litre    | -                                    | -                                         | -                               | -                                        | 1                                               |

MOEF and CC= Ministry of Environment, Forest and Climate Change; CPCB = Central Pollution Control Board; IFC = International Finance Corporation, the World Bank Group

# in April 2019, the National Green Tribunal (NGT) in one of its orders directed MOEFCC to reconsider the standards issued in 2015 for STPs.

137. In this regard, it should be noted that National Green Tribunal in its order dated 21 December 2018 (Appendix 4), stayed the implementation of “Effluent discharge standards for Sewage Treatment Plant” by MOEF&CC Notification Dated 13<sup>th</sup> October 2017 that proposed lower standards than those defined in the above table and hence is no longer applicable.

138. **Design of SPS.** As explained earlier, SPS is proposed near to a religious place and residential areas. Therefore, care should be taken while designing for this SPS to avoid impacts during construction and operation phases. Design engineers should take following mitigation measures to reduce impacts due to proposed SPS-

- (i) Incorporate in design pumps of low noise;
- (ii) Provide dedicated power supply to SPS, if possible, otherwise DG set to be used; during power failure, should be soundproof and having acoustic enclosures

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and calibrated to support or improve ambient receiving conditions, and to meet public health standards for end users”.

- with low/permitted air emission standards;
- (iii) Firm barricades should be provided during construction works around the SPS site;
- (iv) Boundary wall of sufficient height with barbed wire fencing should be provided during operation phase so that no children/residents can enter in the SPS premises
- (v) Odour control system should be provided in SPS
- (vi) Plantations should be provided if space available to reduce foul smell of sewer during operation
- (vii) No workers camps should be allowed during construction works at SPS site
- (viii) Entry should be restricted through provision of gate and guard during operation of SPS

139. Highest Flood Level (HFL) should be used as a basis while deciding on the SPS dimensions. Also, all the prevention methods including buffer capacity secondary tank and alternative power arrangements should be implemented so that sewage does not either leak during power outages or percolate into ground and pollute ground water or any surface waters closeby.

140. **Use of Hazardous / Harmful Substances in Wastewater Treatment.** Wastewater treatment may involve application hazardous / harmful chemicals., As the treatment process will be designed by DBO contractor, the use of chemicals in treatment is not available at this stage. Measures are required to reduce the usage as well the handle if any hazardous substances safely following prevailing rules and regulations. For disinfection, the bid specifies, however, the use of Chlorine as disinfectant. Disinfection with chlorine is proposed at STP. There is invariably a safety risk when chlorine is handled. Safety precautions are necessary to ensure the safety of workers and citizens. Following measures are suggested:

- (i) Reduce the use of chemicals in the treatment process to the extent possible provide non-chemical alternatives or easily recoverable and/or reusable chemicals or biocompatible alternatives.
- (ii) Establish proper handling / storage / application system according to the relevant standards, safety precautions and prevent accidental release / spill
- (iii) Provide leak/spill detection, collection / capture and safe disposal facilities such as chlorine absorption and neutralization facility
- (iv) Provide ventilation, lighting, entry and exit facilities; visible and audible alarm facilities to alert chemical/chlorine leak
- (v) Facility for isolation in the event of major leakages
- (vi) Eye wash and shower facility
- (vii) Personal protection and safety equipment for the operators (masks, oxygen cylinders, gloves, etc.,)
- (viii) Provide training to the staff in safe handling and application of chemicals, material safety, and standard operating procedures and emergency responses
- (ix) Develop emergency response procedures

141. **Design of Sewer system - collection & conveyance.** The sewerage system is designed as a separate system of sewage collection (i.e. caters only to domestic wastewater). There is considerable length of existing surface drains in the project area that can be used for disposal of storm runoff. The underground gravity sewers will carry sewage from households to trunk sewers and further to STPs. To maximize the benefits as intended, FatehpurNagarPalikashould ensure that all existing septic tanks are phased out by bypassing

the inlet and connecting the toilet discharge from each house directly to sewerage system.

142. Accumulation of silt in sewers in areas of low over time, overflows, blockages, power outages, harmful working conditions for the workers cleaning sewers etc. are some of the issues that needs to be critically looked into during the sewer system design. A properly designed system is a must for system sustainability.

143. Measures such as the following shall be included in sewer system design to ensure that the system provides the benefits as intended:

- (i) Limit the sewer depth where possible
- (ii) Sewers shall be laid away from water supply lines and drains (at least 1 m, wherever possible);
- (iii) In all cases, the sewer line should be laid deeper than the water pipeline (the difference between top of the sewer and bottom of water pipeline should be at least 300 mm)
- (iv) If unavoidable, where sewers are to be laid close to storm water drains, appropriate pipe material shall be selected (stoneware pipes shall be avoided)
- (v) For shallower sewers and especially in narrow roads, use small inspection chambers in lieu of manholes;
- (vi) Design manhole covers to withstand anticipated loads & ensure that the covers can be readily replace if broken to minimize silt/garbage entry
- (vii) Ensure sufficient hydraulic capacity to accommodate peak flows & adequate slope in gravity mains to prevent buildup of solids and hydrogen sulfide generation
- (viii) Equip pumping stations with a backup power supply, such as a diesel generator, to ensure uninterrupted operation during power outages, and conduct regular maintenance to minimize service interruptions. Consider redundant pump capacity in critical areas

144. **Treated wastewater Reuse / disposal.** Rajasthan is a water scarce region and receives low rainfall. Recognizing the importance of treated wastewater in reducing the demand on water, Sewerage and Wastewater Policy, 2016, of Rajasthan promotes the reuse of treated sewage for non-potable applications, and also to make sewerage projects environmentally sustainable. Government of Rajasthan adopted this policy to ensure “improved health status of urban population, specially the poor and under privileged, through the provision of sustainable sanitation services and protection of environment”. To further promote the reuse and provide guidance, Policy prioritized reuse in irrigation (agriculture, forestry, and landscaping), followed by fish farming, industry and non-potable domestic reuse. Policy requires monitoring of treated wastewater quality, soil quality etc., Policy prohibits artificial recharge of aquifers using treated wastewater, and promotes construction of storage tanks to store treated wastewater to facilitate reuse. Policy prescribes that the detailed project report (DPR) should clearly define the best reuse option specific to the town and prepare a Reuse Action Plan part of the DPR following water quality norms and legal implications. LSGD is currently in the process of publishing Guidelines for Reuse of Treated Wastewater in Rajasthan 2019 to promote the reuse and provide guidance to the stakeholders. Guidelines promotes the use the treated wastewater and envisages to maximize the collection and treatment of sewage generated and reuse of treated wastewater on a sustainable basis, thereby reducing dependency on freshwater resources.

145. Policy provided priority to reuse in agricultural for unrestricted irrigation. It suggests blending of treated wastewater with fresh water to improve quality where possible, and crops to be irrigated shall be selected to suit the irrigation water, soil type and chemistry. Policy requires

monitoring of accumulation of heavy metals and salinity. It encourages farmers to use modern and efficient irrigation technologies, and to ensure protection of on-farm workers and crops. As a contingency measure, policy requires regular monitoring of treated water quality, and emergency alerts to users in any event of deterioration of quality. Policy prohibits use of treated wastewater for artificial recharge (Excerpts from Policy on Reuse is provided in Appendix 5).

146. **Reuse Options.** Following the Sewerage and Wastewater Policy, 2016, the draft Guidelines on Reuse provides the following reuse applications:

- (i) Agriculture, horticulture, irrigation
- (ii) Gardening in park
- (iii) Road washing and water sprinkling to reduce fugitive dust
- (iv) Industries including mining
- (v) Recreational ponds and lakes
- (vi) Social forestry
- (vii) Construction Activities
- (viii) Firefighting and other municipal uses
- (ix) Railway
- (x) Thermal power plants
- (xi) Cantonments
- (xii) Individual Users

147. **Allocation of treated wastewater for reuse.** City Level Committee (CLC) headed by the District Collector will allocate the water for most appropriate uses. According to the proposed procedure, agencies / individuals that require treated wastewater shall apply to the District Collector. CLC will allocate water to users. In case of supply is more than the demand of the town, the treated wastewater can be allocated to users with 25 km of STP by the CLC. It may also be made mandatory to industries to use treated wastewater under certain conditions

148. **Reuse Plan.** The State Policy require the Sewerage Detailed Project Report provide reuse options and strategy to implement reuse, and detailed Reuse Action Plan. As the Fatehpur subproject is proposed under DBO, the Reuse plan will be prepared by the DBO contractor during the detailed design phase in consultation with the stakeholders in Fatehpur, and reuse modalities will be firmed up. Subproject includes following components as part of the STP to facilitate reuse: disinfection of treated wastewater, Treated effluent storage reservoir (TESR), effluent pumping station and a treated effluent elevated reservoir (TEER). Following needs to be considered in the preparation of reuse plan:

- (i) As part of the plan, identify potential reuse application in Fatehpur and establish quality criteria for each of the use
- (ii) For applications that use treated wastewater directly (e.g., agriculture), the quality required for such application in safe manner considering health, environment and crop yield concerns shall be ensured;
- (iii) Prepare a reuse plan for agriculture, if that is the priority use or one of the applications as per the CLC in Fatehpur, clearly indicating the limits (geographical / crops / type of application / type of soils etc.); adopt international good practice suggested by agencies like World Health Organization (WHO), Food and Agricultural Organization (FAO) of the United Nations.
- (iv) Plan should include awareness and training provisions and responsibilities; these can be conducted by concerned department (e.g., Agricultural Department, District Collectorate)



- (v) Carryout regular / online monitoring of critical quality parameters of treated wastewater to ensure that they meet the preset standards established for reuse

**149. Use of treated wastewater for irrigation.** Use of wastewater for irrigation is associated with some health risks – from germs in wastewater, which may contaminate food and spread disease, health risk to farm workers from worms (helminths) and nematodes and chemical risk is associated if industrial wastewater enter the sewers. If the wastewater with bacteriological contaminants are used for food crops like lettuce, tomato, which are eaten without peeling or cooking, it will present a greater health risk if precaution such as such washing with chlorinated water or storing for adequate time in normal temperature before use (at least 10 days). According to the WHO, effluent which is used to irrigate trees, industrial/commercial (not food, like cotton) and fodder crops, fruit trees, and pasture should have less than one viable nematode egg per liter. Effluent used for the irrigation of food crops, sports fields, public parks, should have and less than one viable nematode egg per liter and less than 1000 fecal coliforms per 100 milliliters. These shall be considered in the Reuse Plan that will be prepared during the detailed design and complied accordingly.

**150. Disposal of treated wastewater.** Given the dry and water scarce project area, treated wastewater will be utilized for reuse purposes throughout the year by preparing and implementing a robust reuse plan. Considering the existing status of land, and the degree of treatment, no significant impacts envisaged. Proper systems should be put in place at the proposed STP to ensure that treated wastewater at all times meet the stipulated standards prior to its discharge. Operation and maintenance of STP and change in incoming sewage quality will have impact on the treatment efficiency. This therefore requires monitoring:

- (i) Obtain of consent of RSPCB for discharge of treated wastewater into nearby drains.
- (ii) Regularly monitor the treated wastewater quality at STP and ensure that it meets the discharge standards
- (iii) Monitor water quality periodically during operation phase as per the Environmental Monitoring Plan

**151. Sludge treatment and disposal.** Sewage sludge generally consists of organic matter, pathogens, metals and micro pollutants. The concentration of parameters such as metals can be influenced by input to the sewers system from industry. Since no industrial wastewater is allowed into sewers, it is unlikely that sludge contains heavy metals. Heavy metal concentration may not be ruled out completely as the chemicals used in treatment may potentially contains heavy metals, which will then leach into the sludge.

**152.** Subproject includes sludge management infrastructure in STP, including system for sludge collection, thickening, solar drying, and disposal at landfill/identified site. This includes a Sludge Sump to collect sludge from SBR basins; returning arrangement for supernatant from the sump to inlet/equalization tank for treatment; pumping sludge to sludge thickener and pumping thickened to mechanical sludge dewatering system (such as centrifuge). It also requires contractor to establish a shed where the dewatered sludge cake can be further air dried for 15 days. This is indicative sludge management system, and DBO contractor will design the system meeting these requirements. Bid indicates that “the sludge produced from the treatment process would be processed so it may be used as fertilizer and soil conditioner” and it requires DBO contractor “to conform to the regulations of public health and environment protection norms”. This follows the Sewerage and Wastewater Policy, 2016, which suggests “use of sludge produced from the treatment as fertilizer and soil conditioner after processing”.

153. The treatment and drying processes kill enteric bacteria and pathogens, and because of its high content of nitrates, phosphates and other plant nutrients the sludge is an excellent organic fertilizer for application to the land. Adequate drying is however necessary to ensure maximum kill of enteric bacteria. To achieve adequate drying minimum drying period (15 days) shall be ensured. The drying period, which will be varying depending on the season will be determined during operation and be followed. A sludge management plan will be developed by the DBO contractor during the detailed design phase. Proper sludge handling methods should be employed. Personal Protection Equipment should be provided to the workers.

154. Contractor will propose the sludge management plan with best methods for reuse of sludge as per guidelines of CPHEEO (guidelines are attached as Appendix 6) and best international practices in consultation with PMU and Nagar Palika. Properly dried sludge can be used as soil conditioner. Periodic testing of dried sludge will be conducted to ensure that it does not contain heavy metals that make it unsuitable for food crops. Tests shall be conducted to confirm the concentrations below the following standards. As there are no specific standards notified for sludge reuse, the compost quality standards notified under the Solid Waste Management Rules, 2016 have been adopted here. Rules stipulate that “In order to ensure safe application of compost, the following specifications for compost quality shall be met”.

**Table 11 : Standards for Sludge Reuse as Manure**

| Standards for Composting. As there are no specific standards notified for sludge reuse, the compost quality standards notified under the Solid Waste Management Rules, 2016 (Schedule II A, Standards for Composting) have been adopted here. According to the standards “In order to ensure safe application of compost, the following specifications for compost quality shall be met, namely: |                   |                                  |                                              |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|----------------------------------|----------------------------------------------|
| Parameters                                                                                                                                                                                                                                                                                                                                                                                       | Units             | Organic Compost (FCO 2009)       | Phosphate Rich Organic Manure (FCO 2013)     |
| Arsenic                                                                                                                                                                                                                                                                                                                                                                                          | mg/kg             | 10                               | 10                                           |
| Cadmium                                                                                                                                                                                                                                                                                                                                                                                          | mg/               | 5                                | 5                                            |
| Chromium                                                                                                                                                                                                                                                                                                                                                                                         | mg/kg             | 50                               | 50                                           |
| Copper                                                                                                                                                                                                                                                                                                                                                                                           | mg/kg             | 300                              | 300                                          |
| Lead                                                                                                                                                                                                                                                                                                                                                                                             | mg/kg             | 100                              | 100                                          |
| Mercury                                                                                                                                                                                                                                                                                                                                                                                          | mg/kg             | 0.15                             | 0.15                                         |
| Nickel                                                                                                                                                                                                                                                                                                                                                                                           | mg/kg             | 50                               | 50                                           |
| Zinc                                                                                                                                                                                                                                                                                                                                                                                             | mg/kg             | 1000                             | 1000                                         |
| C/N ratio                                                                                                                                                                                                                                                                                                                                                                                        | -                 | <20                              | <20:1                                        |
| pH                                                                                                                                                                                                                                                                                                                                                                                               | -                 | 6.5 – 7.5                        | (1:5 solution) maximum 6.7                   |
| Moisture, percent by weight, maximum                                                                                                                                                                                                                                                                                                                                                             |                   | 15.0 – 25.0                      | 25.0                                         |
| Bulk density                                                                                                                                                                                                                                                                                                                                                                                     | g/cm <sup>3</sup> | <1                               | Less than 1.6                                |
| Total Organic Carbon, percent by weight, minimum                                                                                                                                                                                                                                                                                                                                                 | percent by weight | 12                               | 7.9                                          |
| Total Nitrogen (as N), percent by weight, minimum                                                                                                                                                                                                                                                                                                                                                | percent by weight | 0.8                              | 0.4                                          |
| Total Phosphate (as P <sub>2</sub> O <sub>5</sub> ), percent by weight, minimum                                                                                                                                                                                                                                                                                                                  | percent by weight | 0.4                              | 10.4                                         |
| Total Potassium (as K <sub>2</sub> O), percent by weight, minimum                                                                                                                                                                                                                                                                                                                                | percent by weight | 0.4                              | -                                            |
| Odour                                                                                                                                                                                                                                                                                                                                                                                            |                   | Absence of foul Odor             |                                              |
| Particle size                                                                                                                                                                                                                                                                                                                                                                                    |                   | minimum 90% material should pass | minimum 90% material should pass through 4.0 |

|                             |       |                            |             |
|-----------------------------|-------|----------------------------|-------------|
|                             |       | through 4.0 mm is<br>sieve | mm is sieve |
| Conductivity, not more than | dsm-1 | 4                          | 8.2         |

\* compost (final product) exceeding the above stated concentration limits shall not be used for food crops. However, it may be utilized for purposes other than growing food crops.

FCO = Fertilizer Control Order, Department of Agriculture, Government of India

155. In order to ensure the safe use of dried sludge, following should be followed:

- (i) Prepare a dried Sludge utilization plan for Fatehpur with the help of Agriculture Department / CLC; plan should also include if any additional processing is required for sludge to use as soil conditioner
- (ii) Plan should clearly various potential uses and demand in Fatehpur and surroundings
- (iii) Establish usage limits, where required, (geographical / crops / type of application / type of soils etc.); adopt international good practice suggested by agencies like World Health Organization (WHO), Food and Agricultural Organization (FAO) of the United Nations.
- (iv) Identify a landfill / suitable site for disposal of surplus dried sludge
- (v) Monitor sludge quality during operation phase as per the Environmental Monitoring Plan, ensure that it meets the quality parameters established by FCO
- (vi) In case of sludge not meeting the quality parameters, it shall not be used as soil condition, and shall be disposed at appropriate disposal site (if it falls under hazardous category, it shall be disposed as per the Hazardous Waste Management Rules, 2016)

156. **Mixing of industrial effluent in wastewater.** One of the critical aspects in sewerage system operation is, change in raw sewage characteristics at inlet of sewage treatment plant may affect the process and output quality. STPs are designed for municipal wastewater, which does not include industrial effluent. Characteristics of industrial effluent widely vary depending on the type of industry, and therefore disposal of effluent into sewers may greatly vary the inlet quality at STP and will upset process and affect the efficiency. Various types of small to medium-scale industries, including small cement plant, wooden furniture and handicrafts, cement electric poles manufacturing, stone and steel furniture, plastic, detergent etc., Most of these industries generate wastewater from the process, which is generally treated at effluent treatment plants specifically established for the purposes and are not allowed into municipal sewers. While the project does not provide sewerage system in established industrial areas, there is a risk of industrial effluent joining municipal sewers from the small/household units established in town areas where sewers are being provided. Mixing of industrial effluent will severely deteriorate the quality of treated wastewater, and therefore the proposed reuse. Reuse of such water may have significant impact on public health, and on land and water. Following measures should be incorporated to safeguard the sewerage system and the intended reuse:

- (i). No industrial wastewater shall be allowed to dispose into municipal sewers
- (ii). As there is a risk of potential mixing of industrial waste, no domestic wastewater from industrial units shall be allowed into municipal sewers
- (iii). Ensure that there is no illegal discharge through manholes or inspection chambers
- (iv). Conduct public awareness programs in coordination with RPCB and CLC
- (v). Conduct regular wastewater quality monitoring (at inlet and at outlet of STP) to ensure that the treated wastewater quality complies with the effluent standards

### C. Pre-construction Impacts

157. **Utilities.** Telephone lines, electric poles and wires, water lines within the proposed project locations may require to be shifted in few cases. To mitigate the adverse impacts due to relocation of the utilities, the contractor, in collaboration with ULB will (i) identify the locations and operators of these utilities to prevent unnecessary disruption of services during construction phase; and (ii) instruct construction contractors to prepare a contingency plan to include actions to be done in case of unintentional interruption of services.

158. **Social and Cultural Resources.** Any work involving ground disturbance can uncover and damage archaeological and historical remains. Fatehpur; being heritage city, there is possibility that excavation for pipe laying and other works could make impacts if the site contains any archeological and historical remains. therefore, PIU should:

- (i) consult Department of Archaeology and Museums to obtain an expert assessment of the archaeological potential of the site;
- (ii) consider alternatives if the site is found to be of high risk;
- (iii) include state and local archaeological, cultural and historical authorities, and interest groups in consultation forums as project stakeholders so that their expertise can be made available; and
- (iv) Chance Finds protocol (Appendix 23) is developed for use by the construction contractors in conducting any excavation work, to ensure that any chance finds are recognized and measures are taken to ensure they are protected and conserved.

159. **Site selection of construction work camps, stockpile areas, storage areas, and disposal areas.** Priority is to locate these near the project location. However, if it is deemed necessary to locate elsewhere, sites to be considered will not promote instability and result in destruction of property, vegetation, irrigation, and drinking water supply systems. Residential areas will not be considered for setting up construction camps to protect the human environment (i.e. to curb accident risks, health risks due to air and water pollution and dust, and noise, and to prevent social conflicts, shortages of amenities, and crime). Extreme care will be taken to avoid disposals near forest areas, water bodies, swamps, or in areas which will inconvenience the community.

160. **Site selection of sources of materials.** Extraction of materials can disrupt natural land contours and vegetation resulting in accelerated erosion, disturbance in natural drainage patterns, ponding and water logging, and water pollution. To mitigate the potential environmental impacts, locations of quarry site/s and borrow pit/s (for loose material other than stones) would be assessed by PIU. Priority would be sites already permitted by Department of Mines and Geology. If new sites are necessary, these would be located away from population centers, drinking water intakes and streams, cultivable lands, and natural drainage systems; and in structurally stable areas. It will be the construction contractor's responsibility to verify the suitability of all material sources and to obtain the approval of Department of Mines & Geology and local revenue administration. If additional quarries will be required after construction is started, then the construction contractor shall use the mentioned criteria to select new quarry sites, with written approval of PIU.

### D. Construction Impacts

161. The civil works for the subproject include earth work excavation for sewer trenches, sewer laying, construction manholes, shifting of public utilities and providing house service connections. Earth work excavation will be undertaken by machine (backhoe excavator) and include danger lighting and using sight rails and barricades at every 100 m., while sewer laying works will include laying sewer at required gradient, fixing collars, elbows, tees, bends and other fittings including conveying the material to work spot and testing for water tightness.

162. **Laying of Sewer Networks.** The maximum depth for sewers depends on the design, and in Fatehpur most of the sewers will be laid 1 to 3.5 m below the ground, and some sewers will be laid deeper (>3.5m) and maximum depth will be 12m (trenchless work). Sufficient care will be taken while laying so that existing utilities and cables are not damaged and pipes are not thrown into the trenches or dragged, but carefully laid in the trenches. Trenches deeper than 2m will be protected by bracings to avoid collapse of trenches, and also to avoid any risk to surrounding buildings. Once they are laid, pipes will be joined as per specification and then tested for any cracks or leakages. The minimum working hours will be 8 hours daily, the total duration of each stage depends on the soil condition and other local features. The excess excavated soil shall be used for filling low lying area or stored/ dumped in approved debris disposal sites.

163. Although construction of these project components involves quite simple techniques of civil work, the invasive nature of excavation and the project locations in the built-up areas of the town where there are a variety of human activities, will result in impacts to the environment and sensitive receptors such as residents, businesses, and the community in general. The anticipated impacts are temporary and for short duration.

164. Physical impacts will be reduced by the method of working and scheduling of work, whereby the project components will be (i) constructed by small teams working at a time; (ii) any excavation done near sensitive area like school, religious places and house will be protected as per standard norms etc.

165. **Construction of STP.** STP is proposed in the land which are under possession/identified by Nagar Palika. Construction impacts are the common impacts of any construction works like site clearance, excavation, erection of building/structures, health and safety of workers and nearby residents etc. which can be mitigated as per the best practices prevailing in the country which includes but not limited to health and safety arrangements for workers and nearby habitants, operation and maintenance of construction equipment, prevention of air, water and noise pollution due to construction works etc. Contractor is required to adopt all the measures as per best national practice and standards. If national standards are not available for any particular activity, international standards may need to be adopted by the Contractor.

166. **Proposed pipe line.** A detail survey is needed after finalization of alignment to access the feasibility of the alignment for need of any tree cutting, demolition of any structure, road and railway crossings, pipe laying in any private land, presence of any sensitive receptor along alignment, disturbance to public or business etc. Mitigation measures have been prepared for potential adverse impacts. Prior consent from land owners (if pipe laying is required in private land) and NOC from concerned departments (for pipe laying in roads, road/railway crossings etc) prior to start of construction works, is required. To mitigate the impacts of disturbance to road users during pipe laying works on road, it is strongly recommended that contractor dig only those length of road, up to which extent he can lay pipe on the same day and can back fill the trench and restore the road up to motorable conditions. All the safety measures for work on road

such as barricades, road signage, traffic assistance etc. is required to be adopted by contractor during construction works. Further if night works are required (however unlikely, applicable only in extreme conditions) all the mitigation measures to reduce impacts of disturbance to minimum level to nearby habitants and road users should be ensured by contractor.

**167. Demolition works.** In the initial stage of project planning it is assessed that there is no requirement of demolition of structures. If any demolition works are required, proper work plan and mitigation measures will be required for demolition works. Structures to be demolished should be wetted through water sprinkling to reduce dust emission. Appropriate site for storage and disposal of demolished materials should be selected prior to start of demolition activities with prior permission/approval of PIU/ULB. All the safety measures should be adopted during demolition activities.

**168. Storage and Disposal of excavated earth.** A large quantity of soil will be excavated for pipe laying and construction of STP. Some part of this excavated soil will be reused for backfilling and/or surface leveling; rest of the soil will be needed to be disposed in other locations. Proper storage and disposal plan from contractor is required before start of the work. Prior permission from land owner/concerned authority for storage and disposal of excess earth is required. Prior to the commencement of works, Contractor will follow all the prescribed rules<sup>15</sup> and shall identify a debris disposal site in consultation with the PIU/ULB and adhering to following criteria:

- (i) The site shall be selected preferably from barren, infertile lands. In case agricultural land needs to be selected, top-soil stripping, stacking and preservation should be undertaken prior to initiation of any activities.
- (ii) Debris disposal site shall be at least 200 m away from any surface water body.
- (iii) No residential areas shall be located within 200 m downwind side of the site.
- (iv) The site is minimum 250 m. away from sensitive locations like hospitals, religious places, ponds/lakes or other water bodies.
- (v) The local governing body and community shall be consulted while selecting the site.
- (vi) Soil storage site should be properly demarcated by fencing and information board should be placed at entrance
- (vii) At soil storage site soil should be covered by tarpaulin or regular water sprinkling should be done to reduce dust emission
- (viii) At soil disposal site the disposed soil should be leveled on daily basis and no heap or mound should be left at end of the day

**169. Sources of Materials.** Significant amount of gravel, sand, coarse aggregate, and cement will be required for this project. The construction contractor will be required to:

- (i) Use material sources permitted by government;<sup>16</sup>
- (ii) Verify suitability of all material sources and obtain approval of PIU; and
- (i) Submit to PIU on a monthly basis documentation of sources of materials. If contractor is purchasing ready mix concrete, asphalt/macadam and aggregates

<sup>15</sup> Construction and Demolition Waste Management Rules 2016 (appendix 8) and Solid Waste Management Rules 2016

<sup>16</sup> CTE and CTO will be required for batching plant, hot mix plant, crushers etc. if specifically established for this project. If contractor is purchasing raw material or ready mix concrete, asphalt/macadam and aggregates from third party, he has to be assured that third party is having CTE/CTO from RPCB and should collect the copy of these and submit to PIU/consultants. Quarry sites should also have the desired permissions.



from third party, contractor will assure that all the parties/ suppliers are having CTE/CTO from RPCB and will collect the copy of these certificates and submit to PIU/consultants

**170. Air Quality.** Emissions from construction vehicles, equipment, and machinery used for excavation and construction will induce impacts on the air quality in the construction sites. Anticipated impacts include dusts and increase in concentration of vehicle-related pollutants such as carbon monoxide, sulfur oxides, particulate matter, nitrous oxides, and hydrocarbons. These however will be temporary limiting to construction activities only. To mitigate the impacts, construction contractors will be required to:

- (i) Consult with PIU/on the designated areas for stockpiling of soils, gravel, and other construction materials;
- (ii) Damp down exposed soil and any stockpiled material on site by water sprinkling;
- (iii) Use tarpaulins to cover sand and other loose material when transported by trucks;
- (iv) Clean wheels and undercarriage of haul trucks prior to leaving construction site
- (v) Don't allow access in the work area except workers to limit soil disturbance and prevent access by barricading and security personnel
- (vi) Fit all heavy equipment and machinery with air pollution control devices which are operating correctly, DGs should have proper stake height as per norms;
- (vii) Ensure all the vehicles, equipment and machinery are having valid PUC certificates
- (viii) Do regular water sprinkling in dusty areas to reduce dust emission during works
- (ix) Damp down the structures before demolishing to reduce dust emission
- (x) Damp down on regular basis all the access ways
- (xi) Maintain all the equipment and vehicles to reduce emission of smoke and keep pollution under control and keep records of periodic maintenance
- (xii) Conduct ambient air quality monitoring periodically as per Environmental Management Plan EMP

**171. Surface Water Quality.** Run-off from stockpiled materials and chemical contamination from fuels and lubricants during construction works can contaminate downstream surface water quality of the streams. These potential impacts are temporary and short-term duration only. However, to ensure that these are mitigated, construction contractor will be required to:

- (i) Prepare and implement a spoils management plan;
- (ii) Avoid to construct any construction camps and labour camps away from any water body and do not allow to dispose any waste or sullage in to any water body
- (iii) Avoid stockpiling of earth fill especially during the monsoon season unless covered by tarpaulins or plastic sheets;
- (iv) Prioritize re-use of excess spoils and materials in the construction works. If spoils will be disposed, consult with PIU on designated disposal areas;
- (v) Install temporary silt traps or sedimentation basins along the drainage leading to the water bodies;
- (vi) Place storage areas for fuels and lubricants away from any drainage leading to water bodies and provide impermeable lining under the storage yard of fuels and lubricants
- (vii) Dispose any wastes generated by construction activities in designated sites;
- (viii) Keep oil tray or pans under the DG set or during maintenance of mechanical equipment to avoid oil spillage resulting soil and water pollution, and
- (ix) Conduct surface water quality Monitoring according to the Environmental Management Plan (EMP)

**172. Noise and Vibration Levels.** Construction works will be conducted along the roads in Fatehpur urban area, where there are majorly houses and religious places and small-scale businesses. The sensitive receptors are the general population in these areas. Increase in noise level may be caused by excavation, particularly breaking of cement concrete or bitumen roads, operation of construction equipment like concrete mixers, and the transportation of equipment, materials, and people. Vibration generated from construction activity, for instance from the use of pneumatic drills, will have impact on nearby buildings. This impact is negative but short-term, and reversible by mitigation measures. The construction contractor will be required to:

- (i) Plan activities in consultation with PIU so that activities with the greatest potential to generate noise are conducted during periods of the day which will result in least disturbance;
- (ii) Use road cutters instead of breaker/hammer for cutting the road before excavation for pipe laying on roads
- (iii) Horns should not be used unless it is necessary to warn other road users or animals of the vehicle's approach;
- (iv) Minimize noise from construction equipment by using vehicle silencers, fitting jackhammers with noise-reducing mufflers, and use portable street barriers to minimize sound impact to surrounding sensitive receptor;
- (v) DGs being used at site should have sound reducing enclosures, preferably silent DGs should be used at site;
- (vi) Maintain maximum sound levels not exceeding 80 decibels (dBA) when measured at a distance of 10 m or more from the vehicle/s and equipments;
- (vii) Identify any buildings at risk from vibration damage and avoiding any use of pneumatic drills or heavy vehicles in the vicinity;
- (viii) Consult the custodians of important buildings, cultural and tourism authorities and local communities in advance of the work to identify and address key issues, and avoid working at sensitive times, such as religious and cultural festivals;
- (ix) Provide all workers appropriate PPEs like ear plug/muff, working in high noise conditions;
- (x) Keep all vehicles and equipments in good conditions to avoid excessive noise generation;
- (xi) Provide noise barriers near sensitive receptors like schools, hospitals, temples, courts etc and consult in advance with sensitive receptors about the working hours (specially schools, hospitals, offices, courts etc) and avoid noisy works in those hours;
- (xii) Avoid noisy works in nights in inhabited areas to avoid any disturbance to habitants; and
- (xiii) Consult in advance with habitants and inform them about the nature and duration of works
- (xiv) Conduct noise monitoring according to the Environmental Management Plan (EMP)

**173. Management Plan for Night works at Project Sites (if required).** Following requirements should be fulfilled for construction works at night hours-

- (i) Night works should be avoided at construction sites specially in residential areas and should be performed only when day works are not possible due to excessive traffic/public/pedestrian movement, site of cultural or religious importance, where there is huge crowd during day hours or any other unavoidable circumstances.
- (ii) Contractor should plan for night works only after directions from PMU/PIU/DSC
- (iii) Contractor should submit plan for night works for approval from PIU.

- (iv) PIU should ensure that prior written information should be given to local authorities such as district administration, Police/traffic police, line agencies concerned, residents welfare association/business association/vyaparmandal of the affected areas and their consents/permissions should be taken prior to start of night works.
- (v) PIU/DSC engineers should check and ensure that all the preparation as per management plan is done by contractor and contractor is having all the necessary equipments and materials for night works.
- (vi) Contractor is required to have following equipment/arrangements for night works-
  - Contractors should have hand held noise level meter for measurement of noise during night hours
  - Contractors should have hand held lux meter for the measurement of illumination during night hours
  - Preferably electrical connections is available for running equipment otherwise sound proof/super silent Diesel Generator set should be available
  - Sound level should not increase as per following-

| Type of area of work | Maximum noise level dB(A) |
|----------------------|---------------------------|
| Industrial           | 70                        |
| Commercial           | 55                        |
| Residential          | 45                        |
| Silence zone         | 40                        |

- Illumination should be as follows-

| Minimum illumination (lx) | Areas to be illuminated                                   | Type of work activity                                                                                                  |
|---------------------------|-----------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------|
| 54                        | Illumination throughout the work area                     | General work area lighting, and performance of visual tasks of large size, or medium contrast, or low require accuracy |
| 108                       | Illumination of work area and areas adjacent to equipment | Performance of visual tasks of medium size, or low to medium contrast, or medium required accuracy                     |
| 216                       | Illumination of task                                      | Performance of visual tasks of small size, or low contrast or high required accuracy or fine finish                    |

- As far as possible ready mix concrete from batching plant to be used, otherwise the concrete should be prepared away from residential areas and brought to the site
- All the noise activity like hammering, cutting, crushing, running of heavy equipment should be done in day time and avoided in night time
- Workers engaged in night works should have adequate rest/sleep in day time before start of night works
- Worker engaged for night works should have previous experience of night works and should be physically fit for such works including clear vision in night
- All the necessary provisions of traffic aids such as traffic signals, road signage, barricades, cautions boards, traffic diversion boards etc. should be available with fluorescent/retro-reflective arrangements

- Workers should be trained before start of night works about risks and hazards of night works and their mitigation measures and should be provided all the protective aids (PPEs) including fluorescent/retro-reflective vests
  - Horns should not be permitted by equipment and vehicles
  - Workers should not shout and create noise
  - First aid and emergency vehicles should be available at site
  - Emergency preparedness plan should be operative during night works
  - Old persons and pregnant women and women having small kids should not work in night time
  - All the vehicles and equipment being used at night works should have adequate type of silencers/enclosures/mufflers to reduce noise
  - All the vehicles should be checked for working head lamps, tail lamps, inner lights etc. before start of night works
- (vii) PIU/CMSC site engineers and contractors safety personnel should closely monitor the safety of works continuously and noise and illumination levels on hourly basis and maintain photographic and videographic records as well as register the observations
- (viii) Night works should be stopped early in the morning at least one hour before start of pedestrian/traffic movement
- (ix) After completion of night works all the site should be cleaned and maintained obstruction free for day time movement of vehicles and pedestrians
- (x) Drivers and workers should be alert and responsive during night works
- (xi) All the wages to workers working in night hours should be as per the applicable labour acts
- (xii) Avoid any nuisance which may create problems to nearby habitants and work peacefully during night hours
- (xiii) Night works should not be conducted near hospitals and during peak seasons such as peak tourist season, students' exam times etc.

174. **Landscape and Aesthetics.** The construction works does not envisage any cutting of trees, but it will produce excess excavated earth, excess construction materials, and solid waste such as removed concrete, wood, packaging materials, empty containers, spoils, oils, lubricants, and other similar items. Haphazard disposal of these will have negative impacts on landscape and overall aesthetics. These impacts are negative but are of short-term and reversible by mitigation measures. The construction contractor will be required to:

- (i) Prepare and implement spoils management plan;
- (ii) Avoid stockpiling of excess excavated soils;
- (iii) Coordinate with ULB for beneficial uses of excess excavated soils or immediately dispose to designated areas;
- (iv) Recover used oil and lubricants and reuse or remove from the sites;
- (v) Manage solid waste according to the following preference hierarchy: reuse, recycling and disposal to designated areas;
- (vi) Remove all wreckage, rubbish, or temporary structures which are no longer required;
- (vii) Request PIU to report in writing that the necessary environmental restoration work has been adequately performed before acceptance of work;
- (viii) Minimize removal of vegetation and disallow cutting of trees;
- (ix) If tree-removal will be required, obtain tree-cutting permit from the Revenue Department; and
- (x) Plant three native trees for every one that is removed.

175. **Groundwater Quality.** Another physical impact that is often associated with excavation is the effect on drainage and the local water table if groundwater and surface water collect in the voids. Although, groundwater is much deeper than the proposed trenching depth, and rains are scarce and limited to very short duration during monsoon, to ensure that water will not pond in pits and voids near project location, the construction contractor will be required to conduct excavation works in non-monsoon season to the maximum extent possible. These potential impacts are temporary and short-term duration only. However, to ensure that these are mitigated, construction contractor will be required to:

- (i) Prepare and implement a spoils management plan (Appendix 10);
- (ii) Avoid stockpiling of earth fill especially during the monsoon season unless covered by tarpaulins or plastic sheets;
- (iii) Prioritize re-use of excess spoils and materials in the construction works. If spoils will be disposed, consult with PIU on designated disposal areas;
- (iv) Install temporary silt traps or sedimentation basins along the drainage leading to the water bodies;
- (v) Place storage areas for fuels and lubricants away from any drainage leading to water bodies;
- (vi) Dispose any wastes generated by construction activities in designated sites; and
- (vii) Conduct periodical surface quality water quality and ground water quality monitoring according to the Environmental Management Plan (EMP).

176. **Accessibility.** Excavation along the roads, hauling of construction materials and operation of equipment on-site can cause traffic problems. Potential impact is negative but short term and reversible by mitigation measures. The construction contractor will be required to:

- (i) Prepare and implement a Traffic Management Plan (Appendix 11)
- (ii) Plan transportation routes so that heavy vehicles do not use narrow local roads, except in the immediate vicinity of delivery sites;
- (iii) Schedule transport and hauling activities during non-peak hours;
- (iv) Locate entry and exit points in areas where there is low potential for traffic congestion;
- (v) Keep the site free from all unnecessary obstructions;
- (vi) Drive vehicles in a considerate manner;
- (vii) Coordinate with Traffic Police for temporary road diversions and for provision of traffic aids if transportation activities cannot be avoided during peak hours; and
- (viii) Notify affected sensitive receptors by providing sign boards informing nature and duration of construction works and contact numbers for concerns/complaints;
- (ix) For work near railway crossing, obtain approval from the railway authorities and carryout work as per their directions.

177. Wherever road width is minimal, there will be temporary loss of access to restrains and vehicular traffic (including 2-wheelers) during the laying of pipes. Under those circumstances, contractor shall adopt following measures:

- (i) Inform the affected local population 1-week in advance about the work schedule
- (ii) Plan and execute the work in such a way that the period of disturbance/ loss of access is minimum.
- (iii) Provide pedestrian access in all the locations until normalcy is restored. Provide wooden/metal planks over the open trenches at each house to maintain the access.

178. **Socio-Economic - Income.** The project components will be located in government land

and there is no requirement for land acquisition or any resettlement. Construction works will impede the access of residents to specific site in limited cases. The potential impacts are negative and moderate but short-term and temporary. The construction contractor will be required to:

- (i) Prepare and implement spoils management plan (Appendix 10);
- (ii) Leave spaces for access between mounds of soil;
- (iii) Provide walkways and metal sheets where required to maintain access across for people and vehicles;
- (iv) Increase workforce in the areas with predominantly institutions, place of worship, business establishment, hospitals, and schools;
- (v) Consult businesses and institutions regarding operating hours and factoring this in work schedules; and
- (vi) Provide sign boards for pedestrians to inform nature and duration of construction works and contact numbers for concerns/complaints.
- (vii) Notify community/ water users in advance about likely interruptions in water supply.
- (viii) Provide alternate sources of clean water until water supply is restored.
- (ix) Provide all mitigation measures as given in resettlement plan (RP) prepared for the project to mitigate impacts on vendors and shopkeepers

**179. Socio-Economic-Employment.** Manpower will be required during the 36-months construction stage. This can result in generation of temporary employment and increase in local revenue. Thus potential impact is positive and long-term. The construction contractor will be requested to:

- (i) Employ labour force from the local area wherever possible; and
- (ii) Secure construction materials from local market as far as possible.

**180. Occupational Health and Safety.** Workers need to be mindful of the occupational hazards which can arise from working in height and excavation works. Potential impacts are negative and long-term but reversible by mitigation measures. Construction contractor will depute experienced EHS personnel and will be required to:

- (i) Comply with all national, state and local labor laws (see Appendix 9);
- (ii) Following best practice health and safety guidelines: IFC's General EHS Guidelines<sup>17</sup>, WHO Interim Guidance (and its updates) on Water, Sanitation, Hygiene and Waste management for the COVID19 virus (Appendix 29), and Sector Specific (Water and Sanitation) Guidelines<sup>18</sup>;
- (iii) Ensure that qualified first-aid is provided at all times. Equipped first-aid stations shall be easily accessible throughout the site;
- (iv) Provide medical insurance coverage for workers;
- (v) Secure all installations from unauthorized intrusion and accident risks;
- (vi) The project area experiences extreme temperature during summer months of

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<sup>17</sup><https://www.ifc.org/wps/wcm/connect/554e8d80488658e4b76af76a6515bb18/Final%2B-General%2BEHS%2BGuidelines.pdf?MOD=AJPERES>

<sup>18</sup><https://www.ifc.org/wps/wcm/connect/e22c050048855ae0875cd76a6515bb18/Final%2B-Water%2Band%2BSanitation.pdf?MOD=AJPERES>



April and May, which may affect the health of workers engaged in construction work. Contractor should take necessary measures during summers including the following:

- a. Work schedule should be adjusted to avoid peak temperature hours (12 -3 PM)
- b. Provide appropriate shade near the work place; allow periodic resting and provide adequate water
- c. Provide necessary medicine and facilities to take care of dehydration related health issues
- (vii) Provide supplies of potable drinking water;
- (viii) Provide clean eating areas where workers are not exposed to hazardous or noxious substances;
- (ix) Provide H&S orientation training to all new workers to ensure that they are apprised of the basic site rules of work at the site, personal protective protection, and preventing injuring to fellow workers;
- (x) Provide visitor orientation if visitors to the site can gain access to areas where hazardous conditions or substances may be present. Ensure also that visitor/s do not enter hazard areas unescorted;
- (xi) Ensure the visibility of workers through their use of high visibility vests when working in or walking through heavy equipment operating areas;
- (xii) Ensure moving equipment is outfitted with audible back-up alarms;
- (xiii) Mark and provide sign boards for hazardous areas such as energized electrical devices and lines, service rooms housing high voltage equipment, and areas for storage and disposal. Signage shall be in accordance with international standards and be well known to, and easily understood by workers, visitors, and the general public as appropriate; and
- (xiv) Disallow worker exposure to noise level greater than 85 dBA for duration of more than 8 hours per day without hearing protection. The use of hearing protection shall be enforced actively.

**181. Community Health and Safety.** Hazards posed to the public, specifically in high-pedestrian areas may include traffic accidents and vehicle collision with pedestrians. Potential impact is negative but short-term and reversible by mitigation measures. The construction contractor will be required to:

- (i) Plan routes to avoid times of peak-pedestrian activities.
- (ii) Liaise with PIU in identifying risk areas on route cards/maps.
- (iii) Maintain regularly the vehicles and use of manufacturer-approved parts to minimize potentially serious accidents caused by equipment malfunction or premature failure.
- (iv) Provide road signs and flag persons to warn of on-going trenching activities.

**182.** Central part of the town is characterized by narrow roads. Particularly, the areas located in old town have very narrow roads with sharp turns and are accessible only to pedestrians. Besides impeding the access, the trench excavation and pipe laying will pose safety risks to pedestrians, and the people living in these areas. Though the width (<500 mm) and depth (<750mm) of trench is minimal, it will pose safety risk, especially for children and elders. The construction contractor will be required to:

- (i) Provide prior information to the local people about the nature and duration of work
- (ii) Conduct awareness program on safety during the construction work
- (iii) Undertake the construction work stretch-wise; excavation, pipe laying and trench

- (iv) refilling should be completed on the same day
- (iv) Provide barricades, and deploy security personnel to ensure safe movement of people and also to prevent unnecessary entry and to avoid accidental fall into open trenches

183. **Work Camps.** Operation of work camps can cause temporary air and noise pollution from machine operation, water pollution from storage and use of fuels, oils, solvents, and lubricants. Potential impacts are negative but short-term and reversible by mitigation measures. The construction contractor will be required to:

- (i) Consult PIU before locating project offices, sheds, and construction plants;
- (ii) Minimize removal of vegetation and disallow cutting of trees;
- (iii) Provide safe drinking water, water for other uses, and sanitation facilities for employees;
- (iv) Periodically test the drinking water supplied to workers from external agency and submit test report to PIU
- (v) Ensure conditions of liveability at work camps are maintained at the highest standards possible at all times;
- (vi) Prohibit employees from poaching wildlife and cutting of trees for firewood;
- (vii) Train employees in the storage and handling of materials which can potentially cause soil contamination;
- (viii) Recover used oil and lubricants and reuse or remove from the site;
- (ix) Manage solid waste according to the following preference hierarchy: reuse, recycling and disposal to designated areas;
- (x) Conduct periodical ground water quality monitoring at construction camps/storage yard as per EMP and submit report to PIU
- (xi) Remove all wreckage, rubbish, or temporary structures which are no longer required; and
- (xii) Request PMU to report in writing that the camp has been vacated and restored to pre-project conditions before acceptance of work.

184. **Social and Cultural Resources.** For this project, excavation will occur at locations known not to have archaeological values, so it could be that there is a low risk of such impacts. Nevertheless, the construction contractor will be required to:

- (i) Strictly follow the protocol for chance finds in any excavation work;
- (ii) Request PIU or any authorized person with archaeological/historical field training to observe excavation;
- (iii) Stop work immediately to allow further investigation if any finds are suspected;
- (iv) Inform PIU/ACM if a find is suspected, and take any action they require ensuring its removal or protection in situ.
- (v) Adjacent to religious/historic sites, undertake excavation and construction work in such a way that no structural damage is caused to the building.

185. **Debris disposal.** Prior to the commencement of works, contractor shall identify a debris disposal site in consultation with the PIU and Consultant. Contractor will follow all the prescribed rules<sup>19</sup> during construction and adhering to following criteria (including but not limited to)

- (i) The site shall be selected preferably from barren, infertile lands. In case agricultural land needs to be selected, top-soil stripping, stacking and preservation should be undertaken prior to initiation of any activities.

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<sup>19</sup>Construction and Demolition Waste Management Rules 2016 (refer Appendix 8)

- (ii) The local governing body and community shall be consulted while selecting the site.
- (iii) Contractor shall prepare a construction and demolition waste management plan in pre-construction phase for safe disposal of construction and demolition wastes as per applicable rules and submit to Municipality through PIU for approval
- (iv) Debris disposal site shall be at least 200 m away from surface water bodies<sup>20</sup>.
- (v) No residential areas shall be located within 100 m downwind side of the site.
- (vi) The site is minimum 250 m. away from sensitive locations like hospitals, religious places, ponds/lakes or other water bodies.

186. Traffic diversion and/or road closure- If traffic diversion and/or road closure is required for the proposed works, prior consent from traffic department will be required and prior information to affected areas and public should be disseminated through consultations by CAPC. Proper road signage and traffic aids should be provided at site. Excavation along the roads, hauling of construction materials and operation of equipment on-site can cause traffic problems. Potential impact is negative but short term and reversible by mitigation measures. The construction contractor will be required to:

- (i) Prepare and implement a Traffic Management Plan
- (ii) Plan transportation routes so that heavy vehicles do not use narrow local roads, except in the immediate vicinity of delivery sites;
- (iii) Schedule transport and hauling activities during non-peak hours Locate entry and exit points in areas where there is low potential for traffic congestion;
- (iv) Keep the site free from all unnecessary obstructions;
- (v) Drive vehicles in a considerate manner;
- (vi) Coordinate with Traffic Police for temporary road diversions and for provision of traffic aids if transportation activities cannot be avoided during peak hours; and
- (vii) Notify affected sensitive receptors by providing sign boards informing nature and duration of construction works and contact numbers for concerns/complaints.
- (ii) Maintain sufficient access to houses and shopkeepers (commercial establishments) during pipe laying work through metal sheets and temporary bridges
- (iii) Locate entry and exit points in areas where there is low potential for traffic congestion;

187. Wherever road width is minimal, there will be temporary loss of access to pedestrians and vehicular traffic including two wheelers during the laying of pipes. Under those circumstances, contractor shall adopt following measures:

- (i) Inform the affected local population 1-week in advance about the work schedule
- (ii) Plan and execute the work in such a way that the period of disturbance/ loss of access are minimum.
- (iii) Provide pedestrian access in all the locations until normalcy is restored. Provide wooden/metal planks over the open trenches at each house to maintain the access
- (iv) Excavate only that stretch in a day that could be finished in the same day by laying of pipes and backfilling

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<sup>20</sup> In the absence of site meeting the stipulated criteria, an alternate site can be selected specifying the reasons. In such a case, the construction camp management plan should incorporate additional measures specific to the site as suggested by the Construction Manager.

188. **Asbestos Containing Materials.** No Asbestos containing material (ACM) is proposed to be used in the subproject construction. There are ACM in the existing water supply infrastructure, these however will not be disturbed or come in contact during construction as the alignment of proposed sewer is different from the alignment of water lines.

## **E. Operation and Maintenance Impacts**

189. Operation and Maintenance of the sewerage system will be carried out by DBO contractor for 10 years and then directly by FatehpurNagarPalika. The system has a design life of 30 years, during which shall not require major repairs or refurbishments and should operate with little maintenance beyond routine actions required to keep the equipment in working order.

190. The stability and integrity of the system will be monitored periodically to detect any problems and allow remedial action if required. Any repairs will be small-scale involving manual, temporary, and short-term works involving regular checking and recording of performance for signs of deterioration, servicing and replacement of parts.

Contractor obtained consents (CTE under air and water Acts) from RPCB for operation of STP. Also, the treated effluent should meet the parameters as set by CPCB/RPCB. Further, the Contractor will also ensure compliances to all the conditions as mentioned in the CTO, which shall be obtained prior to commissioning of the STP.

191. **Safe disposal of treated effluent and sludge from STP:**In conventional treatment process, risks from STP operation are mainly hazards of disposal of treated effluent and sludge in unscientific manner and risk of infection due to these. Under proposed project, treated effluent from STP will be reused in beneficial purposes and a plan shall be developed by Nagar Palika for beneficial uses of treated effluent. Treated effluent shall meet the minimum criteria set by RPCB/CPCB/MOEF&CC and disinfected treated effluent shall be stored in elevated service reservoir for further reuse under this project. Towards aiding the Municipality finalize an appropriate approach for treated effluent reuse, the project shall carryout a capacity building activity to enable the Municipal Engineers develop an appropriate knowledge and skills on the matter. Digested and disinfected sludge can be used as manure (as quality of sludge from SBR process can be used in agriculture practices after testing of sludge for toxicity and heavy metals), keeping in mind that there are vast agricultural practices nearby the STP site. While sludge reuse can be promoted under the project, so far no designs or plan of action has been proposed. Hence, along with the capacity building activity for the Municipality Engineers on the treated wastewater reuse, appropriate knowledge and skills on sludge management and reuse shall be provided. Such training shall enable the Municipality Engineers to participate in the decision making process. Guidelines for reuse of treated effluent and sludge and its safe handling and disposal are given in Appendix 6.

192. Contractor will propose the plan with best methods for reuse of treated effluent and sludge as per guidelines of CPHEEO (guidelines are attached as Appendix 6) and best international practices in consultation with RUDSICO-EAP and Municipality and submit it in RUDSICO-EAP for approval.

193. Repair works could cause some temporary disruption of activities at locations of social and cultural importance such as schools, hospitals, churches, tourist sites etc., so the same precautions as employed during the construction period should be adopted. ULB needs to:

- (i) Identify any buildings at risk from vibration damage and avoiding any use of pneumatic drills or heavy vehicles in the vicinity;
- (ii) Complete work in these areas quickly;
- (iii) Consult the custodians of important buildings, cultural and tourism authorities

and local communities in advance of the work to identify and address key issues, and avoid working at sensitive times, such as religious and cultural festivals.

194. There are also certain environmental risks from the operation of the sewer system, most notably from leaking sewer pipes as untreated faecal material can damage human health and contaminate both soil and groundwater. It will be imperative therefore that the operating agency establishes a procedure to routinely check the operation and integrity of the sewers, and to implement rapid and effective repairs where necessary. There is an occupation health risk to workers engaged in sewer maintenance activities. Following measures should be followed:

- (i) Establish regular maintenance program, including:
  - Regular cleaning of grit chambers and sewer lines to remove grease, grit, and other debris that may lead to sewer backups. Cleaning should be conducted more frequently for problem areas.
  - Inspection of the condition of sanitary sewer structures and identifying areas that need repair or maintenance. Items to note may include cracked/deteriorating pipes; leaking joints or seals at manhole; frequent line blockages; lines that generally flow at or near capacity; and suspected infiltration or exfiltration; and
  - Monitoring of sewer flow to identify potential inflows and outflows
  - Conduct repairs on priority based on the nature and severity of the problem. Immediate clearing of blockage or repair is warranted where an overflow is currently occurring or for urgent problems that may cause an imminent overflow (e.g. pump station failures, sewer line ruptures, or sewer line blockages);
- (ii) Review previous sewer maintenance records to help identify "hot spots" or areas with frequent maintenance problems and locations of potential system failure, and conduct preventative maintenance, rehabilitation, or replacement of lines as needed;
- (iii) When a spill, leak, and/or overflow occurs, keep sewage from entering the storm drain system by covering or blocking storm drain inlets or by containing and diverting the sewage away from open channels and other storm drain facilities (using sandbags, inflatable dams, etc.). Remove the sewage using vacuum equipment or use other measures to divert it back to the sanitary sewer system.
- (iv) Prohibit/prevent disposal of wastewater/effluent from industrial units in the sewers; ensure regular checking to ensure no illegal entry of industrial wastewater into sewers
- (v) Develop an Emergency Response System for the sewerage system leaks, burst and overflows, etc.
- (vi) Provide necessary health & safety training to the staff in sewer cleaning & maintenance
- (vii) Provide all necessary personnel protection equipment
- (viii) For personnel cleaning underground sewers there is a risk due to oxygen deficiency and harmful gaseous emissions (hydrogen sulphide, carbon monoxide, methane, etc.); provide for adequate equipment (including oxygen masks) for emergency use

195. It has to be ensured that the Contractor obtains the relevant consents from RPCB for operation of STP. Also, the treated effluent should meet the parameters as set by CPCB/RPCB. Further, the Contractor will also ensure compliances to all the conditions as mentioned in the CTO. Latest standards for discharge of effluent are given in Appendix 4. Municipality should have responsible supervision of the effluent discharge standards

196. There is an occupation health risk to workers engaged in sewer maintenance activities. During cleaning/clearing of manholes and sewer lines great precautions should be taken for the safety of workers conducting such works. Therefore O&M contractor will be required to-

- (i) As far as possible use CCTV mechanism to detect the problems in pipe lines and do not engage persons for this purpose
- (ii) As far as possible use mechanized cleaning of manholes and pipe lines by using modern techniques and machines and do not engage persons for this purpose and engage persons only if mechanized cleaning is not possible in any way
- (iii) Ensure that employees and line management understand the risks through proper instruction, training and supervision.
- (iv) Use gas detector before entering any person inside manhole to detect any hazardous or inflammable gas present inside the manhole.
- (v) Provide suitable personal protective equipment that may include waterproof / abrasion-resistant gloves, footwear, eye and respiratory protection. Face visors are particularly effective against splashes. Equipment selection and a proper system for inspection and maintenance are important.
- (vi) Provide adequate welfare facilities, including clean water, soap, nail brushes, disposable paper towels, and where heavy contamination is foreseeable, showers.
- (vii) For remote locations portable welfare facilities should be provided.
- (viii) Areas for storage of clean and contaminated equipment should be segregated and separate from eating facilities.
- (ix) Provide adequate first-aid equipment, including clean water or sterile wipes for cleansing wounds, and a supply of sterile, waterproof, adhesive dressings.
- (x) Make effective arrangements for monitoring the health of staff.
- (xi) Keep emergency preparedness plan ready before start the work of sewage system cleaning

197. Biological hazards are among the environmental risks that may adversely impact the health and wellness of the workers and the community. Breakouts of diseases such as diarrhea, flu or pandemics such as the COVID19 shall be avoided. Designs and implementation of treatment systems shall ensure that disease-causing pathogens or viruses are disinfected and will not cause any health issues. The World Health Organization has released an interim guidance on Water, Sanitation, Hygiene and Waste Management for the COVID19 virus (see Appendix 29). Measures on managing wastewater and fecal waste and keeping water supplies safe is critical to avoid the start or spread of any disease.

198. **Operation of FSSM.** A large amount of households (2021-design year) of Fatehpur town will be covered with FSSM system wherein which septage from individual septic tanks at houses will be collected via mobile / vehicle mount tankers with suction equipment, transport and discharged into STP for safe treatment and disposal. Although system will be completely mechanized, given the very harmful nature of septage, following precautionary measures shall be implemented:

- (i) Create awareness program on the FSSM in general public;
- (i) Implement Health and Safety Plan for FSSM;
- (ii) Provide proper training to the workers, and staff in safe handling of FSSM tasks, provide all necessary personal protection equipment and ensure their usage;
- (iii) Ensure that the system is operated completely mechanically, with least involvement of workers; there shall be no direct contact of septage to any worker or staff;
- (iv) Ensure proper facilities for workers including showers, wash areas, toilets, drinking water, eating, and resting places;



- (v) Conduct regular health checks; and
- (vi) Ensure that tankers cleaning is done mechanically, and in the demarcate area at STP, and the wastewater generated in the process shall be discharged into STP

199. **Septic Tank Closure Plan:** A majority of the households in Fatehpur City shall be connected to the new sewerage network coming up under the project. However, the existing septic tank system that is being used by the residents should be closed appropriately. This is to prevent the contamination of groundwater or surface water or land resulting from improperly closed septic tank system or septic tank failure. Effective and proper closure of septic tanks can be achieved when the sillage present in the system is emptied into a larger mobile tank which is later sent to the treatment plant for effective treatment. Later, the walls of the septic tank should be removed and disposed as construction debris appropriately. Subsequently, the empty septic tank pit should be filled with stone, coarse and fine sand material to complete the septic tank closure. Towards this, the ULB should establish a mechanism by which the household owners can hire recognized service providers to perform effective septic tank closures and the ULB can issue a closure permit after inspection.

200. The citizens of the Fatehpur will be the major beneficiaries of the improved sewerage system, as the human waste from the homes will be removed rapidly, which otherwise would flow in open drains. In addition to improved environmental conditions, the project will improve the over-all health condition of the town as diseases of poor sanitation will be reduced. This should improve the environment, should deliver major improvements in individual and community health and well-being. Diseases of poor sanitation, such as diarrhea and dysentery, should be reduced, so people should spend less on healthcare and lose fewer working days due to illness, so their economic status should also improve, as well as their overall health.

## **VII. PUBLIC CONSULTATION AND INFORMATION DISCLOSURE**

### **A. Overview**

201. The active participation of stakeholders including local community, NGOs/CBOs, and the media in all stages of project preparation and implementation is essential for successful implementation as well as operation of the project. It will ensure that the subprojects are designed, constructed, and operated with utmost consideration to local needs, ensures community acceptance, and will bring maximum benefits to the people. Public consultation and information disclosure is a must as per the ADB policy.

202. A three tier consultation process has been adopted for Phase-IV project: focus group discussions, primary household sample surveys and a town-level public consultation workshop. Most of the main stakeholders have already been identified and consulted during preparation of this IEE, and any others that are identified during project implementation will be brought into the process in the future. Primary stakeholders of the subproject are: residents, shopkeepers and businesspeople who live and work alongside the roads in which network improvements will be provided, and government and utility agencies responsible for provision of services, FatehpurNagarPalika, Public Health Engineering Department, and Rajasthan Pollution Control Board. Secondary stakeholder are: NGOs and CBOs working in the area, community representatives, beneficiary community in general, government agencies, the executing and implementing agencies (LSGD and RUDSICO-EAP), Government of India and the ADB.

### **B. Public Consultation**

203. The public consultation and disclosure program is a continuous process throughout the project implementation, including project planning, design and construction. Socio impact

assessment (SIA) was conducted in Feb, 2018. Informal and formal consultations at sites were also conducted during SIA in Fatehpur. Additional consultation was conducted on August 11, 2018 at certain locations where critical project components are located. The details of the consultations are provided in (Appendix 12).

### **Consultation during Project Preparation**

204. Institutional consultations were conducted with the Governmental Departments such as Local Self Government Department, Public Works Department, Pollution Control Board, Public Health Engineering Department, FatehpurNagarPalika, etc. The project proposals are formulated in consultation with FatehpurNagarPalika and the proposals will be finalized only after certification of Nagar Palika that the proposals suit the requirements of the ULB.

205. Focus-group discussions with residents and other stakeholders were conducted to learn their views and concerns. A SIA has been conducted in the town, covering sample households and nearby vendors to understand the basic characteristics of town, health status, and the infrastructure service levels, and also the demand for infrastructure services. General public and the vendors along the project activity areas (roads) were also consulted during visits to the project sites.

- (i) Awareness and extent of the project and development components
- (ii) Benefits of Project for the economic and social Upliftment of Community
- (iii) Labour availability in the Project area or requirement of outside labour involvement
- (iv) Local disturbances due to Project Construction Work
- (v) Necessity of tree felling etc. at project sites
- (vi) Water logging and drainage problem if any
- (vii) Climatic Conditions
- (viii) Drinking water problem
- (ix) Sewerage system
- (x) Forest and sensitive area nearby the project site
- (xi) Movement of wild animal etc.
- (xii) Pollution level during construction period specially dust and noise pollution
- (xiii) Health and Hygiene
- (xiv) Safety of residents during construction phase
- (xv) Solid waste disposal system
- (xvi) Reuse of treated effluent
- (xvii) Disposal of treated effluent in natural water body
- (xviii) Requirement of enhancement of other facilities.

206. It was observed that people are willing to extend their cooperation as the proposed activities are proposed to enhance the infrastructure service levels and the living standard of the public. The public expressed their concern regarding the nuisance and disturbance (dust, road closure and traffic management activities) during the construction stage which can have impact on their day to day activities. Public demanded for advance notice before construction and proper warning signs along the construction area to avoid accidents and inconvenience. Public opined that an appropriate operation and maintenance system should be in place, especially for sewerage system, for its best functioning and to have the maximum health and aesthetic benefits.

207. A town-level City Level Committee (CLC) has been formed in Fatehpur City by Government orders. City Level Committee meeting was organized during the detailed design stage to which representatives of primary and secondary stakeholders were invited.

Citystakeholder committee meeting was organized in Fatehpur on dtd. 23.05.2018 to discuss the matter of proposed sewerage works in Fatehpur under the chairmanship of District Collector, Sikar in presence of consultants, RUDSICO-EAP officials, PHED/ Municipal officials and other invitee members. Proposed scope of works and technology was discussed in the meeting and it was agreed that treated effluent will be reused by Municipality in beneficial uses. The feedback and concerns of the stakeholders was taken into consideration for finalization of design and scope of works. The project was agreed by the committee for further course of action of RUDSICO-EAP. Details of CLC meeting, minutes and photographs are attached in Appendix 13.

### **Consultation during construction**

208. Prior to start of construction, FatehpurNagarPalika and PIU with the assistance of Consultants will conduct information dissemination sessions at major intersections and solicit the help of the local community leaders/prominent citizens to encourage the participation of the people to discuss various environmental issues. At each ward/neighborhood level, focus group meetings will be conducted to discuss and plan construction work with local communities to reduce disturbance and other impacts, and provide a mechanism through which stakeholders can participate in project monitoring and evaluation.

209. A constant communication will be established with the affected communities to redress the environmental issues likely to surface during construction and operational phases and also regarding the grievance redress mechanism. Nagar Palika/PIU with the help of Community Awareness and Participation Consultant (CAPC) will organize public meetings and will appraise the communities about the progress on the implementation of EMP. Meeting will also be organized at the potential hotspots/sensitive locations before and during the construction.

### **C. Information Disclosure**

210. Draft IEE has already been disclosed executive summary of the IEE will be again translated in the local language and made available at the offices of Nagar Palika, RUDSICO-EAP PMU and PIU. Copies of summary will be provided to participants of city level workshop to be organized in Fatehpur. Hard copies of the IEE will be accessible to citizens as a means to disclose the document and at the same time creating wider public awareness. Electronic version of the IEE in English and Executive Summary in Hindi will be placed in the official website of the Nagar Palika/RUDSICO-EAP after approval of the IEE by Government and ADB. Stakeholders will also be made aware of grievance register and redress mechanism.

211. Public information campaigns via newspaper/radio/TV, to explain the project details to a wider population will be conducted. Public disclosure meetings will be conducted at key project stages to inform the public about the progress and future plans. Prior to start of construction, the PIU will issue Notification on the start date of implementation in local newspapers A board showing the details of the project will be displayed at the construction site for the information of general public.

212. Local communities will be continuously consulted regarding location of construction camps, access and hauling routes and other likely disturbances during construction. The road closure together with the proposed detours will be communicated via advertising, pamphlets, radio broadcasts, road signage, etc.

213. Project related information shall be disclosed through public consultation and making relevant documents available in public locations. PMU and PIUs shall provide relevant safeguards information in a timely manner, in an accessible place and in a form and languages understandable to affected person and other stakeholders. For illiterate people,

other suitable communication methods will be used.

214. The following documents shall be made available at the offices of project agencies – PMU, PIU and Block level offices for public reference, and shall also be uploaded on respective websites.

- (i) Summary of project and draft IEE (in Hindi and English);
- (ii) Draft IEE Report (in English);
- (iii) Final IEE Report (in English);
- (iv) Updated/amended IEE (in English);
- (v) Corrective action plan prepared during project implementation (English); and
- (vi) Semi-annual Environmental Monitoring Reports (English).

215. A concise summary of project and draft IEE report (in Hindi), providing all necessary details of proposals, implementation arrangements, subproject locations, likely issues and mitigation and monitoring measures and grievance redress mechanism, shall be made available to the stakeholders at consultation meetings. This should also provide contact information of project agency. This summary shall also be displayed at the notice boards of PMU, PIU and other public places. During project implementation, relevant information about any major changes to project scope will be shared with beneficiaries, affected persons, vulnerable groups, and other stakeholders. Draft IEE has already been posted on ADB and RUDSICO website. Further, the following will be again posted on ADB website. The following documents will be submitted to ADB for disclosure on ADB website. PMU will send written endorsement to ADB for disclosing these documents:

- (i) final IEE;
- (ii) a new or updated IEE and corrective action plan prepared during project implementation, if any; and
- (III) environmental monitoring reports.

## **VIII. GRIEVANCE REDRESS MECHANISM**

### **A. Project Specific Grievance Redress Mechanism**

216. A project-specific, three-tier grievance redress mechanism (GRM) covers both environment and social issues. The GRM will be established to receive, evaluate, and facilitate the resolution of affected persons' concerns, complaints, and grievances about the social and environmental performance at project level. The GRM will aim to provide a time-bound and transparent mechanism to voice and resolve social and environmental concerns related to the project. Assessment of the GRM designed and implemented for Rajasthan Urban Sector Development Program (RUSDP) <sup>21</sup> the system was effective in timely resolution of grievances in

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<sup>21</sup> The procedures followed for grievance redress during implementation of RUSDP Phase III included the project GRM and the pilot GRM software application (Smart Check) in Pali, the Sampark portal of Government of Rajasthan, and the Chief Minister's helpline. Complaints received through various channels were mostly minor and pertained to damage to existing water supply pipelines and disruption of water supply during construction, delays in road restoration, and pending new connections. Complaints related to damage to private property (compound walls/steps, etc.) were less in number. The grievances were mostly possible to resolve in coordination with the contractors. Complaints received were immediately referred by the CAPC/PMDSC supervision staff to the PIU Nodal officer (safeguards) and concerned engineer at PIU, who advised them on further action. Follow up with the contractor on complaint resolution was undertaken by PIU Nodal officer CAPC and PMDSC and final feedback sought from complainant upon resolution. Complaints requiring inter-departmental coordination were referred to the PMU for resolution, and feedback provided to complainant. The PMU kept regular track of grievances through Whatsapp and email alerts, ensuring registration and follow-up until resolution.

a transparent manner.<sup>22</sup> The multichannel, project-specific, three-tier GRM is functional at RUSDP, hence the design of GRM for RSTDSP takes into account the designed institutional structure for RSTDSP and the positive features and learnings from the previous GRM.<sup>23</sup>

217. **Common GRM.** A common GRM will be in place for social, environmental, or any other grievances related to the project. Implementation of the resettlement plans/RIPPs/DDR/IEEs will follow the GRM described below. The GRM will provide an accessible and trusted platform for receiving and facilitating resolution of affected persons' grievances related to the project.

218. Public awareness campaigns within entire ULB/Municipal area will ensure that awareness on grievance redress procedures is generated. The nodal officer- social/environment at field level through community awareness and public participation consultant (CAPPC) will conduct ULB/Municipal area-based awareness campaigns to ensure that poor and vulnerable households are made aware of grievance redress procedures and entitlements. Contractors will provide pamphlets to communities prior to start of works and billboards during construction. The pamphlets and billboards will include relevant environmental and social safeguards, GRM information, and contact details of key personnel from PIU and contractors.

219. Affected persons will have the flexibility of conveying grievances/suggestions by dropping grievance redress/suggestion forms in complaint/suggestion boxes that will be installed by project PIUs or by e-mail, by post, or by writing in a complaints register in ULB offices/complaints register at contractor's work site<sup>24</sup> or by sending a Whatsapp message to the PIU<sup>25</sup> or by dialling the phone number of town level PIU/CAPPC or by dialling a toll-free number.<sup>26</sup> Any aggrieved person can also avail the facilities of online grievance monitoring system 'Rajasthan Sampark' portal to register their grievances which is a parallel mechanism of grievance registration, in addition to the project GRM<sup>27</sup>. Careful documentation of the name of the complainant, date of receipt of the complaint, address/contact details of the person, location of the problem area, and how the problem was resolved will be undertaken and feedback provided to the complainant on action/decision taken. The Safeguard and Safety Officer of town/city level PIU will have the overall responsibility for timely grievance redressal on environmental and social safeguards issues and for registration of grievances, related disclosure, with the assistance of project consultants. In case of grievances that are immediate and urgent in the perception of the complainant, the contractor, and officials of PIU with assistance from construction management and supervision consultants (CMSC) and CAPPC

<sup>22</sup> Town-level grievance registration data indicates that a large number of grievances were registered, pointing to the effectiveness of the multi-channel GRM. No major grievance was received for RUSDP Phase III. The GRM helped smoothen the process of project implementation, hence the proposed architecture for the RSTDSP GRM remains similar, with some refinement, taking into account the changes in institutional setup proposed for project implementation.

<sup>23</sup> Continued logistics support at field level will be key to successful management of grievance redress under RSTDSP. The target date for establishment of the first level (PIU level) and second level (Zonal level) of GRM is before loan negotiation.

<sup>24</sup> RUSDP piloted an online application based live GRM counter for resolution of public grievances over and above the usual process of grievance registration and redressal. This app based GRM - "RUIDP Smart Check" is available at Google play store (free of cost) and is operational. The RUIDP Smart Check "app" was launched in Pali town in July 2017 and is proposed to be scaled up in RSTDSP project towns. For persons without access to the application, the traditional channels will continue to be available.

<sup>25</sup> It is suggested for each PIU to have a dedicated whatsapp group for registration of grievances and receipt of quick feedback, to be followed by more formal communication.

<sup>26</sup> Project contractors in all project towns will have a toll-free number with specific working hours for registration of grievances related to RSTDSP.

<sup>27</sup> <http://www.sampark.rajasthan.gov.in/RajSamWelcome.aspx>

on-site will provide the most easily accessible or first level of contact for quick resolution of grievances. Contact numbers and names of the concerned PIU safeguard and safety officer, contractors, CAPPc and CMSC personal will be posted at all construction sites at visible locations.

- ii. **1st level grievance.** The contractors, PIU Executive Engineer (EE)/Assistant Engineer (AE) designated as safeguard and safety officer (social and environment), CMSC (safeguard staff) and CAPPc can immediately resolve issues on-site, in consultation with each other and will be required to do so within 7 days of receipt of a complaint/grievance. If required, city level monitoring committee (CLMC)<sup>28</sup> will be involved in resolution of grievances at the 1<sup>st</sup> level.
- iii. **2nd level grievance.** All grievances that cannot be redressed within 7 days at field/PIU level will be brought to the notice of Zonal PIU headed by Additional Chief Engineer (ACE). The ACE at zonal PIU will resolve the grievance within 7 days of receipt of complaint/grievance in discussion with the ASO, field level PIU, CMSC, CAPPc and the contractor.
- iii. **3rd level grievance.** All the grievances that are not addressed by Zonal PIU within 7 days of receipt will be brought to the notice of the PMU. Depending on the nature of grievance, the Project Officer (Social/Environment) at PMU will resolve the grievance within 15 days of receipt of grievance with necessary coordination of Zonal PIU and CMSC and guidance/instruction of Additional Project Director (APD-PMU).

Grievances not redressed through this process within/at the project level within stipulated time period will be referred to the CLC/GRC, which has been set up.<sup>29</sup> In its role as a GRC, the CLC will meet whenever there is an urgent, pending grievance. Other grievances can be discussed during its regular meetings. Zonal PIU will inform the CLC regarding any grievances required to be resolved urgently. The GRC will resolve the grievance within 15 days of receiving the complaint. In case of any indigenous peoples impacts in subprojects, the CLC/GRC must have representation of the affected indigenous people community, the chief of the tribe or a member of the tribal council as traditional arbitrator (to ensure that traditional grievance redress systems are integrated) and an NGO working with indigenous people groups.

- v. The multi-tier GRM for the project is outlined below (Figure 16), each tier having time-bound schedules and with responsible persons identified to address grievances and

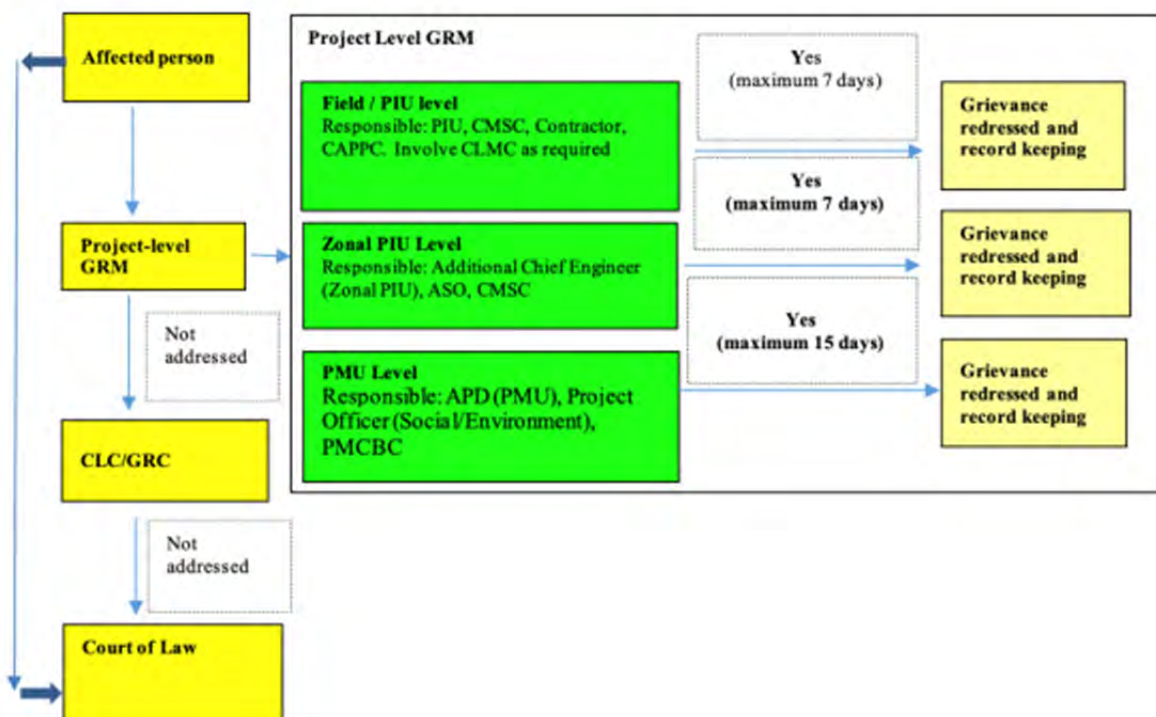
<sup>28</sup> The CLMC has been formed at the town/city level for planning and monitoring of work, resolve issues related to departmental coordination etc. It is headed by Commissioner/Executive Officer ULB (Chairman) and city engineer of public health engineering department (PHED), public works department (PWD) and head of PIU acting as Member Secretary.

<sup>29</sup> City Level Committee (CLC)/grievance redress committees (GRCs) has been constituted for each town/city under the Chairmanship of District Collector to provide overall subproject guidance and "to sort out issues and remove hindrances, if any". CLC formed at city-level/district level with members composed of: District Collector as Chairperson, and following as members: ULB Commissioner/Mayor/Chairman; Deputy Mayor/Vice Chairman ULB; Chairman / Secretary Urban Improvement Trust (UIT); Head of Zonal/field level PIU as Member Secretary; one representative each from relevant government departments as appropriate (PWD/PHED/Town Planning Department etc.). All CLCs in their role as GRCs will have at least one-woman member/chairperson. In addition, for project-related grievances, representatives of affected persons, community-based organizations (CBOs), and eminent citizens will be invited as observers in GRC meetings. The concerned Member of Parliament (MP) and Member of Legislative Assembly are also part of the CLC.



seek appropriate persons' advice at each stage, as required. The GRC will continue to function throughout the project duration.

**Figure 16: Grievance Redress Mechanism-RSTDSP**



Note: APD = Additional Project Director, ASO = Assistant Safeguards Officer, CAPPCC = community awareness and public participation consultant, CMSC = construction management and supervision consultants, CLC = city level committee, CLMC = city level monitoring committee, GRC = grievance redress committee, PIU = project implementation unit, PMU = program management unit, PMCBC = project management and capacity building consultant.

220. Officer order from PMU representing Grievance Redress Mechanism with roles and responsibilities and time frame for redress is given in **Appendix 17**.

The project GRM notwithstanding, an aggrieved person shall have access to the country's legal system at any stage, and accessing the country's legal system can run parallel to accessing the GRM and is not dependent on the negative outcome of the GRM. In case of grievance related to land acquisition, resettlement and rehabilitation, the affected persons will have to approach a legal body/court specially proposed under the Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement Act (RFCTLARRA), 2013<sup>30</sup>

221. People who are, or may in the future be, adversely affected by the project may submit complaints to ADB's Accountability Mechanism. The Accountability Mechanism provides an

<sup>30</sup>The Authority admits grievance only with reference to the Land Acquisition and R&R issues under the RFCTLARRA, 2013.

independent forum and process whereby people adversely affected by ADB-assisted projects can voice, and seek a resolution of their problems, as well as report alleged violations of ADB's operational policies and procedures. Before submitting a complaint to the Accountability Mechanism, affected people should make an effort in good faith to solve their problems by working with the concerned ADB operations department. Only after doing that, and if they are still dissatisfied, should they approach the Accountability Mechanism.<sup>31</sup>

222. **Record-keeping.** The PIU of each town/city will keep records of grievances received, including contact details of complainant, date the complaint was received, nature of grievance, agreed corrective actions and the date these were affected and final outcome. The number of grievances recorded and resolved, and the outcomes will be displayed/disclosed in the PMU office, PIU offices, and on the web, as well as reported in monitoring reports submitted to ADB on a semi-annual basis. The sample grievance registration format is attached as Appendix 16.

223. **Periodic review and documentation of lessons learned.** The PMU Project Officers (Social and Environment) will periodically review the functioning of the GRM in each town and record information on the effectiveness of the mechanism, especially on the project's ability to prevent and address grievances.

224. **Costs.** Contractors are required to allocated budget for pamphlets and billboards as part of the EMP. Costs involved in resolving the complaints (meetings, consultations, communication and reporting/information dissemination) will be borne by the concerned PIU at town level while costs related to escalated grievances will be met by the PMU. Cost estimates for grievance redress are included in resettlement cost estimates.

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<sup>31</sup> Accountability Mechanism. <http://www.adb.org/Accountability-Mechanism/default.asp>.

## **IX. ENVIRONMENTAL MANAGEMENT PLAN**

### **A. Environmental Management Plan**

225. An Environmental Management Plan (EMP) has been developed to provide mitigation measures to reduce all negative impacts to acceptable level and monitoring the same. This is presented in the following tables, which show the potential environmental impacts, proposed mitigation measures and responsible agencies for implementation and monitoring.

226. The purpose of the environmental management plan (EMP) is to ensure that the activities are undertaken in a responsible, non-detrimental manner with the objectives of: (i) providing a proactive, feasible, and practical working tool to enable the measurement and monitoring of environmental performance on-site; (ii) guiding and controlling the implementation of findings and recommendations of the environmental assessment conducted for the project; (iii) detailing specific actions deemed necessary to assist in mitigating the environmental impact of the project; and (iv) ensuring that safety recommendations are complied with.

227. A copy of the EMP must be kept at work sites at all times. This EMP was included in the bid documents and will be further reviewed and updated during implementation. The EMP was made binding on all contractors operating on the site and was included in the contractual clauses. Non-compliance with, or any deviation from, the conditions set out in this document constitutes a failure in compliance.

228. For civil works, the contractor will be required to (i) establish an operational system for managing environmental impacts (ii) carry out all of the monitoring and mitigation measures set forth in the EMP; and (iii) implement any corrective or preventative actions set out in safeguards monitoring reports that the employer will prepare from time to time to monitor implementation of this IEE and EMP. The contractor shall allocate budget for compliance with these EMP measures, requirements and actions.

229. The contractor has submitted to PIU, for review and approval, a site environmental plan (SEP) including (i) proposed sites/locations for construction work camps, storage areas, hauling roads, lay down areas, disposal areas for solid and hazardous wastes; (ii) specific mitigation measures following the approved EMP; (iii) monitoring program as per SEP; and (iv) budget for SEP implementation. No works can commence prior to approval of SEP.

230. The following tables show the potential environmental impacts, proposed mitigation measures and responsible agencies for implementation and monitoring.

**Table 12: Design Stage Environmental Management Plan**

| Field                     | Anticipated Impact                                                                                                            | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Responsible for Implementation/<br>Monitoring | Cost and Source of Funds |
|---------------------------|-------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------|--------------------------|
| Sewage Treatment Plant    | Odour nuisance and aesthetics                                                                                                 | (i) Provide a green buffer zone of 10-20 m wide all around the STP with trees in multi-rows. This will act as a visual screen around the facility and will improve the aesthetic appearance. Treated wastewater shall be used for plantation.<br>(ii) Develop layout plan of STP such that odour generating units (such as sludge / solids handling facilities) are located away from the surrounding area with future development potential.                                                                                                                                                                                                                                                                                                                                                                                                              | DBO Contractor/ PIU                           | Project Costs            |
| All work sites            | Tree cutting                                                                                                                  | (i) Minimize removal of trees by adopting to site condition and with appropriate layout design of STP and any SPS site with trees<br>(ii) Obtain prior permission for tree cutting at STP and SPS site or at any other site that may require tree cutting finalized during detailed design<br>(iii) Plant and maintain 3 trees for each tree that is removed                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | DBO Contractor/ PIU                           | Project Costs            |
| Design of Sewerage System | Non-compliance or non-adherence with the environmental considerations proposed in preliminary designs during detailed design: | Ensure compliance with the following during the detailed design:<br>(i) Locating components and facilities appropriately by avoiding sensitive locations like forests and protected areas (environmentally, socially, and archeologically).<br>(ii) Recovering wash water from treatment process to optimise the water use<br>(iii) Treatment and reuse of sludge from treatment process; providing a covered shed of adequate space to air dry the processed sludge for at least 15 days at STPs<br>(iv) Designing the entire system to maintain optimal flow and terminal pressure, and optimising the overall energy usage<br>(v) Avoiding usage of asbestos containing materials<br>(vi) Reuse of treated wastewater from STP for non-potable uses thereby reducing the load in freshwater resources<br>(vii) Adopting a combined approach of sewerage | DBO Contractor / PMU                          | Project costs            |

| Field                        | Anticipated Impact                                                                              | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Responsible for Implementation/<br>Monitoring | Cost and Source of Funds |
|------------------------------|-------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------|--------------------------|
|                              |                                                                                                 | system and faecal sludge and septage management to cover 100% population of the town with safe collection, conveyance and treatment of sewage generated in the town<br>(viii) Provision of appropriate personal protection equipment to the workers and staff                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                               |                          |
| Seismic sensitivity          | Damage to infrastructure and potential risks project area in low earthquake risk zone (Zone-II) | i) Designs of project component structures shall comply with relevant codes of design such as Bureau of Indian Standard (BIS) specifications for earthquake resistant design (IS: 1893: Criteria for earthquake resistant design of structures).                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | DBO Contractor/PIU                            | Project costs            |
| STP                          | Hazardous/harmful chemicals                                                                     | (i) Reduce the use of chemicals in the treatment process to the extent possible (disinfection of treated effluent); provide non-chemical alternatives or easily recoverable and/or reusable chemicals or biocompatible alternatives.<br>(ii) Establish proper handling / storage / application system according to the relevant standards, safety precautions and prevent accidental release / spill<br>(iii) Provide leak/spill detection, collection / capture and safe disposal facilities such as chlorine absorption and neutralization facility<br>(iv) Provide ventilation, lighting, entry and exit facilities; visible and audible alarm facilities to alert chemical/chlorine leak<br>(v) Facility for isolation in the event of major leakages<br>(vi) Eye wash and shower facility<br>(vii) Personal protection and safety equipment for the operators (masks, oxygen cylinders, gloves, etc.,)<br>(viii) Provide training to the staff in safe handling and application of chemicals, material safety, and standard operating procedures and emergency responses<br>(ix) Develop emergency response procedures | DBO Contractor/PIU                            | Project costs            |
| Sewage Treatment Plant (STP) | Inefficient sewage treatment, treated effluent characteristics not satisfying the               | (i) Ensure that the selected process is appropriate for the town and meets discharge standards and facilitate reuse<br>(ii) Treated effluent should meet the criteria set by RSPCB/CPCB or the following bid specified parameters,                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | DBO Contractor / PIU                          | Project costs            |

| Field                            | Anticipated Impact                               | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Responsible for Implementation/<br>Monitoring | Cost and Source of Funds |           |    |           |    |           |    |                      |    |                         |   |                                  |                                      |  |  |
|----------------------------------|--------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------|--------------------------|-----------|----|-----------|----|-----------|----|----------------------|----|-------------------------|---|----------------------------------|--------------------------------------|--|--|
|                                  | CPCB/<br>standards                               | RSPCB<br><br>whichever are stringent: <table><tr><th>Paramater</th><th>Standards</th></tr><tr><td>BOD, mg/l</td><td>10</td></tr><tr><td>TSS, mg/l</td><td>20</td></tr><tr><td>COD, mg/l</td><td>50</td></tr><tr><td>Nitrogen-Total, mg/l</td><td>10</td></tr><tr><td>Phosphorus- Total, mg/l</td><td>1</td></tr><tr><td>Faecal Coliform (MPN/<br/>100 ml)</td><td>100 (Desirable)<br/>230 (Permissible)</td></tr></table>                                                                                                                                                               | Paramater                                     | Standards                | BOD, mg/l | 10 | TSS, mg/l | 20 | COD, mg/l | 50 | Nitrogen-Total, mg/l | 10 | Phosphorus- Total, mg/l | 1 | Faecal Coliform (MPN/<br>100 ml) | 100 (Desirable)<br>230 (Permissible) |  |  |
| Paramater                        | Standards                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                               |                          |           |    |           |    |           |    |                      |    |                         |   |                                  |                                      |  |  |
| BOD, mg/l                        | 10                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                               |                          |           |    |           |    |           |    |                      |    |                         |   |                                  |                                      |  |  |
| TSS, mg/l                        | 20                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                               |                          |           |    |           |    |           |    |                      |    |                         |   |                                  |                                      |  |  |
| COD, mg/l                        | 50                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                               |                          |           |    |           |    |           |    |                      |    |                         |   |                                  |                                      |  |  |
| Nitrogen-Total, mg/l             | 10                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                               |                          |           |    |           |    |           |    |                      |    |                         |   |                                  |                                      |  |  |
| Phosphorus- Total, mg/l          | 1                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                               |                          |           |    |           |    |           |    |                      |    |                         |   |                                  |                                      |  |  |
| Faecal Coliform (MPN/<br>100 ml) | 100 (Desirable)<br>230 (Permissible)             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                               |                          |           |    |           |    |           |    |                      |    |                         |   |                                  |                                      |  |  |
| Change in raw sewage quality     | Mixing of industrial effluent with sewage        | (i) No industrial wastewater shall be allowed to dispose into municipal sewers<br>(ii) As there is a risk of potential mixing of industrial waste, no domestic wastewater from industrial units shall be allowed into municipal sewers<br>(iii) Ensure that there is no illegal discharge through manholes or inspection chambers<br>(iv) Conduct public awareness programs; in coordination with RSPCB and CLC.<br>(v)Conduct regular wastewater quality monitoring (at inlet and at outlet of STP) to ensure that the treated wastewater quality complies with the effluent standards | DBO Contractorand PIU                         | Project Costs            |           |    |           |    |           |    |                      |    |                         |   |                                  |                                      |  |  |
| STP                              | Use of treated wastewater for reuse applications | Develop wastewater reuse plan for Fatehpur townin consultation with CLC as per the sewerage and wastewater policy, 2016. The reuse plan shall interalia include the following:<br>(i) Identify potential reuse application in Fatehpur, and establish quality criteria for each of the use<br>(ii) For applications that use treated wastewater directly (e.g., agriculture), the quality required for such application in safe manner considering health, environment and crop yield concerns shall be ensured;<br>(iii) Prepare a reuse plan for agriculture, if that is the          | DBO Contractorand PIU / PMU                   | Project Costs            |           |    |           |    |           |    |                      |    |                         |   |                                  |                                      |  |  |



| Field | Anticipated Impact                                                                                              | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Responsible for Implementation/<br>Monitoring | Cost and Source of Funds |
|-------|-----------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------|--------------------------|
|       |                                                                                                                 | <p>priority use or one of the applications as per the CLC in Fatehpur, clearly indicating the limits (geographical / crops / type of application / type of soils etc.); adopt international good practice suggested by agencies like World Health Organization (WHO), Food and Agricultural Organization (FAO) of the United Nations.</p> <p>(iv) Plan should include awareness and training provisions and responsibilities; these can be conducted by concerned department (e.g., Agricultural Department, District Collectorate)</p> <p>(v) Carryout regular / online monitoring of critical quality parameters of treated wastewater to ensure that they meet the preset standards established for reuse</p>                                                                                          |                                               |                          |
| STP   | Treated effluent discharge into water channel/drains and associated impacts on river water and downstream users | <p>(i) Obtain of consent of RSPCB for discharge of treated wastewater into drains</p> <p>(ii) Conduct a baseline water quality assessment of receiving water body</p> <p>(iii) Regularly monitor the treated wastewater quality at STP and ensure that it meets the discharge standards</p> <p>(iv) Monitor water quality periodically during operation phase as per the Environmental Monitoring Plan</p>                                                                                                                                                                                                                                                                                                                                                                                                | DBO Contractor/PIU                            | Project Costs            |
| STP   | Sludge management and reuse                                                                                     | <p>(i) Prepare a sludge management plan</p> <p>(ii) Prepare a dried sludge utilization plan for Fatehpur town within the help of Agriculture Department/CLC; plan should also include if any additional processing is required for sludge to use as soil conditioner.</p> <p>(iii) Plan should clearly various potential uses and demand in Fatehpur and surroundings</p> <p>(iv) Establish usage limits where required (geographical/crops/type of application/type of solids etc.); adopt international good practices suggested by agencies like World Health Organization (WHO), Food and Agricultural Organization (FAO) of the United Nations.</p> <p>(v) Identify a landfill / suitable site for disposal of surplus dried sludge</p> <p>(vi) Monitor sludge quality during operation phase as</p> | DBO Contractor/PIU                            | Project costs            |

| Field                                     | Anticipated Impact                                                            | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Responsible for Implementation/<br>Monitoring | Cost and Source of Funds |
|-------------------------------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------|--------------------------|
|                                           |                                                                               | per the Environmental Monitoring Plan, ensure that it meets the quality parameters established by FCO<br>(vii) In case of sludge not meeting the quality parameters, it shall not be used as soil condition, and shall be disposed at appropriate disposal site (if it falls under hazardous category, it shall be disposed as per the Hazardous Waste Management Rules, 2016)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                               |                          |
| Sewage pumping stations                   | Handling and disposal of accumulated waste at identified disposal sites       | <ul style="list-style-type: none"> <li>(i) Prepare a waste handling and management plan for the work, considering handling, disposal and occupational and public health safety</li> <li>(ii) Assess the working conditions, develop appropriate working method, and work shall be only conducted under continuous supervision of EHS supervisor</li> <li>(iii) Waste shall not be handled manually; use appropriate equipment</li> <li>(iv) All workers shall be provided with necessary personal protection equipment, including gloves, boots, face / gas masks and oxygen cylinders in handy for emergency use etc.; if gas emission is suspected at any point of time, workers shall use gas masks with oxygen cylinders</li> <li>(v) Inform surrounding public about the work</li> <li>(vi) Fire control and safety equipment shall be provided at the work site</li> <li>(vii) Waste shall be properly covered during transport</li> <li>(viii) Manage the solid waste as per the Solid Waste Management Rules, 2016</li> </ul> | DBO Contractor/PIU                            | Project costs            |
| Sewer network – collection and conveyance | Poor design leading to overflows, blockages, and creating nuisance, pollution | <ul style="list-style-type: none"> <li>(i) Limit the sewer depth where possible</li> <li>(ii) Sewers shall be laid away from water supply lines and drains (at least 1 m, wherever possible);</li> <li>(iii) In all cases, the sewer line should be laid deeper than the water pipeline (the difference between top of the sewer and bottom of water pipeline should be at least 300 mm)</li> <li>(iv) In unavoidable, where sewers are to be laid close to storm water drains, appropriate pipe material shall be</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | DBO Contractor/PIU                            | Project costs            |

| Field | Anticipated Impact                                               | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Responsible for Implementation/<br>Monitoring | Cost and Source of Funds |
|-------|------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------|--------------------------|
|       |                                                                  | <p>selected (stoneware pipes shall be avoided)</p> <p>(v) For shallower sewers and especially in narrow roads, use small inspection chambers in lieu of manholes;</p> <p>(vi) Design manhole covers to withstand anticipated loads and ensure that the covers can be readily replaced if broken to minimize silt/garbage entry</p> <p>(vii) Ensure sufficient hydraulic capacity to accommodate peak flows and adequate slope and gas vents in gravity mains to prevent buildup of solids and hydrogen sulfide generation</p> <p>(viii) Take necessary precautionary measures to protect sewer network, and to avoid disposal of solid wastes, debris, wastewater into newly laid sewers from the time it is constructed to the start of operation phase</p>                                                                                                                                                                                                                                                                                                                                                                 |                                               |                          |
| FSSM  | Occupational health and safety issues, and impact on STP process | <p>(i) Conduct detailed survey of the households to be covered with FSSM to design the system to suit the local conditions, such as type of septic tanks and their location in the houses</p> <p>(ii) Create awareness program on the FSSM from collection to treatment system that will be adopted</p> <p>(iii) Design the sewage treatment process duly considering mixing of septage</p> <p>(iv) Ensure that the FSSM system is completely mechanized no human touch, even accidentally, from collection at household to discharge into STP, and in periodic cleaning of tankers</p> <p>(v) Demarcate a proper area for cleaning of mobile tankers in STP premises, and ensure that the wastewater shall be discharged into STP</p> <p>(vi) Provide proper training to the workers, and staff in safe handling of FSSM tasks, provide all necessary personal protection equipment</p> <p>(vii) Ensure proper facilities for workers including showers, wash areas, toilets, drinking water, eating and resting places</p> <p>(viii) Conduct regular health checks</p> <p>(ix) Prepare Health and Safety Plan for FSSM</p> | DBO Contractor/PIU                            | Project costs            |

| Field                                                                                                                                                           | Anticipated Impact                                                                               | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Responsible for Implementation/<br>Monitoring | Cost and Source of Funds |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------|--------------------------|
| Asbestos cement (AC) pipes in existing water supply system: clearing, transfer and disposal; work in narrow streets, and interventions in existing AC pipelines | Health impacts due to air borne asbestos if handled unsafely, cut, drilled or broken into pieces | (i) Develop ACM Management Plan (AMP) that includes identification of hazards, the use of proper safety gear and disposal methods.<br>(ii) Conduct awareness program on safety during the construction work<br>(iii) Undertake the construction work stretch-wise; excavation, pipe laying and trench refilling should be completed on the same day<br>(iv) Provide barricades, and deploy security personnel to ensure safe movement of people and also to prevent unnecessary entry and to avoid accidental fall into open trenches<br>(v) Identify risk of intervention with existing AC pipes. If there is significant risk, implement the AMP strictly that includes identification of hazards, the use of proper safety gear and disposal methods.<br>(vii) Maintain records of AC pipes as per the AMP<br>Refer to the instructions of the Asbestos Expert | DBO Contractor/PMU                            | Project costs            |
| Preparation of plans and protocols                                                                                                                              | Various impacts                                                                                  | (i) Prepare traffic management plan<br>(ii) Prepare occupational health and safety plan<br>(iii) Prepare spoils management plan                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | DBO Contractor and PMCBC                      | Approval of plans by PIU |

**Table 13: Environmental Management Plan of Anticipated Impacts during Pre-Construction**

| Field                                                       | Anticipated Impact                      | Mitigation Measures                                         | Responsible for Implementation                | Monitoring of Mitigation | Cost and Source of Funds |
|-------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------------|--------------------------|--------------------------|
| Compliance with environmental subproject selection criteria | Environmental impacts due to subproject | Compliance with environmental subproject selection criteria | DBO contractor, PIU and Fatehpur Nagar Palika | PMU                      | No Cost required         |
| Environmental                                               | To establish base line                  | Environmental monitoring through NABL                       | Construction                                  | Consultants/P            | Contractor               |

| Field                                                           | Anticipated Impact                                                                                                                                                              | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Responsible for Implementation                                    | Monitoring of Mitigation                                                                                                     | Cost and Source of Funds                                                                                           |
|-----------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|
| monitoring of baseline conditions of air, noise, water and soil | environmental conditions                                                                                                                                                        | accredited laboratory                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | contractor                                                        | IU                                                                                                                           |                                                                                                                    |
| Legal compliance                                                | Environmental legal noncompliance may attract legal actions<br>Failure to obtain necessary consents Permits, NOCs etc. can result to design revisions and /or stoppage of works | (i) Obtain all consents, clearances (CTE/CTO from RSPCB), permits NOCs etc. before start of construction works<br>Ensure that all necessary approvals for construction to be obtained by contractor are in place before start of construction<br>(ii) Following consents are required-<br>Tree cutting-local authority<br>Storage, handling and transport of hazardous materials- RSPCB<br>Sand mining, quarries, borrow areas- Department of mines and Geology<br>Traffic diversion/road cutting- local authority, traffic police<br>(iii) Acknowledge in writing and provide report on compliance all obtained consents, permits, clearance, NOCs etc. (intake works)<br>(iv) Include in detailed design drawings and documents all conditions and provisions; if necessary | PIU/Consultants in coordination of Nagarpalika                    | PMU                                                                                                                          | Cost of obtaining all consents, permits, clearance, NOCs etc. prior to start of civil works responsibility of PIU. |
| Utilities                                                       | Telephone lines, electric poles and wires, water lines within proposed project area                                                                                             | (i) Identify and include locations and operators of these utilities in the detailed design documents to prevent unnecessary disruption of services during construction phase; and<br>(ii) Require construction contractors to prepare a contingency plan to include actions to be taken in case of unintentional interruption of services.<br><br>(iii) Require contractors to prepare spoils management plan (Appendix 10) and traffic                                                                                                                                                                                                                                                                                                                                       | DBO Contractor in collaboration with PIU and with approval of PMU | (i) List of affected utilities and operators;<br>(ii) Bid document to include requirement for a contingency plan for service | No cost required.<br><br>Mitigation measures are part of TOR of PMU, PIU and Consultant                            |

| Field                                                                                        | Anticipated Impact                                                              | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Responsible for Implementation                                       | Monitoring of Mitigation                                                                                                                                           | Cost and Source of Funds                                                                                              |
|----------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|
|                                                                                              |                                                                                 | management plan (Appendix 11)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                      | interruptions (example provision of water if disruption is more than 24 hours), spoil management plan (Appendix 10), and traffic management plan (Appendix 11)     |                                                                                                                       |
| Social and Cultural Resources                                                                | Ground disturbance can uncover and damage archaeological and historical remains | Develop a protocol for use by the construction contractors in conducting any excavation work, to ensure that any chance finds are recognized, and measures are taken to ensure they are protected and conserved.                                                                                                                                                                                                                                                                                                                                                                                             | DBO Contractor and PIU                                               | Chance Finds Protocol                                                                                                                                              | No cost required.<br><br>Mitigation measures are part of TOR of PIU and Consultant                                    |
| Construction work camps, hot mix plants, stockpile areas, storage areas, and disposal areas. | Disruption to traffic flow and sensitive receptors                              | (i) Prioritize areas within or nearest possible vacant space in the project location;<br>(ii) If it is deemed necessary to locate elsewhere, consider sites that will not promote instability and result in destruction of property, vegetation, irrigation, and drinking water supply systems;<br>(iii) Do not consider residential areas;<br>(iv) Take extreme care in selecting sites to avoid direct disposal to water body which will inconvenience the community.<br>(v) For excess spoil disposal, ensure<br>(a) site shall be selected preferably from barren, infertile lands. In case agricultural | Contractor to finalize locations in consultation and approval of PIU | (i) List of selected sites for construction work camps, hot mix plants, stockpile areas, storage areas, and disposal areas.<br>(ii) Written consent of landowner/s | No cost required.<br><br>Mitigation measures are part of TOR of PIU and Consultant and also part of contractual terms |

| Field                                     | Anticipated Impact                                                                                                                                                                                   | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                              | Responsible for Implementation                                                                            | Monitoring of Mitigation                                                                                                                                                                                | Cost and Source of Funds                                                                                                         |
|-------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------|
|                                           |                                                                                                                                                                                                      | land needs to be selected, written consent from landowners (not lessees) will be obtained;<br>(b) debris disposal site shall be at least 200 m away from surface water bodies; (c) no residential areas shall be located within 50 m downwind side of the site; and (d) site is minimum 250 m away from sensitive locations like settlements, ponds/lakes or other water bodies.                                                 |                                                                                                           | (not lessee/s) for reuse of excess spoils to agricultural land                                                                                                                                          |                                                                                                                                  |
| Sources of Materials                      | Extraction of materials can disrupt natural land contours and vegetation resulting in accelerated erosion, disturbance in natural drainage patterns, ponding and water logging, and water pollution. | (i) Prioritize sites already permitted by the Department of Mines and Geology<br>(ii) If other sites are necessary, inform construction contractor that it is their responsibility to verify the suitability of all material sources and to obtain the approval of PMU and<br>(iii) If additional quarries will be required after construction is started, inform construction contractor to obtain a written approval from PIU. | DBO Contractor to prepare list of approved quarry sites and sources of materials with the approval of PIU | (i) List of approved quarry sites and sources of materials;<br>(ii) Bid document to include requirement for verification of suitability of sources and permit for additional quarry sites if necessary. | No cost required.<br><br>Mitigation measures are part of TOR of PIU and Consultant and also part of contractual terms            |
| Consents, permits, clearances, NOCs, etc. | Failure to obtain necessary consents, permits, NOCs, etc. can result to design revisions and/or stoppage of works                                                                                    | (i) Obtain all necessary consents (including CTE for STP from RSPCB), permits, clearance, NOCs, etc. prior to award of civil works. Following consents are required-<br>Tree cutting- local authority<br>Storage, handling and transport of hazardous materials- RSPCB<br>Sand mining, quarries, borrow areas- Department of mines and Geology<br>Traffic diversion/road cutting- local authority, traffic police                | DBO Contractor and PIU and Consultant                                                                     | Incorporated in final design and communicate d to contractors.                                                                                                                                          | No cost required.<br>Cost of obtaining all consents, permits, clearance, NOCs, etc. prior to start of civil works responsibility |



| Field | Anticipated Impact | Mitigation Measures                                                                                                                                                                                                                                                                                                                                          | Responsible for Implementation | Monitoring of Mitigation | Cost and Source of Funds                                                 |
|-------|--------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|--------------------------|--------------------------------------------------------------------------|
|       |                    | (ii) Ensure that all necessary approvals for construction to be obtained by contractor are in place before start of construction<br>(iii) Acknowledge in writing and provide report on compliance all obtained consents, permits, clearance, NOCs, etc.<br>(iv) Include in detailed design drawings and documents all conditions and provisions if necessary |                                |                          | of PIU.<br><br>Mitigation measures are part of TOR of PIU and Consultant |

**Table 14: Environmental Management Plan of Anticipated Impacts during Construction**

| Field              | Anticipated Impact                                             | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                       | Responsible for Mitigation | Monitoring of Mitigation                                                                                                                                           | Cost and Source of Funds                                                                                                                 |
|--------------------|----------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|
| EMP Implementation | Irreversible impact to the environment, workers, and community | (i) Contractor is required to depute a qualified and experienced EHS officer/supervisor for monitoring of EMP implementation measures<br>(ii) Project manager and all key workers will be required to undergo EMP implementation including spoils management, Standard operating procedures (SOP) for construction works; occupational health and safety (OH and S), core labor laws, applicable environmental laws, etc. | Construction Contractor    | (i) Certificate of Completion (Safeguards Compliance Orientation)<br>(ii) Posting of Certification of Completion at worksites<br>(iii) Posting of EMP at worksites | Cost of EMP Implementation Orientation Training to contractor is responsibility of PMU.<br><br>Other costs responsibility of contractor. |
| Air Quality        | Emissions from construction vehicles, equipment, and           | (i) Plan the work sites properly, and demarcate the sites for stockpiling of, soils, gravel, and other                                                                                                                                                                                                                                                                                                                    | Construction Contractor    | (i) Location of stockpiles;<br>(ii) Complaints from sensitive receptors;                                                                                           | Cost for implementation of mitigation measures                                                                                           |

| Field | Anticipated Impact                                                                                                                                                                                                               | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Responsible for Mitigation | Monitoring of Mitigation                                                                                                                                                                                 | Cost and Source of Funds             |
|-------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|
|       | <p>machinery used for installation of pipelines resulting to dusts and increase in concentration of vehicle-related pollutants such as carbon monoxide, sulfur oxides, particulate matter, nitrous oxides, and hydrocarbons.</p> | <p>construction materials away from the traffic, vehicle, general worker movement to avoid disturbance of loose materials</p> <p>(ii) Damp down exposed soil and any stockpiled material on site by water sprinkling;</p> <p>(iii) Use tarpaulins to cover sand and other loose material when transported by trucks;</p> <p>(iv) Clean wheels and undercarriage of haul trucks prior to leaving construction site</p> <p>(v) Don't allow access in the work area except workers to limit soil disturbance and prevent access by barricading and security personnel</p> <p>(vi) Fit all heavy equipment and machinery with air pollution control devices which are operating correctly</p> <p>contractor's vehicles and equipment should compulsorily have PUC and submit to PIU before deployment at site</p> <p>(vii) Obtain, CTE and CTO for batching plant, hot mix plant, crushers etc. if specifically established for</p> |                            | <p>(iii) Heavy equipment and machinery with air pollution control devices;</p> <p>(iv) Certification that vehicles are compliant with Motor Vehicle Act</p> <p>(v) Reports of air quality monitoring</p> | <p>responsibility of contractor.</p> |

| Field                 | Anticipated Impact                                                                                                                                                          | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Responsible for Mitigation | Monitoring of Mitigation                                                                                                                                                                                                                                                         | Cost and Source of Funds                                                     |
|-----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------|
|                       |                                                                                                                                                                             | <p>this project.</p> <p>(viii) If contractor procures any material (such as ready mix concrete, asphalt/macadam, aggregates etc.) from third party agencies, contractor shall ensure that such agencies have all necessary clearances / permissions as required under the law; these include CTE/CTO from RSPCB, environmental clearance, etc.; contractor shall collect the copy of these certificates and submit to PIU; PIU will approve the source only after all the certificates are submitted</p> <p>(ix) Conduct air quality monitoring according to the Environmental Management Plan (EMP).</p> |                            |                                                                                                                                                                                                                                                                                  |                                                                              |
| Surface water quality | Mobilization of settled silt materials, and chemical contamination from fuels and lubricants during installation of pipelines can contaminate nearby surface water quality. | <p>(i) Prepare and implement a spoils management plan</p> <p>(ii) Avoid stockpiling of earth fill especially during the monsoon season unless covered by tarpaulins or plastic sheets;</p> <p>(iii) Prioritize re-use of excess spoils and materials in the construction works. If spoils will be disposed, consult with PIU on</p>                                                                                                                                                                                                                                                                       | Construction Contractor    | <p>(i) Areas for stockpiles, storage of fuels and lubricants and waste materials;</p> <p>(ii) Number of silt traps installed along trenches leading to water bodies;</p> <p>(iii) Records of surface water quality inspection;</p> <p>(iv) Effectiveness of water management</p> | Cost for implementation of mitigation measures responsibility of contractor. |

| Field | Anticipated Impact | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Responsible for Mitigation | Monitoring of Mitigation                                                                                          | Cost and Source of Funds |
|-------|--------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|-------------------------------------------------------------------------------------------------------------------|--------------------------|
|       |                    | <p>designated disposal areas;</p> <p>(iv) Inspect all the drainage at construction site/construction camp/labor camp etc. and clear all the drainage lines so that no water stagnation/flooding may occur during heavy rainfall</p> <p>(v) As for a possible avoid trench works and excavation works (pipe laying) during monsoon season to avoid any water logging and accident due to it</p> <p>(vi) If open trenches are not avoidable during monsoon, keep ready all the mitigations measures to avoid water logging such as dewatering pumps and sufficient pipes, traffic assistance, barricades etc.</p> <p>(vii) Inspect and verify all the emergency measures and emergency control system before start of monsoon, keep the emergency response committee on high alert during monsoon/heavy rain fall</p> <p>(ix) Install temporary silt traps or sedimentation basins along the drainage leading to the water bodies;</p> |                            | <p>measures;</p> <p>(v) No visible degradation to nearby drainages, nallahs or waterbodies due to civil works</p> |                          |

| Field        | Anticipated Impact                                                                                                               | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Responsible for Mitigation | Monitoring of Mitigation                                                                                                                                                                           | Cost and Source of Funds                                                     |
|--------------|----------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------|
|              |                                                                                                                                  | (x) Place storage areas for fuels and lubricants away from any drainage leading to water bodies;<br>(xi) Dispose any wastes generated by construction activities in designated sites; and<br>(xii) Conduct surface quality inspection according to the Environmental Management Plan (EMP).                                                                                                                                                                                                                                                                                                                                       |                            |                                                                                                                                                                                                    |                                                                              |
| Noise Levels | Increase in noise level due to earth-moving and excavation equipment, and the transportation of equipment, materials, and people | (i) Plan activities in consultation with PIU/Consultant so that activities with the greatest potential to generate noise are conducted during periods of the day which will result in least disturbance;<br>(ii) Horns should not be used unless it is necessary to warn other road users or animals of the vehicle's approach;<br>(iii) Minimize noise from construction equipment by using vehicle silencers, fitting jackhammers with noise-reducing mufflers, and portable street barriers the sound impact to surrounding sensitive receptor; and<br>(iv) Maintain maximum sound levels not exceeding 80 decibels (dBA) when | Construction Contractor    | (i) Complaints from sensitive receptors;<br>(ii) Use of silencers in noise-producing equipment and sound barriers;<br>(iii) Equivalent day and nighttime noise levels (see Appendix 3 of this IEE) | Cost for implementation of mitigation measures responsibility of contractor. |

| Field                                  | Anticipated Impact                                                                                                                                                                                              | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Responsible for Mitigation | Monitoring of Mitigation                                                                                                                                                                                                                                                         | Cost and Source of Funds                                                     |
|----------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------|
|                                        |                                                                                                                                                                                                                 | measured at a distance of 10 m or more from the vehicle/s.<br>(v) Periodical monitoring of noise quality as per EMP                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                            |                                                                                                                                                                                                                                                                                  |                                                                              |
| Landscape and aesthetics               | Impacts due to excess excavated earth, excess construction materials, and solid waste such as removed concrete, wood, packaging materials, empty containers, spoils, oils, lubricants, and other similar items. | (i) Prepare and implement spoils management plan (Appendix 10);<br>(ii) Avoid stockpiling of excess excavated soils;<br>(iii) Coordinate with ULB/PIU for beneficial uses of excess excavated soils or immediately dispose to designated areas;<br>(iv) Recover used oil and lubricants and reuse or remove from the sites;<br>(v) Manage solid waste according to the following preference hierarchy: reuse, recycling and disposal to designated areas;<br>(vi) Remove all wreckage, rubbish, or temporary structures which are no longer required; and<br>(vii) Request PIU to report in writing that the necessary environmental restoration work has been adequately performed before acceptance of work. | Construction Contractor    | (i) Complaints from sensitive receptors;<br>(ii) Worksite clear of hazardous wastes such as oil/fuel<br>(iii) Worksite clear of any excess excavated earth, excess construction materials, and solid waste such as removed concrete, wood, packaging materials, empty containers | Cost for implementation of mitigation measures responsibility of contractor. |
| Existing Infrastructure and Facilities | Disruption of service and damage to existing                                                                                                                                                                    | (i) Obtain from PIU the list of affected utilities and operators if any;<br>(ii) Prepare a contingency                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Construction Contractor    | Existing Utilities Contingency Plan                                                                                                                                                                                                                                              | Cost for implementation of mitigation measures                               |

| Field                              | Anticipated Impact                                                  | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                     | Responsible for Mitigation | Monitoring of Mitigation                                                                                                                                                                                                   | Cost and Source of Funds                                                     |
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|                                    | infrastructure at specified project location                        | plan to include actions to be done in case of unintentional interruption of service                                                                                                                                                                                                                                                                                                                                                                     |                            |                                                                                                                                                                                                                            | responsibility of contractor.                                                |
| Ecological Resources – Terrestrial | Loss of vegetation and tree cover                                   | (i) Minimize removal of vegetation and disallow cutting of trees;<br>(ii) If tree-removal will be required, obtain tree-cutting permit from the concerned department; and (iii) Plant three native trees for every one that is removed as per RUDSICO-EAP Circular.                                                                                                                                                                                     | Construction Contractor    | PIU to report in writing the no of trees cut and planted.                                                                                                                                                                  | Cost for implementation of mitigation measures responsibility of contractor. |
| Land use                           | Environmental Issues due to land use change                         | The impact due to change in land use will be negligible due to this project.                                                                                                                                                                                                                                                                                                                                                                            | Not applicable             | Not applicable                                                                                                                                                                                                             | Not applicable                                                               |
| Accessibility                      | Traffic problems and conflicts near project locations and haul road | i) Plan water and sewer line works to minimize traffic disturbance / blockades; as the both water and sewer lines are to be laid in all the roads and streets in the town, work planning is crucial to minimize the inconvenience to public due to repeated excavations<br>(ii) Prepare and implement a Traffic Management Plan (Appendix 11)<br>(ii) Duly consider and select sections for trenchless method of pipelaying based on traffic conditions | Construction Contractor    | (i) Traffic route during construction works including number of permanent signage, barricades and flagmen on worksite;<br>(ii) Complaints from sensitive receptors;<br>(iii) Number of signage placed at project location. | Cost for implementation of mitigation measures responsibility of contractor. |



| Field | Anticipated Impact | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Responsible for Mitigation | Monitoring of Mitigation | Cost and Source of Funds |
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|       |                    | (iii) Plan transportation routes so that heavy vehicles do not use narrow local roads, except in the immediate vicinity of delivery sites;<br>(iv) Schedule transport and hauling activities during non-peak hours;<br>(v) Locate entry and exit points in areas where there is low potential for traffic congestion;<br>(vi) Keep the site free from all unnecessary obstructions;<br>(vii) Drive vehicles in a considerate manner;<br>(viii) Coordinate with Traffic Police for temporary road diversions and with for provision of traffic aids if transportation activities cannot be avoided during peak hours;<br>(ix) Notify affected sensitive receptors 1-week in advance by providing sign boards informing nature and duration of construction works and contact numbers for concerns/complaints.<br>(x) Plan and execute the work in such a way that the period of disturbance/ loss of access is minimum.<br>(xi) Provide pedestrian |                            |                          |                          |

| Field                    | Anticipated Impact                                           | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Responsible for Mitigation | Monitoring of Mitigation                                                                                                                                                  | Cost and Source of Funds                                                     |
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|                          |                                                              | access in all the locations until normalcy is restored. Provide wooden/metal planks over the open trenches at each house to maintain the access.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                            |                                                                                                                                                                           |                                                                              |
| Socio-Economic – Income. | Impede the access of residents and customers to nearby shops | <p>(i) Prepare and implement spoils management plan (Appendix 10). Contractor to Implement RP and to follow mitigation measures prescribed</p> <p>(ii) Leave spaces for access between mounds of soil;</p> <p>(ii) Provide walkways and metal sheets where required for people;</p> <p>(iii) Increase workforce in front of critical areas such as institutions, place of worship, business establishment, hospitals, and schools;</p> <p>(iv) Consult businesses and institutions regarding operating hours and factoring this in work schedules; and</p> <p>(v) Provide sign boards for pedestrians to inform nature and duration of construction works and contact numbers for concerns/complaints.</p> <p>(vi) Notify community/ water users in advance about likely interruptions in water supply.</p> | Construction Contractor    | <p>(i) Complaints from sensitive receptors;</p> <p>(ii) Spoils management plan</p> <p>(iii) Number of walkways, signage, and metal sheets placed at project location.</p> | Cost for implementation of mitigation measures responsibility of contractor. |

| Field                          | Anticipated Impact                                               | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Responsible for Mitigation | Monitoring of Mitigation                                                                                                                                                                                                                                                                                                                                                            | Cost and Source of Funds                                                     |
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|                                |                                                                  | (vii) Provide alternate sources of clean water until water supply is restored.                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                            |                                                                                                                                                                                                                                                                                                                                                                                     |                                                                              |
| Socio-Economic - Employment    | Generation of temporary employment and increase in local revenue | (i) Employ local labour force, or to the maximum extent possible<br>(ii) Comply with labor laws                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Construction Contractor    | (i) Employment records;<br>(ii) Records of sources of materials<br>(iii) Compliance to labor laws (see Appendix 9 of this IEE)                                                                                                                                                                                                                                                      | Cost for implementation of mitigation measures responsibility of contractor. |
| Occupational Health and Safety | Occupational hazards which can arise during work                 | (i) Comply with all national, state and local core labor laws (see Appendix 9 of this IEE); Following best practice health and safety guidelines: IFC's General EHS Guidelines <sup>32</sup> and Sector Specific (Water and Sanitation) Guidelines <sup>33</sup><br>(ii) Develop and implement site-specific occupational health and safety (OH andS) Plan which will include measures such as:<br>(a) excluding public from the site; (b) ensuring all workers are provided with and use personal protective equipment like helmet, gumboot, safety belt, | Construction Contractor    | (i) Site-specific OH andS Plan;<br>(ii) Equipped first-aid stations;<br>(iii) Medical insurance coverage for workers;<br>(iv) Number of accidents;<br>(v) Supplies of potable drinking water;<br>(vi) Clean eating areas where workers are not exposed to hazardous or noxious substances;<br>(vii) record of H andS orientation trainings<br>(viii) personal protective equipment; | Cost for implementation of mitigation measures responsibility of contractor. |

<sup>32</sup><https://www.ifc.org/wps/wcm/connect/554e8d80488658e4b76af76a6515bb18/Final%2B-%2BGeneral%2BEHS%2BGuidelines.pdf?MOD=AJPERES>

<sup>33</sup><https://www.ifc.org/wps/wcm/connect/e22c050048855ae0875cd76a6515bb18/Final%2B-%2BWater%2Band%2BSanitation.pdf?MOD=AJPERES>

| Field | Anticipated Impact | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Responsible for Mitigation | Monitoring of Mitigation                                                                                                                                                                                                                                                                                                                 | Cost and Source of Funds |
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|       |                    | <p>gloves, nose mask and ear plugs; (c) OH and S Training for all site personnel; (d) documented procedures to be followed for all site activities; and (e) documentation of work-related accidents;</p> <p>(iii) Conduct work in confined spaces, trenches, and at height with suitable precautions and using standards and safe construction methods; do not adopt ad hoc methods; all trenches deeper than 1.5 m shall be provided with safety shoring/braces; and avoid open cutting method for trenches deeper than 3.5 m by adopting trenchless technology</p> <p>(iv) Ensure that qualified first aid can be provided at all times. Equipped first-aid stations shall be easily accessible throughout the site;</p> <p>(v) Provide medical insurance coverage for workers;</p> <p>(vi) Secure all installations from unauthorized intrusion and accident risks;</p> <p>(vii) The project area experiences extreme temperature during summer</p> |                            | <p>(ix) % of moving equipment outfitted with audible back-up alarms;</p> <p>(xi) permanent sign boards for hazardous areas such as energized electrical devices and lines, service rooms housing high voltage equipment, and areas for storage and disposal.</p> <p>(xii) Compliance to core labor laws (see Appendix 9 of this IEE)</p> |                          |

| Field | Anticipated Impact | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Responsible for Mitigation | Monitoring of Mitigation | Cost and Source of Funds |
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|       |                    | <p>months of April and May, which may affect the health of workers engaged in construction work.</p> <p>Contractor should take necessary measures during summers including the following:</p> <p>(a) work schedule should be adjusted to avoid peak temperature hours (12 – 3 PM); (b) provide appropriate shade near the workplace; allow periodic resting and provide adequate water, and (c) provide necessary medicine and facilities to take care of dehydration related health issues</p> <p>(viii) Provide supplies of potable drinking water;</p> <p>(vi) Provide clean eating areas where workers are not exposed to hazardous or noxious substances;</p> <p>(ix) Provide H andS orientation training to all new workers to ensure that they are apprised of the basic site rules of work at the site, personal protective protection, and preventing injuring to fellow workers;</p> <p>(x) Provide visitor orientation if visitors to the site can gain access to areas where hazardous</p> |                            |                          |                          |

| Field | Anticipated Impact | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Responsible for Mitigation | Monitoring of Mitigation | Cost and Source of Funds |
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|       |                    | <p>conditions or substances may be present. Ensure also that visitor/s do not enter hazard areas unescorted;</p> <p>(xi) Ensure the visibility of workers through their use of high visibility vests when working in or walking through heavy equipment operating areas;</p> <p>(xii) Ensure moving equipment is outfitted with audible back-up alarms;</p> <p>(xiii) Mark and provide sign boards for hazardous areas such as energized electrical devices and lines, service rooms housing high voltage equipment, and areas for storage and disposal. Signage shall be in accordance with international standards and be well known to, and easily understood by workers, visitors, and the general public as appropriate;</p> <p>(xiv) Disallow worker exposure to noise level greater than 85 dBA for a duration of more than 8 hours per day without hearing protection. The use of hearing protection shall be enforced actively.</p> <p>(xv) Conduct regular health</p> |                            |                          |                          |

| Field                                        | Anticipated Impact                                                                                                                                                                                                                                                                                                        | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Responsible for Mitigation | Monitoring of Mitigation                                                                                                                                                         | Cost and Source of Funds                                                     |
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|                                              |                                                                                                                                                                                                                                                                                                                           | <p>check-ups for workers (xvi) Provide periodical awareness camps and special trainings for workers for health issues and risks in construction sites</p> <p>(xvii) Provide proper solid and liquid waste management system in workers' campsite, separate from spoils and debris disposal, as their presence can add to existing waste volume at the project sites.</p>                                                                                                                                                                                       |                            |                                                                                                                                                                                  |                                                                              |
| Occupational and community health and safety | <p>Hazardous working conditions due to presence of asbestos containing material / AC Pipes in work sites: clearing, transfer and disposal; work in narrow streets, and interventions in existing AC pipelines</p> <p>Health impacts due to air borne asbestos if handled unsafely, cut, drilled or broken into pieces</p> | <p>(i) implement the ACM Management Plan (AMP) that includes identification of hazards, the use of proper safety gear and disposal methods.</p> <p>(ii) Conduct awareness program on safety during the construction work</p> <p>(iii) Undertake the construction work stretch-wise; excavation, pipe laying and trench refilling should be completed on the same day</p> <p>(iv) Provide barricades, and deploy security personnel to ensure safe movement of people and also to prevent unnecessary entry and to avoid accidental fall into open trenches</p> | Construction Contractor    | <p>(i) Asbestos management plan preparation and implementation</p> <p>(iii) quantity and characteristics of asbestos containing material removed/handled and disposed safely</p> | Cost for implementation of mitigation measures responsibility of contractor. |



| Field                        | Anticipated Impact                                                                                | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Responsible for Mitigation | Monitoring of Mitigation                                                            | Cost and Source of Funds                                                     |
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|                              |                                                                                                   | <p>(v) Identify risk of intervention with existing AC pipes. If there is significant risk, implement the AMP strictly that includes identification of hazards, the use of proper safety gear and disposal methods.</p> <p>(vi) Appropriate actions as defined in the Asbestos Management Plan will have to be adhered to</p> <p>(vii) Maintain records of AC pipes as per the AMP</p>                                                                                                                                                                                                      |                            |                                                                                     |                                                                              |
| Community Health and Safety. | Traffic accidents and vehicle collision with pedestrians during material and waste transportation | <p>(i) Trench excavation and pipeline works shall be conducted in a safe manner; if the allowing public movement along the work sites (pedestrians or vehicles as the case may be) is likely to cause safety risks, movement should be blocked temporarily and work shall be conducted; in such areas, conducting night work or working in small stretches to avoid blockage of traffic/movement no more than few hours in due consultation with the local community and ULB shall be planned</p> <p>(ii) All trenches deeper than 1.5 m shall be provided with safety shoring/braces;</p> | Construction Contractor    | <p>(i) Traffic Management Plan;</p> <p>(ii) Complaints from sensitive receptors</p> | Cost for implementation of mitigation measures responsibility of contractor. |

| Field                                                                                      | Anticipated Impact                                                                             | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Responsible for Mitigation | Monitoring of Mitigation                                 | Cost and Source of Funds                                                     |
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|                                                                                            |                                                                                                | <p>and avoid open cutting method for trenches deeper than 3.5 m by adopting trenchless technology</p> <p>(iii) Survey the surrounding vulnerable buildings for likely issues in structural stability / differential settlement during the excavation works</p> <p>(iv) Provide prior information to the local people about the</p> <p>(v) Plan routes to avoid times of peak-pedestrian activities.</p> <p>(vi) Liaise with PIU/ULB in identifying high-risk areas on route cards/maps.</p> <p>(vii) Maintain regularly the vehicles and use of manufacturer-approved parts to minimize potentially serious accidents caused by equipment malfunction or premature failure.</p> <p>(viii) Provide road signs and flag persons to warn of on-going trenching activities.</p> |                            |                                                          |                                                                              |
| Safety of sensitive groups (children, elders etc.) and other pedestrians in narrow streets | Trench excavation in narrow streets will pose high risk to children and elders in the locality | <p>(i) Provide prior information to the local people about the nature and duration of work</p> <p>(ii) Conduct awareness program on safety during the construction work</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Construction Contractor    | Complaints from neighborhood monitoring and of accidents | Cost for implementation of mitigation measures responsibility of contractor. |

| Field       | Anticipated Impact                                                                                                                            | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Responsible for Mitigation | Monitoring of Mitigation                                                          | Cost and Source of Funds |
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|             |                                                                                                                                               | <p>(iii) Undertake the construction work stretch-wise; excavation, pipe laying and trench refilling should be completed on the same day</p> <p>(iv) Provide barricades, and deploy security personnel to ensure safe movement of people and also to prevent unnecessary entry and to avoid accidental fall into open trenches</p>                                                                                                                                                                                                                                                             |                            |                                                                                   |                          |
| Night Works | Public inconvenience due to traffic diversion, disturbance due to excessive noise and access loss, occupational health and safety issues etc. | <p>Prepare a night work protocol and obtain prior approval from PIU, and strictly implement and report on implementation of protocol during the workers;</p> <p>Contractors should have handheld noise level meter for measurement of noise during night hours</p> <p>Contractors should have handheld lux meter for the measurement of illumination during night hours</p> <p>Preferably electrical connection is available for running equipment otherwise soundproof/super silent Diesel Generator set should be available</p> <p>Sound level should not increase as prescribe by CPCB</p> | Contractor                 | Night work plan / protocol submitted by contractor and approved by PIU/Consultant | Contractor               |

| Field | Anticipated Impact | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Responsible for Mitigation | Monitoring of Mitigation | Cost and Source of Funds |
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|       |                    | <p>Illumination should be as prescribed in protocol</p> <p>As far as possible ready-mix concrete from batching plant to be used, otherwise the concrete should be prepared away from residential areas and brought to the site</p> <p>All the noisy activities like hammering, cutting, crushing, running of heavy equipment should be done in daytime and avoided in nighttime</p> <p>Workers engaged in night works should have adequate rest/sleep in daytime before start of night works</p> <p>Worker engaged for night works should have previous experience of night works and should be physically fit for such works including clear vision in night</p> <p>All the necessary provisions of traffic aids such as traffic signals, road signage, barricades, cautions boards, traffic diversion boards etc. should be available with fluorescent/retro-reflective arrangements</p> <p>Workers should be trained before start of night works about risks and hazards of</p> |                            |                          |                          |

| Field | Anticipated Impact | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Responsible for Mitigation | Monitoring of Mitigation | Cost and Source of Funds |
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|       |                    | <p>night works and their mitigation measures and should be provided all the protective aids (PPEs) including fluorescent/retro-reflective vests</p> <p>Horns should not be permitted by equipment and vehicles</p> <p>Workers should not shout and create noise</p> <p>First aid and emergency vehicles should be available at site</p> <p>Emergency preparedness plan should be operative during night works</p> <p>Old persons and pregnant women and women having small kids should not work in night-time</p> <p>All the vehicles and equipment being used at night works should have adequate type of silencers/enclosures/mufflers to reduce noise</p> <p>All the vehicles should be checked for working head lamps, tail lamps, inner lights etc. before start of night works</p> <p>PIU/DSC site engineers and contractor's safety personnel should closely monitor the safety of works continuously and noise and</p> |                            |                          |                          |

| Field                  | Anticipated Impact                                | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Responsible for Mitigation | Monitoring of Mitigation | Cost and Source of Funds      |
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|                        |                                                   | <p>illumination levels on hourly basis and maintain photographic and video graphic records as well as register the observations.</p> <p>Night works should be stopped early in the morning at least one hour before start of pedestrian/traffic movement</p> <p>After completion of night works all the site should be cleaned and maintained obstruction free for daytime movement of vehicles and pedestrians</p> <p>Drivers and workers should be alert and responsive during night works</p> <p>All the wages to workers working in night hours should be as per the applicable labour acts</p> <p>Avoid any nuisance which may create problems to nearby habitants and work peacefully during night hours</p> <p>Night works should not be conducted near hospitals and during peak seasons such as peak tourist season, students' exam times etc.</p> |                            |                          |                               |
| Work in narrow streets | will pose high risk to children and elders in the | (i) Conduct awareness program on safety during the construction work                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                            |                          | responsibility of contractor. |

| Field                                    | Anticipated Impact                             | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Responsible for Mitigation | Monitoring of Mitigation                                                   | Cost and Source of Funds              |
|------------------------------------------|------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|----------------------------------------------------------------------------|---------------------------------------|
|                                          | locality                                       | <p>(ii) Undertake the construction work stretch-wise; excavation, pipe laying and trench refilling should be completed on the same day</p> <p>(iii) Provide barricades, and deploy security personnel to ensure safe movement of people and also to prevent unnecessary entry and to avoid accidental fall into open trenches</p> <p>(iv) Trench excavation and pipeline works shall be conducted in a safe manner; if the allowing public movement along the work sites (pedestrians or vehicles as the case may be) is likely to cause safety risks, movement should be blocked temporarily and work shall be conducted; in such areas, conducting night work or working in small stretches to avoid blockage of traffic/movement no more than few hours in due consultation with the local community and ULB shall be planned</p> |                            |                                                                            |                                       |
| Construction camps and worker facilities | Temporary air and noise pollution from machine | (i) Consult with PIU before locating project offices, sheds, and construction                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Construction Contractor    | <p>(i) Complaints from sensitive receptors;</p> <p>(ii) Drinking water</p> | Cost for implementation of mitigation |

| Field | Anticipated Impact                                                                                                                                       | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Responsible for Mitigation | Monitoring of Mitigation                       | Cost and Source of Funds                      |
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|       | <p>operation, water pollution from storage and use of fuels, oils, solvents, and lubricants</p> <p>Unsanitary and poor living conditions for workers</p> | <p>plants;</p> <p>(ii) Minimize removal of vegetation and disallow cutting of trees;</p> <p>(iii) Provide drinking water, water for other uses, and sanitation facilities for employees;</p> <p>(iv) Provided temporary rest and eating area at all work sites</p> <p>(v) Ensure conditions of livability at work camps are always maintained at the highest standards possible; living quarters and construction camps shall be provided with standard materials (as far as possible to use portable ready to fit-in reusable cabins with proper ventilation); thatched huts, and facilities constructed with materials like GI sheets, tarpaulins, etc., shall not be used as accommodation for workers; accommodation shall meet the IFC standards for workers accommodation which include: provision of safe housing, availability of electricity, plumbing, water and sanitation, adequate fire protection and dormitory/room facilities;</p> |                            | <p>and sanitation facilities for employees</p> | <p>measures responsibility of contractor.</p> |



| Field                         | Anticipated Impact              | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Responsible for Mitigation | Monitoring of Mitigation                 | Cost and Source of Funds |
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|                               |                                 | <p>accommodation shall be in the range from 10 to 12.5 cubic meters (volume) or 4 to 5.5 square meters (surface) per worker, a minimum ceiling height of 2.10 meters; a reasonable number of workers are allowed to share the same room – (standards range from 2 to 8 workers); workers with accompanying families shall be provided with a proper and safe accommodation (IFC benchmark standards for workers accommodation is provided in Appendix 18)</p> <p>(vi) Train employees in the storage and handling of materials which can potentially cause soil contamination;</p> <p>(vii) Recover used oil and lubricants and reuse or remove from the site;</p> <p>(viii) Manage solid waste according to the preference hierarchy: reuse, recycling and disposal to designated areas;</p> <p>(ix) Ensure unauthorized persons specially children are not allowed in any worksite at any given time.</p> |                            |                                          |                          |
| Construction of CRMC at Nagar | Disturbance to public and staff | (i) No worker camps should be constructed at CRMC                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Construction Contractor    | (i) Complaints from sensitive receptors; | Cost for implementation  |

| Field                         | Anticipated Impact                                                            | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                        | Responsible for Mitigation | Monitoring of Mitigation                 | Cost and Source of Funds                                                     |
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| Palika Office Campus          | visiting/working in Nagar Palika office, traffic disturbance and safety risks | site<br>(ii) Proper barricades and signage should be provided at site<br>(iii) No noisy works and transportation of construction materials/plying of heavy vehicles at/near site during office working hours should be ensured<br>(iv) Removal of extraneous materials from site up to end of day should be ensured<br>(v) provide proper toilets for workers at site                                                                                      |                            | (ii) sanitation facilities for employees | of mitigation measures responsibility of contractor.                         |
| Social and Cultural Resources | Risk of archaeological chance finds                                           | (i) Strictly follow the protocol for chance finds in any excavation work;<br>(ii) Create awareness among the workers, supervisors and engineers about the chance finds during excavation work<br>(iii) Stop work immediately to allow further investigation if any finds are suspected;<br>(iv) Inform local Archeological Department / Museum office if a find is suspected and take any action, they require to ensure its removal or protection in situ | Construction Contractor    | Records of chance finds                  | Cost for implementation of mitigation measures responsibility of contractor. |
| Monsoon preparedness          | Disruption of utilities and water                                             | (i) As for a possible avoid trench works and                                                                                                                                                                                                                                                                                                                                                                                                               | Construction Contractor    | Monsoon preparedness plan                | Cost for implementation                                                      |

| Field                                   | Anticipated Impact                                          | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                              | Responsible for Mitigation | Monitoring of Mitigation                                                                                                                                                                                                                                                             | Cost and Source of Funds                                                     |
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|                                         | logging in trenches                                         | excavation works (pipe laying) during monsoon season to avoid any water logging and accident due to it<br>(ii) if open trenches are not avoidable during monsoon, keep ready all the mitigations measures to avoid water logging such as dewatering pumps and sufficient pipes, traffic assistance, barricades etc.<br>(iii) keep emergency response system ready before monsoon/heavy rain fall |                            |                                                                                                                                                                                                                                                                                      | of mitigation measures responsibility of contractor.                         |
| Submission of EMP implementation report | Unsatisfactory compliance to EMP                            | (i) Appointment of supervisor to ensure EMP implementation<br>(ii) Timely submission of monitoring reports including pictures                                                                                                                                                                                                                                                                    | Construction contractor    | Availability and competency of appointed supervisor<br>Monthly report                                                                                                                                                                                                                | Cost for implementation of mitigation measures responsibility of contractor. |
| Post-construction clean-up              | Damage due to debris, spoils, excess construction materials | (i) Remove all spoils wreckage, rubbish, or temporary structures (such as buildings, shelters, and latrines) which are no longer required; and<br>(ii) All excavated roads shall be reinstated to original condition.<br>(iii) All disrupted utilities restored<br>(iv) All affected structures rehabilitated/compensated<br>(v) The area that previously                                        | Construction Contractor    | PIU/Consultant report in writing that (i) worksite is restored to original conditions; (ii) camp has been vacated and restored to pre-project conditions; (iii) all construction related structures not relevant to O and M are removed; and (iv) worksite clean-up is satisfactory. | Cost for implementation of mitigation measures responsibility of contractor. |

| Field | Anticipated Impact | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Responsible for Mitigation | Monitoring of Mitigation | Cost and Source of Funds |
|-------|--------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|--------------------------|--------------------------|
|       |                    | <p>housed the construction camp is to be checked for spills of substances such as oil, paint, etc. and these shall be cleaned up.</p> <p>(vi) All hardened surfaces within the construction camp area shall be ripped, all imported materials removed, and the area shall be top soiled and re-grassed using the guidelines set out in the re-vegetation specification that forms part of this document.</p> <p>(vii) The contractor must arrange the cancellation of all temporary services.</p> <p>(viii) Request PIU to report in writing that worksites and camps have been vacated and restored to pre-project conditions before acceptance of work.</p> |                            |                          |                          |

**Table 15: Environmental Management Plan of Anticipated Impacts during Operation**

| Field                                                     | Anticipated Impact                               | Mitigation Measures                                                                                                                                    | Responsible for Mitigation                            | Monitoring of Mitigation | Cost and Source of Funds   |
|-----------------------------------------------------------|--------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|--------------------------|----------------------------|
| Sewerage system operation: treatment discharge of treated | Environmental and health issues due to operation | (i) Ensure that treated wastewater meets the established discharge standards all times; Conduct regular wastewater quality monitoring (at inlet and at | O and M Contractor for 10 years and then Nagar Palika | Fatehpur Nagar Palika    | O and M cost of Contractor |

| Field              | Anticipated Impact | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Responsible for Mitigation | Monitoring of Mitigation | Cost and Source of Funds |
|--------------------|--------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|--------------------------|--------------------------|
| wastewater, sludge |                    | <p>outlet of STP) to ensure that the treated effluent quality complies with design standards;</p> <p>(ii) Conduct baseline water quality assessment of receiving water body prior to start of operation</p> <p>(iii) Ensure implementation of Reuse Plan, and ensure intended quality for each direct reuse</p> <p>(iv) Assess composition and characteristics of sludge from the first batch operation at the initial phases, and confirm the handling, management and disposal/reuse actions suggested in the management plan</p> <p>(v) Conduct periodic testing of dried sludge/compost to check presence of heavy metals and confirming the concentrations to use as compost as specified in the Standards for Composting, Schedule II A, Solid Waste Management Rules, 2016, FCO = Fertilizer Control Order, 1985, amendments in 2009 and 2013. It shall not be used for food crops.</p> <p>(vi) Ensure valid consent to operate (CTO) from RSPCB</p> |                            |                          |                          |

| Field | Anticipated Impact | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Responsible for Mitigation | Monitoring of Mitigation | Cost and Source of Funds |
|-------|--------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|--------------------------|--------------------------|
|       |                    | <p>for operation of STP</p> <p>(vii) Ensure that all conditions/standards prescribed by RSPCB are complied duly</p> <p>(viii) Ensure that chlorinator facility is operated only by trained staff and as per the standard operating procedures; in case of any accident and/or maintenance activity, ensure that the staff follows documented procedures only</p> <p>(ix) Implement Emergency Response System (ERS) for the chlorine leakage; Guidelines and Emergency plan for handling and storing chlorine is attached as Appendix 19</p> <p>(x) Ensure proper knowledge transfer, hands-on training to municipal staff engaged in STP operation has been provided by contractor prior to handover of facility;</p> <p>(xi) Operate and maintain the facility following standard operating procedures of operational manual;</p> <p>(xii) Undertake preventive and periodic maintenance activities as required;</p> <p>(xiii) Conduct periodic training to workers; ensure that all safety apparatus at</p> |                            |                          |                          |

| Field                                                | Anticipated Impact                                                | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Responsible for Mitigation                            | Monitoring of Mitigation | Cost and Source of Funds   |
|------------------------------------------------------|-------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|--------------------------|----------------------------|
|                                                      |                                                                   | STP including personal protection equipment are in good condition all times; and are at easily accessible and identifiable place; periodically check the equipment, and conduct mock drills to deal with emergency situations; (xiv) No wastewater from industrial premises (including domestic wastewater) shall be allowed to dispose into municipal sewers; monitor regularly and ensure that there is no illegal discharge through manholes or inspection chambers; conduct public awareness programs; in coordination with RSPCB; |                                                       |                          |                            |
| Sewerage system operation: collection and conveyance | Environmental and health issues due to operation of sewer network | (i) Establish regular maintenance program, including: <ul style="list-style-type: none"> <li>Regular cleaning of grit chambers and sewer lines to remove grease, grit, and other debris that may lead to sewer backups. Cleaning should be conducted more frequently for problem areas.</li> <li>Inspection of the condition of sanitary sewer structures and identifying areas that need repair or maintenance. Items to note may include cracked/deteriorating pipes; leaking joints or seals at</li> </ul>                          | O and M contractor for 10 years and then Nagar Palika | Fatehpur Nagar Palika    | O and M cost of contractor |

| Field | Anticipated Impact | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Responsible for Mitigation | Monitoring of Mitigation | Cost and Source of Funds |
|-------|--------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|--------------------------|--------------------------|
|       |                    | <p>manhole; frequent line blockages; lines that generally flow at or near capacity; and suspected infiltration or exfiltration; and</p> <ul style="list-style-type: none"> <li>Monitoring of sewer flow to identify potential inflows and outflows</li> <li>Conduct repairs on priority based on the nature and severity of the problem. Immediate clearing of blockage or repair is warranted where an overflow is currently occurring or for urgent problems that may cause an imminent overflow (e.g. pump station failures, sewer line ruptures, or sewer line blockages);</li> <li>(ii) Review previous sewer maintenance records to help identify “hot spots” or areas with frequent maintenance problems and locations of potential system failure, and conduct preventative maintenance, rehabilitation, or replacement of lines as needed;</li> <li>(iii) When a spill, leak, and/or overflow occurs, keep sewage from entering the storm drain system by covering or blocking storm drain inlets or by containing and diverting the sewage</li> </ul> |                            |                          |                          |



| Field | Anticipated Impact | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Responsible for Mitigation | Monitoring of Mitigation | Cost and Source of Funds |
|-------|--------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|--------------------------|--------------------------|
|       |                    | <p>away from open channels and other storm drain facilities (using sandbags, inflatable dams, etc.). Remove the sewage using vacuum equipment or use other measures to divert it back to the sanitary sewer system.</p> <p>(iv) Prohibit/prevent disposal of wastewater/effluent from industrial units in the sewers; ensure regular checking to ensure no illegal entry of industrial wastewater into sewers</p> <p>(v) Develop an Emergency Response System for the sewerage system leaks, burst and overflows, etc.</p> <p>(vi) Provide necessary health and safety training to the staff</p> <p>(vii) Provide all necessary personnel protection equipment</p> <p>(viii) During cleaning/clearing of manholes and sewer lines great precautions should be taken for the safety of workers conducting such works.</p> <ul style="list-style-type: none"> <li>• As far as possible use remote / CCTV mechanism to identify/detect the problems in sewers and do not engage</li> </ul> |                            |                          |                          |

| Field | Anticipated Impact | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Responsible for Mitigation | Monitoring of Mitigation | Cost and Source of Funds |
|-------|--------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|--------------------------|--------------------------|
|       |                    | <p>persons for this purpose</p> <ul style="list-style-type: none"> <li>As far as possible use mechanized cleaning of manholes and sewers by using modern techniques and machines and do not engage persons for this purpose</li> <li>Ensure that maintenance staff and supervisors understand the risks; provide proper instructions, training and supervision.</li> <li>Use gas detector to detect any hazardous or inflammable gas in confined areas like sewers /manholes prior to maintenance process</li> <li>Provide suitable personal protective equipment that may include waterproof / abrasion-resistant gloves, footwear, eye and respiratory protection. Face visors are particularly effective against splashes. Equipment selection and a proper system for inspection and maintenance are important.</li> <li>Provide adequate welfare facilities, including clean water, soap, nail brushes, disposable paper towels, and where heavy contamination is foreseeable, showers.</li> <li>For remote locations</li> </ul> |                            |                          |                          |

| Field                                                                                                                          | Anticipated Impact                                     | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Responsible for Mitigation                            | Monitoring of Mitigation | Cost and Source of Funds   |
|--------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|--------------------------|----------------------------|
|                                                                                                                                |                                                        | <p>portable welfare facilities should be provided.</p> <ul style="list-style-type: none"> <li>• Areas for storage of clean and contaminated equipment should be segregated and separate from eating facilities.</li> <li>• Provide adequate first-aid equipment, including clean water or sterile wipes for cleansing wounds, and a supply of sterile, waterproof, adhesive dressings.</li> <li>• Make effective arrangements for monitoring the health of staff.</li> </ul> <p>Keep emergency preparedness plan ready before starting the work of sewage system cleaning</p> |                                                       |                          |                            |
| Repair and maintenance activities of water supply and sewerage Construction disturbances, nuisances, public and worker safety, | All work sites                                         | <p>Implementation of dust control, noise control, traffic management, and safety measures.</p> <p>Site inspection checklist to review implementation is appended at Appendix 15.</p>                                                                                                                                                                                                                                                                                                                                                                                          | O and M contractor for 10 years and then Nagar Palika | Fatehpur Nagar Palika    | O and M cost of Contractor |
| Asset management                                                                                                               | Reduction in NRW<br>Increased efficiency of the system | Preparation and implementation of O and M Manual                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | O and M contractor for 10 years and then Nagar Palika | Fatehpur Nagar Palika    | O and M cost of contractor |

**Table 16: Environmental Monitoring Plan for Construction Stage**

| <b>Monitoring field</b>                                      | <b>Monitoring location</b>                                                                  | <b>Monitoring parameters</b>                                                                                                                                                                                                                                                        | <b>Frequency</b>                                                                     | <b>Responsibility</b>                        | <b>Cost &amp; Source of Funds</b> |
|--------------------------------------------------------------|---------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|----------------------------------------------|-----------------------------------|
| Construction disturbances, nuisances, public & worker safety | All work sites                                                                              | Implementation of dust control, noise control, traffic management, & safety measures. Site inspection checklist to review implementation is appended at Appendix 15                                                                                                                 | Weekly during construction                                                           | Supervising staff and safeguards specialists | No costs required                 |
| Ambient air quality                                          | 5 locations (STP site, 3 SPS site, pipe laying sites and workers camp during construction)  | PM <sub>10</sub> , PM <sub>2.5</sub> , NO <sub>2</sub> , SO <sub>2</sub> , CO                                                                                                                                                                                                       | Once before start of construction and quarterly (yearly 4-times) during construction | Contractor                                   | Contractor cost                   |
| Ambient noise                                                | 6 locations (STP site, 3 SPS sites, pipe laying sites and workers camp during construction) | Day time and night time noise levels                                                                                                                                                                                                                                                | Once before start of construction and quarterly (yearly 4-times) during construction | Contractor                                   | Contractor cost                   |
| Ground Water quality                                         | 5 locations (STP site, 3 SPS sites and construction camp)                                   | pH, TDS, Total Hardness, Zn, Chloride, Iron, Copper, DO, Manganese, Sulphate, Nitrate, Fluoride, Hg, Cadmium, Cr <sup>+6</sup> , Arsenic, Lead, Total Alkalinity, Phosphate, Phenolic compound                                                                                      | Once before start of construction and quarterly (yearly 4-times) during construction | Contractor                                   | Contractor cost                   |
| Soil quality                                                 | 5 locations (STP, SPS sites and construction camp, pipe laying site)                        | pH, Elect. Conductivity (at 25 <sup>0</sup> C), Moisture (at 105 <sup>0</sup> C), Texture (silt, clay, sand), Calcium (as CaO), Magnesium (as Mg), Permeability, Nitrogen (as N), Sodium (as Na), Phosphate (as PO <sub>4</sub> ), Potassium (as K), Organic Matter, oil and grease | Once before start of construction and quarterly (yearly 4-times) during construction | Contractor                                   | Contractor cost                   |

| Monitoring field                                                                                          | Monitoring location                                         | Monitoring parameters                           | Frequency    | Responsibility                                    | Cost & Source of Funds                                                                             |
|-----------------------------------------------------------------------------------------------------------|-------------------------------------------------------------|-------------------------------------------------|--------------|---------------------------------------------------|----------------------------------------------------------------------------------------------------|
| Construction, Labour Camp, storage yard Management                                                        | Construction, Labour Camp, storage yard Management          | As per EMP                                      | Weekly       | EHS officer, Environment Specialist of consultant | contractor                                                                                         |
| Solid waste management                                                                                    | Construction, Labour Camp, storage yard Management          | As per EMP                                      | Weekly       | EHS officer, Environment Specialist of consultant | contractor                                                                                         |
| Construction and demolition waste management                                                              | All construction site                                       | As per EMP and applicable rules and regulations | Weekly       | EHS officer, Environment Specialist of consultant | contractor                                                                                         |
| Consent to Establish and Conset to Operate of STPs, batching plants, crusher, hot mix plant. DG sets etc. | STPs, batching plants, crusher, hot mix plants, DG sets etc | Consents are taken as per table 5 & 6           | Periodically | EHS officer, Environment Specialist of consultant | No cost required for monitoring cost for obtaining CTE/CTO from PMU and for others from Contractor |

Table 17: Environmental Monitoring Plan for Operations Stage

| Monitoring field                             | Monitoring location | Monitoring parameters                                                                                                                                                                                                                | Frequency    | Responsibility                                       | Cost & Source of Funds           |
|----------------------------------------------|---------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|------------------------------------------------------|----------------------------------|
| Monitoring of raw sewage quality             | Inlet of the STP    | Suspended solids, pH, Temperature<br>Oil and grease, Total residual chlorine, Ammonical nitrogen (as N), BOD, COD, Nitrate Nitrogen and Fecal Coliform<br>The values should be within the limit specified by STP design inlet values | Monthly once | O andM contractor for 10 years and then Nagar Palika | O and M Contractor/ Nagar Palika |
| Monitoring of Treated water quality from STP | STP                 | Suspended solids, pH, Temperature<br>Oil and grease, Total residual chlorine, Ammonical nitrogen (as N), BOD, COD, Nitrate Nitrogen, Fecal Coliform<br>The values should be within the limit specified by STP design outlet values   | Monthly once | O andM contractor for 10 years and then Nagar Palika | O and M Contractor/ Nagar Palika |

| Monitoring field                                                                                    | Monitoring location   | Monitoring parameters                                                                                                                                                                                                                                                                                          | Frequency        | Responsibility                                        | Cost & Source of Funds           |
|-----------------------------------------------------------------------------------------------------|-----------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|-------------------------------------------------------|----------------------------------|
|                                                                                                     |                       | (Appendix 4).                                                                                                                                                                                                                                                                                                  |                  |                                                       |                                  |
| Consent to operate (CTO) from RSPCB                                                                 | STPs                  | CTO should be renewed before expired                                                                                                                                                                                                                                                                           | As per RSPCB     | O and M contractor for 10 years and then Nagar Palika | O and M Contractor/ Nagar Palika |
| Sludge quality (STP) and suitability as manure                                                      | Dried sludge          | Analysis for concentration of heavy metals and confirm that value are within the following limits (all units are in mg/kg dry basis except pH)<br>Arsenic - 10.00<br>Cadmium - 5.00<br>Chromium - 50.00<br>Copper- 300.00<br>Lead - 100.00<br>Mercury- 0.15<br>Nickel - 50.00<br>Zinc- 1000.00<br>pH - 5.5-8.5 | Yearly twice     | O and M contractor for 10 years and then Nagar Palika | O and M Contractor/ Nagar Palika |
| Monitoring of plantations                                                                           | Plantations locations | Nos. of tree survived                                                                                                                                                                                                                                                                                          | monthly          | O&M Contractor/Nagar Palika                           | O&M Contractor/Nagar Palika      |
| Sewer network to sustain operational efficiency and avoid clogging and early occurrence of leakages | Sewer network         | to be included in the O&M plan prepared under the project                                                                                                                                                                                                                                                      | as per O&M plan  | O&M Contractor/Nagar Palika                           | O&M Contractor/Nagar Palika      |
| Consent to operate (CTO) from RPCB                                                                  | STPs                  | CTO should be renewed before expired                                                                                                                                                                                                                                                                           | 5 yearly         | Fatehpur Nagar Palika                                 | Fatehpur Nagar Palika            |
| Achieving targeted wastewater reuse and safe sludge disposal                                        | STP                   | Volume of wastewater reuse                                                                                                                                                                                                                                                                                     | Monthly / Yearly | Fatehpur Nagar Palika                                 | Fatehpur Nagar Palika            |
|                                                                                                     | STP                   | Quantity of safe sludge disposal                                                                                                                                                                                                                                                                               | Monthly / Yearly | Fatehpur Nagar Palika                                 | Fatehpur Nagar Palika            |
| Achieving Septic Tank Closure                                                                       | Town                  | Numbers of septic tanks closed; IEC Campaign Details                                                                                                                                                                                                                                                           | Yearly           | Fatehpur Nagar Palika                                 | Fatehpur Nagar Palika            |

## B. Institutional Requirements

231. The Local Self Government Department (LGSD) is the executing agency which will be responsible for the overall strategic guidance and ensure the compliance with ADB loan covenants. RUDSICO is the implementing agency responsible for the technical supervision and project implementation. The RUDSICO Board (under the chairmanship of the Honorable Minister), the LGSD and the City Level Monitoring Committees (CLMCs, under the

chairmanship of their respective Commissioner / Executive Officer) is proposed to monitor the project implementation. The Project Management Unit (PMU) is at state-level and headed by a dedicated Project Director. The Project Implementing Units (PIUs) have two zonal offices (1 in Jaipur and 1 in Jodhpur). Each zonal office will be headed by an Additional Chief Engineer. Urban Local Bodies (ULBs) will be the final custodian and user of the created infrastructure. As primary stakeholders, the ULBs will be involved and engaged in the day-to-day monitoring and implementation.

232. At the PMU level, the Project Director shall be supported by Additional Project Director (Chief Engineer-level) and a Chief Engineer, who shall then be supported by Dy Project Director and a Financial Advisor. There shall be one Project Officer for Social and another Project Officer for Environmental aspects of the project.

233. The PMU shall be supported by the Project Management and Capacity Building Consultants (PMCBC). The PMCBC shall manage preparation/vetting design documents, tendering of contracts, implementation of resettlement, environmental management and gender action plans; setting and managing project performance monitoring systems, planning and managing implementation of training and capacity building as well as institutional strengthening activities besides preparing reports as per ADB requirements. PMCBC shall engage a social safeguard specialist and environmental safeguard specialist at the PMU level for managing all social and environmental safeguard related support services as envisaged in its scope of work. They will be assisted by concerned field level safeguard support staffs of Construction Management and Supervision Consultants (CMSCs) and PIU.

234. PMCBC shall be joined by the following specialists to address site-specific environmental requirements as below:

- i. Environment Specialist Consultant – responsibilities include the review and refinement of the IEEs and the EMPs and ensure inclusion in the bid documents and during construction, monitor the implementation of the EMPs and support in the reporting and documentation requirements.
- ii. Asbestos Management Specialist – provides training and awareness on the risks and safe handling and management of asbestos-containing materials (ACMs) and to coordinate with the Asbestos Management Service Provider in the implementation of the Asbestos Management
- iii. Heritage Management Specialist – provides guidance on the ADB SPS requirement on Physical Cultural Resources in the RSTDSP towns including the conduct of Heritage Impact Assessment, provides support on the statutory clearances to be obtained and the documentation and reporting on the implementation of mitigation measures
- iv. Biodiversity Expert – provides guidance on the ADB SPS requirement on Biodiversity Conservation and Critical Habitat Assessment including the conduct preliminary screening (e.g. IBAT assessment reports), on-site verifications and consultations, recommend specific measures and provide supervisor support during the planning and construction periods
- v. Architect - assists the team leader and structural expert for review and approval of all drawings from architectural and heritage perspective; review and approve the detailed architectural drawings prepared by the Contractor and promptly address any site-specific issues regarding architectural and heritage aspects.

239. There will be two zonal PIUs and a PIU at every town. PIUs at the town-level shall be headed by a Superintending Engineer/ Executive Engineer, who shall work as Project Manager and shall sign the contract documents, manage the contract and disburse payments as Drawing and Disbursing Officer.

240. **Construction Management and Supervision Consultants (CMSC)** - 2 nos catering to Jaipur and Jodhpur units. They shall directly support PIUs in day to day contract management, construction supervision including quality management of ongoing works etc. This shall include work measurement, quantities, verification of bills of contractors etc. In compliance with the EMP, the CMSC shall develop a strategy to overcome the difficulties of construction/traffic management in narrow streets and also prepare detailed plans for detour of traffic during excavation for pipe laying. The CMSC will propose and implement mechanism for coordination among all stakeholders such as traffic police, roads department, user committees, etc, for smooth construction execution. Adequate measures shall be taken for working near physical cultural resources involving close coordination with the Department of Archaeology. The CMSC will lead design of surveys and investigations required for the protection of archaeological sites / heritage areas and prepare Archaeological Impact Assessments, or other agreed upon document to be approved by the Department of Archaeology for the archaeologically sensitive locations;

241. Community Awareness and Public Participation Consultants (CAPPC) will closely work in the field (with PIUs) to facilitate creation of project awareness and ensuring public participation for all project works at the community level. This shall mainly involve house connections for water supply, sewerage and metering. CAPPC shall also undertake various IEC activities to promote and pursue health and hygiene among the communities.

242. Table 18 to Table 19 summarize the institutional responsibility of environmental safeguards implementation at all stages of the project.

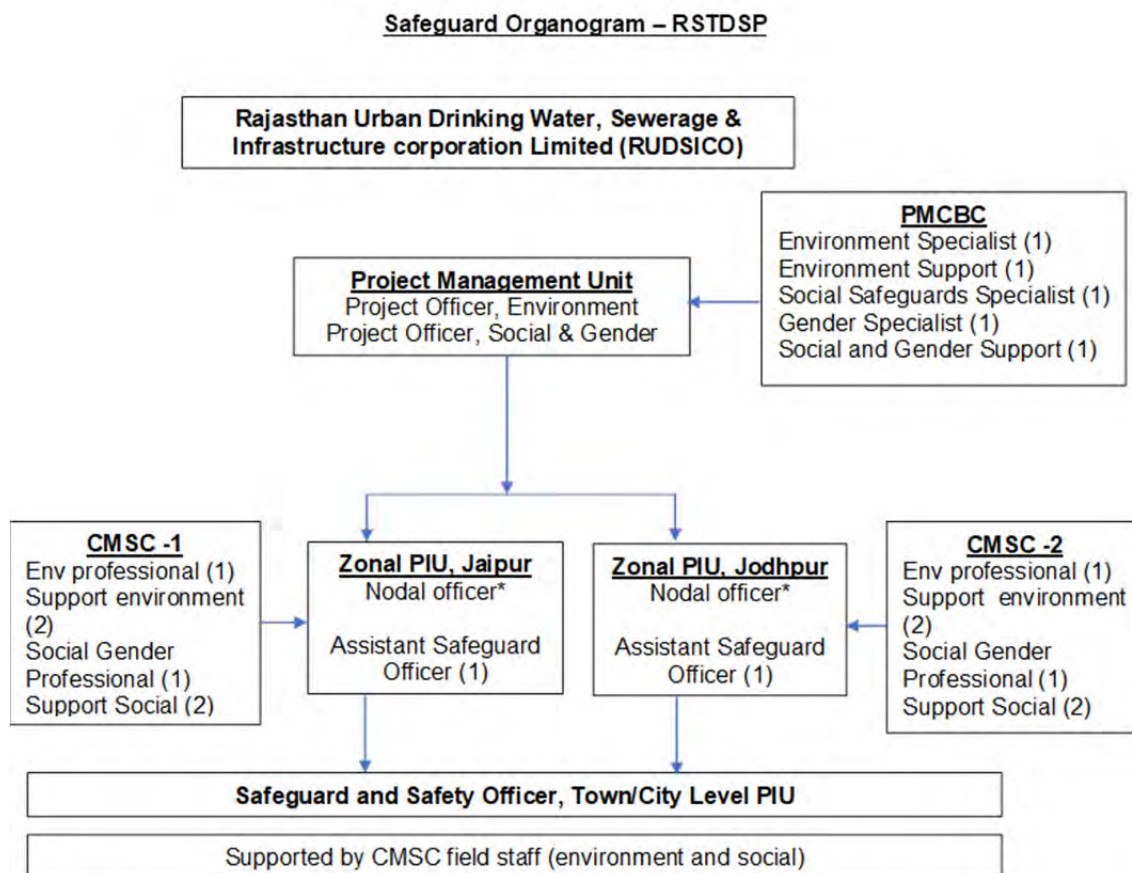
234. **Project Management Unit.** RUDSICO will establish a state-level PMU, headed by dedicated project director, and housed in EAP division of RUDSICO. For the purpose of project implementation, two Zonal Project Implementation Units (Zonal PIUs), at Jaipur and Jodhpur, headed by Additional Chief Engineers (ACE) will be established. At PMU, there will be two dedicated project officers (i) Project Officer (Environment) and (ii) Project Officer (Social and Gender), who will be responsible for compliance with the environmental, social safeguards and gender in program implementation. Key responsibilities of the Project Officer (Environment) are as follows:

- i. Review REA checklists and assign categorization based on ADB SPS 2009 and EARF
- ii. Submit IEE to ADB for approval and disclosure in ADB website
- iii. Ensure approved IEEs are disclosed in RSTDSP/PMU websites and summary posted in public areas accessible and understandable by local people.
- iv. Ensure EMPs are included in the bid documents and contracts
- v. Organize an orientation workshop for PMU, PIU, ULB and all staff involved in the project implementation on (a) ADB SPS, (b) Government of India national, state, and local environmental laws and regulations, (c) core labor standards, (d) OH&S, (e) EMP implementation especially spoil management, working in congested areas, public relations and ongoing consultations, grievance redress, etc.
- vi. Assist in addressing any grievances brought about through the GRM
- vii. Organize an induction course for the training of contractors preparing them on EMP implementation, environmental monitoring requirements related to mitigation



- measures; and taking immediate actions to remedy unexpected adverse impacts or ineffective mitigation measures found during the course of implementation.
- viii. Ensure compliance with all government rules and regulations regarding site and environmental clearances as well as any other environmental requirements
  - ix. Assist PMU, PIUs, and project consultants to document and develop good practice construction guidelines to assist the contractors in implementing the provisions of IEE and EMP.
  - x. Assist in the review of the contractors' implementation plans to ensure compliance with the IEE.
  - xi. Review monthly monitoring reports submitted by PIUs, and prepare and submit to ADB semi-annual monitoring reports
  - xii. If necessary, prepare Corrective Action Plan and ensure implementation of corrective actions to ensure no environmental impacts;
  - xiii. Review and submit Corrective Action Plans to ADB
  - xiv. Coordinate with national and state level government agencies
  - xv. Coordinate PIUs, consultants and contractors on mitigation measures involving the community and affected persons and ensure that environmental concerns and suggestions are incorporated and implemented

**Figure 17. Safeguards Implementation - RSTDSP**



Zonal PIU will be led by a nodal officer of the rank of assistant chief engineer who will also be the nodal person for safeguards and gender compliances in project implementation by town level PIUs. S/he will be supported by ASO in execution of these responsibilities.

235. The PMU will be supported by three institutional consultants under the supervision and control of PD, PMU: (i) the Project Management and Capacity Building Consultants (PMCBC) will support the PMU; (ii) 2 Construction Management and Supervision Consultants (CMSC) will support the 2 zonal PIUs and town-level PIUs; and (iii) Community Awareness and Public Participation Consultants (CAPPC), will support the zonal PIUs and town-level PIUs.

236. **Zonal Project implementation units (Zonal PIUs).** There are two zonal level PIUs at Jaipur and Jodhpur. Under each zonal PIU, there will be city/town level PIUs, for ease of day-to-day monitoring and management at local level. The Additional Chief Engineer at each Zonal PIU will serve as the Nodal Officer, Safeguards and Gender. Each Zonal PIU will be staffed with an assistant safeguards officer (ASO Environmental and Social Safeguards) who will assist PMU project officer (environment/social) in implementation of the environmental/social safeguards and GESI Action Plan in PIUs under its jurisdiction. Zonal PIUs will undertake internal monitoring and supervision and record observations throughout the project period to ensure that the safeguards and mitigation measures are provided as intended.

237. The zonal level Assistant Safeguards Officer will oversee safeguards implementation by the city/town level PIUs, coordinate public consultations, information disclosure, regulatory clearances and approvals, implementation of resettlement plans, EMP implementation, and grievance redressal. Key safeguard tasks and responsibilities of the zonal PIU Assistant Safeguards Officer (Environment) are as follows:

- i. Coordinate updating/revision of IEEs updated based on detailed design and technical studies (asbestos management, heritage impact assessment, and/or biodiversity assessment);
- ii. Review and submit approved updated/revised IEE to PMU;
- iii. Ensure relevant information in the IEE is disclosed to stakeholders;
- iv. Obtain all necessary clearances, permits, consents, NOCs, etc. Ensure compliance to the provisions and conditions;
- v. Ensure EMP requirements for pre-construction regarding sites for disposal of wastes, camps, storage areas, quarry sites, etc. are complied and communicated by town-level PIUs to contractors in a timely manner;
- vi. Support town-level PIUs in supervising contractor EMP implementation. If necessary, organize an induction course upon mobilization of contractors, preparing them on EMP implementation, environmental monitoring requirements related to mitigation measures, and on taking immediate action to remedy unexpected adverse impacts or ineffective mitigation measures found during the course of implementation;
- vii. Coordinate actions required for obtaining rights of way in timely manner;
- viii. Take corrective actions when necessary to ensure no environmental impacts;
- ix. Consolidate monthly environmental monitoring reports by town-level PIUs and submit to PMU;
- x. Formulate timebound corrective actions for non-compliances
- xi. Conduct continuous public consultation and awareness;
- xii. Address any grievances in a timely manner as per the GRM; and
- xiii. Issue clearance for contractor's post-construction activities as specified in the EMP.

238. **Town/City Level Project Implementation Unit (PIU).** The town-level PIUs shall be responsible for the quality of works executed under the project and will be guided by the zonal PIUs. The city/town PIUs will be responsible for implementation of the IEE. The town-level PIUs will be headed by a Project Manager [Executive Engineer (EE) or Assistant Engineer (AE)] and supported by CMSC field staff. Environment Specialist of CMSC will assist PIU in

implementation of environmental safeguard. At each PIU, the Assistant Project Manager will be given additional responsibilities of safeguard tasks and will be designated as Safeguard and Safety Officer (SSO). The SSO will be assisted by the Social and Gender Specialist and Environment Specialist of CMSC in reviewing updated/revised IEEs, etc. They will also be responsible for coordination of field level activities related to safeguards conducted by the DBO contractor and CMSC. Key responsibilities of the town-level Environment Specialist are as follows:

- i. Prepare REA Checklists, No Mitigation Checklists, baseline environmental surveys to support screening and categorization per EARF;
- ii. Submit proposed subproject categorization to Zonal PIU and coordinate with PMCBC the preparation of IEE and technical studies;
- iii. Coordinate the conduct of technical studies such as but not limited to inventory of asbestos materials in subproject sites, heritage impact assessment and/or biodiversity assessment;
- iv. Ensure IEEs are updated/revised based on detailed design and recommendations of technical studies;
- v. Oversee day-to-day implementation of EMPs by contractors, including compliance with all government rules and regulations;
- vi. Take necessary action for obtaining rights of way;
- vii. Take corrective actions when necessary to ensure no environmental impacts;
- viii. Submit monthly environmental monitoring reports to Zonal PIUs;
- ix. Conduct continuous public consultation and awareness;
- x. Address any grievances in a timely manner as per the GRM; and
- xi. Issue clearance for contractor's post-construction activities as specified in the EMP.

239. **Contractors.** The contractor will be required to update the IEE and will be responsible for providing final design (including pipe alignments) to the supervision consultant for finalization/updating of resettlement plan. The contractor shall appoint an Environment, Health and Safety (EHS) Engineer who will be responsible on a day-to-day basis for (i) ensuring implementation of EMP, (ii) coordinating with the Town-level PIUs and environment specialists of project consultant teams; (iii) community liaison,<sup>43</sup> consultations with interested/affected people, (iv) field-level grievance redress; and (iv) reporting.

240. The Contractor has submitted to RUDSICO, for review and approval, a site-specific environmental management plan (SEMP) including (i) proposed sites or locations for construction work camps, storage areas, hauling roads, lay down areas, disposal areas for solid and hazardous wastes; (ii) specific mitigation measures following the approved EMP; (iii) monitoring program per SEMP; (iv) budget for SEMP implementation. No works can commence prior to approval of SEMP.

241. A copy of the EMP or approved SEMP will be kept on-site during the construction period at all times. Non-compliance with, or any deviation from, the conditions set out in the EMP or SEMP constitutes a failure in compliance and will require corrective actions. The EARF and the IEEs specify responsibilities in EMP implementation during design, construction and O&M phases.

242. **RUDSICO** will ensure that bidding and contract documents include specific provision requiring Contractors to comply with: (i) all applicable labor laws and core labor standards on (a) prohibition of child labor as defined in national legislation for construction and maintenance activities; (b) equal pay for equal work of equal value regardless of gender, ethnicity, or caste

and (c) elimination of forced labor; and (ii) the requirement to disseminate information on sexually transmitted diseases including HIV/AIDS, to employees and local communities surrounding the project sites.

**Table 18: Safeguards Management Roles and Responsibilities**

| Implementation Arrangements                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Roles and Responsibilities                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Executing Agency:</b><br>LSGD through RUDSICO                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | <ul style="list-style-type: none"> <li>• Negotiate, sign, and execute the program.</li> <li>• Allocate and release government counterpart funds on time.</li> <li>• Facilitate obtaining timely Government-level approvals for smooth implementation of the program.</li> <li>• Monitor program implementation progress and ensure timely actions for completion of the project.</li> <li>• Plan, implement, and monitor public relations activities; gender mainstreaming initiatives and community participation activities, with the support of PIUs.</li> </ul>                                                                                                                                                                                                                                                                                        |
| <b>RUDSICO Board (like SLEC)</b><br><b>Chairman:</b> Minister of Urban Development Department, GoR<br><b>Members:</b> <ul style="list-style-type: none"> <li>• Hon'ble Minister, LSGD</li> <li>• Secretary, LSGD – Vice Chairman</li> <li>• Principal Secretary, PHED -Director</li> <li>• Principal Secretary, PWD -Director</li> <li>• Secretary, Finance (Budget) Department -Director</li> <li>• Director, Department of Local Bodies</li> <li>• Executive Director, RUDSICO</li> <li>• Project Director, RUDSICO</li> <li>• Independent Director</li> <li>• Independent Director</li> </ul> | <ul style="list-style-type: none"> <li>• Provide strategic guidance.</li> <li>• Provide policy decisions to support smooth program implementation.</li> <li>• Facilitate inter-departmental coordination and cooperation.</li> <li>• Support RUDSICO with government/ministerial level approvals.</li> <li>• Overall project review (physical, financial, safeguards)</li> <li>• Approvals/Decisions as per approved SOP (Standard Operating Procedures – laying delegation of powers, Administrative and Financial Approval of works and services)</li> </ul>                                                                                                                                                                                                                                                                                             |
| <b>RUDSICO (with approval of RUDSICO board, as needed)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <ul style="list-style-type: none"> <li>• Required support to review and monitor the physical and financial progress of the subprojects.</li> <li>• Provide additional technical support from RUDSICO Office (along with PMCBC) to PIUs for speedy resolution of implementation related issues such as variations, deviations, time and cost control, among others.</li> <li>• Provide backup technical support for review and finalization of DPRs, bid documents, bidding and award of contracts.</li> <li>• Review, appraise and put up matters to RUDSICO Board for approval, as described under “Delegation of Powers”</li> <li>• Overall Liaison, Monitoring and Reporting to DEA and ADB as per agreed requirements</li> <li>• Pursue GoR, through LSGD for approval of Policy, Rules, Guidelines, Government Orders for use in the state</li> </ul> |
| <b>Program Management Unit</b><br><ul style="list-style-type: none"> <li>• Program Director: <b>Project Director, RUDSICO</b></li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                         | <b>Program and Financial Management</b> <ul style="list-style-type: none"> <li>• Overall responsibility of the investment program and financial management and administering program procedures and guidelines.</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |

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| <p>• Additional Project Director, RUDSICO</p> <p><u>PMU Staff</u></p> <ul style="list-style-type: none"> <li>• 2 Zonal Additional Chief Engineers (Jaipur and Jodhpur). The Office of ACE to have Two EE, Two AE, Computer Operator, Support staff</li> <li>• Dy. PD(T) (Procurement, Tendering, Contracts, Consultancies) at RUDSICO HQ</li> <li>• Dy. PD(A) (Administration, Institutional) at RUDSICO HQ</li> <li>• SE's (Asset Management, NRW, Safeguards, Resettlement) at RUDSICO HQ</li> <li>• Financial Advisor at RUDSICO, Jaipur HQ</li> <li>• Senior Accounts Officer at RUDSICO, Jaipur HQ</li> </ul> <p>PMU at HO supported by:</p> <ul style="list-style-type: none"> <li>• Project Officers (7 Nos. EE level with POs for Procurement and contracts; NRW Reduction; Contract Management and O&amp;M, Social Safeguards, Environmental Safeguards, Capacity Building etc.)</li> <li>• Accounts officers</li> <li>• Assistant Project Officers – on each with PO – AE level, Assistant Account Officer</li> <li>• IT Cell (project Management and Monitoring, GIS, MIS etc.) with MIS Expert</li> <li>• Statistical Unit</li> <li>• Legal Unit</li> <li>• Administration and Establishment</li> </ul> | <ul style="list-style-type: none"> <li>• Oversee design of all projects (in individual tranches as needed).</li> <li>• Finalize the DPRs for ULBs/implementing agencies and obtain approval from ADB and government.</li> <li>• Establish project management and monitoring systems (Command and Control Center)</li> <li>• Undertake project appraisals based on technical, financial, economic and safeguards compliance as agreed by GoR/RUDSICO and ADB.</li> <li>• Provide overall technical and implementation guidance to the PIUs as required.</li> <li>• Facilitate approval of various implementation related requests from the Project Implementation management and Field Units</li> <li>• Sign key documents including withdrawal applications and audit reports.</li> <li>• Timely submission of any withdrawal applications.</li> <li>• Act as focal point for communication with the ADB.</li> <li>• Ensure compliance with loan covenants, ADB's guidelines, procedures and policies.</li> <li>• Facilitate ADB program review missions.</li> <li>• Represent the program at Tripartite Review Meetings.</li> </ul> <p><b>Safeguards compliance</b></p> <ul style="list-style-type: none"> <li>• Review and monitor safeguards compliance by PIUs and support corrective actions as necessary.</li> <li>• Submit semi-annual safeguard monitoring reports to ADB</li> <li>• Guide PIUs as and when necessary on safeguards compliance, and arrange capacity building for PIUs</li> </ul> <p><b>Capacity Building and Institutional</b></p> <ul style="list-style-type: none"> <li>• Allocate funds for capacity building and arrange required disbursements</li> <li>• Approve and Monitor Capacity Building Plan</li> <li>• Pursue reforms with GoR</li> <li>• Supervise and Monitor PMCB Consultants and approve their invoices</li> </ul> |
| <p><b>Project Implementation Units</b></p> <ul style="list-style-type: none"> <li>• 2 zonal PIUs (1 in Jaipur, 1 in Jodhpur)</li> </ul> <p>A. <u>PIU Staff</u></p> <ul style="list-style-type: none"> <li>• Project Manager (SE level)</li> <li>• Executive Engineer / Assistant Engineer (2 or 3) at each town for monitoring and</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <p><b>Project Management</b></p> <ul style="list-style-type: none"> <li>• Responsible for implementation management of sub-projects.</li> <li>• Responsible for day-to-day implementation, monitoring and reporting.</li> </ul> <p><b>Safeguards Compliance (with CAPPC)</b></p> <ul style="list-style-type: none"> <li>• Ensure compliance with safeguard frameworks and plans</li> <li>• Facilitate consultation with stakeholders and disclose program information in consultation with PMU.</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |

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| supervision support<br>• Assistant Accounts Officer<br>• Computer Operator<br>• Support Staff<br><br>Supported by<br>• Contract Management Officer (SE/EE of cluster shall invariably function as contract management officer) - <b>No new position</b> –<br>• CMSC and CAPP Consultants (2 support engineers of CMSc consultancy, 2 community mobilizers for each town – as per CAPP consultancy) besides required consultancy professionals reporting to EE) | <ul style="list-style-type: none"> <li>Address grievances (may be through Grievance Redressal Mechanism) Coordinate land acquisition actions, if required.</li> <li>Submit quarterly safeguard monitoring reports to PMU.</li> </ul> <p><b>Advance Project Preparation</b></p> <ul style="list-style-type: none"> <li>Prepare/supervise and monitor preparation of DPRs and bidding documents for future tranches.</li> </ul> |
| ULBs                                                                                                                                                                                                                                                                                                                                                                                                                                                           | <ul style="list-style-type: none"> <li>Nodal Officers to be a part of PIU and discharge the assigned functions and part of project planning and implementation</li> <li>Establish liaison with local communities, resolve local grievances for smooth implementation of the project</li> <li>Support CAPP in awareness creation, connection modalities to household consumers etc.</li> </ul>                                 |
| Asian Development Bank                                                                                                                                                                                                                                                                                                                                                                                                                                         | <ul style="list-style-type: none"> <li>Approve and monitor safeguards documents and implementation compliance.</li> <li>Field review missions.</li> <li>Facilitate knowledge sharing.</li> <li>Provide training in program management and ADB procurement procedures to PMU/PIU staff.</li> <li>Support LSGD, RUDSICO, PIUs etc. through various capacity building activities.</li> </ul>                                     |

**Table 19: Institutional Roles and Responsibilities for Environmental Safeguards Implementation**

| Responsible Agency                  | Responsibility                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                         |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|
|                                     | Pre-Construction Stage                                                                                                                                                                                                                                                                                                                     | Construction Stage                                                                                                                                                                                                                                                                                                                                                    | Post-Construction                                                                                                       |
| PMU (Project Officer; Environment), | (i) Review REA checklists and assign categorization based on ADB SPS 2009<br>(ii) Review and approve EIA/IEE<br>(iii) Submit EIA/IEE to ADB for approval and disclosure in ADB website<br>(iv) Ensure approved IEEs are disclosed in RSTDSP/PMU websites and summary posted in public areas accessible and understandable by local people. | (i) Over-all environmental safeguards compliance of the project<br>(ii) Monitor and ensure compliance of EMPs as well as any other environmental provisions and conditions.<br>(i) Review monthly monitoring report<br>(ii) Prepare and submit to ADB semi-annual monitoring reports<br>(iv) If necessary prepare Corrective Action Plan and ensure implementation of | Compliance monitoring to review the environmental performance of project component, if required and as specified in EMP |

|  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |  |
|--|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
|  | <p>(v) Ensure environmental management plans (EMPs) are included in the bid documents and contracts</p> <p>(vi) Organize an orientation workshop for PMU, PIU, ULB and all staff involved in the project implementation on (a) ADB SPS, (b) Government of India national, state, and local environmental laws and regulations, (c) core labor standards, (d) OH&amp;S, (e) EMP implementation especially spoil management, working in congested areas, public relations and ongoing consultations, grievance redress, etc.</p> <p>(vii) Assist in addressing any grievances brought about through the Grievance Redress Mechanism in a timely manner as per the IEEs</p> <p>(viii) Organize an induction course for the training of contractors preparing them on EMP implementation, environmental monitoring requirements related to mitigation measures; and taking immediate actions to remedy unexpected adverse impacts or ineffective mitigation measures found during the course of implementation.</p> <p>(ix) Ensure compliance with all government rules and regulations regarding site and environmental clearances as well as any other environmental requirements</p> <p>(x) Assist PMU, PIUs, and project NGOs to document and develop good practice construction guidelines to assist the contractors in implementing the provisions of IEE.</p> <p>(xi) Assist in the review of the contractors'</p> | <p>corrective actions to ensure no environmental impacts;</p> <p>(iii) Review and submit Corrective Action Plans to ADB</p> <p>(iv) Organize capacity building programs on environmental safeguards</p> <p>(iv) Coordinate with national and state level government agencies</p> <p>(vi) Assist in addressing any grievances brought about through the Grievance Redress Mechanism in a timely manner as per the IEEs</p> <p>(ix) Coordinate PIUs, consultants and contractors on mitigation measures involving the community and affected persons and ensure that environmental concerns and suggestions are incorporated and implemented</p> |  |
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|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                      | implementation plans to ensure compliance with the IEE.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                   |
| PIU, Assistant Safeguard Officer                                                                                                                                     | <p>(i) Ensure IEE is included in bid documents and contract agreements. Ensure cost of EMP implementation is provided.</p> <p>(iv) Disclose of approved EIAs/IEEs.</p> <p>(v) Obtain all necessary clearances, permits, consents, NOCs, etc. Ensure compliance to the provisions and conditions.</p> <p>(vi) EMP implementation regarding sites for disposal of wastes, camps, storage areas, quarry sites, etc.</p> <p>(vii) Organize an induction course for the training of contractors, preparing them on EMP implementation, environmental monitoring requirements related to mitigation measures, and on taking immediate action to remedy unexpected adverse impacts or ineffective mitigation measures found during the course of implementation.</p> | <p>(i) oversee day-to-day implementation of EMPs by contractors, including compliance with all government rules and regulations.</p> <p>(ii) take necessary action for obtaining rights of way;</p> <p>(iii) oversee implementation of EMPs, including environmental monitoring by contractors;</p> <p>(iv) take corrective actions when necessary to ensure no environmental impacts;</p> <p>(v) submit monthly environmental monitoring reports to PMU,</p> <p>(vi) conduct continuous public consultation and awareness;</p> <p>(vii) address any grievances brought about through the grievance redress mechanism in a timely manner as per the IEEs; and</p> | <p>(i) Conducting environmental monitoring, as specified in the EMP.</p> <p>(ii) Issuance of clearance for contractor's post-construction activities as specified in the EMP.</p> |
| <p>Consultant – 1.PMCBC-Environmental Safeguard Specialist – 1 no.</p> <p>Asbestos Expert – 1no.</p> <p>Heritage Expert – 1no.</p> <p>Biodiversity Expert – 1no.</p> | <p>(i) Review IEE/EMP submitted by CMSC and revise report to submit to PMU</p> <p>(ii) Assist PMU and PIU in obtaining all necessary clearances, permits, consents, NOCs, etc. Ensure provisions and conditions are incorporated in the IEE and detailed design documents.</p> <p>(iii) Assist in ensuring IEE is included in bid documents and contract agreements.</p> <p>(iv) Assist in determining adequacy of cost for EMP implementation.</p> <p>(v) Assist in addressing any concern related to IEE and EMP.</p> <p>(vi). Conduct specific</p>                                                                                                                                                                                                         | <p>(i) Monitor EMP implementation</p> <p>(ii) Assist in addressing any grievances brought about through the Grievance Redress Mechanism in a timely manner as per the IEEs.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                   |



|                                                                                  | assessment requirements                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                  |
|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|
| Consultant-<br>2. CMSC-<br>2 nos.<br>Environmental<br>safeguards<br>professional | (i) Update initial environmental assessment for proposed project using REA checklists and submit to PIU/PMCBC<br>(ii) Assist in summarizing IEE and translating to language understood by local people.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Monitoring of Implementation of EMP at site by contractor<br>Recommend corrective action measures for non-compliance by contractors<br>Assist in the review of monitoring reports submitted by contractors<br>(iv) Assist in the preparation of monthly monitoring reports<br>conduct continuous public consultation and awareness;                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | (i) Assist in the inspection and verification of contractor's post-construction activities.                      |
| Contractors<br>(EHS Engineer)                                                    | (i) Review the IEE and provide information about changes needed as per revised design and scope of works to ESS of PMCBC for final revision of IEE<br>(ii) Prepare EHS plan and take approval from CMSC/PIU and Ensure EMP implementation cost is included in the methodology.<br>(iii) Undergo EMP implementation orientation by ESS of supervision consultant prior to start of works<br>(iv) Provide EMP implementation orientation to all workers prior to deployment to worksites<br>(v) Seek approval for camp sites and sources of materials.<br>(vi) Ensure copy of IEE is available at worksites. Summary of IEE is translated to language understood by workers and posted at visible places at all times. | (i) Implement EMP.<br>(ii) Implement corrective actions if necessary.<br>(iii) Prepare and submit monitoring reports including pictures to PIU<br>(iv) Comply with all applicable legislation, is conversant with the requirements of the EMP;<br>(v) Brief his staff, employees, and laborer about the requirements of the EMP and provide environmental awareness training to staff, employees, and laborers;<br>(vi) Ensure any sub-contractors/ suppliers who are utilized within the context of the contract comply with all requirements of the EMP. The Contractor will be held responsible for non-compliance on their behalf;<br>(vii) Bear the costs of any damages/compensation resulting from non-adherence to the EMP or written site instructions;<br>(viii) Ensure that PIU and ACM/SO are timely informed of any foreseeable activities related to EMP implementation. | (i) Ensure EMP post-construction requirements are satisfactorily complied<br>(ii) Request certification from PIU |

## C. Training Needs

243. The following **Table 20** presents the outline of capacity building program to ensure EMP implementation. The estimated cost is Rs.475,000 (excluding trainings of contractors which will be part of EMP implementation cost during construction) to be covered by the project's capacity building program. The detailed cost and specific modules will be customized for the available skill set after assessing the capabilities of the target participants and the requirements of the project by the ESS of Consultants.

**Table 20: Outline Capacity Building Program on EMP Implementation**

| Sl. No. | Description                                                                                                                                                                                                                                                                                                                                                                                            | Target Participants & Venue                                                                                                    | Estimate (INR)                                                             | Cost and Source of Funds                                                     |
|---------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|------------------------------------------------------------------------------|
| 1       | Introduction and Sensitization to Environmental Issues (1 day)<br>-ADB Safeguards Policy Statement<br>-Government of India and Rajasthan applicable safeguard laws, regulations and policies including but not limited to core labor standards, OH&S, etc.<br>-Incorporation of EMP into the project design and contracts<br>-Monitoring, reporting and corrective action planning                     | All staff and consultants involved in the project<br><br>At PMU, Jaipur                                                        | INR 100,000 (Lump sum)                                                     | PMU cost                                                                     |
| 2       | Treated Effluent Reuse Concepts, Design and Management                                                                                                                                                                                                                                                                                                                                                 | All staff at PMU and ULBs                                                                                                      | INR 100,000                                                                | PMU cost                                                                     |
| 3       | Sludge Reuse Concept, Design and Management                                                                                                                                                                                                                                                                                                                                                            | All staff at PMU and ULBs                                                                                                      | INR 100,000                                                                | PMU cost                                                                     |
| 4       | EMP implementation (2 days)<br>-Roles and responsibilities<br>-OH&S planning and implementation<br>-Wastes management (water, hazardous, solid, excess construction materials, spoils, etc.)<br>-Working in congested areas,<br>- Public relations<br>- Consultations<br>- Grievance redress<br>-Monitoring and corrective action planning<br>-Reporting and disclosure<br>-Post-construction planning | All staff and consultants involved in the subproject<br><br>All contractors prior to award of contract<br><br>At PIU, Fathepur | INR50,000(Lump sum)                                                        | PMU cost                                                                     |
| 5       | Plans and Protocols (1 day)<br>-Construction site standard operating procedures (SOP)<br>- AC pipe protocol<br>- Site-specific EMP<br>-Traffic management plan<br>-Spoils management plan<br>-Waste management plan                                                                                                                                                                                    | All staff and consultants involved in the project<br><br>All contractors prior to award of contract or during                  | Lump sum<br>INR 25,000 (Lump sum)<br><br>Lump sum<br>INR 25,000 (Lump sum) | PMU cost<br><br>Contractors cost as compliance to contract provisions on EMP |

| Sl. No. | Description                                                                                                                           | Target Participants & Venue                                                                       | Estimate (INR)                    | Cost and Source of Funds                                                                          |
|---------|---------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|-----------------------------------|---------------------------------------------------------------------------------------------------|
|         | - Chance find protocol<br>- O&M plans<br>- Post-construction plan                                                                     | mobilization stage.<br>At PIU Fatehpur                                                            |                                   | implementation (refer to EMP tables)                                                              |
| 6       | Experiences and best practices sharing<br>- Experiences on EMP implementation<br>- Issues and challenges<br>- Best practices followed | All staff and consultants involved in the project<br>All contractors<br>All NGOs<br>At PMU Jaipur | INR 100,000 (Lump sum)            | PMU Cost                                                                                          |
| 7       | Contractors Orientation to Workers on EMP implementation (OH&S, core labor laws, spoils management, etc.)                             | All workers (including manual laborers) of the contractor prior to dispatch to worksite           | Lump sum<br>INR 25,000 (Lump sum) | Contractors cost as compliance to contract provisions on EMP implementation (refer to EMP tables) |

#### Summary of Capacity Building cost for EMP Implementation

|                 |                      |
|-----------------|----------------------|
| Contractor Cost | - INR 50,000         |
| PMU Cost        | - INR 475,000        |
| <b>Total</b>    | <b>- INR 525,000</b> |

### D. Monitoring and Reporting

244. Prior to commencement of the work, the contractor will either submit a compliance report to PIU ensuring that all identified pre-construction environmental impact mitigation measures as detailed in the EMP will be undertaken or will provide EMP for the proposed works with his Service Improvement Plan (SIP) covering all the requirements proposed in this IEE. PIU with the assistance of the ASO and ESS of Consultants will review the report and thereafter PMU will allow commencement of works.

245. During construction, results from internal monitoring by the contractor will be reflected in their monthly EMP implementation reports to the PIU and Assistant Construction Manager of Consultants. ASO and ACM will review and advise contractors for corrective actions if necessary. Monthly report summarizing compliance and corrective measures taken will be prepared by ASO with the assistance of ACM and submitted to PMU.

246. Quarterly report shall be prepared by CMSC and PIU, and submitted to PMU for review and further actions.

247. Based on monthly reports and measurements, PMCBC will draft six-monthly reports, and submit to PMU for their review and further submission to ADB (Appendix 14). Once concurrence from ADB is received, the report will be disclosed in the project website.

248. ADB will review project performance against the project commitments as agreed in the legal documents. The extent of ADB's monitoring and supervision activities will be commensurate with the project's risks and impacts. Monitoring and supervising of social and environmental safeguards will be integrated into the project performance management system.

## E. EMP Implementation Cost

249. Most of the mitigation measures require the contractors to adopt good site practice, which should be part of their normal procedures already, so there are unlikely to be major costs associated with compliance. Regardless of this, any costs of mitigation by the construction contractors or consultants are included in the budgets for the civil works and do not need to be estimated separately here. Mitigation that is the responsibility of PIU/ULB will be provided as part of their management of the project, so this also does not need to be duplicated here. Cost for the capacity building program is included as part of the project.

**Table 21: Cost Estimates to Implement the EMP**

|           | Particulars                                          | Stages                                       | Unit       | Total Number# | Rate (INR) | Cost (INR)    | Costs Covered By     |
|-----------|------------------------------------------------------|----------------------------------------------|------------|---------------|------------|---------------|----------------------|
| <b>A.</b> | <b>Monitoring Measures</b>                           |                                              |            |               |            |               |                      |
| 1         | Air quality monitoring                               | Pre – Construction/ Construction (Quarterly) | per sample | <b>45*</b>    | 4920       | 221400        | Civil works contract |
| 2         | Noise levels monitoring                              | Pre – Construction/ Construction (Quarterly) | per sample | <b>45*</b>    | 1980       | 89100         | Civil works contract |
| 3         | Ground Water                                         | Pre – Construction/ Construction (Quarterly) | per sample | <b>36**</b>   | 6720       | 241920        | Civil works contract |
| 4         | Soil Testing                                         | Pre – Construction/ Construction (Quarterly) | per sample | <b>36**</b>   | 5880       | 211680        | Civil works contract |
|           | <b>Subtotal (A)</b>                                  |                                              |            |               |            | <b>764100</b> |                      |
| <b>B.</b> | <b>Capacity Building</b>                             |                                              |            |               |            |               |                      |
| 1.        | Introduction and sensitization to environment issues | Pre-construction                             | lump sum   |               |            | 100000        | PMU Cost             |
| 2         | Treated Effluent Reuse                               | Pre-construction                             | Lump sum   |               |            | 100000        | PMU Cost             |
| 3         | Sludge Reuse and Disposal                            | Pre-construction                             | Lump sum   |               |            | 100000        | PMU Cost             |
| 4         | EMP implementation                                   | Construction                                 | lump sum   |               |            | 50000         | PMU Cost             |
| 5         | Plans and Protocols                                  | Construction                                 | lump sum   |               |            | 25000         | PMU Cost             |
|           |                                                      |                                              | lump sum   |               |            | 25000         | Civil works contract |
| 6         | Experiences and best practices sharing               | Construction/ Post-Construction              | lump sum   |               |            | 100000        | PMU Cost             |
| 7         | Contractors Orientation to Workers on                | Prior to dispatch to worksite                | Lump sum   |               |            | 25000         | Civil works contract |

|          | Particulars                                                                                           | Stages       | Unit     | Total Number# | Rate (INR) | Cost (INR)      | Costs Covered By     |
|----------|-------------------------------------------------------------------------------------------------------|--------------|----------|---------------|------------|-----------------|----------------------|
|          | EMP implementation                                                                                    |              |          |               |            |                 |                      |
|          | <b>Subtotal (B)</b>                                                                                   |              |          |               |            | <b>5,25,000</b> |                      |
| <b>C</b> | <b>Civil Works</b>                                                                                    |              |          |               |            |                 |                      |
| 1        | GI Sheet                                                                                              | Construction | Sqm      | 108021        | 101        | 10910121        | Civil works contract |
|          | Barricading using 40 mm dia M.S. pipe ("B" class) and fixing suitably two rows of 100mm wide PVC Tape | Construction | Mtr      | 268994        | 38.50      | 10356269        | Civil works contract |
|          | Barricading using 40 mm dia M.S. pipe ("B" class) with Nut and bolt                                   | Construction | Mtr      | 60012         | 50         | 3000600         | Civil works contract |
| 2        | Plantations of trees***                                                                               | Construction | Ha.      | 0.10          | 137200     | 13720           | Civil works contract |
| 3        | Rain Water Harvesting for STP                                                                         | Construction | Nos.     | 1             | 400000     | 400000          | Civil works contract |
|          | <b>Sub Total (C)</b>                                                                                  |              |          |               |            | <b>24680710</b> |                      |
| <b>D</b> | <b>Heritage Management</b>                                                                            |              | Lump sum |               | 700,000    | 700,000         | Civil works contract |
|          | <b>Sub Total (D)</b>                                                                                  |              |          |               |            | <b>700,000</b>  |                      |
|          |                                                                                                       |              |          |               |            |                 |                      |
| <b>E</b> | <b>Grievance Redressal Mechanism Resolutions</b>                                                      |              | Lump sum |               | 350,000    | 350,000         | Civil works contract |
|          | <b>Sub Total (E)</b>                                                                                  |              |          |               |            | <b>350,000</b>  |                      |
|          | <b>Total (A+B+C+D+E)</b>                                                                              |              |          |               | <b>INR</b> | <b>27019810</b> |                      |

\* STP-1, SPS-3, Proposed Pipelines location-1 (total 5 locations) on quarterly basis

\*\* STP-1, SPS-3, (total 4 locations) on quarterly basis

\*\*\* In preliminary design approx. 10 trees are required to be cut, and triple of the number will be planted as per RUSICO-EAP policy. Being DBO contract, contractor will be required to revise it. Compensatory plantation measures to be followed by contractor

# Computation of total number of samples for environmental monitoring as per Appendix 22

#### Summary of EMP Cost incurred by Institution :

Contractor Cost - INR 26544810/-

PMU Cost - INR 475,000/-

**Total - INR 27019810/-**

**(In Words: Two Crore Seventy lakhs Nineteen Thousand Eight Hundred and Ten Only)**

**Table 22: Details of environment monitoring locations**

| Project components where environmental monitoring is required | Total numbers of environmental monitoring required in one quarter    | Total numbers of environmental monitoring required in year (three quarters leaving quarter of monsoon) | Project duration | Total number of environmental monitoring required during project duration |
|---------------------------------------------------------------|----------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|------------------|---------------------------------------------------------------------------|
| STP-1<br>SPS-3<br>WW networks-1<br>Total- 5                   | Air- 5<br>Noise- 5<br>Surface Water- 0<br>Ground Water- 4<br>Soil- 4 | Air- 15<br>Noise- 15<br>Surface Water- 0<br>Ground Water- 12<br>Soil- 12                               | 3 years          | Air- 45<br>Noise- 45<br>Surface Water- 0<br>Ground Water- 36<br>Soil- 36  |

## X. CONCLUSION AND RECOMMENDATION

250. The process described in this document has assessed the environmental impacts of all elements of the Fatehpur sewerage subproject. All potential impacts were identified in relation to pre-construction, construction, and operation phases. Planning principles and design considerations have been reviewed and incorporated into the site planning and design process wherever possible; thus, environmental impacts as being due to the project design or location were not significant. During the construction phase, impacts mainly arise from the construction dust and noise, the need to dispose of large quantities of waste soil and import a similar amount of sand to support the sewer in the trenches; and from the disturbance of residents, businesses, traffic and important buildings by the construction work. The social impacts (access disruptions) due to construction activities are unavoidable, as the residential and commercial establishments exist along the roads where sewers will be laid. A resettlement plan has been developed in accordance with ADB SPS 2009 and Government of India laws and regulations.

251. Fatehpur is a town in Sikar district that is located in the semi-arid region of the state where the average temperature during winter season ranges between 10°C and 17°C and the summer season temperature range 32°C to 36°C. Also, Sikar District where Fatehpur Town is located, has seen an average rainfall of 459.8mm in the period 1901 – 1970 which has increased at an average of 20% during the period 2012-2016.

The current Fatehpur Proposed subproject component include: (i) Construction of 1 no. new STP of 4.30 MLD (on sequential batch reactor or SBR process) (ii) 3 nos. sewage pumping station (SPS) of capacities of 3.5 MLD, 1.1 MLD and 0.6 MLD for unsewered area of the Fatehpur town (iii) Laying of 100.57 km sewer in town including 15.09 km trenchless (iv) one no. Treated Effluent Storage Reservoir (TESR) of 430 KL capacity and one no. Treated Effluent Elevated Reservoir (TEER) of 220 KL capacity and 22m staging, (ix) Desludging trucks- 3 nos. (4000 litre capacity-1, 1000 litre capacity-2 nos.) (x) Construction of one Consumer Relation and Management Centre (CRMC) (xi) 10 Years operation and Maintenance of Sewerage System.

97. There is no environmental impact due to (i) increase in length of sewerage network from 92.40 km to 100.57 km within the proposed sewerage zones due to actual confirmatory survey done by DBO contractor (ii) Decrease in trenchless sewer laying from 15.12 km to 15.09 km, and decrease in length of rising main from 3.2 km to 2.89 km. No separate environmental assessment is required.

252. In general, it should be noted that the air quality as reported under the National Air Monitoring Program covering 21 monitoring stations located across Rajasthan revealed that the air quality in the urban areas is between “moderate” to “poor” category and suggests a link between air quality and vehicular emissions, uncontrolled burning of wastes and construction activities carried out without any mitigation measures. Groundwater levels in Sikar District where Fatehpur Town is located has seen a fall in 85% of the wells analyzed during the decadal

period May 2006 – May 2015. In terms of Groundwater Quality, Sikar District has seen a high nitrate, chloride and fluoride concentration in its wells. Noise levels are at permissible levels in the town.

253. However, it should be noted that in Fatehpur Town, no environmental monitoring is carried out regularly by state or central-level agencies. Hence, as part of the Contractor's pre-construction activities, it has been suggested that the Contractor determine the baseline environmental data during the Service Improvement Plan (SIP) period.

254. Fatehpur City sewerage subproject is one of the subprojects proposed under the investment component of RSTDSP. Presently some part of the Fatehpur City has sewer and about 108 km sewer system has been laid under previous sewerage project and is on the verge of commissioning. There is one STP (7.5 MLD) and three SPS constructed. However, balance area where sewerage system is not present, the wastewater from kitchens & bathrooms is discharged into storm water drains culminating finally to the Nallah or on ground, which pollutes the environment and contaminates the ground water. Open defecation is not uncommon in the town. Most of the residential, commercial buildings and educational institutions have on-site septic tanks and soak pits. The effluent from the septic tanks directly drains into the open drains.

255. The subproject is formulated to address gaps in sewerage infrastructure in a holistic and integrated manner.

256. There are few scattered habitations exist near to proposed STP site (more than 300 mtr distance). Also, are located some trees and shrubs near the proposed STP location. No wild fauna is found in these locations. STP will be constructed on available vacant government land where an existing STP is already operating. The treated wastewaters from the proposed STP shall be discharged into natural drains on vacant government land. Mitigation measures to avoid smell and visual pollution shall be taken in consideration during design in Service Improvement Plan preparation period by DBO contractor. Dense plantation in the periphery of STP campus shall be done to avoid adverse impacts on aesthetics and reduce any foul odour.

257. Anticipated impacts of Fatehpur sewerage system during operation and maintenance will be related to repair of blocks, overflows and leakages in sewers. Sewers are not 100% watertight and leaks can occur at joints. Faulty section will be exposed and repaired following the same basic procedure as when the sewer was built. Also, sewer pipes require regular maintenance as silt inevitably collects in areas of low flow over time. Necessary equipment for cleaning and removal of blockages in the sewers are included in the project.

258. It is proposed to reuse the treated effluent for use of agriculture, horticulture, development of urban forestry etc. and remaining treated effluent is proposed to be discharged into a nearby rivers/drains. In order to safeguard the interest of downstream users it is proposed to apply technology/process to achieve very low BOD (BOD 10) and suspended solids in the treated effluent. Sequential batch reactor (SBR) technology has been adopted in RUDSICO-EAP, Phase-IV. Treated effluent shall be mostly reused for beneficial purposes for which proposal of construction of Treated Effluent Elevated Reservoir (TEER), Treated Effluent Storage Reservoir (TESR) and Effluent Pumping Station (EPS) has been taken. Also, at the STP, sewage sludge will be removed continuously from reactors, and solidified using decanter, and stored in sludge drying beds for a period of seven days. The treatment and drying processes kill enteric bacteria and pathogens. Because of its high content of nitrates, phosphates and other plant nutrients the sludge can be used as organic fertilizer.

259. Contractor will propose the plan with best methods for reuse of treated effluent and sludge as per guidelines of CPHEEO and best international practices in consultation with

RUDSICO-EAP and Nagar Palika and submit it in RUDSICO-EAP for approval. In order to aid preparation of a viable treated wastewater reuse and sludge reuse plan, an institutional and capacity building component shall be incorporated in the Project to enable the ULB staff to develop an understanding of the relevant issues.

260. In the project, in a large portion of the project town areas, the septic tank system in individual households is replaced with direct connections to the new sewerage network. The non-use of the existing septic tanks may result in its failure thereby contaminating the surface and groundwater in the region. Hence, an action plan comprising of pumping the sullage, treating it at the STP and closing the septic tank pit with stones, coarse and fine aggregates shall be developed and implemented during the Operation Phase.

261. Certain new initiatives have been taken in the project viz., promoting wastewater reuse, sludge reuse and contractor to work on private properties to provide sewerage connections. Hence, appropriate guidelines for these measures should be provided for these new initiatives. These could include viz., Guidelines for the ULBs for promoting wastewater reuse; Guidelines for the ULBs for sludge reuse; and Guidelines for the Contractors to work within the private properties.

262. The Environmental Management Plan proposed in the project includes mitigation measures for identified impacts, training and capacity building activities, a monitoring plan to ensure that the environmental standards are maintained throughout the project construction period and a reporting plan to ensure that the project is implemented as per environmentally sound engineering and construction practices. The details of cost estimate (budgetary provision) for mitigating the anticipated impacts by proposed subproject component is approximately **INR 270,19,810 (Two Crore Seventy lakhs Nineteen Thousand Eight Hundred Ten Only)**.

263. The EMP will assist the PMU, PIU, Consultants and contractors in mitigating the environmental impacts, and guide them in the environmentally sound execution of the proposed project. The EMP will also ensure efficient lines of communication between PIU/ULB, PMU, consultants and contractor. A copy of the EMP shall be kept on-site during the construction period at all times. The EMP shall be made binding on all contractors operating on the site, and will be included in the contractual clauses. Non-compliance with, or any deviation from, the conditions set out in this document shall constitute a failure in compliance.

264. The EMP was included in the bid and contract documents to ensure compliance with the conditions set out in this document. The contractor has submitted to PIU, for review and approval, an updated EMP / site environmental management plan (SEMP) including (i) proposed sites/locations for construction work camps, storage areas, hauling roads, lay down areas, disposal areas for solid and hazardous wastes; (ii) specific mitigation measures following the approved EMP; and (iii) monitoring program as per EMP. The EMP is made binding on all contractors operating on the site and was included in the contractual clauses. Non-compliance with, or any deviation from, the conditions set out in this document shall constitute a failure in compliance. No works are allowed to commence prior to approval of SEMP. A copy of the EMP/approved SEMP will be kept on site during the construction period at all times.

265. The public participation processes undertaken during project design ensured stakeholders are engaged during the preparation of the IEE. The planned information disclosure measures and process for carrying out consultation with affected people will facilitate their participation during implementation. The project's grievance redress mechanism will provide the citizens with a platform for redress of their grievances, and describes the channels, timeframe, and mechanisms for resolving complaints about environmental performance.



266. Stakeholders were involved in developing the IEE through face-to-face discussions, on site meetings, and a city level consultation workshop, which was conducted for larger public participation in the project. Views expressed by the stakeholders were incorporated into the IEE and the planning and development of the project. The IEE will be made available at public locations and will be disclosed to a wider audience via the PMU and ADB websites. The consultation process will be continued during project implementation to ensure that stakeholders are engaged in the project and have the opportunity to participate in its development and implementation. The project's grievance redress mechanism will provide the citizens with a platform for redress their grievances, and describes the informal and formal channels, time frame, and mechanisms for resolving complaints about environmental performance.

267. The project will benefit the general public by contributing to the long-term improvement of sewerage system and community livability in Fatehpur. The potential adverse environmental impacts are mainly related to the construction period, which can be minimized by the mitigation measures and environmentally sound engineering and construction practices.

268. Therefore, as per ADB SPS, after the impact assessment of the project; up to this third IEE update; no significant impact was identified and the project is continued to be classified as environmental category B and does not require further environmental impact assessment. However, to conform to government guidelines STPs requires consent to establishment (CTE) and consent to operate (CTO) from Rajasthan State Pollution Control Board. CTE for STP is obtained prior to construction and CTO will be obtained prior to commissioning of the STP.

269. After second update of IEE; designs of all the project components (sewer networks, 2 SPSS, one STP and CRMC etc) are approved. This IEE shall again be updated in view of any amndmnts in scope of work or location under the subproject, if any.

270. This IEE shall be updated by PMU during the detailed design phase to reflect changes, amendments, if any and will be reviewed and approved by ADB.

271. **Recommendations and status as per current update.** The following are recommendations applicable to the subproject which are already complied:

- Obtain all statutory clearances at the earliest time possible and ensure conditions/provisions are incorporated in the detailed design
- Include this IEE in bid and contract documents- complied
- Ensure that the project sites are cleared of solid waste and other nuisance materials disposed in designated disposal sites per Solid Waste Management Rules 2000 and its amendment
- Ensure that sludge management protocols are compliant with environmental regulations (Solid Waste Management Rules 2000 and its amendment) and solid waste disposal should have a designated site (dumping on vacant lot is not allowed)
- Update/revise this IEE based on detailed design and/or if there are unanticipated impacts, change in scope, alignment, or location
- Conduct safeguards induction to the contractor upon award of contract- complied
- Strictly supervise EMP implementation
- Ensure contractor appointed qualified EHS officers prior to start of works
- Documentation and reporting on a regular basis as indicated in the IEE
- Continuous consultations with stakeholders

- Timely disclosure of information and establishment of grievance redressal mechanism (GRM)
- Involvement of contractors, including subcontractors, in first-level GRM
- Commitment from PMU, PIUs, project consultants, and contractors to protect the environment and the people from any impact during project implementation

272. **Recommendations which are not applicable to this sub-project.**

- Update the asbestos management plan per site-specific conditions- Not applicable as there is only sewerage works proposed in the town, therefore there is no possibility of encounter with AC materials/AC pipes

## Appendix 1: REA Check list

## Sewerage Treatment

## Instructions:

The project team completes this checklist to support the environmental classification of a project. It is to be attached to the environmental categorization form and submitted to the Environment and Safeguards Division (RSES) for endorsement by the Director, RSES and for approval by the Chief Compliance Officer.

This checklist focuses on environmental issues and concerns. To ensure that social dimensions are adequately considered, refer also to ADB checklists and handbooks on (i) involuntary resettlement, (ii) indigenous peoples planning, (iii) poverty reduction, (iv) participation, and (v) gender and development.

Answer the questions assuming the “without mitigation” case. The purpose is to identify potential impacts. Use the “remarks” section to discuss any anticipated mitigation measures.

**Country/Project Title:** India/Rajasthan Secondary Towns Development Sector Project (RSTDSP) Fatehpur Waste Water Project, Rajasthan

**Sector Division:** Urban Development (Sewerage)

| SCREENING QUESTIONS                                          | Yes | No | REMARKS                                                                                                                                                 |
|--------------------------------------------------------------|-----|----|---------------------------------------------------------------------------------------------------------------------------------------------------------|
| A. Project Siting<br>Is the project area...                  |     |    |                                                                                                                                                         |
| Densely populated?                                           | √   |    | Subproject activities are confined to outer areas of town excluding the densely populated areas.                                                        |
| Heavy with development activities?                           | √   |    | Fatehpur is a developing town with continuous urban expansion, there are no major industries and mostly business and service are the common occupations |
| Adjacent to or within any environmentally sensitive areas?   |     | √  | There are no environmental sensitive areas near the proposed sites                                                                                      |
| Cultural heritage site                                       |     | √  | Fatehpur Fort is a cultural heritage site listed under state protected monument. No sewer works is proposed near this heritage site.                    |
| Protected Area                                               |     | √  |                                                                                                                                                         |
| Wetland                                                      |     | √  |                                                                                                                                                         |
| Mangrove                                                     |     | √  |                                                                                                                                                         |
| Estuarine                                                    |     | √  |                                                                                                                                                         |
| Buffer zone of protected area                                |     | √  |                                                                                                                                                         |
| Special area for protecting biodiversity                     |     | √  |                                                                                                                                                         |
| Bay                                                          |     | √  |                                                                                                                                                         |
| Potential Environmental Impacts<br>Will the Project cause... |     |    |                                                                                                                                                         |

| SCREENING QUESTIONS                                                                                                                                             | Yes | No | REMARKS                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Impairment of historical/cultural monuments/areas and loss/damage to these sites?                                                                               |     | √  | Fatehpur Fort is a cultural heritage site of prominence and state protected monument. There are no works proposed within impact zone of this fort. There are religious places of local importance. However, the project will not interfere with these places                                                                                                                                                                                                 |
| Interference with other utilities and blocking of access to buildings; nuisance to neighboring areas due to noise, smell, and influx of insects, rodents, etc.? | √   |    | Construction work may interfere with the water supply, power and communication lines. Access to houses and business may be affected during pipe laying works. Construction works may cause nuisance to public in form of traffic disturbance, utility disruption, increased noise and air. Proposed STP site is located away from inhabited areas. Adequate green buffer around the site will be provided to minimize the nuisance due to bad odour, if any. |
| dislocation or involuntary resettlement of people                                                                                                               |     | √  | Project does not involve land acquisition / involuntary resettlement /displacement. During the sewer construction, particularly in narrow streets there may be temporary disruption to household the same is addressed in the social DDR Plan.                                                                                                                                                                                                               |
| disproportionate impacts on the poor, women and children, Indigenous Peoples or other vulnerable groups?                                                        |     | √  |                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| Impairment of downstream water quality due to inadequate sewage treatment or release of untreated sewage?                                                       |     | √  | There is proposal of reuse of treated effluent from STP and unused treated effluent shall be discharged in to nearby nallah, therefore treated effluent needs to meet prescribed standards set by the Central Pollution Control Board (CPCB).                                                                                                                                                                                                                |
| Overflows and flooding of neighboring properties with raw sewage?                                                                                               |     | √  | Raw sewage shall not cause any flooding and overflowing and will be ensured through regular operation and maintenance.                                                                                                                                                                                                                                                                                                                                       |
| Environmental pollution due to inadequate sludge disposal or industrial waste discharges illegally disposed in sewers?                                          | √   |    | Inadequate sludge disposal may cause environmental pollution (soil and Water)<br>This sewerage system will cater only domestic wastewater, no industrial wastewater discharge is allowed into the sewerage system.<br>As a precaution, ULB should take responsibilities that wastewater from industrial units should not be allowed into sewers.                                                                                                             |
| Noise and vibration due to blasting and other civil works?                                                                                                      |     | √  | Blasting for underground works is prohibited in RUDSICO-EAP works                                                                                                                                                                                                                                                                                                                                                                                            |

| SCREENING QUESTIONS                                                                                                                                              | Yes | No | REMARKS                                                                                                                                                                                                                                                                                                                                                                                           |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| risks and vulnerabilities related to occupational health and safety due to physical, chemical, and biological hazards during project construction and operation? | √   |    | Occupational health and safety risk are negligible due to chemical and biological hazards during construction in sewerage works, physical hazards may arise due to safety risks during construction works. During operation of sewerage system physical and biological hazards may cause health and safety risks to workers for which mitigation measures will be required                        |
| Discharge of hazardous materials into sewers, resulting in damage to sewer system and danger to workers?                                                         |     | √  | This sewerage system will cater only domestic wastewater, no industrial wastewater discharge is allowed into the sewerage system.                                                                                                                                                                                                                                                                 |
| Inadequate buffer zone around pumping and treatment plants to alleviate noise and other possible nuisances, and protect facilities?                              |     | √  | STP and Pumping station will be located in enclosed buildings with restricted entry. Pumps will be of low noise generating type and therefore there will be no nuisance. Proposed site for treatment plant is located away from habitation, with buffer and protection walls.                                                                                                                     |
| Road blocking and temporary flooding due to land excavation during the rainy season?                                                                             | √   |    | Road blocking/diversion will be done during pipe laying with prior permission from concerned authorities. Contractor has to prepare proper traffic management plan before excavation on roads. Underground construction works (sewer laying, foundations) will be carried out in non-monsoon period. In Fatehpur, rainfall is scanty and confined only to a limited period. No impacts envisaged. |
| Noise and dust from construction activities?                                                                                                                     | √   |    | Road cutting (cement and bituminous roads) for sewer laying works is likely to generate noise and dust. Scheduling of works appropriately and prior information to the affected people will minimize the impact. Dust generation will be controlled through water sprinkling, immediate transportation of excess soil, covered transport etc.                                                     |
| traffic disturbances due to construction material transport and wastes?                                                                                          | √   |    | Linear activities like sewer laying along the roads is likely to disrupt traffic. Vehicle movement for construction purpose will increase the traffic. Identification of alternate routes, allowing limited - at least one-way traffic, prior information about the works and alternative arrangements, providing information/sign boards etc will reduce the impact.                             |
| temporary silt runoff due to construction?                                                                                                                       |     | √  | Fatehpur is predominantly dry and rainfall is very limited                                                                                                                                                                                                                                                                                                                                        |

| SCREENING QUESTIONS                                                                                                                                                                                                                                                                                                         | Yes | No | REMARKS                                                                                                                                                                                                                                                                                                                                                                                         |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| hazards to public health due to overflow flooding, and groundwater pollution due to failure of sewerage system?                                                                                                                                                                                                             | √   |    | Sewerage system will be designed with applicable standards. Adequate trained staff and necessary equipment will be in place for regular operation and maintenance of the system. Proposed treatment system will be efficient and appropriate repair and maintenance procedure will be developed. Sufficient funds for operation will be ensured. Backup power supply system is part of project. |
| deterioration of water quality due to inadequate sludge disposal or direct discharge of untreated sewage water?                                                                                                                                                                                                             | √   |    | Treated effluent and sludge, if not disposed adequately may cause water pollution. Adequate sludge treatment/drying process is part of the STP construction. No untreated/partially treated sewage will be disposed. STP designed to meet the peak demand. Regular monitoring of treated water will be conducted to check the treatment efficiency.                                             |
| contamination of surface and ground waters due to sludge disposal on land?                                                                                                                                                                                                                                                  |     | √  | Digested Sludge from reactors will be contamination free and will be collected, and stabilized / dried before disposal/reuse. This process will ensure that the dried sludge is harmless                                                                                                                                                                                                        |
| Health and safety hazards to workers from toxic gases and hazardous materials which may be contained in sewage flow and exposure to pathogens in sewage and sludge?                                                                                                                                                         |     | √  | It is unlikely that sewage contain hazardous substances. Necessary apparatus and personal protection equipment will be provided. Staff will be trained in safe handling of sewage and sludge, and in cleaning of sewers                                                                                                                                                                         |
| large population increase during project construction and operation that causes increased burden on social infrastructure (such as sanitation system)?                                                                                                                                                                      |     | √  | Most of the unskilled workers will be hired locally, some of skilled workers will be brought from outside but numbers will not so large to have impacts on social infrastructure and services                                                                                                                                                                                                   |
| Social conflicts between construction workers from other areas and community workers?                                                                                                                                                                                                                                       |     | √  | The contractor will be utilizing the local labour force as far as possible; in case if it is necessary, labour camps and facilities will be provided appropriately. No conflicts envisaged                                                                                                                                                                                                      |
| risks to community health and safety due to the transport, storage, and use and/or disposal of materials such as explosives, fuel and other chemicals during construction and operation?                                                                                                                                    |     | √  | No explosives shall be used in project. Fuel and other chemicals will be used in very less quantities which will not have significant impact on community health and safety. Safe handling of fuels and chemicals will be ensured by contractor.                                                                                                                                                |
| community safety risks due to both accidental and natural hazards, especially where the structural elements or components of the project are accessible to members of the affected community or where their failure could result in injury to the community throughout project construction, operation and decommissioning? | √   |    | Community safety risk may be there during construction during excavation for pipe laying, equipment and vehicle operation, construction of STP and SPS etc. for which mitigation measures will be required by contractor.                                                                                                                                                                       |

### Checklist for Preliminary Climate Risk Screening

Country/Project Title: India/Rajasthan Secondary Towns Development Sector Project (RSTDSP), FatehpurWaste Water Project, Rajasthan

Sector : Urban Development

Subsector: Waste Water

Division/Department: SARD/SAUW

| Screening Questions            |                                                                                                                                                                                                                                                                                                                                          | Score | Remarks <sup>34</sup>                                                                     |
|--------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|-------------------------------------------------------------------------------------------|
| Location and Design of project | Is siting and/or routing of the project (or its components) likely to be affected by climate conditions including extreme weather related events such as floods, droughts, storms, landslides?                                                                                                                                           | 0     | No such issue may affect the project                                                      |
|                                | Would the project design (e.g. the clearance for bridges) need to consider any hydro-meteorological parameters (e.g., sea-level, peak river flow, reliable water level, peak wind speed etc)?                                                                                                                                            | 0     | No such issue may affect the project                                                      |
| Materials and Maintenance      | Would weather, current and likely future climate conditions (e.g. prevailing humidity level, temperature contrast between hot summer days and cold winter days, exposure to wind and humidityhydro-meteorological parameterslikely affect the selection of project inputs over the life of project outputs (e.g. construction material)? | 0     | No such issues may affect the project                                                     |
|                                | Would weather, current and likely future climate conditions, and related extreme events likely affect the maintenance (scheduling and cost) of project output(s) ?                                                                                                                                                                       | 0     | No such issue may affect the project                                                      |
| Performance of project outputs | Would weather/climate conditions, and related extreme events likely affect the performance (e.g. annual power production) of project output(s) (e.g. hydro-power generation facilities) throughout their design life time?                                                                                                               | 0     | No problem will envisaged in future which likely affect the performance of project output |

Options for answers and corresponding score are provided below:

| Response    | Score |
|-------------|-------|
| Not Likely  | 0     |
| Likely      | 1     |
| Very Likely | 2     |

Responses when added that provide a score of 0 will be considered low risk project. If adding all responses will result to a score of 1-4 and that no score of 2 was given to any single response, the project will be assigned a medium risk category. A total score of 5 or more (which include providing a score of 1 in all responses) or a 2 in any single response will be categorized as high risk project.

#### Result of Initial Screening (Low, Medium, High): Low

Other Comments: The proposed subproject activity involves construction of one new STP and the anticipated environmental impacts are very marginal and the construction activity does not impose any threat to the existing climatic conditions.

<sup>34</sup> If possible, provide details on the sensitivity of project components to climate conditions, such as how climate parameters are considered in design standards for infrastructure components, how changes in key climate parameters and sea level might affect the siting/routing of project, the selection of construction material and/or scheduling, performances and/or the maintenance cost/scheduling of project outputs.

**Appendix 2: Drinking Water Standards, Ambient air quality standards, emission limits, emission stack height requirements for diesel generators and Vehicle exhaust emission norms**

**Table 1: Drinking water Standards**

| Group       | National Standards for Drinking Water <sup>a</sup> |             |                                             | WHO Guidelines for Drinking-Water Quality, 4 <sup>th</sup> Edition, 2011 <sup>b</sup> | Applicable Per ADB SPS <sup>c, d</sup>      |
|-------------|----------------------------------------------------|-------------|---------------------------------------------|---------------------------------------------------------------------------------------|---------------------------------------------|
|             | Parameter                                          | Unit        | Max. Concentration Limits <sup>d</sup>      |                                                                                       |                                             |
| Physical    | Turbidity                                          | NTU         | 1 (5)                                       | -                                                                                     | 1 (5)                                       |
|             | pH                                                 |             | 6.5 – 8.5                                   | none                                                                                  | 6.5 – 8.5                                   |
|             | Color                                              | Hazen units | 5 (15)                                      | none                                                                                  | 5 (15)                                      |
|             | Taste and Odor                                     |             | Agreeable                                   | -                                                                                     | Agreeable                                   |
|             | TDS                                                | mg/l        | 500 (2,000)                                 | -                                                                                     | 500 (2,000)                                 |
|             | Iron                                               | mg/l        | 0.3                                         | -                                                                                     | 0.3                                         |
|             | Manganese                                          | mg/l        | 0.1 (0.3)                                   | -                                                                                     | 0.1 (0.3)                                   |
|             | Arsenic                                            | mg/l        | 0.01 (0.05)                                 | 0.01                                                                                  | 0.01                                        |
|             | Cadmium                                            | mg/l        | 0.003                                       | 0.003                                                                                 | 0.003                                       |
|             | Chromium                                           | mg/l        | 0.05                                        | 0.05                                                                                  | 0.05                                        |
|             | Cyanide                                            | mg/l        | 0.05                                        | none                                                                                  | 0.05                                        |
|             | Fluoride                                           | mg/l        | 1 (1.5)                                     | 1.5                                                                                   | 1 (1.5)                                     |
|             | Lead                                               | mg/l        | 0.01                                        | 0.01                                                                                  | 0.01                                        |
|             | Ammonia                                            | mg/l        | 0.5                                         | none established                                                                      | 0.5                                         |
| Chemical    | Chloride                                           | mg/l        | 250 (1,000)                                 | none established                                                                      | 250 (1,000)                                 |
|             | Sulphate                                           | mg/l        | 200 (400)                                   | none                                                                                  | 200 (400)                                   |
|             | Nitrate                                            | mg/l        | 45                                          | 50                                                                                    | 45                                          |
|             | Copper                                             | mg/l        | 0.05 (1.5)                                  | 2                                                                                     | 0.05 (1.5)                                  |
|             | Total Hardness                                     | mg/l        | 200 (600)                                   | -                                                                                     | 200 (600)                                   |
|             | Calcium                                            | mg/l        | 75 (200)                                    | -                                                                                     | 75 (200)                                    |
|             | Zinc                                               | mg/l        | 5 (15)                                      | none established                                                                      | 5 (15)                                      |
|             | Mercury                                            | mg/l        | 0.001                                       | 0.006                                                                                 | 0.001                                       |
|             | Aluminum                                           | mg/l        | 0.1 (0.3)                                   | none established                                                                      | 0.1 (0.3)                                   |
|             | Residual Chlorine                                  | mg/l        | 0.2                                         | 5                                                                                     | 0.2                                         |
| Micro Germs | E-coli                                             | MPN/100ml   | Must not be detectable in any 100 ml sample | Must not be detectable in any 100 ml sample                                           | Must not be detectable in any 100 ml sample |
|             | Total Coliform                                     | MPN/100ml   |                                             |                                                                                       |                                             |
|             | Total Coliform                                     | MPN/100ml   |                                             |                                                                                       |                                             |

<sup>a</sup> Bureau of India Standard 10500: 2012.

<sup>b</sup> Health-based guideline values.

<sup>c</sup> Per ADB SPS, the government shall achieve whichever of the standards is more stringent. If less stringent levels or measures are appropriate in view of specific project circumstances, the executing agency of the government will provide full and detailed justification for any proposed alternatives that are consistent with the requirements presented in ADB SPS.

<sup>d</sup> Figures in parenthesis are maximum limits allowed in the absence of alternate source.

**Table 2: Ambient Air Quality Standards**



| Parameter               | Location <sup>a</sup>                         | India Ambient Air Quality Standard ( $\mu\text{g}/\text{m}^3$ ) <sup>b</sup> | WHO Air Quality Guidelines ( $\mu\text{g}/\text{m}^3$ ) |                                   | Applicable Per ADB SPS <sup>e</sup> ( $\mu\text{g}/\text{m}^3$ ) |
|-------------------------|-----------------------------------------------|------------------------------------------------------------------------------|---------------------------------------------------------|-----------------------------------|------------------------------------------------------------------|
|                         |                                               |                                                                              | Global Update <sup>c</sup> 2005                         | Second Edition 2000               |                                                                  |
| PM <sub>10</sub>        | Industrial Residential, Rural and Other Areas | 60 (Annual)<br>100 (24-hr)                                                   | 20 (Annual)<br>50 (24-hr)                               | -                                 | 20 (Annual)<br>50 (24-hr)                                        |
|                         | Sensitive Area                                | 60 (Annual)<br>100 (24-hr)                                                   | 20 (Annual)<br>50 (24-hr)                               | -                                 | 20 (Annual)<br>50 (24-hr)                                        |
| PM <sub>25</sub>        | Industrial Residential, Rural and Other Areas | 40 (Annual)<br>60 (24-hr)                                                    | 10 (Annual)<br>25 (24-hr)                               | -                                 | 10 (Annual)<br>25 (24-hr)                                        |
|                         | Sensitive Area                                | 40 (Annual)<br>60 (24-hr)                                                    | 10 (Annual)<br>25 (24-hr)                               |                                   | 10 (Annual)<br>25 (24-hr)                                        |
| SO <sub>2</sub>         | Industrial Residential, Rural and Other Areas | 50 (Annual)<br>80 (24-hr)                                                    | 20 (24-hr)<br>500 (10-min)                              | -                                 | 50 (Annual)<br>20 (24-hr)<br>500 (10-min)                        |
|                         | Sensitive Area                                | 20 (Annual)<br>80 (24-hr)                                                    | 20 (24-hr)<br>500 (10-min)                              | -                                 | 20 (Annual)<br>20 (24-hr)<br>500 (10-min)                        |
| NO <sub>2</sub>         | Industrial Residential, Rural and Other Areas | 40 (Annual)<br>80 (24-hr)                                                    | 40 (Annual)<br>200 (1-hr)                               | -                                 | 40 (Annual)<br>80 (24-hr)<br>200 (1-hr)                          |
|                         | Sensitive Area                                | 30 (Annual)<br>80 (24-hr)                                                    | 40 (Annual)<br>200 (1-hr)                               | -                                 | 30 (Annual)<br>80 (24-hr)<br>200 (1-hr)                          |
| CO                      | Industrial Residential, Rural and Other Areas | 2,000 (8-hr)<br>4,000 (1-hr)                                                 | -                                                       | 10,000 (8-hr)<br>100,000 (15-min) | 2,000 (8-hr)<br>4,000 (1-hr)<br>100,000 (15-min)                 |
|                         | Sensitive Area                                | 2,000 (8-hr)<br>4,000 (1-hr)                                                 | -                                                       | 10,000 (8-hr)<br>100,000 (15-min) | 2,000 (8-hr)<br>4,000 (1-hr)<br>100,000 (15-min)                 |
| Ozone (O <sub>3</sub> ) | Industrial Residential, Rural and Other Areas | 100 (8-hr)<br>180 (1-hr)                                                     | 100 (8-hr)                                              |                                   | 100 (8-hr)<br>180 (1-hr)                                         |
|                         | Sensitive Area                                | 100 (8-hr)<br>180 (1-hr)                                                     | 100 (8-hr)                                              |                                   | 100 (8-hr)<br>180 (1-hr)                                         |
| Lead (Pb)               | Industrial, Residential,                      | 0.5 (Annual)<br>1.0 (24-hr)                                                  |                                                         | 0.5 (Annual)                      | 0.5 (Annual)<br>1.0 (24-hr)                                      |

| Parameter                                   | Location <sup>a</sup>                         | India Ambient Air Quality   | WHO Air Quality Guidelines ( $\mu\text{g}/\text{m}^3$ ) |              | Applicable Per ADB SPS <sup>e</sup> |
|---------------------------------------------|-----------------------------------------------|-----------------------------|---------------------------------------------------------|--------------|-------------------------------------|
|                                             | Rural and Other Areas                         |                             |                                                         |              |                                     |
|                                             | Sensitive Area                                | 0.5 (Annual)<br>1.0 (24-hr) |                                                         | 0.5 (Annual) | 0.5 (Annual)<br>1.0 (24-hr)         |
| Ammonia ( $\text{NH}_3$ )                   | Industrial Residential, Rural and Other Areas | 100 (Annual)<br>400 (24-hr) |                                                         |              | 100 (Annual)<br>400 (24-hr)         |
|                                             | Sensitive Area                                | 100 (Annual)<br>400 (24-hr) |                                                         |              | 100 (Annual)<br>400 (24-hr)         |
| Benzene ( $\text{C}_6\text{H}_6$ )          | Industrial Residential, Rural and Other Areas | 5 (Annual)                  |                                                         |              | 5 (Annual)                          |
|                                             | Sensitive Area                                | 5 (Annual)                  |                                                         |              | 5 (Annual)                          |
| Benzo(o)pyrene (BaP) particulate phase only | Industrial Residential, Rural and Other Areas | 0.001 (Annual)              |                                                         |              | 0.001 (Annual)                      |
|                                             | Sensitive Area                                | 0.001 (Annual)              |                                                         |              | 0.001 (Annual)                      |
| Arsenic (As)                                | Industrial Residential, Rural and Other Areas | 0.006 (Annual)              |                                                         |              | 0.006 (Annual)                      |
|                                             | Sensitive Area                                | 0.006 (Annual)              |                                                         |              | 0.006 (Annual)                      |
| Nickel (Ni)                                 | Industrial Residential, Rural and Other Areas | 0.02 (Annual)               |                                                         |              | 0.02 (Annual)                       |
|                                             | Sensitive Area                                | 0.02 (Annual)               |                                                         |              | 0.02 (Annual)                       |

<sup>a</sup> Sensitive area refers to such areas notified by the India Central Government.

<sup>b</sup> Notification by Ministry of Environment and Forests, Government of India Environment (Protection) Seventh Amendment Rules, 2009

<sup>c</sup> WHO Air quality guidelines for particulate matter, ozone, nitrogen dioxide and sulfur dioxide. *Global update 2005*. WHO. 2006

<sup>d</sup> Air Quality Guidelines for Europe Second Edition. WHO 2000.

<sup>e</sup> Per ADB SPS, the government shall achieve whichever of the ambient air quality standards is more stringent. If less stringent levels or measures are appropriate in view of specific project circumstances, the executing agency of the government will provide full and detailed justification for any proposed alternatives that are consistent with the requirements presented in ADB SPS

**Table 3: Emission limits for New DG sets up to 800 KW  
(As per Environment (Protection) (Third Amendment) Rules, 2013)**

TABLE

| Power Category              | Emission Limits<br>(g/kW-hr) |       |       | Smoke Limit (light absorption<br>coefficient, m <sup>-1</sup> ) |
|-----------------------------|------------------------------|-------|-------|-----------------------------------------------------------------|
|                             | NOx+HC                       | CO    | PM    |                                                                 |
| Upto 19 KW                  | ≤ 7.5                        | ≤ 3.5 | ≤ 0.3 | ≤ 0.7                                                           |
| More than 19 KW upto 75 KW  | ≤ 4.7                        | ≤ 3.5 | ≤ 0.3 | ≤ 0.7                                                           |
| More than 75 KW upto 800 KW | ≤ 4.0                        | ≤ 3.5 | ≤ 0.2 | ≤ 0.7                                                           |

Note:

1. The abbreviations used in the Table shall mean as under: NO<sub>x</sub> – Oxides of Nitrogen; HC – Hydrocarbon; CO – Carbon Monoxide; and PM – Particulate Matter.
2. Smoke shall not exceed above value throughout the operating load points of the test cycle.
3. The testing shall be done as per D2 – 5 mode cycle of ISO: 8178- Part 4.
4. The above mentioned emission limits shall be applicable for Type Approval and Conformity of Production (COP) carried out by authorised agencies.
5. Every manufacturer, importer or, assembler (hereinafter referred to as manufacturer) of the diesel engine (hereinafter referred to as 'engine') for genset application manufactured or imported into India or, diesel genset (hereinafter referred to as 'product'), assembled or imported into India shall obtain Type Approval and comply with COP of their product(s) for the emission limits which shall be valid for the next COP year or, the date of implementation of the revised norms specified above, whichever earlier.  
*Explanation.-* The term 'COP year' means the period from 1st April to 31st March.
6. Stack height (in metres), for genset shall be governed as per Central Pollution Control Board (CPCB) guidelines.

**Table 4: Stake Height Requirement of DG sets**

**DIESEL GENERATOR SETS : STACK HEIGHT**

The minimum height of stack to be provided with each generator set can be worked out using the following formula :

$$H = h + 0.2 \times \sqrt{\text{KVA}}$$

H = Total height of stack in metre

h = Height of the building in metres where the generator set is installed

KVA = Total generator capacity of the set in KVA

Based on the above formula the minimum stack height to be provided with different range of generator sets may be categorised as follows:

| For Generator Sets | Total Height of stack in metre  |
|--------------------|---------------------------------|
| 50 KVA             | Ht. of the building + 1.5 metre |
| 50-100 KVA         | Ht. of the building + 2.0 metre |
| 100-150 KVA        | Ht. of the building + 2.5 metre |
| 150-200 KVA        | Ht. of the building + 3.0 metre |
| 200-250 KVA        | Ht. of the building + 3.5 metre |
| 250-300 KVA        | Ht. of the building + 3.5 metre |

Similarly for higher KVA ratings a stack height can be worked out using the above formula.

Source : Evolved By CPCB  
[Emission Regulations Part IV:COINDS/26/1986-87]

**Table 5: Vehicle Exhaust Emission Norms****1. Passenger Cars**

| Norms                    | CO( g/km)  | HC+ NOx(g/km)   |
|--------------------------|------------|-----------------|
| 1991Norms                | 14.3-27.1  | 2.0(Only HC)    |
| 1996 Norms               | 8.68-12.40 | 3.00-4.36       |
| 1998Norms                | 4.34-6.20  | 1.50-2.18       |
| India stage 2000 norms   | 2.72       | 0.97            |
| Bharat stage-II          | 2.2        | 0.5             |
| Bharat Stage-III         | 2.3        | 0.35 (combined) |
| Bharat Stage-IV          | 1.0        | 0.18 (combined) |
| Bharat Stage-VI (Petrol) | 1.0        | 0.16 (Combined) |

**2. Heavy Diesel Vehicles**

| Norms                    | CO( g/kmhr) | HC (g/kmhr)   | NOx (g/kmhr) | PM(g/kmhr) |
|--------------------------|-------------|---------------|--------------|------------|
| 1991Norms                | 14          | 3.5           | 18           | -          |
| 1996 Norms               | 11.2        | 2.4           | 14.4         | -          |
| India stage 2000 norms   | 4.5         | 1.1           | 8.0          | 0.36       |
| Bharat stage-II          | 4.0         | 1.1           | 7.0          | 0.15       |
| Bharat Stage-III         | 2.1         | 1.6           | 5.0          | 0.10       |
| Bharat Stage-IV          | 1.5         | 0.96          | 3.5          | 0.02       |
| Bharat Stage-VI (Diesel) | 0.5         | 0.17 (HC+NOx) |              | 0.0045     |

Source: Central Pollution Control Board

CO = Carbon Monoxide; g/kmhr = grams per kilometer-hour; HC = Hydrocarbons; NOx = oxides of nitrogen; PM = Particulates Matter

**Appendix 3: Ambient Air Quality Standards in Respect of Noise and noise limits for DG sets**

**Table 1: Noise Level Standards**

| Receptor/<br>Source | India National<br>Noise Level<br>Standards <sup>a</sup><br>(dBA) |       | WHO Guidelines Value<br>For Noise Levels<br>Measured Out of Doors <sup>b</sup><br>(One Hour LA <sub>9</sub> in dBA) |                  | Applicable Per ADB<br>SPS <sup>c</sup><br>(dBA) |               |
|---------------------|------------------------------------------------------------------|-------|---------------------------------------------------------------------------------------------------------------------|------------------|-------------------------------------------------|---------------|
|                     | Day                                                              | Night | 07:00 –<br>22:00                                                                                                    | 22:00 –<br>07:00 | Day time                                        | Night<br>time |
| Industrial area     | 75                                                               | 70    | 70                                                                                                                  | 70               | 70                                              | 70            |
| Commercial<br>area  | 65                                                               | 55    | 70                                                                                                                  | 70               | 65                                              | 55            |
| Residential<br>Area | 55                                                               | 45    | 55                                                                                                                  | 45               | 55                                              | 45            |
| Silent Zone         | 50                                                               | 40    | 55                                                                                                                  | 45               | 50                                              | 40            |

<sup>a</sup> Noise Pollution (Regulation and Control) Rules, 2002 as amended up to 2010.

<sup>b</sup> Guidelines for Community Noise. WHO. 1999

<sup>c</sup> Per ADB SPS, the government shall achieve whichever of the ambient air quality standards is more stringent. If less stringent levels or measures are appropriate in view of specific project circumstances, the executing agency of the government will provide full and detailed justification for any proposed alternatives that are consistent with the requirements presented in ADB SPS.

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**Table 2: Noise Limits for DG Set**

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*(Noise Limit for Generator Sets run with Diesel were notified by Environment (Protection) second Amendment Rules vide GSR 371(E), dated 17<sup>th</sup> May 2002 at serial no.94 and its amendments vide GSR No 520(E) dated 1<sup>st</sup> July 2003; GSR 448(E), dated 12<sup>th</sup> July 2004; GSR 315(E) dated 16<sup>th</sup> May 2005; GSR 464(E) dated 7<sup>th</sup> August 2006; GSR 566(E) dated 29<sup>th</sup> August 2007 and GSR 752(E) dated 24<sup>th</sup> October 2008; G.S.R. 215 (E), dated 15<sup>th</sup> March, 2011 under the Environment (Protection) Act, 1986)*

**Noise Limit for Generator Sets run with Diesel**

**1. Noise limit for diesel generator sets (upto 1000 KVA) manufactured on or after the 1<sup>st</sup> January, 2005**

The maximum permissible sound pressure level for new diesel generator (DG) sets with rated capacity upto 1000 KVA, manufactured on or after the 1<sup>st</sup> January, 2005 shall be 75 dB(A) at 1 metre from the enclosure surface.

The diesel generator sets should be provided with integral acoustic enclosure at the manufacturing stage itself.

The implementation of noise limit for these diesel generator sets shall be regulated as given in paragraph 3 below.

**2. Noise limit for DG sets not covered by paragraph 1.**

Noise limits for diesel generator sets not covered by paragraph 1, shall be as follows:-

- 2.1 Noise from DG set shall be controlled by providing an acoustic enclosure or by treating the room acoustically, at the users end.
- 2.2 The acoustic enclosure or acoustic treatment of the room shall be designed for minimum 25 dB (A) insertion loss or for meeting the ambient noise standards, whichever is on the higher side ( if the actual ambient noise is on the higher side, it may not be possible to check the performance of the acoustic enclosure/acoustic treatment. Under such circumstances the performance may be checked for noise reduction upto actual ambient noise level, preferably, in the night time). The measurement for Insertion Loss may be done at different points at 0.5 m from the acoustic enclosure/ room, then averaged.
- 2.3 The DG set shall be provided with proper exhaust muffler with insertion loss of minimum 25 dB (A).

2.4 These limits shall be regulated by the State Pollution Control Boards and the State Pollution Control Committees.

2.5 Guidelines for the manufacturers/ users of Diesel Generator sets shall be as under:-

01. The manufacturer shall offer to the user a standard acoustic enclosure of 25 dB (A) insertion loss and also a suitable exhaust muffler with insertion loss of 25 dB(A).
02. The user shall make efforts to bring down the noise levels due to the DG set, outside his premises, within the ambient noise requirements by proper citing and control measures.
03. Installation of DG set must be strictly in compliance with the recommendations of the DG set manufacturer.
04. A proper routine and preventive maintenance procedure for the DG set should be set and followed in consultation with the DG set manufacturer which would help prevent noise levels of the DG set from deteriorating with use.

### **3.0 Limits of Noise for DG Sets (upto 1000 KVA) Manufactured on or after the 1<sup>st</sup> January, 2005**

#### **3.1 Applicability**

01. These rules apply to DG sets upto 1000 KVA rated output, manufactured or imported in India, on or after 1<sup>st</sup> January, 2005.
02. These rules shall not apply to –
  - a) DG sets manufactured or imported for the purpose of exports outside India; and
  - b) DG sets intended for the purpose of sample and not for sale in India.

#### **3.2 Requirement of Certification**

Every manufacturer or assembler or importer (hereinafter referred to as the "manufacturer") of DG set (hereinafter referred to as "product") to which these regulations apply must have valid certificates of Type Approval and also valid certificates of Conformity of Production for each year, for all the product models being manufactured or assembled or imported from 1<sup>st</sup> January, 2005 with the noise limit specified in paragraph 1.

#### **3.3 Sale, import or use of DG sets not complying with the rules prohibited**

No person shall sell, import or use of a product model, which is not having a valid Type Approval Certificate and Conformity of Production certificate.

**Appendix 4: Effluent Discharge Standards for STPs as per NGT Order Dated  
30.04.2019**

| <b>Sl. No.</b> | <b>Parameters</b>                              | <b>Parameters Limit</b>                                          |
|----------------|------------------------------------------------|------------------------------------------------------------------|
| 1              | pH                                             | 5.5-9.0                                                          |
| 2              | BOD (mg/l)                                     | Not more than 10 mg/l                                            |
| 3              | COD (mg/l)                                     | Not more than 50 mg/l                                            |
| 4              | TSS (mg/l)                                     | Not more than 20 mg/l                                            |
| 5              | P-Total (mg/l)- for discharge into ponds/lakes | Not more than 1.0 mg/l                                           |
| 6              | N-Total (mg/l)                                 | Not more than 10 mg/l                                            |
| 7              | Fecal Coliform (MPN/100ml)                     | Desirable- Less than 100 MPN/100ml<br>Permissible- 230 MPN/100ml |



## Appendix 5: Pages from Rajasthan State Sewerage and Waste Water Policy for reuse of treated effluent and sludge

STATE SEWERAGE AND WASTE WATER POLICY- 2016

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- viii. Design and performance specifications of wastewater treatment plants shall be as per guidelines given in the manual on sewerage treatment systems published by CPHEEO. Sufficient room in tendering for the construction of new plants shall be provided for competition to take place in both technologies and costs.

### 5.4. On Reuse of Treated Effluent and Sludge

1. Treated wastewater effluent is considered a water resource and is added to the water stock for reuse.
2. Priority shall be given to agricultural reuse of treated effluent for unrestricted irrigation. Blending of treated wastewater with fresh water shall be made to improve quality where possible. Crops to be irrigated by the treated effluent or blend thereof with freshwater resources shall be selected to suit the irrigation water, soil type and chemistry, and the economics of the reuse operations.
3. Crop nutrient requirements shall be determined taking into consideration the prevailing effluent quality. Overuse of nutrients shall be avoided.
4. Accumulation of heavy metals and salinity shall be monitored, managed and mitigated. Leaching of soils shall be advocated by the irrigation authorities.
5. Farmers shall be encouraged to determine the rate of water application needed for different crops, taking into consideration the value of nutrients in the treated water and other parameters.
6. Farmers shall be encouraged to use modern and efficient irrigation technologies. Protection of on-farm workers and of crops against pollution with wastewater shall be ensured.
7. Treated effluent quality should be monitored and users alerted to any emergency causing deterioration of the quality so that they will not use such water unless corrective measures are taken.
8. Studies should be conducted and projects designed and implemented to store the excess treated wastewater in surface reservoirs but artificial recharge is not permitted. Due attention shall be given to the quality of treated and groundwater and the characteristics of the strata.
9. Plans and studies for power generation from sludge, if proven technically, economically and financially feasible, shall be made with due attention to environment impacts.
10. Sludge produced from the treatment process would be processed so it may be used as fertilizer and soil conditioner. Care shall be taken to conform to the regulations of public health and environment protection norms.

11. **Industry:** Industrial reuse of reclaimed wastewater represents major reuse next only to irrigation in both developed and developing countries. Reclaimed wastewater is ideal for many industrial purposes,. Where effluent is to be used in the industrial processes, it should be the responsibility of the industry to treat it to the quality standards required. Pilot scale feasibility studies carried out in Australia have concluded that it is possible to economically treat the domestic wastewater to achieve adequate quality for reuse as cooling water. Based on the conclusions of the feasibility study, a full-scale treatment plant employing cross-flow membrane microfiltration system may be installed. The membrane filtration system can remove all suspended solids, fecal coliforms, and giardia cysts. It could also significantly reduce human enteric viruses such as *reovirus* and *enterovirus*. The water reclamation plant at Eraring Power Station demonstrates the potential for reuse of wastewater in power generation and other industrial manufacturing facilities.

Industrial uses for reclaimed water include:

- (i) Evaporative cooling water:-
  - (a) Once-through cooling system.
  - (b) Re-circulating cooling system.
  - (c) Cooling water quality requirements.
- (ii) Boiler –Feed water- The use of reclaimed water differs little from use of conventional public supplies for boiler-feed water,as both require extensive additional treatment quality requirement for boiler feed make up water are dependent upon pressure at which boiler is operated.
- (iii) Industrial process water-

Suitability of reclaimed water for use in industrial process depends upon particular use like-

- (a) Pulp and paper.
  - (b) Chemical industry.
  - (c) Textile industry.
  - (d) Petroleum and coal.
12. Whenever possible, other end uses of treated effluents; such as recycling, cooling, power generation, etc. shall be considered.
13. **Re-use Options:** The following options for re-use of effluent have been identified: In general, public health concern is the major issue in any type of reuse of wastewater, be it for irrigation or non-irrigation utilization, especially long term impact of reuse practices. It is difficult to delineate acceptable health risks and is a matter that is still hotly debated. Potential reuse of wastewater depends on the hydraulic and biochemical characteristics of wastewater, which determine the methods and degree of treatment required. While agricultural irrigation reuses, in general, require lower quality levels of treatment, domestic reuse options (direct or indirect potable and non-potable) reuses need the highest treatment level. Level of treatment for other

reuse options lie between these two extremes. The reuse options may be (artificial recharge of aquifers is not permitted):

- i. Irrigation
  - (a) Agriculture and forestry
  - (b) Landscaping
- ii. Fish – farming
- iii. Industry
- iv. Non-potable Domestic Reuse.

The detailed project report should clearly define the best reuse option particular to town and strategy to obtain it. Action plan with clarity should be the part of Detailed Project Report (DPR), while preparing sewerage projects. Before deciding the reuse of treated waste water, authorities must full fill the water quality norms and its legal implications.

14. Governing local body can sell the treated waste water and digested sludge to generate the revenue.

#### **5.5. On Pricing, Financing and Investment**

1. In view of increasing marginal cost of wastewater collection and treatment, wastewater charges, connection fees, sewerage taxes and treatment fees shall be set to cover at least the operation and maintenance costs. It is also highly desirable that part of the capital cost of the services shall be recovered. The ultimate aim is for a full cost recovery.
2. Appropriate criteria in order to apply the "polluter pays" principle shall be established.
3. Different charges for different areas may be applied. This shall be assessed for each geographical area as a function of end users and effluent quality and will be subject to economic and social considerations.
4. Because of the limited financial resources available to Government of Rajasthan, setting investment priorities in wastewater will be compatible with government investment plans.
5. Criteria for prioritizing investments in the wastewater sector shall take into account the current and future needs of the state, needs to expand wastewater systems in urban areas and to provide wastewater systems to smaller towns and villages.
6. Priorities of wastewater projects shall not be disconnected from water supply projects and urbanization in general. Decisions will be made concerning them to attain optimum solutions to the need for services, availability of finance and availability of trained manpower.

7. Treated effluent shall be priced and sold to end users at a price covering at least the operation and maintenance costs of delivery.
8. It is the intention of the Government, through private sector participation, to transfer management of infrastructure and services from the public to the private sector, in order to improve performance and upgrade the level of service.
9. The role of the private sector will expand with management contracts, concessions and other forms of private sector participation in wastewater management.
10. The concepts of BOO/BOT shall be entertained, and the impact of such concepts on the consumers shall be continually addressed and negative impacts mitigated.
11. The private sector role in reuse of treated effluent shall be encouraged and expanded.
12. As per urban reforms (under various schemes by MOUD) 100% cost of O&M of sewerage system shall be recovered from consumer. The costs will depend on the system/technology adopted for collection of sewerage and treatment and the administration costs. It is important that the full cost of the service is assessed for each urban area instead of adopting a typical cost assessment. The full cost shall cover the following:
  - (i) Institutional aspect of the sanitation service e.g. the management information systems, accountancy and finance management, billing and collection, customer services, etc. and oversight activities.
  - (ii) Operating, maintaining (on a planned maintenance basis), repairing replacing and extending sanitation service physical infrastructure.
  - (iii) Keeping updated infrastructure and customer data on a GIS base.
  - (iv) Managers, staff, vehicles, equipment and consumables associated with the above.
  - (v) Consumable like chemicals etc.
  - (vi) Power charges.
  - (vii) Spare Parts.
  - (viii) Any other O&M contract amount

#### **5.6. Source of Funds for Sewerage Project**

- (A) In general, implementation of reuse facility requires substantial capital expenses. In addition to capital cost associated with reclaimed water facility, there are also additional operation, maintenance, and replacement and administrative costs. Hence responsible agencies may consider following sources of 'Funds for Construction of Sewerage Project':

## **Appendix 6: Guidelines for Sewerage System Operations, Reuse of Treated Effluent and Sludge from STP for Beneficial Purposes**

*(Source: Manual on Sewerage and Sewage Treatment Systems, CPHEEO, Ministry of Urban Development, Govt. of India)*

### **Health Hazards during Sewage Operations**

Labourers working on the sewage treatment and operations may suffer from a number of ailments directly attributed to handling of sewage. In view of this it is desirable to disinfect sewage and where feasible mechanize sewage operations.

The staff of sewage operations must be well educated in the sanitary rules on the utilization of sewage for irrigation as well as with personal hygiene. All persons working in sewage farms must undergo preventive vaccination against enteric infections and annual medical examination for helminthiasis and be provided treatment if necessary.

Sewage treatment plants should be provided with adequate space for canteens with proper sanitation, wash-stands and lockers for irrigation implements and protective clothing. Safe drinking water must be provided for the workers and for population residing within the effective range of the sewage treatment plants.

All workers should be provided with gum boots and rubber gloves, which must compulsorily be worn while at work. They should be forced to observe personal hygiene such as washing after work as well as washing before taking food. The use of antiseptics in the water used for washing should be emphasized. The farm worker should be examined medically at regular intervals and necessary curative measures enforced.

### **Mitigation measures to avoid Health Hazards**

#### **Personal Hygiene against Pathogen**

The worker should take precautions because a large number of coliform groups, various kinds of micro-organisms, and egg parasites exist in sewage. The workers should strive to maintain good health by taking care of the following points:

- Wear clean uniform, work boots, etc.
- After work and before having a meal, always wash hands and disinfect them.
- After work, take a shower if possible.
- Do not enter the offices and lounges wearing dirty clothes.
- If necessary, take vaccinations against tetanus, leptospirosis fever and so on

**Maintaining Cleanliness** The worker should maintain each facility in a clean and neat condition.

- The floors of workrooms, stairs and corridors should be cleaned at the appropriate frequency to maintain them in a clean condition
- Disinfection of relevant locations is to be carried out periodically.

**Health Check** Workers should receive health check once a year to maintain their health, and prevent illnesses or detect them at an early stage. The results of the health check should be maintained as records. Recommended items to be inspected during the health check are as given below.

- Examine medical history.
- Examine subjective symptoms and other objective symptoms.
- Check height, weight, vision and hearing ability.
- Chest X-ray examination.
- Blood pressure measurement.
- Check for anaemia.
- Check for liver functions.

- Check for lipids in blood.
- Check blood sugar level
- Urine analysis.
- Electrocardiogram analysis

**Welfare Measures** The Sanitation Workers (Regulation of Employment and Conditions of Service) Act 2012 proposes constitution of a Sanitation Workers State Welfare Board to exercise powers conferred on it and to perform welfare functions such as the following for sanitation workers:

- Provide immediate assistance to a beneficiary in case of an accident
- Sanction of loan and advances
- Medical expenses for treatment of major ailments
- Financial assistance for education of children
- Payment of maternity benefits
- Make provision and improvement of welfare measures and facilities as may be prescribed

**Corrective Measures** When a worker has symptoms of an illness listed above, the plant engineer should ensure that the worker is checked-up by a specialist doctor and receives proper treatment and care and should take the following actions considering the content of work done by the worker:

- Change the workplace if necessary
- Change the content of the work
- Shorten the working hours
- Perform relevant measurements of the working environment
- Maintain the facility or equipment

### **Risks in use of treated effluent and sludge in agriculture practices**

Cultivation of crops that are eaten raw should be banned. Cultivation of paddy in bunded fields is likely to give rise to sanitation problems and hence is undesirable. Growing of non-edible commercial crops like cotton, jute, fodder, milling varieties of sugarcane and tobacco would be suitable. Cultivation of grasses and fodder legumes, medicinal and essential oil yielding plants like menthol and citronella may be allowed. Cultivation of cereals, pulses, potatoes and other crops that are cooked before consumption may be permitted, if sewage is treated and care is taken in handling the harvests to ensure that they are not contaminated. Cultivation of crop exclusively under seed multiplication programmes would be advantageous as these are not consumed. As an additional safeguard, sewage irrigation should be discontinued at least two months in advance of harvesting of fruits and berries, one month for all kinds of vegetables and a fortnight for all other crops. Direct grazing on sewage irrigated farms should be prohibited.

### **Risks of Nutrient Loading in Agriculture**

Crops receiving excessive dosage of nitrogen show superfluous vegetative growth and decrease in grain or fruit yield. The phosphate deficit of sewage, therefore, should be made good by supplementing with phosphate fertilizers, the extent of phosphate fortification depending upon the nature of crop and its phosphate requirements. As the availability of phosphate is low in the irrigation water it would be desirable to apply the required quantity of phosphatic fertilizer at the time or even (about a fortnight) before the sowing or planting of the crop. Even when sewage nutrients are balanced by fortification, irrigation with such sewage may supply excessive amount of nutrients resulting in waste or unbalanced growth of plants with adverse effects on yields. It may therefore be necessary to dilute the sewage. Dilution also helps in reducing the concentration of dissolved salts and decomposable organic matter in the sewage thus, decreasing hazards to the fertility of the soil. It is desirable to limit the BOD and total suspended

solids of sewage to be disposed on land for irrigation, as per relevant standards. There is a need to take caution on describing nutrient supply capacity of sewage particularly in the case of availability of phosphorus because there is a possible conversion of available phosphorus in unavailable mode in the presence of heavy metals present in the sewerage. This happens commonly in high as well as low pH soils.

### **Alternative Arrangement during Non-irrigating Periods**

During rainy and non-irrigating seasons, agricultural practices may not need any water for irrigation. Even during irrigating season, the water requirement fluctuates significantly. Hence, satisfactory alternative arrangements have to be made for the disposal of sewage on such occasions either by storing the excess sewage or discharging it elsewhere without creating environmental hazards. The following alternatives are generally considered: a) Provision of holding lagoons for off-season storage. They enable irrigation of a fixed area of land to varying rates of crop demand. They may also serve as treatment units such as aerated or stabilization lagoons, provided the minimum volume required for treatment is provided beyond the flow-balancing requirement. b) Provision of additional land where treated sewage is not required on the main plot of land c) Discharge of surplus treated sewage to river or into sea with or without additional treatment. Combining surface discharge facilities with irrigation system is quite common and often quite compatible. d) Resorting to artificial recharge in combination with an irrigation system where feasible.

### **Treated Sewage into Perennial Rivers**

When sewage is treated and discharged into perennial flowing rivers and the blended river water is drawn downstream of the point of such blending as raw water for treatment in public water supply schemes. This is indirect potable use after blending. This is historical and ongoing all around. However, of late, the organic load due to the discharged treated, partially treated and non-point sewage becomes in excess of the self-purifying capacity of the river. Thus, the river water is not actually fresh water. The water quality of Yamuna river for Agra water supply scheme requires to be first treated in MBBR to purify the river water to a level as raw water for the downstream WTP. When it passes through flowing surface water it has the potential disadvantages of contamination by human and animal activities adding organic matter and waterborne pathogens unless the river stretch is protected from such activities. The guiding principle in such cases for the ULBs will be to at least intercept the sewage outfalls and provide adequate STPs and follow the recommended quality criteria for the treated sewage.

### **Treated Sewage into Non-Perennial / Dry River Courses**

There are locations where the rivers are not perennial or almost dry throughout the year except some monsoon runoff. In this case the discharged treated sewage sinks into the aquifer zone and is extracted by infiltration wells or galleries. The advantage of direct dilution from surface water is lost, but the additional purification in the soil and dilution from the aquifer water are happening. An example is the case of the Palar river course in Tamilnadu. The surface water flow in this occurs only for about a week if the monsoon is normal and if the water spills beyond the upstream impoundments. The aquifer however supports the public water supply of over 30 habitations along its dry tract of nearly 80 km before the sea. The partly treated sewage of the en-route habitations does reach this river course at intervals. So far, no epidemics have been met with. This may be due to the above said additional purification in the soil and dilution by aquifer water. However, if these are exceeded by the contamination load, there can be immediate health problems. The guiding principle in such cases for the ULBs will be (a) to keep a check on the raw water quality from the infiltration wells to detect sudden increase in contaminants and (b) at least intercept the sewage outfalls and provide adequate STPs.

## Appendix 7: Compliance with Environmental Criteria for Subproject Selection

| Components             | Criteria                                                                                                                                                                                                                                                                                                                   | Design Considerations<br>(if criterion is not met)                   | Compliance                                                                                                                                                                      |
|------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>All subprojects</b> |                                                                                                                                                                                                                                                                                                                            |                                                                      |                                                                                                                                                                                 |
|                        | Subproject will avoid potentially significant adverse impacts that are diverse, irreversible or unprecedented (ADB SPS Category A for environment).                                                                                                                                                                        |                                                                      | Being complied, the proposed components of subproject are proposed in already developed area and all impacts are predictable and mitigation measures are part of project design |
|                        | Comply with all requirements of ADB SPS 2009 and follow procedures set in this environmental assessment and review framework (EARF)                                                                                                                                                                                        |                                                                      | Being complied                                                                                                                                                                  |
|                        | Comply with relevant national, and local laws, rules and regulations regarding EIA, environmental protection, pollution prevention (water, air, noise, solid waste, etc.) wildlife protection, core labor standards, physical cultural resources, health and safety, and other laws in specific sectors as indicated below |                                                                      | Being complied                                                                                                                                                                  |
|                        | Does not include and/or involve any activities listed in ADB's Prohibited Investment Activities List <sup>35</sup>                                                                                                                                                                                                         |                                                                      | Being complied                                                                                                                                                                  |
|                        | Reflect inputs from public consultations                                                                                                                                                                                                                                                                                   | Refer to ADB SPS requirements on meaning consultations <sup>36</sup> | Being complied                                                                                                                                                                  |
| Location               | Avoid involuntary resettlement by prioritizing rehabilitation over new construction using vacant government land where possible, and taking all                                                                                                                                                                            | If cannot be avoided, prepare Resettlement Plan.                     | Being complied                                                                                                                                                                  |

<sup>35</sup>ADB SPS Appendix 5

<sup>36</sup>Per ADB SPS, meaningful consultation is defined as “a process that (i) begins early in the project preparation stage and is carried out on an ongoing basis throughout the project cycle; (ii) provides timely disclosure of relevant and adequate information that is understandable and readily accessible to affected people; (iii) is undertaken in an atmosphere free of intimidation or coercion; (iv) is gender inclusive and responsive, and tailored to the needs of disadvantaged and vulnerable groups; and (v) enables the incorporation of all relevant views of affected people and other stakeholders into decision making, such as project design, mitigation measures, the sharing of development benefits and opportunities, and implementation issues”



|                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                      |                |
|-----------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
|                             | possible measures in design and selection of site or alignment to avoid resettlement impacts                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                      |                |
|                             | Avoid or minimize the cutting of trees                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | If tree is to be cut, consider 1:3 replacement                                                                                                                                                                                                                                                                                       | Being complied |
| Biodiversity                | <p>Avoid locating subprojects in critical habitats, such as, but not limited to, wildlife/bird sanctuaries, national parks, tiger reserves, elephant reserves, conservation reserves or core zone of biosphere reserves. Appendix 1 provides preliminary analysis using the International Biodiversity Assessment Tool (IBAT) key biodiversity areas, protected areas, IUCN red list species and likelihood of critical habitats per town.</p> <p>Should not directly affect environmentally protected areas, core zones of biosphere reserves and highly valued habitat</p> | If criteria is not met, this is potential for Category A therefore alternate location should be considered. A Biodiversity Expert shall assess and confirm critical habitat qualification. Appendix 2 (of EARF) provides a Biodiversity Assessment Report prepared for a sample subproject (Abu Road water and sewerage subproject). | Being complied |
|                             | If work is proposed with the aim of improving the conservation or management of designated subproject sites (e.g. improved drainage), this must only be undertaken: (i) after a comprehensive study and development of management plans and criteria; and (ii) with the direct involvement and approval of national and local bodies responsible for the subproject site.                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                      | Being complied |
| Physical Cultural Resources | Should not result in the destruction/damage of or encroachment onto physical cultural resources (PCR) <sup>37</sup> such as                                                                                                                                                                                                                                                                                                                                                                                                                                                  | If town has potential PCR, conduct Heritage Impact Assessment (HIA). EARF provides a sample HIA prepared for a sample subproject (Khetri                                                                                                                                                                                             | Being complied |

<sup>37</sup> Physical cultural resources as defined as “movable or immovable objects, sites, structures, groups of structures, and natural features and landscapes that have archaeological, paleontological, historical, architectural, religious, aesthetic, or other cultural significance. Physical cultural resources may be located in urban or rural settings and may be above or below ground or under

|                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                |                |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
|                                                                                                                                                                      | archaeological monuments; heritage sites and movable or immovable objects, sites, structures, group of structures, and natural features and landscapes that have archaeological, paleontological, historical, architectural, religious, aesthetic or other cultural significance.                   | water and sewerage subproject).<br><br>For legally protected PCRs: (i) if location is within 300m of notified PCRs such as protected monuments/sites and there is no alternative, permissions from the ASI or State Department of Archaeology shall to be obtained prior to finalization of detailed engineering design.                       |                |
| Existing Facilities to be rehabilitated or expanded                                                                                                                  | Conduct environmental audit of existing facilities <sup>38</sup> per ADB SPS                                                                                                                                                                                                                        | For non-compliances, provide corrective action for each area of concern including cost and schedule to be included in the subproject EMP.                                                                                                                                                                                                      | Being complied |
| Associated Facilities <sup>39</sup>                                                                                                                                  | Analyse environmental impacts and risks to be included in the IEE                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                | Being complied |
| Asbestos-containing materials (ACM) including, but not limited to, pipes, roofing, ceilings, insulation materials, excess pipes stored in PHED campuses, walls, etc. | Avoid handling or removing any ACM.<br><br>Ensure asbestos concrete (AC) pipes facilities containing asbestos will not be disturbed and left in-situ.                                                                                                                                               | If ACM is suspected, asbestos verification by a competent expert is required and an asbestos management plan (AMP) prepared. EARF provides a sample AMP prepared for a sample subproject (Sardarshahar water and sewerage subproject).<br><br>RUDSICO shall include AMP in all contracts.<br><br>Contractor should be certified to handle ACM. | Being complied |
|                                                                                                                                                                      | When designing subproject infrastructure that involves excavation in urban areas the relevant authorities must be consulted to ascertain the location of any ACM prior to any subproject activity. Locations of new infrastructure must then be designed to avoid excavating or disturbing any ACM. |                                                                                                                                                                                                                                                                                                                                                | Being complied |
| Right-of-way                                                                                                                                                         | Locate water supply pipelines within the right of                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                | Being complied |

water. Their cultural interest may be at the local, provincial, national, or international level.”

<sup>38</sup> ADB SPS Appendix 4 para 12 on Existing Facilities

<sup>39</sup> ADB SPS Appendix 1 para 6 defines associated facilities as “not funded as part of the project (funding may be provided separately by the borrower/client or by third parties), and whose viability and existence depend exclusively on the project and whose goods or services are essential for successful operation of the project”

|               |                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                |
|---------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
|               | way (ROW) of other linear structures (roads, irrigation canals) as far as possible, to reduce new land acquisition.                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                |
|               | Ensure that pipelines ROW do not require land acquisition from individual farmers that is a significant proportion of their total land holding (>10%).                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Being complied |
| Sewage System |                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                |
| Location      | As far as possible locate new Sewage Treatment Plants (STP) should be at least 500m away from any inhabited areas, in locations where no urban expansion is expected in the next 20 years, thus establishing a buffer to reduce effects of odor, visual appearance or other nuisance of the site (this may be reviewed depending on the technology adopted for the treatment of effluent). | In case of non-availability of suitable sites due to land and technical design constraints in already developed areas, where 500 m buffer is not available, following procedures shall be adopted and documented in order to finalize sites for implementation of project: (i) conduct alternate site analysis, justify the selected site; (ii) develop odor mitigation measures to prevent and control odor/air emissions – design measures, and operational practices that are feasible and practical in local conditions and include in IEE; (iii) develop layout plan with maximum buffer to nearby houses; (iv) provide a peripheral green buffer (at least three rows of trees within the STP compound); and (v) public information – consult local community, inform about the need, process adopted to select sites, its suitability, and measures adopted for odor prevention and control | Being complied |
|               | As far as possible locate Sewage Pumping Stations (SPS) and wet wells should be at least 100m away from any inhabited areas and from sites such as hospitals, schools, temples, etc. to minimize nuisance impacts from odor, rodents, etc.                                                                                                                                                 | In case of non-availability of suitable sites due to land and technical design constraints in already developed areas, where 100 m buffer is not available, following procedures shall be adopted and documented in order to finalize sites for implementation of project: (i) conduct alternate site analysis, justify the selected site; (ii) develop odor mitigation measures to prevent and control odor/air emissions – design measures, and operational practices that are feasible and practical in local conditions and                                                                                                                                                                                                                                                                                                                                                                    | Being complied |

|               |                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                |                |
|---------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
|               |                                                                                                                                                                                                    | include in IEE; (iii) develop layout plan with maximum buffer to nearby houses; (iv) provide a peripheral green buffer (at least three rows of trees within the pumping station compound); and (v) public information – consult local community, inform about the need, process adopted to select sites, its suitability, and measures adopted for odor prevention and control |                |
|               | Locate STP at sites where there is no risk of flooding or other hazards that might impair function of the STP or present a risk of damage to the STP or the surrounding area                       |                                                                                                                                                                                                                                                                                                                                                                                | Being complied |
| Quality       | Ensure that sewage is treated at all times to national wastewater discharge standards and confirm this by regular monitoring of effluent from the STP.                                             |                                                                                                                                                                                                                                                                                                                                                                                | Being complied |
| Treated water | Ensure that no wastewater is discharged into a water course in which it could be a hazard to downstream users (e.g. a waterway that is used as a source of water for domestic or municipal supply) | Reuse of treated effluent and sludge for beneficial uses will be encouraged in the project. During final design options and method of reuse will be developed and updated in IEE.                                                                                                                                                                                              | Being complied |
| Sludge        | Include measures to ensure the safe disposal of sewage sludge and if possible, to promote its safe and beneficial use as an agricultural fertilizer <sup>5</sup>                                   | Reuse of treated effluent and sludge for beneficial uses will be encouraged in the project. During final design options and method of reuse will be developed and updated in IEE.                                                                                                                                                                                              | Being complied |
| Right-of-way  | Locate sewage pipelines within the right of way (ROW) of other linear structures (e.g. roads) wherever feasible, to reduce new land acquisition.                                                   |                                                                                                                                                                                                                                                                                                                                                                                | Being complied |
|               | Ensure that routes of sewage mains do not require land acquisition from individual farmers that is a significant proportion of their total land holding (10%)                                      |                                                                                                                                                                                                                                                                                                                                                                                | Being complied |

## Appendix 8: Extract from Construction and Demolition Management Rules, 2016

[Published In the Gazette of India, Part-II, Section-3, Sub-section (ii)]  
Ministry of Environment, Forest and Climate Change

### NOTIFICATION

New Delhi, the 29<sup>th</sup> March, 2016

**G.S.R. 317(E).**—Whereas the Municipal Solid Wastes (Management and Handling) Rules, 2000 published vide notification number S.O. 908(E), dated the 25<sup>th</sup> September, 2000 by the Government of India in the erstwhile Ministry of Environment and Forests, provided a regulatory frame work for management of Municipal Solid Waste generated in the urban area of the country;

And whereas, to make these rules more effective and to improve the collection, segregation, recycling, treatment and disposal of solid waste in an environmentally sound manner, the Central Government reviewed the existing rules and it was considered necessary to revise the existing rules with a emphasis on the roles and accountability of waste generators and various stakeholders, give thrust to segregation, recovery, reuse, recycle at source, address in detail the management of construction and demolition waste.

And whereas, the draft rules, namely, the Solid Waste Management Rules, 2015 with a separate chapter on construction and demolition waste were published by the Central Government in the Ministry of Environment, Forest and Climate Change vide G.S.R. 451 (E), dated the 3<sup>rd</sup> June, 2015 inviting objections or suggestions from the public within sixty days from the date of publication of the said notification;

And Whereas, the objections or suggestions received within the stipulated period were duly considered by the Central Government;

Now, therefore, in exercise of the powers conferred by sections 6, 25 of the Environment (Protection) Act, 1986 (29 of 1986), and in supersession of the Municipal Solid Wastes (Management and Handling) Rules, 2000, except as respect things done or omitted to be done before such supersession, the Central Government hereby notifies the following rules for Management of Construction and Demolition Waste –

**1. Short title and commencement.**—(1) These rules shall be called the Construction and Demolition Waste Management Rules, 2016.

(2) They shall come into force on the date of their publication in the Official Gazette.

**2. Application.**—The rules shall apply to every waste resulting from construction, re-modeling, repair and demolition of any civil structure of individual or organisation or authority who generates construction and demolition waste such as building materials, debris, rubble.

**3. Definitions** —(1) In these rules, unless the context otherwise requires,–

(a) "ACT" means the Environment ( Protection) Act, 1986 (29 of 1986);

(b) "**construction**" means the process of erecting of building or built facility or other structure, or

building of infrastructure including alteration in these entities,;

- (c) **"construction and demolition waste"** means the waste comprising of building materials, debris and rubble resulting from construction, re-modeling, repair and demolition of any civil structure;
  - (d) **"de-construction"** means a planned selective demolition in which salvage, re-use and recycling of the demolished structure is maximized;
  - (e) **"demolition"** means breaking down or tearing down buildings and other structures either manually or using mechanical force (by various equipment) or by implosion using explosives.
  - (f) **"form"** means a Form annexed to these rules;
  - (g) **"local authority"** means an urban local authority with different nomenclature such as municipal corporation, municipality, nagarpalika, nagarnigam, nagarpanchayat, municipal council including notified area committee and not limited to or any other local authority constituted under the relevant statutes such as gram panchayat, where the management of construction and demolition waste is entrusted to such agency;
  - (h) **" schedule"** means a schedule annexed to these rules;
  - (i) **"service provider"** means authorities who provide services like water, sewerage, electricity, telephone, roads, drainage etc. often generate construction and demolition waste during their activities, which includes excavation, demolition and civil work;
  - (j) **"waste generator"** means any person or association of persons or institution, residential and commercial establishments including Indian Railways, Airport, Port and Harbour and Defence establishments who undertakes construction of or demolition of any civil structure which generate construction and demolition waste.
- (2) Words and expressions used but not defined herein shall have the same meaning defined in the ACT.

**(4) Duties of the waste generator -**

- (1) Every waste generator shall prima-facie be responsible for collection, segregation of concrete, soil and others and storage of construction and demolition waste generated, as directed or notified by the concerned local authority in consonance with these rules.
- (2) The generator shall ensure that other waste (such as solid waste) does not get mixed with this waste and is stored and disposed separately.
- (3) Waste generators who generate more than 20 tons or more in one day or 300 tons per project in a month shall segregate the waste into four streams such as concrete, soil, steel, wood and plastics, bricks and mortar and shall submit waste management plan and get appropriate approvals from the local authority before starting construction or demolition or remodeling work and keep the concerned

authorities informed regarding the relevant activities from the planning stage to the implementation stage and this should be on project to project basis.

(4) Every waste generator shall keep the construction and demolition waste within the premise or get the waste deposited at collection centre so made by the local body or handover it to the authorised processing facilities of construction and demolition waste; and ensure that there is no littering or deposition of construction and demolition waste so as to prevent obstruction to the traffic or the public or drains.

(5) Every waste generator shall pay relevant charges for collection, transportation, processing and disposal as notified by the concerned authorities; Waste generators who generate more than 20 tons or more in one day or 300 tons per project in a month shall have to pay for the processing and disposal of construction and demolition waste generated by them, apart from the payment for storage, collection and transportation. The rate shall be fixed by the concerned local authority or any other authority designated by the State Government.

**(5) Duties of service provider and their contractors -**

(1) The service providers shall prepare within six months from the date of notification of these rules, a comprehensive waste management plan covering segregation, storage, collection, reuse, recycling, transportation and disposal of construction and demolition waste generated within their jurisdiction.

(2) The service providers shall remove all construction and demolition waste and clean the area every day, if possible, or depending upon the duration of the work, the quantity and type of waste generated, appropriate storage and collection, a reasonable timeframe shall be worked out in consultation with the concerned local authority.

(3) In case of the service providers have no logistics support to carry out the work specified in sub-rules (1) and (2) , they shall tie up with the authorised agencies for removal of construction and demolition waste and pay the relevant charges as notified by the local authority.

**(6) Duties of local authority-**The local authority shall,-

(1) issue detailed directions with regard to proper management of construction and demolition waste within its jurisdiction in accordance with the provisions of these rules and the local authority shall seek detailed plan or undertaking as applicable, from generator of construction and demolition waste;

(2) chalk out stages, methodology and equipment, material involved in the overall activity and final clean up after completion of the construction and demolition ;

(3c) seek assistance from concerned authorities for safe disposal of construction and demolition waste contaminated with industrial hazardous or toxic material or nuclear waste if any;

(4) shall make arrangements and place appropriate containers for collection of waste and shall remove at regular intervals or when they are filled, either through own resources or by appointing private operators;

- (5) shall get the collected waste transported to appropriate sites for processing and disposal either through own resources or by appointing private operators;
- (6) shall give appropriate incentives to generator for salvaging, processing and or recycling preferably in-situ;
- (7) shall examine and sanction the waste management plan of the generators within a period of one month or from the date of approval of building plan, whichever is earlier from the date of its submission;
- (8) shall keep track of the generation of construction and demolition waste within its jurisdiction and establish a data base and update once in a year;
- (9) shall devise appropriate measures in consultation with expert institutions for management of construction and demolition waste generated including processing facility and for using the recycled products in the best possible manner;
- (10) shall create a sustained system of information, education and communication for construction and demolition waste through collaboration with expert institutions and civil societies and also disseminate through their own website;
- (11) shall make provision for giving incentives for use of material made out of construction and demolition waste in the construction activity including in non-structural concrete, paving blocks, lower layers of road pavements, colony and rural roads.

**(7) Criteria for storage, processing or recycling facilities for construction and demolition waste and application of construction and demolition waste and its products-**

- (1) The site for storage and processing or recycling facilities for construction and demolition waste shall be selected as per the criteria given in **Schedule I**;
- (2) The operator of the facility as specified in sub- rules (1) shall apply in **Form I** for authorization from State Pollution Control Board or Pollution Control Committee.
- (3) The operator of the facility shall submit the annual report to the State Pollution Control Board in **Form II**.
- (3) Application of materials made from construction and demolition waste in operation of sanitary landfill shall be as per the criteria given in **Schedule II**.

**(8) Duties of State Pollution Control Board or Pollution Control Committee-**

- (1) State Pollution Control Board or Pollution Control Committee shall monitor the implementation of these rules by the concerned local bodies and the competent authorities and the annual report shall be sent to the Central Pollution Control Board and the State Government or Union Territory or any other State level nodal agency identified by the State Government or Union Territory administration for generating State level comprehensive data. Such reports shall also contain the comments and suggestions of the State Pollution Control Board or Pollution Control Committee with respect to any comments or changes required;



(2) State Pollution Control Board or Pollution Control Committee shall grant authorization to construction and demolition waste processing facility in **Form-III** as specified under these rules after examining the application received in **Form I**;

(3) State Pollution Control Board or Pollution Control Committee shall prepare annual report in **Form IV** with special emphasis on the implementation status of compliance of these rules and forward report to Central Pollution Control Board before the 31<sup>st</sup> July for each financial year.

**(9) Duties of State Government or Union Territory Administration-**

(1) The Secretary in-charge of development in the State Government or Union territory administration shall prepare their policy document with respect to management of construction and demolition of waste in accordance with the provisions of these rules within one year from date of final notification of these rules.

(2) The concerned department in the State Government dealing with land shall be responsible for providing suitable sites for setting up of the storage, processing and recycling facilities for construction and demolition waste.

(3) The Town and Country planning Department shall incorporate the site in the approved land use plan so that there is no disturbance to the processing facility on a long term basis.

(4) Procurement of materials made from construction and demolition waste shall be made mandatory to a certain percentage (say 10-20%) in municipal and Government contracts subject to strict quality control.

**(10) Duties of the Central Pollution Control Board -** (1) The Central Pollution Control Board shall,-

(a) prepare operational guidelines related to environmental management of construction and demolition waste management;

(b) analyze and collate the data received from the State Pollution Control Boards or Pollution Control Committee to review these rules from time to time;

(c) coordinate with all the State Pollution Control Board and Pollution Control Committees for any matter related to development of environmental standards;

(d) forward annual compliance report to Central Government before the 30<sup>th</sup> August for each financial year based on reports given by State Pollution Control Boards of Pollution Control Committees.

**(11) Duties of Bureau of Indian Standards and Indian Roads Congress** -The Bureau of Indian Standards and Indian Roads Congress shall be responsible for preparation of code of practices and standards for use of recycled materials and products of construction and demolition waste in respect of construction activities and the role of Indian Road Congress shall be specific to the standards and practices pertaining to construction of roads.

## **Appendix 9: Salient Features of Major Labor Laws Applicable to Establishments Engaged in Construction of Civil Works**

- (i) Workmen Compensation Act, 1923 - The Act provides for compensation in case of injury by accident arising out of and during the course of employment.
- (ii) Payment of Gratuity Act, 1972 - Gratuity is payable to an employee under the Act on satisfaction of certain conditions on separation if an employee has completed 5 years' service or more or on death at the rate of 15 days wages for every completed year of service. The Act is applicable to all establishments employing 10 or more employees.
- (iii) Employees' PF and Miscellaneous Provisions Act, 1952 - The Act provides for monthly contributions by the employer plus workers @10 % or 8.33 %.The benefits payable under the Act are: (a) Pension or family pension on retirement or death as the case may be; (b) deposit linked insurance on the death in harness of the worker; (c) payment of PF accumulation on retirement/death etc.
- (iv) Maternity Benefit Act, 1951 - The Act provides for leave and some other benefits to women employees in case of confinement or miscarriage etc.
- (v) Contract Labour (Regulation and Abolition) Act, 1970 - The Act provides for certain welfare measures to be provided by the Contractor to contract labor and in case the Contractor fails to provide, the same are required to be provided by the Principal Employer by Law.The principal employer is required to take Certificate of Registration and the Contractor is required to take a License from the designated Officer. The Act is applicable to the establishments or Contractor of principal employer if they employ 20 or more contract labor.
- (vi) Minimum Wages Act, 1948 - The employer is supposed to pay not less than the Minimum Wages fixed by appropriate Government as per provisions of the Act if the employment is a scheduled employment. Construction of Buildings, Roads, Runways are scheduled employment.
- (vii) Payment of Wages Act, 1936 - It lays down as to by what date the wages are to be paid, when it will be paid and what deductions can be made from the wages of the workers.
- (viii) Equal Remuneration Act, 1979 - The Act provides for payment of equal wages for work of equal nature to Male and Female workers and not for making discrimination against Female employees in the matters of transfers, training and promotions etc.
- (ix) Payment of Bonus Act, 1965 - The Act is applicable to all establishments employing 20 or more workmen. The Act provides for payments of annual bonus subject to a minimum of 8.33 % of wages and maximum of 20 % of wages to employees drawing Rs. 3,500/- per month or less. The bonus to be paid to employees getting Rs. 2,500/- per month or above up to Rs.3,500/- per month shall be worked out by taking wages as Rs.2,500/- per month only. The Act does not apply to certain establishments. The newly set up establishments are exempted for five years in certain circumstances. Some of the State Governments have reduced the employment size from 20 to 10 for the purpose of applicability of the Act.
- (x) Industrial Disputes Act, 1947 - The Act lays down the machinery and procedure for resolution of industrial disputes, in what situations a strike or lock-out becomes illegal and what

are the requirements for laying off or retrenching the employees or closing down the establishment.

(xi) Industrial Employment (Standing Orders) Act, 1946 - It is applicable to all establishments employing 100 or more workmen (employment size reduced by some of the States and Central Government to 50). The Act provides for laying down rules governing the conditions of employment by the employer on matters provided in the Act and get the same certified by the designated Authority.

(xii) Trade Unions Act, 1926 - The Act lays down the procedure for registration of trade unions of workmen and employees. The trade unions registered under the Act have been given certain immunities from civil and criminal liabilities.

(xiii) Child Labor (Prohibition and Regulation) Act, 1986 - The Act prohibits employment of children below 14 years of age in certain occupations and processes and provides for regulation of employment of children in all other occupations and processes. Employment of child labor is prohibited in Building and Construction Industry.

(xiv) Inter-State Migrant Workmen's (Regulation of Employment and Conditions of Service) Act, 1979 - The Act is applicable to an establishment which employs 5 or more inter-state migrant workmen through an intermediary (who has recruited workmen in one state for employment in the establishment situated in another state). The inter-state migrant workmen, in an establishment to which this Act becomes applicable, are required to be provided certain facilities such as housing, medical aid, traveling expenses from home up to the establishment and back, etc

(xv) **Construction and Demolition Waste Management Rules 2016-** This Rule stipulate that-

- Every waste generator shall segregate construction and demolition waste and deposit at collection centre or handover it to the authorized processing facilities
- Shall ensure that there is no littering or deposition so as to prevent obstruction to the traffic or the public or drains.
- Large generators (who generate more than 20 tons or more in one day or 300 tons per project in a month) shall submit waste management plan and get appropriate approvals from the local authority before starting construction or demolition or remodelling work,
- Large generators shall have environment management plan to address the likely environmental issues from construction, demolition, storage, transportation process and disposal / reuse of C & D Waste.
- Large generators shall segregate the waste into four streams such as concrete, soil, steel, wood and plastics, bricks and mortar,
- Large generators shall pay relevant charges for collection, transportation, processing and disposal as notified by the concerned authorities;

(xvi) **Solid Waste Management Rules 2016-** As per this Rule, responsibility of Solid Waste Generator is as below-

- segregate and store the waste generated in three separate streams namely bio-degradable, non biodegradable and domestic hazardous wastes in suitable bins and handover segregated wastes to authorized waste pickers or waste collectors as per the direction or notification by the local authorities from time to time;

- store separately construction and demolition waste, as and when generated, in his own premises and shall dispose off as per the Construction and Demolition Waste Management Rules, 2016; and
- No waste generator shall throw, burn or bury the solid waste generated by him, on streets, open public spaces outside his premises or in the drain or water bodies.

(xv) The Building and Other Construction Workers (Regulation of Employment and Conditions of Service) Act, 1996 and the Cess Act of 1996 - All the establishments who carry on any building or other construction work and employ 10 or more workers are covered under this Act. All such establishments are required to pay Cess at rate not exceeding 2% of the cost of construction as may be notified by the Government. The employer of the establishment is required to provide safety measures at the building or construction work and other welfare measures, such as canteens, first-aid facilities, ambulance, housing accommodation for workers near the workplace etc. The employer to whom the Act applies has to obtain a registration certificate from the Registering Officer appointed by the Government. Following are the major requirements under this Act, applicable to this project-

**Employer shall-**

- Provide and maintain, at suitable point, sufficient quantity of wholesome drinking water, such point shall be at least 6 meters away from any washing areas, urinals or toilets
- Provide sufficient urinals and latrines at convenient place, easily accessible by workers
- Provide free of charge, temporary living accommodations near to work sites with separate cooking place, bathing and lavatory facilities and restore the site as pre conditions after completing the construction works
- Provide crèche with proper accommodation, ventilation, lighting, cleanliness and sanitation if more than fifty female workers are engaged
- Provide first aid facilities in all construction sites

**For safety of workers employer shall provide-**

- Safe access to site and work place
- Safety in demolition works
- Safety in use of explosives
- Safety in operation of transporting equipments and appoint competent person to drive or operate such vehicles and equipments
- Safety in lifting appliance, hoist and lifting gears
- Adequate and suitable lighting to every work place and approach
- Prevention of inhalation of dust, smoke, fumes, gases during construction works and provide adequate ventilation in work place and confined space
- Safety in material handling and stacking/un stacking
- Safeguarding the machinery with fly-wheel of moving parts
- Safe handling and use of plants operated by compressed air
- Fire safety
- Limit of weight to be lifted by workers individually
- Safety in electric wires, apparatus, tools and equipments
- Provide safety net, safety sheet, safety belts while working at height (more than 1.6 mtrs as per OSHA)
- Providing scaffolding, ladders and stairs, lifting appliances, chains and accessories

where required

- Safety in pile works, concrete works, hot asphalt, tar, insulation, demolition works, excavation, underground construction and handling materials
- Provide and maintain medical facilities for workers
- Any other matters for the safety and health of workers

#### **Appendix 10: Sample Outline Spoil Management Plan**

- (i) The Spoil Management Plan should be site specific and be part of the monthly Construction Management Plan.
- (ii) The contractor, in consultation with the ULB, has to find out appropriate location/s for the disposal of the excess soil generated. The spoils should be deposited only at these sites.
- (iii) Further precautions need to be taken in case of the contaminated spoils.
- (iv) The vehicle carrying the spoil should be covered properly.
- (V) The spoils generating from each site should be removed on the same day or immediately after the work is complete. The site / road should be restored to the original condition.

#### **Spoils information**

The spoil information contains the details like a) The type / material, b) Potential contamination by that type, c) Expected volume (site / component specific), d) Spoil Classification etc.

#### **Spoils management**

The Spoil Management section gives the details of a) Transportation of spoil b) disposal site details c) Precautions taken d) Volume of contaminated spoil, if present, d) Suggested reuse of disposal of the spoil

#### **Documentation**

The volume of spoil generated (site specific, date wise), site disposed, reuse / disposal details should be documented properly.

## **Appendix 11: Sample Outline Traffic Management Plan**

### **A. Principles for TMP around the Water Pipes/Sewer Construction Sites**

1. One of the prime objectives of this TMP is to ensure the safety of all the road users along the work zone, and to address the following issues:
  - (i) the safety of pedestrians, bicyclists, and motorists travelling through the construction zone;
  - (ii) protection of work crews from hazards associated with moving traffic;
  - (iii) mitigation of the adverse impact on road capacity and delays to the road users;
  - (iv) maintenance of access to adjoining properties; and
  - (v) addressing issues that may delay the project.

### **B. Operating Policies for TMP**

2. The following principles will help promote safe and efficient movement for all road users (motorists, bicyclists, and pedestrians, including persons with disabilities) through and around work zones while reasonably protecting workers and equipment.
  - (i) Make traffic safety and temporary traffic control an integral and high-priority element of project from planning through design, construction, and maintenance.
  - (ii) Inhibit traffic movement as little as possible.
  - (iii) Provide clear and positive guidance to drivers, bicyclists, and pedestrians as they approach and travel through the temporary traffic control zone.
  - (iv) Inspect traffic control elements routinely, both day and night, and make modifications when necessary.
  - (v) Pay increased attention to roadside safety in the vicinity of temporary traffic control zones.

- (vi) Train all persons that select, place, and maintain temporary traffic control devices.
- (vii) Keep the public well informed.
- (viii) Make appropriate accommodation for abutting property owners, residents, businesses, emergency services, railroads, commercial vehicles, and transit operations.

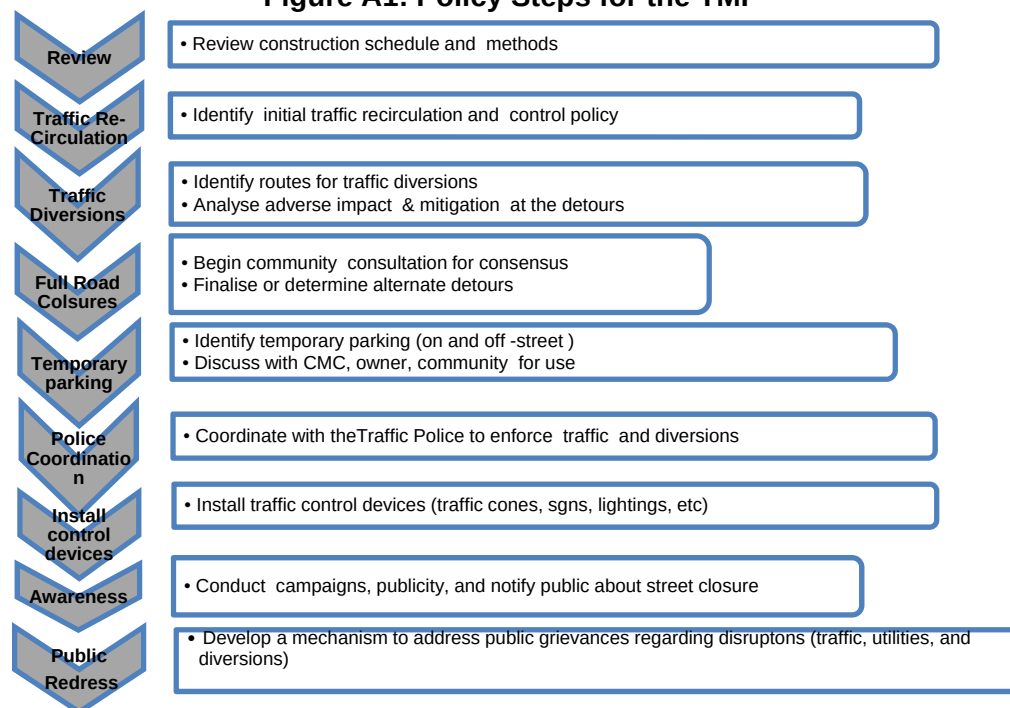
3. **Figure A2 to Figure A12** illustrates the operating policy for TMP for the construction of water pipes and the sewers along various types of roads.

### **C. Analyze the impact due to street closure**

4. Apart from the capacity analysis, a final decision to close a particular street and divert the traffic should involve the following steps:

- (i) approval from the ULB/Public Works Department (PWD) to use the local streets as detours;
- (ii) consultation with businesses, community members, traffic police, PWD, etc, regarding the mitigation measures necessary at the detours where the road is diverted during the construction;
- (iii) determining of the maximum number of days allowed for road closure, and incorporation of such provisions into the contract documents;
- (iv) determining if additional traffic control or temporary improvements are needed along the detour route;
- (v) considering how access will be provided to the worksite;
- (vi) contacting emergency service, school officials, and transit authorities to determine if there are impacts to their operations; and
- (vii) developing a notification program to the public so that the closure is not a surprise. As part of this program, the public should be advised of alternate routes that commuters can take or will have to take as result of the traffic diversion.

5. If full road-closure of certain streets within the area is not feasible due to inadequate capacity of the detour street or public opposition, the full closure can be restricted to weekends with the construction commencing on Saturday night and ending on Monday morning prior to the morning peak period.

**Figure A1: Policy Steps for the TMP**

#### **D. Public awareness and notifications**

6. As per discussions in the previous sections, there will be travel delays during the constructions, as is the case with most construction projects, albeit on a reduced scale if utilities and traffic management are properly coordinated. There are additional grounds for travel delays in the area, as most of the streets lack sufficient capacity to accommodate additional traffic from diverted traffic as a result of street closures to accommodate the works.

6. The awareness campaign and the prior notification for the public will be a continuous activity which the project will carry out to compensate for the above delays and minimize public claims as result of these problems. These activities will take place sufficiently in advance of the time when the roadblocks or traffic diversions take place at the particular streets. The reason for this is to allow sufficient time for the public and residents to understand the changes to their travel plans. The project will notify the public about the roadblocks and traffic diversion through public notices, ward level meetings and city level meeting with the elected representatives.

7. The PIU will also conduct an awareness campaign to educate the public about the following issues:

- (i) traffic control devices in place at the work zones (signs, traffic cones, barriers, etc.);
- (ii) defensive driving behaviour along the work zones; and
- (iii) reduced speeds enforced at the work zones and traffic diversions.

8. It may be necessary to conduct the awareness programs/campaigns on road safety during construction.

9. The campaign will cater to all types of target groups i.e. children, adults, and drivers. Therefore, these campaigns will be conducted in schools and community centres. In addition, the project will publish a brochure for public information. These brochures will be widely circulated around the area and will also be available at the PIU, and the contractor's site office.



The text of the brochure should be concise to be effective, with a lot of graphics. It will serve the following purpose:

- (i) explain why the brochure was prepared, along with a brief description of the project;
- (ii) advise the public to expect the unexpected;
- (iii) educate the public about the various traffic control devices and safety measures adopted at the work zones;
- (iv) educate the public about the safe road user behaviour to emulate at the work zones;
- (v) tell the public how to stay informed or where to inquire about road safety issues at the work zones (name, telephone, mobile number of the contact person; and
- (vi) indicate the office hours of relevant offices.

#### **E. Install traffic control devices at the work zones and traffic diversion routes**

10. The purpose of installing traffic control devices at the work zones is to delineate these areas to warn, inform, and direct the road users about a hazard ahead, and to protect them as well as the workers. As proper delineation is a key to achieve the above objective, it is important to install good traffic signs at the work zones. The following traffic control devices are used in work zones:

- Signs
- Pavement Markings
- Channelizing Devices
- Arrow Panels
- Warning Lights

11. Procedures for installing traffic control devices at any work zone vary, depending on road configuration, location of the work, construction activity, duration, traffic speed and volume, and pedestrian traffic. Work will take place along major roads, and the minor internal roads. As such, the traffic volume and road geometry vary. The main roads carry considerable traffic; internal roads in the new city areas are wide but in old city roads very narrow and carry considerable traffic. However, regardless of where the construction takes place, all the work zones should be cordoned off, and traffic shifted away at least with traffic cones, barricades, and temporary signs (temporary “STOP” and “GO”).

12. **Figure A2 to Figure A12** illustrates a typical set-up for installing traffic control devices at the work zone of the area, depending on the location of work on the road way, and road geometrics:

- Work on shoulder or parking lane
- Shoulder or parking lane closed on divided road
- Work in Travel lane
- Lane closure on road with low volume
- Lane closure on a two-line road with low volume (with yield sign)
- Lane closure on a two-line road with low volume (one flagger operation)
- Lane closure on a two lane road (two flagger operation)
- Lane closure on a four lane undivided Road
- Lane closure on divided roadway
- Half road closure on multi-lane roadway
- Street closure with detour

13. The work zone should take into consideration the space required for a buffer zone between the workers and the traffic (lateral and longitudinal) and the transition space required for delineation, as applicable. For the works, a 30 cm clearance between the traffic and the

temporary STOP and GO signs should be provided. In addition, at least 60 cm is necessary to install the temporary traffic signs and cones.

14. Traffic police should regulate traffic away from the work zone and enforce the traffic diversion result from full street closure in certain areas during construction. Flaggers/ personnel should be equipped with reflective jackets at all times and have traffic control batons (preferably the LED type) for regulating the traffic during night time.

16. In addition to the delineation devices, all the construction workers should wear fluorescent safety vests and helmets in order to be visible to the motorists at all times. There should be provision for lighting beacons and illumination for night constructions.

**Figure A2 & A3: Work on shoulder or parking lane & Shoulder or parking lane closed on divided road**

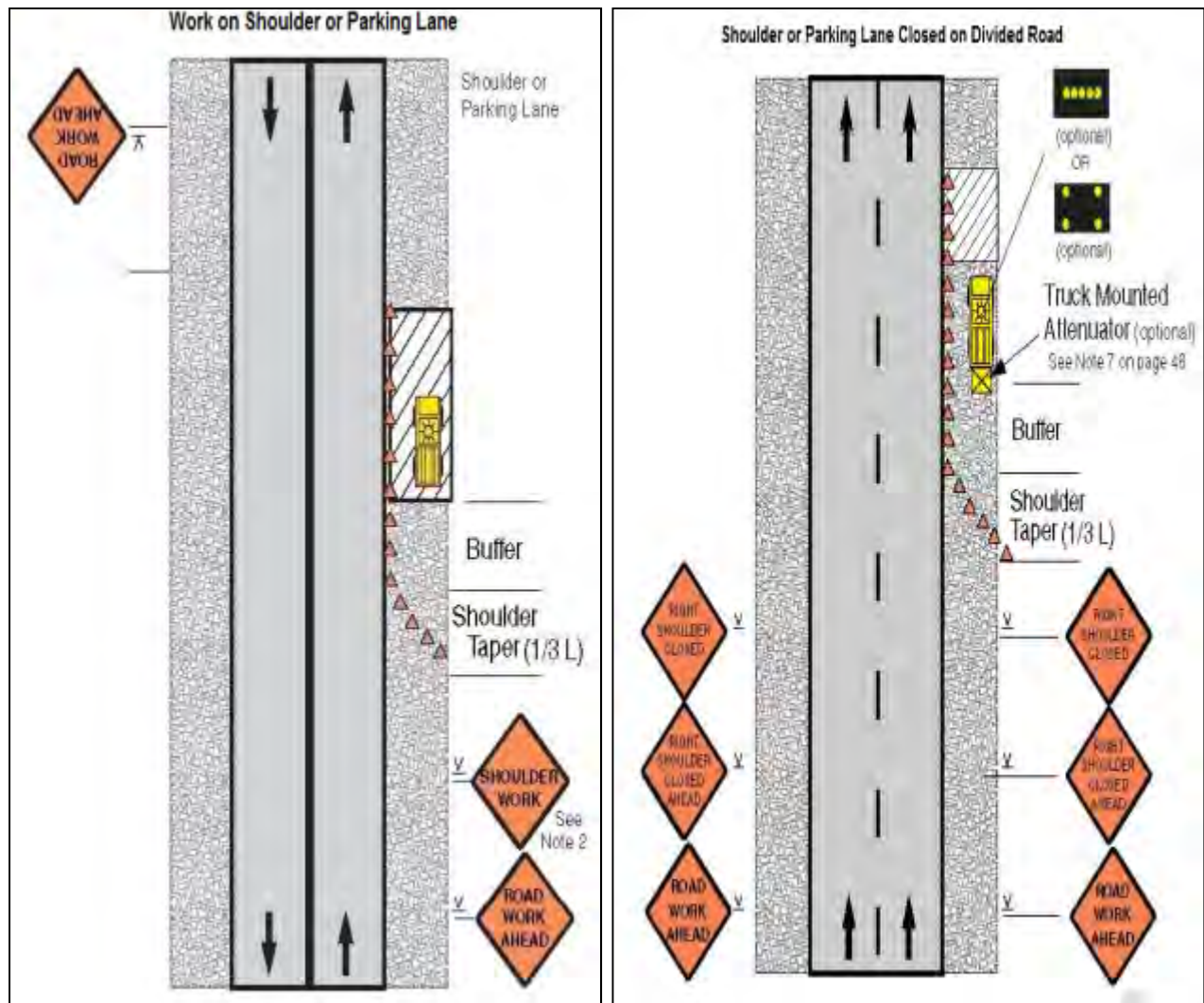
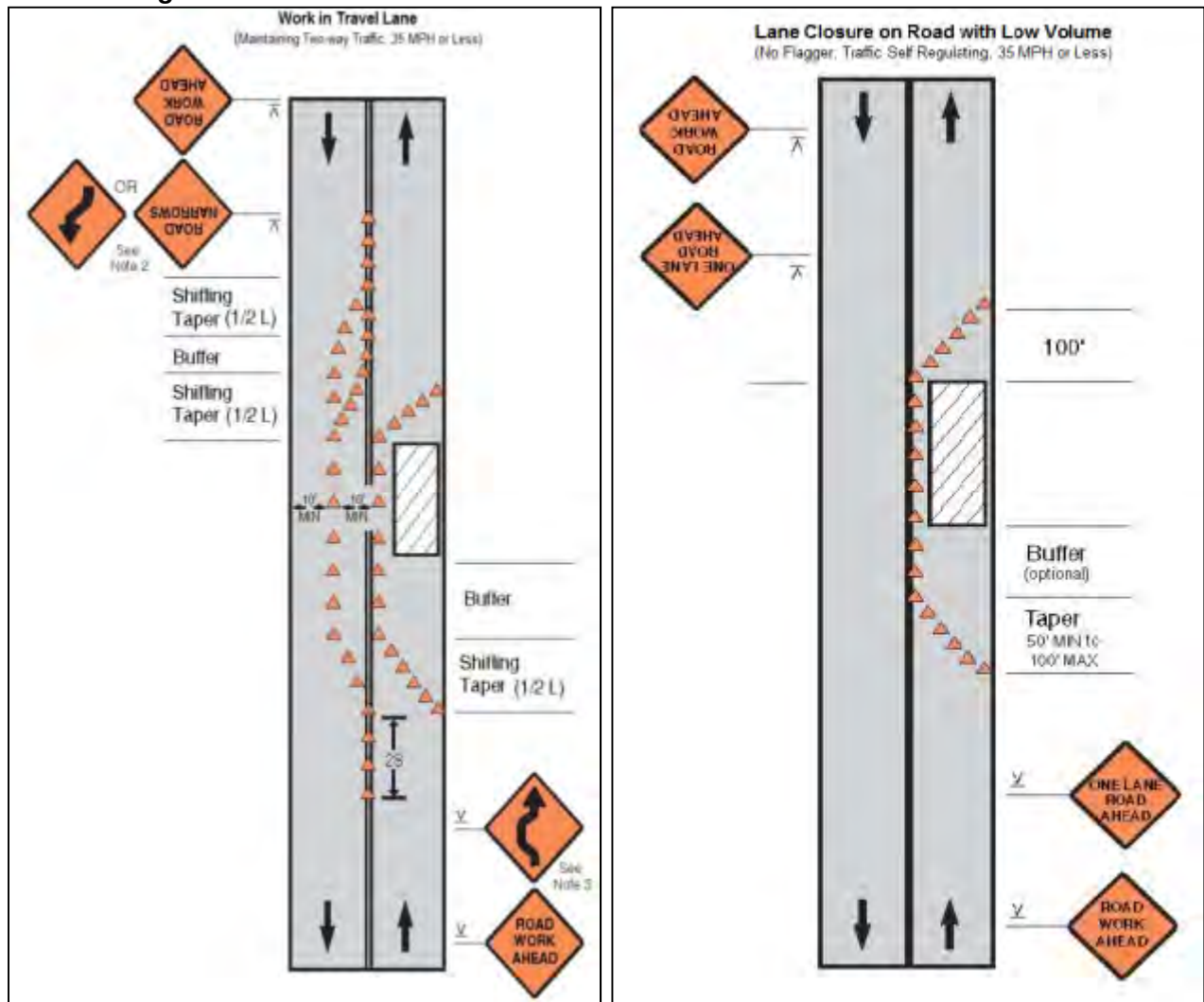
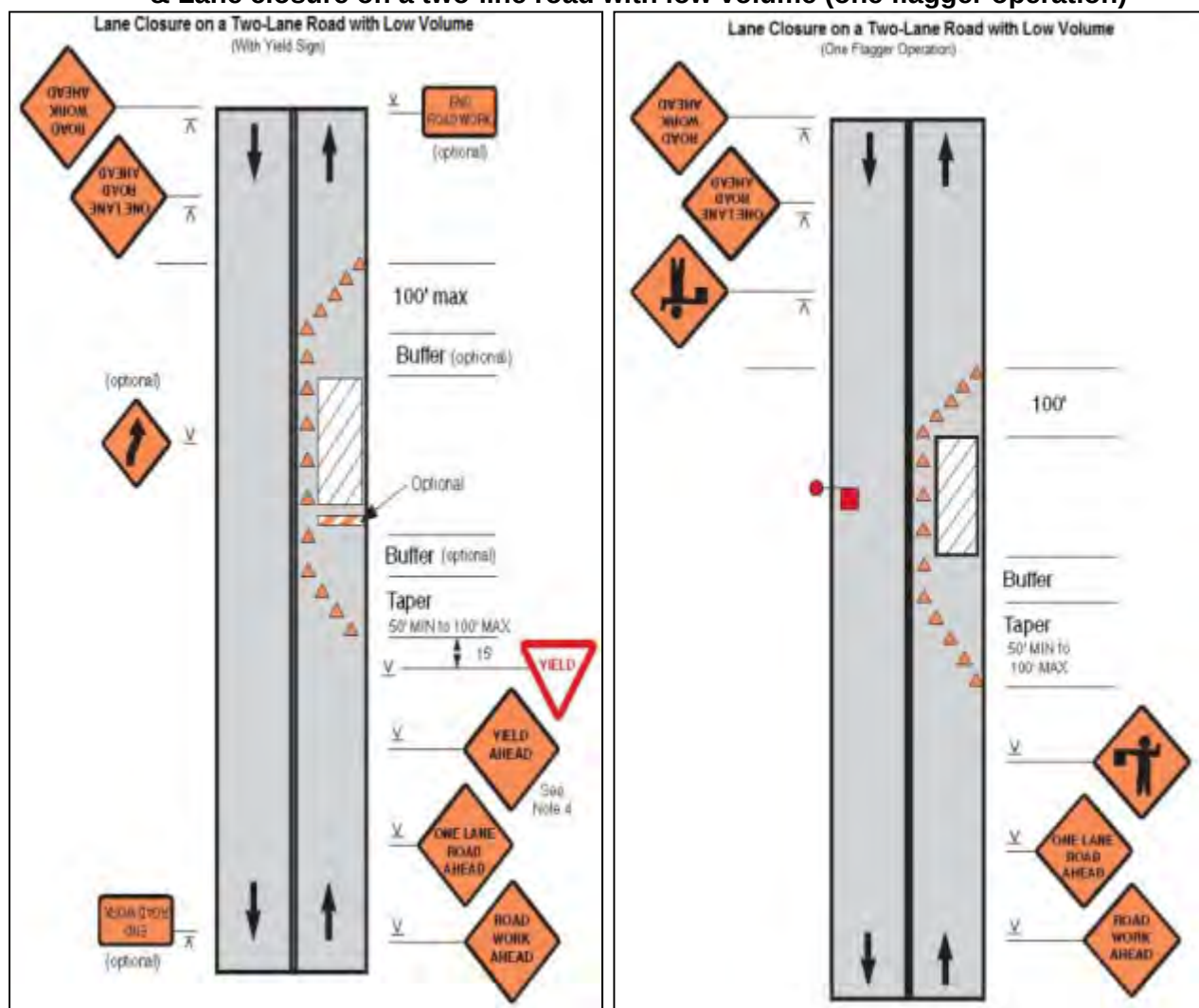


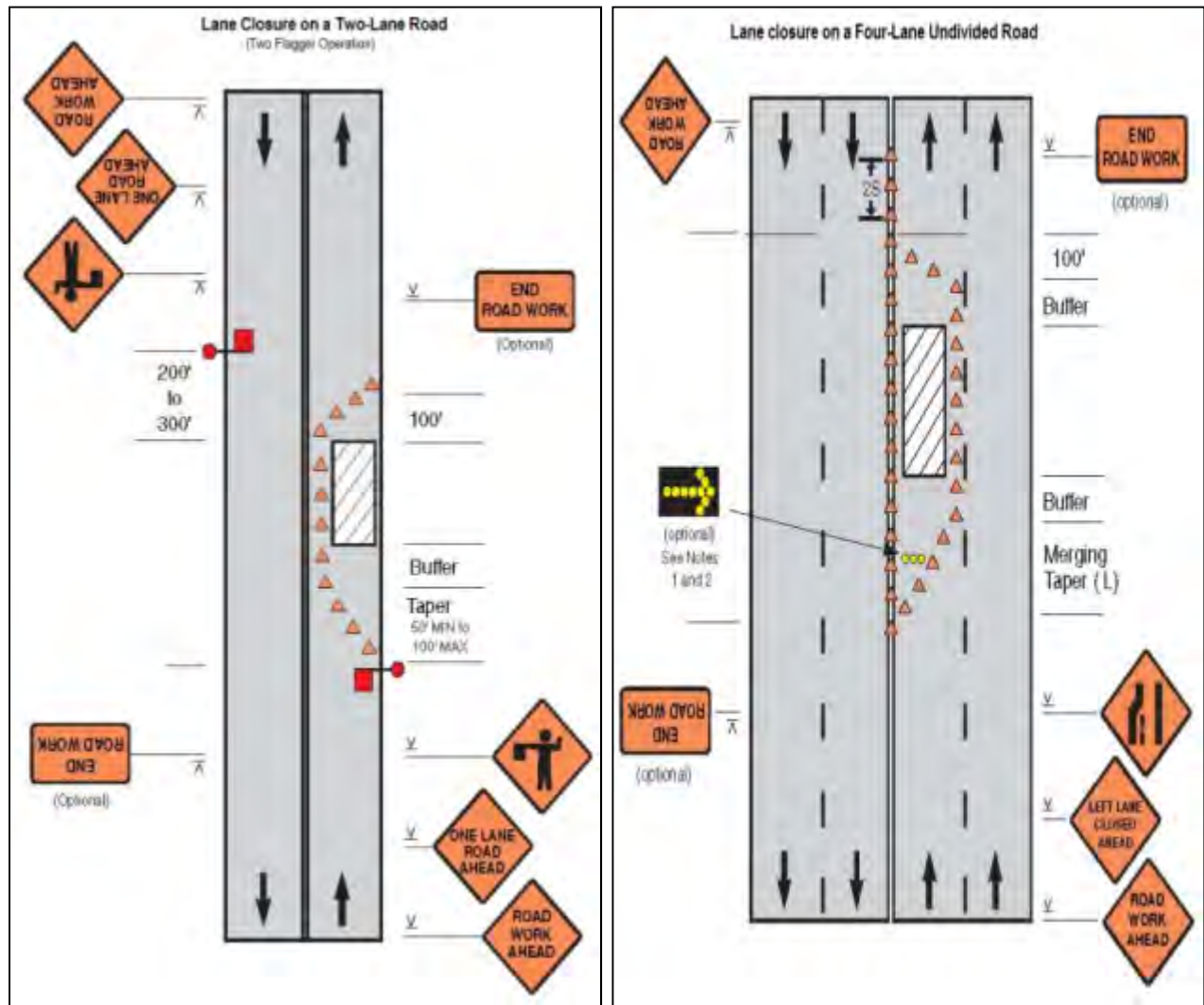
Figure A4 &amp; A5: Work in Travel lane &amp; Lane closure on road with low volume



**Figure A6 & A7: Lane closure on a two-line road with low volume (with yield sign)  
& Lane closure on a two-line road with low volume (one flagger operation)**



**Figure A8 & A9: Lane Closure on a Two-Lane Road (Two Flagger Operation) & Lane Closure on a Four-Lane Undivided Road**





**Figure A10 & A11: Lane Closure On Divided Roadway & Half Road Closure On Multi-Lane Roadway**

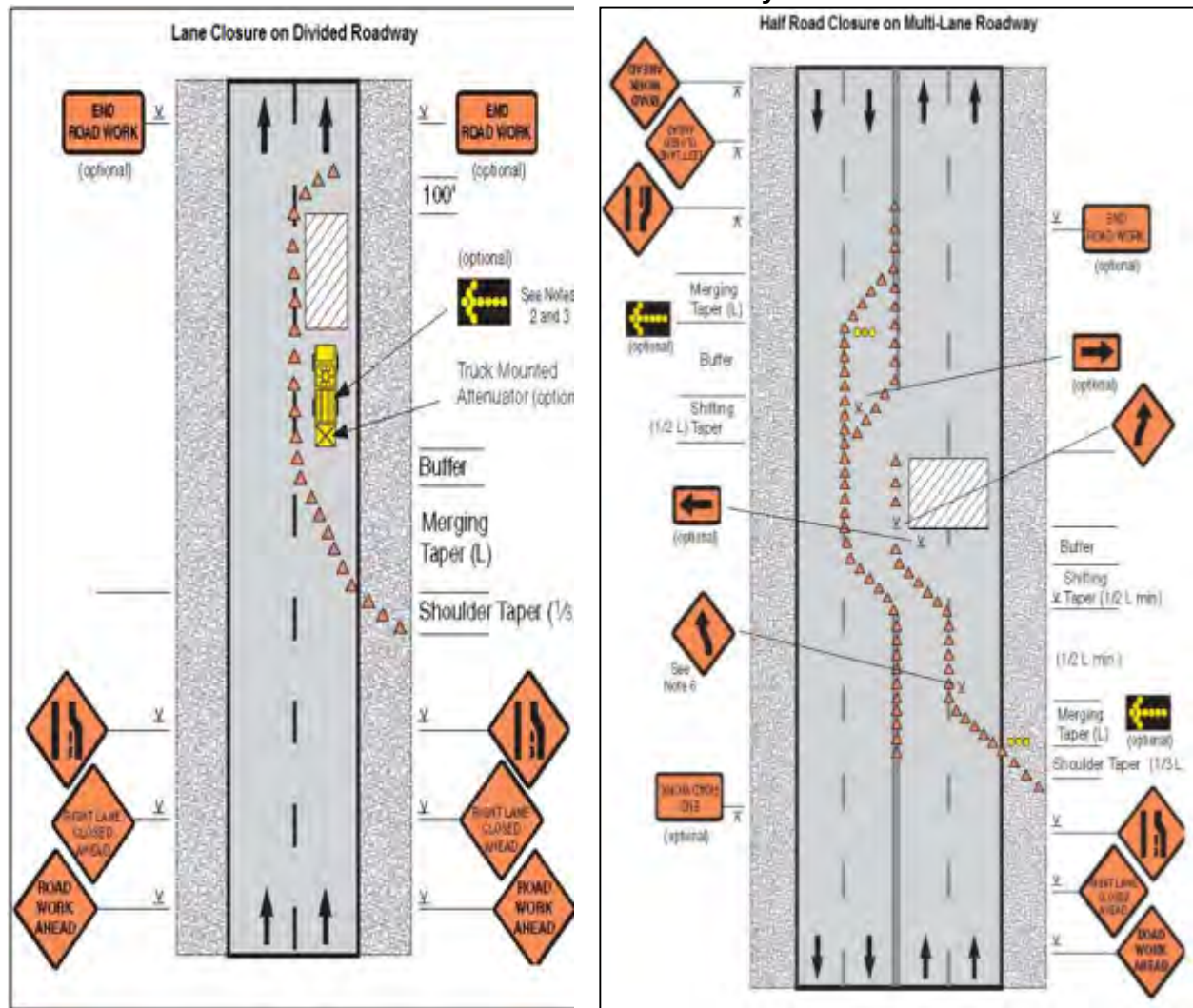
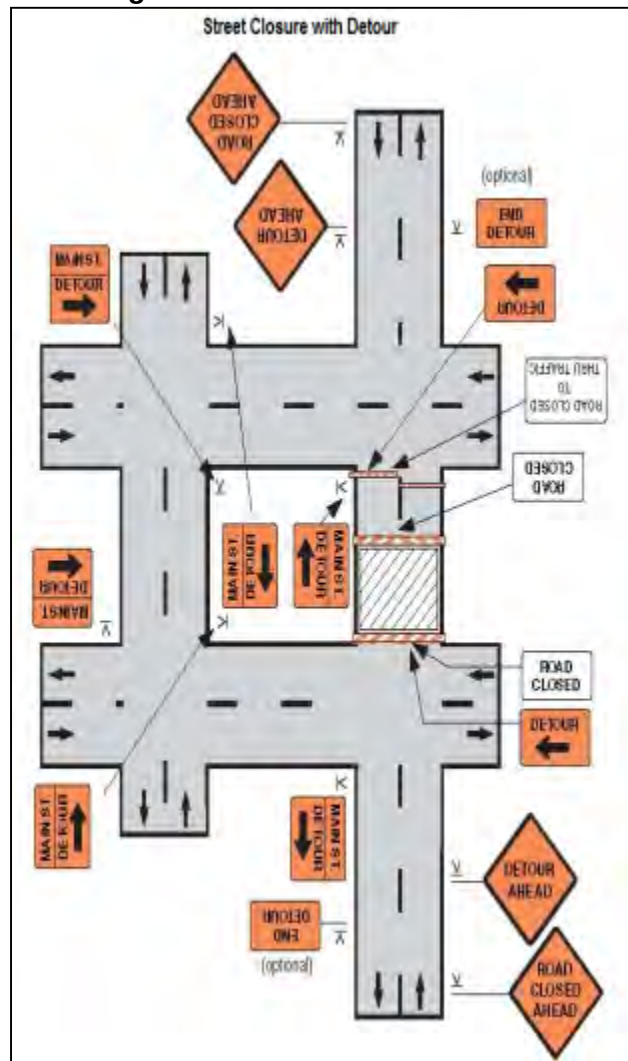


Figure A12: Street closure with detour



## Appendix 12: Consultations Conducted During Project Preparation Stages

### A. Consultation with stakeholders during preliminary design phase

| Date       | Name of Persons                                | Location                        | Topic Discussed                                                                                       | Outcome                                                                                                                                                  | Photos                                                                               |
|------------|------------------------------------------------|---------------------------------|-------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|
| 03.02.2018 | Assistant Engineer, Technician, Helper         | Nagar Palika                    | Proposed, Sewerage Work. GRC Mechanism for resolving Grievances. Land Availability of proposed works. | It was informed that sufficient vacant land are available for the said works and land are under the jurisdiction of Nagar Palika                         |   |
| 04.02.2018 | Pramod, Pradeep Saini, Bhudev Kumar            | Near Churu Bus Stand            |                                                                                                       | Public was not fully aware about the project. After knowing the details, they given their assurance for support for the smooth execution of the project. |   |
|            | Banwari Lal, Sunder Lal, Kesrichand, Ghasi Ram | Sardarpura Road & Raghunathpura | Proposed, Sewerage Work. GRC Mechanism for resolving Grievances                                       | Public was not fully aware about the project. After knowing the details, they given their assurance for support for the smooth execution of the project. |  |

### B. Public Consultation during Social and Environmental Impact Assessment on 11.8.2018

Consultation with local public as well as shop owners was held and information disseminated about project work, Need and advantage of works was discussed and request for support for smooth execution of sewer works. Focus group discussion and small group meeting with women group was held and they are ready to cooperate for smooth execution of works. Health and Hygiene issues were also discussed. Outcome of the consultations are summarized below:

1. The initial impact assessment study of the sub project indicate that sewerage network are proposed within the existing available RoW of government roads<sup>1</sup>, hence no structure and

<sup>1</sup> PWD/ULB has ownership of all the roads.



CPRs<sup>2</sup> losses and relocation is involved; 1 nos of STP and 3 nos of SPS will be constructed in the vacant Municipal Land, while sewerage network are proposed within the existing available RoW of government roads. During transect walk, few of the vendors (8 to 10 nos) were identified near Stand, Sardarpura Road and Raghunathpura that could be easily avoided/mitigated through a town specific mitigation plan (road width being sufficient), hence there will be IR impacts envisaged under this subproject.

2. Sewer works in main commercial areas already laid under UIDSSMT project. Since the density of housing is very less and the houses are spread out, the possibility of temporary impacts of access disruptions to houses during pipe laying and house connections are also very remote. To further minimize construction impacts, work will be executed during the early hours of the day in order to avoid inconveniences to the public as well as traders.

3. The field visit was followed by discussions with relevant government officials and it is confirmed that there will be no activities on any private land and pipe laying activities in narrow road can be scheduled in non-peak (holidays/ night work/ trenchless work etc) hours after due consent/consultation with public and thus temporary impacts could be mitigated easily/promptly.

4. Public consultations revealed that there were no environmentally sensitive area present in the subproject areas and execution of work will have only positive impact on overall environmental and social conditions with improved health and economic situations after removal of unhealthy and unhygienic conditions.

5. Accordingly after taking in consideration of finding of field visit, public consultation and stakeholder consultations and after initial screening this is to confirm that the subproject could be categorized under Category "B" as per ADB subproject screening criteria. However, the impacts of subproject should be re-assessed before start of civil work after SIP, in light of final alignment and scope of work.

6. Being a DBO contract, it is further recommended that transect walk data/analysis should be reconfirmed/ updated road wise where pipelines will be laid before start of civil work in light of final scope of work and alignment by contractor (after SIP) and if required (in case of impacts are envisaged) an updated IEE will be submitted to the ADB for approval

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<sup>2</sup> Common property resources include public resources, community-owned facilities or cultural property such as temples, shrines, public utility posts, etc, that the landless poor and vulnerable depend on for survival

**Fatehpur Town : Consultations during Preparation of IEE**

| S.No | Date       | Participants                                                                                         | Location                            | Topic Discussed                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Outcome                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
|------|------------|------------------------------------------------------------------------------------------------------|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1    | 11.08.2018 | Local residents-<br>Mr. Iqbal,<br>Sachin Saini,<br>Mukesh Swami,<br>Mohidin, Harun,<br>Prabhu Sarraf | Ward no-38,<br>Luharo Ka<br>Mohalla | <p>Awareness of the project-<br/>including Project<br/>Coverage area,</p> <p>Present condition of<br/>Sewerage</p> <p>In what way they may<br/>associate with the project.</p> <p>Presence of any forest,<br/>wild life or any sensitive/<br/>unique environmental<br/>components nearby the<br/>project</p> <p>Presence of historical/<br/>cultural/ religious sites<br/>nearby.</p> <p>Drainage and sewerage<br/>problem</p> <p>Environmental Health &amp;<br/>Hygiene</p> <p>Present solid waste<br/>collection and disposal<br/>problem</p> <p>Safety of residents during<br/>construction phase and<br/>applying of vehicle for<br/>construction activities</p> <p>Dust and noise pollution<br/>and disturbances during<br/>construction work<br/>Foul smell and vector<br/>borne diseases</p> <p>Setting up worker camp<br/>site within the village/<br/>project locality</p> <p>Willingness to pay for<br/>improved services</p> | <p>People are aware about the<br/>subproject proposed in the town.</p> <p>People are concerned about the<br/>poor sewerage conditions.</p> <p>They want to engage with the<br/>project as a job opportunity and<br/>want to become beneficiaries.</p> <p>There is no such environmental<br/>sensitive components nearby<br/>the project.</p> <p>No historical/ cultural religious<br/>sites nearby the subproject area.</p> <p>Drainage and Sewerage are<br/>major problems in this area.<br/>These should be sort out<br/>immediately.</p> <p>By the proposed project<br/>sewerage in the town,<br/>environmental health and<br/>hygiene condition will be<br/>improved.</p> <p>Solid waste collection facility is<br/>poor in this area.</p> <p>The contractor should take care<br/>of the safety arrangement during<br/>construction phase and should<br/>provide traffic diversion routes to<br/>avoid the vehicle congestion.</p> <p>Contractor should use modern<br/>machinery and water sprinkler to<br/>control dust and noise during<br/>construction phase.</p> <p>People should be made aware<br/>through CAPC and outreach<br/>team of contractor before start of<br/>work in particular area.</p> <p>By the proposed SPS, foul smell<br/>and vector borne disease will be</p> |

| S.No | Date       | Participants                                                                                                                                                   | Location                            | Topic Discussed                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Outcome                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
|------|------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|      |            |                                                                                                                                                                |                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | <p>mitigated/ removed.</p> <p>There is enough space available nearby the project area to establish labour camp.</p> <p>People suggested establishing the worker camp away from the habitation so there is no conflict and disturbances.</p> <p>People are interested to pay for improved services in the town.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| 2    | 11.08.2018 | Local Habitants-<br>Mr. Jairam<br>Mishra, Vishnu<br>Prasad Indoria,<br>Govind Sharma,<br>Amit Kumar<br>Mishra, Yogesh<br>Mishra, ajay<br>Kumar, Pawan<br>Kumar | Ward no-15,<br>Oswalo Ka<br>Mohalaa | <p>Awareness of the project-<br/>including Project<br/>Coverage area,</p> <p>Present condition of<br/>Sewerage in the town.</p> <p>Presence of any forest,<br/>wild life or any sensitive/<br/>unique environmental<br/>components nearby the<br/>project,</p> <p>Presence of historical/<br/>cultural/ religious sites<br/>nearby.</p> <p>Unfavorable climatic<br/>condition</p> <p>Environmental Health and<br/>Hygiene</p> <p>Present solid waste<br/>collection and disposal<br/>problem</p> <p>Availability of Labour<br/>during construction time<br/>Dust and noise pollution<br/>and disturbances during<br/>construction work</p> <p>Safety of residents during<br/>construction phase and<br/>applying of vehicle for<br/>construction activities</p> <p>Requirement of<br/>enhancement of other<br/>facilities</p> | <p>People are aware about the<br/>subproject proposed in the town.</p> <p>People are concerned about the<br/>poor sewerage conditions.<br/>Sewage flow in open drains and<br/>cause nuisance and vector<br/>borne diseases.<br/>There are no such<br/>environmental sensitive<br/>components nearby the project.</p> <p>No historical/ cultural religious<br/>sites nearby the subproject area.</p> <p>Climate is dry except during<br/>monsoon season.</p> <p>Environmental Health and<br/>Hygiene condition is poor in the<br/>town. By the proposed project of<br/>Water supply and sewerage, will<br/>improve the quality of<br/>environment, health &amp;<br/>sanitation.<br/>Solid waste collection facility is<br/>poor in this area.<br/>Sufficient labour is available in<br/>nearby communities. People<br/>requested to engage the local<br/>people during construction<br/>phase.<br/>Contractor should use modern<br/>machinery and water sprinkler to<br/>control dust and noise during<br/>construction phase. All the<br/>measures of environment and<br/>person protection will be strictly<br/>followed. PPE's will be adopted<br/>at site.<br/>The contractor should take care<br/>of the safety arrangement during</p> |

| S.No | Date        | Participants                                                                                                                                                                                                                | Location                 | Topic Discussed                                                                                                                                                                                                                                                | Outcome                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|------|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|      |             |                                                                                                                                                                                                                             |                          | Willingness to pay for improved services                                                                                                                                                                                                                       | <p>construction phase and should provide traffic diversion routes to avoid the vehicle congestion.</p> <p>People should be made aware through CAPC and outreach team of contractor before start of work in particular area.</p> <p>The locals feel that Parks play grounds and Community halls are required in this town and in addition.</p> <p>Peoples are interested to pay for improved services.</p>                                                                                                                                                                                                                                                                                                              |
| 3    | 11 .08.2018 | Local Habitants-<br>Mr. gulam Mohammad,<br>Shadiqali,<br>Shaukat Ali,<br>AnsarChouhan,<br>Liyakat Ali,<br>Saiyyad Ali,<br>Irfan Chouhan,<br>Nishar Ahmad,<br>Saiyyad Ali,<br>Faruq Chouhan,<br>Zibran Khan,<br>Shamshad Ali | Behind Imambara          | Work Proposed by RUDSICO-EAP, Status of Sewerage system, hazards of absence of sewer system in town, possible impacts due to construction works. Willingness to pay for improved services                                                                      | <p>People are supportive of the project and indicated their willingness to participate in the project to make it successful.</p> <p>People understand direct benefits of construction of STPs for effective treatment of sewage of town.</p> <p>People appreciated ongoing works of sewerage in town and hoped that after completion of proposed works, problem of disposal of sludge from septic tanks and foul smell from outflow of septic tanks will be eliminated and sanitation and health conditions will be improved.</p> <p>People also appreciated for location of STPs far from habitations.</p> <p>People shown their willingness to pay for improved services of water supply and sewerage facilities</p> |
| 4    | 11.08.2018  | Local Habitant and petty businessmen-<br>Mr. Bashir, Irfan, Harun, Vasid, Shafiq, Nashir, Haarun, Ayyub, Anwar Moin, shadiqPirji, Shaukat, Mahmood Nai                                                                      | Sabjifaroshon ka Mohalla | <p>Awareness of the project—including Project Coverage area,</p> <p>Present condition of Sewerage in the town</p> <p>Presence of any forest, wild life or any sensitive/unique environmental components nearby the project,</p> <p>Presence of historical/</p> | <p>Some People are aware of the proposed Project.</p> <p>People are concerned about the poor sewerage conditions in the area/town.</p> <p>There are not any forest, wildlife or any sensitive /unique environmental, component nearby the project.</p> <p>There are not any historical/cultural and religious</p>                                                                                                                                                                                                                                                                                                                                                                                                      |

| S.No | Date       | Participants                                                                                       | Location                                  | Topic Discussed                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Outcome                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|------|------------|----------------------------------------------------------------------------------------------------|-------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|      |            |                                                                                                    |                                           | <p>cultural/ religious sites nearby.</p> <p>Unfavorable climatic condition</p> <p>Present solid waste collection and disposal problem</p> <p>Dust and noise pollution and disturbances during construction work</p> <p>Safety of residents during construction phase and applying of vehicle for construction activities</p> <p>Requirement of enhancement of other facilities</p> <p>Treated water management released from STP</p> <p>Sludge Management</p> <p>Willingness to pay for improved services</p> | <p>sites in nearby the subproject area.</p> <p>Climate is dry except during monsoon season.</p> <p>Solid waste collection facility is poor in this area.</p> <p>Contractor should use modern machinery and water sprinkler to control dust and noise during construction phase.</p> <p>The contractor should take care of the safety arrangement during construction phase and should provide traffic diversion routes to avoid the vehicle congestion.</p> <p>People should be made aware through CAPC and outreach team of contractor before start of work in particular area.</p> <p>The locals feel that Parks, play grounds and Community halls are required in this town and in addition.</p> <p>There are demand for Treated effluent water for use in agricultural activities in nearby areas.</p> <p>Sludge will be reuse as manure in agricultural fields.</p> <p>People are interested to pay for improved services in the town.</p> |
| 5    | 06.02.2019 | Local habitants-<br>Mr. Abdul Vahid, Salim, Abdul Jabbar, Mohammand Harun, Mohd. Imran, Imran Khan | Near proposed SPS site near Fatima Masjid | Work Proposed by RUDSICO-EAP, Status of Sewerage, hazards of absence of sewer system in town, possible impacts due to construction works.                                                                                                                                                                                                                                                                                                                                                                     | <p>People informed that there is no sewerage system in this location and people are facing problems due to absence of sewerage.</p> <p>People are dependent on septic tanks and overflow from septic tanks directly led into drains and sometimes accumulated in nearby low lying areas and crease foul smell and mosquito menace.</p> <p>Proposed SPS is on vacant private land and landowner has agreed to sell this land for construction of SPS at this location.</p> <p>People also understand the</p>                                                                                                                                                                                                                                                                                                                                                                                                                                     |

| S.No | Date | Participants | Location | Topic Discussed | Outcome                                                                                            |
|------|------|--------------|----------|-----------------|----------------------------------------------------------------------------------------------------|
|      |      |              |          |                 | benefits of proposed sewerage system and ready to bear some disturbance during construction works. |



Consultation near Imam Baag



Consultation near Jain Mandir



Consultationn with women near Hanuman Temple



Consultation at Luharo Ka Mohalla



Consultation near Krishi Vigyan Kendra



Consultation near proposed SPS near Fatima Masjid



Consultation near proposed SPS near Fatima Masjid

### Attendance Sheets of Public Consultations

**Consultation sheet**

Place - पार्स-38, मुहुरों कामोहवा, फतेहपुर Date - 11-08-18

Topic Discussed - परियोजना कार्य की जानकारी दी गई एवं समुदाय से शहर की व्यवस्था पर चर्चा कर कायाल हुआ

| S.No. | Name            | Designation/Mobile No. | Signature  |
|-------|-----------------|------------------------|------------|
| 1     | श्री इकबाल      |                        | श्री इकबाल |
| 2     | श्री कपिल शर्मा | पार्स-38, 7568383308   |            |
| 3     | श्री मुकेश खान  | W.N - 38 995029361     |            |
| 4     | मोहिदीन         | -                      | मोहिदीन    |
| 5     | हासल            | -                      | - हासल     |
| 6     | प्रभु सराफ      | -                      | प्रभु सराफ |
| 7     |                 |                        |            |
| 8     |                 |                        |            |
| 9     |                 |                        |            |
| 10    |                 |                        |            |
| 11    |                 |                        |            |



**Consultation sheet**

Place औरंगाबाई का मोहल्ला, वाई- 15 Date 11-08-18

Topic Discussed परिचोपना कार्य के को में बताया एवं  
कैसे की वर्तमान व्यवस्था पर चर्चा की  
अवगत हुई।

| S.No. | Name                   | Designation/Mobile No. | Signature |
|-------|------------------------|------------------------|-----------|
| 1     | श्री लक्ष्मण मिश्रा    | 3887374321             |           |
| 2     | श्री विष्णुपुलक शर्मा  | विष्णु 9314286587      |           |
| 3     | श्री गोविन्द शर्मा     | 3784204592             |           |
| 4     | श्री ललित कुमार मिश्रा |                        |           |
| 5     | " योगेश मिश्रा         | 9829527288             |           |
| 6     | " राजय कुमार           | - 7297805529           |           |
| 7     | " पवन कुमार            | - 8769983859           |           |

**Consultation sheet**

Place उमरमखान के पीछे Date 11-08-18

Topic Discussed परिचोपना की जानकारी दी गई एवं  
कैसे की वर्तमान व्यवस्था पर अवगत हुई।

| S.No. | Name            | Designation/Mobile No. | Signature       |
|-------|-----------------|------------------------|-----------------|
| 1     | " शुभाभा डाहमद  | 8107309789             | शुभाभा          |
| 2     | " शशीका डहली    | 9829140343             | SA              |
| 3     | " शशीका डहली    |                        | SA              |
| 4     | " अश्विन चौहान  |                        |                 |
| 5     | " निखिल डहली    |                        |                 |
| 6     | " शिव डहली      |                        |                 |
| 7     | " ईशान चौहान    | 7521312832             | श्री ईशान चौहान |
| 8     | " निशांत डहली   |                        |                 |
| 9     | " शिव डहली      | 8503889670             | शिव डहली        |
| 10    | " फारुख चौहान   |                        |                 |
| 11    | " निखिल डहली    | 8107233336             | निखिल           |
| 12    | " शमशाद खलीलखान | 9784112039             | शमशाद           |



**Consultation sheet**

Place राजपूरी मो. 07 मेम्लो, Date 11-08-18

Topic Discussed परियोजना की जानकारी दी गई तथा सहर की व्यवस्था कर के कामना हुआ

| S.No. | Name                  | Designation/Mobile No. | Signature    |
|-------|-----------------------|------------------------|--------------|
| 1     | 1. वशीर               | 7014626678             | वशीर         |
| 2     | 2. सुरजान             | 7014218242             | — सुरजान     |
| 3     | 3. हरनो नगारी         |                        | हरनो         |
| 4     | 4. वसिद               | 7339994461             | — वसिद       |
| 5     | 5. शफीक               | 8058581996             | — शफीक       |
| 6     | 6. वशीर               | 7359210332             | — वशीर       |
| 7     | 7. हरनो राजपूरी कराम  |                        | — हरनो       |
| 8     | 8. अयुब               |                        | — अयुब       |
| 9     | 9. अतवर मोहम्मद       |                        | अतवर मोहम्मद |
| 10    | 10. सादीर पीरपा       | 9829140343             | — सादीर      |
| 11    | 11. गिनील पीरपा       | मो. 8181               | गिनील        |
| 12    | 12. अतवर राजपूरी कराम |                        | अतवर         |
| 13    | 13. मेहमूद वशीर       | 9116925851             | — मेहमूद     |
| 14    |                       |                        |              |

(SPS) Fatehpur 06/02/2018 near Fatehpur Masjid

**F. List of Participants (in Identifiable Manner)**

| S No | Name            | Age | Sex (M/F) | Occupation | Ward No. belonging to | Signature/ RTI |
|------|-----------------|-----|-----------|------------|-----------------------|----------------|
| 01   | Abdul Wahid     | 44  | M         | Prokent    | 03                    | WAHID          |
| 02   | Salim           | 50  | M         | labour     | 03                    | SALEEM         |
| 03   | Abdul Jabbar    | 58  | M         | Property   | 03                    | Shahryar       |
| 04   | Mohammad Anwar  | 22  | M         | Building   | 05                    | ANWAR          |
| 05   | Moh. Imran Khan | 22  | M         | Student    | 05                    | IMRAN          |
| 06   | Imran Khan      | 35  | M         | labour     | 03                    | IMRAN          |
|      |                 |     |           |            |                       |                |
|      |                 |     |           |            |                       |                |
|      |                 |     |           |            |                       |                |
|      |                 |     |           |            |                       |                |

### Appendix 13: Minutes of City level Stakeholder Committee (CLC) Meeting

City level Stakeholder Committee (CLC) Meeting (dtd. 23.5.2018). City stakeholder committee meeting was organized in Fatehpur on dtd. 23.05.2018 to discuss the matter of proposed sewerage works in Fatehpur under the chairmanship of District Collector, Sikar in presence of consultants, RUDSICO-EAP officials, PHED officials, Municipal officials and other invitee members. Proposed scope of works and technology was discussed in the meeting and it was decided that treated effluent shall be reused by Municipality in beneficial uses.

#### Minutes of CLC Meeting

**राजस्थान सरकार**  
**कार्यालय अधीक्षण अभियन्ता आर.यू.आई.डी.पी. फंड III शुन्धुन (राज.)**  
C/o श्री जगमल सिंह लाम्हा, कार्डन, 10, लहरील के पास, शुन्धुन (राज.)

**मीटिंग कार्यवाही विवरण**

श्रीमान जिला कलेक्टर, सीकर की अध्यक्षता में दिनांक 23.05.2018 को होटल रॉयल इन, सीकर के सम्मगार में पेयजल योजना शहर लक्ष्मणगढ़ एवं सीवरेज योजना फतेहपुर के कार्यों की डीपीआर अनुमोदन हेतु सिटी लेवल कमेटी की मीटिंग आयोजित की गई जिसमें निम्नलिखित जनप्रतिनिधिगण / अधिकारी गण उपस्थित हुए।

|                          |                                             |
|--------------------------|---------------------------------------------|
| ✓ श्री सोहन सिंह चौहान   | विधायक, लक्ष्मणगढ़                          |
| ✓ श्री मंदकिशोर मारिया   | विधायक, फतेहपुर                             |
| ✓ श्री नरेश कुमार ठाकुर  | जिला कलेक्टर, सीकर                          |
| ✓ श्रीमती ललिता मिश्रा   | अध्यक्ष, नगरपालिका, फतेहपुर                 |
| ✓ श्रीमती चांदनी कर्मा   | अध्यक्ष, नगरपालिका, लक्ष्मणगढ़              |
| ✓ श्री अजित कुमार जैन    | मुख्य अभियंता, आर.यू.आई.डी.पी., जयपुर       |
| ✓ डॉ. डी.आर. जोगिता      | अधीक्षण अभियंता, आर.यू.आई.डी.पी. (WW) जयपुर |
| ✓ श्री प्रवीण आंकोदिया   | अधीक्षण अभियंता, आर.यू.आई.डी.पी. (WS) जयपुर |
| ✓ श्री मोहनलाल मोन्हा    | अधीक्षण अभियंता, आर.यू.आई.डी.पी., शुन्धुन   |
| ✓ श्री रमेश चन्द सेने    | अधीक्षण अभियंता, आर.यू.आई.डी.पी. शुन्धुन    |
| ✓ श्री मनुसुदन           | अधीक्षण अभियंता, सार्वजनिक निर्माण विभाग    |
| ✓ श्री नरवीर कुमार       | आयुक्त, नगरपालिका, सीकर                     |
| ✓ श्री जितेन्द्र कुमार   | सहायक अभियंता, फतेहपुर शहर                  |
| ✓ श्री ई.एस. सुमेर       | डीपीओ, नगरपालिका, सीकर                      |
| ✓ श्री अशोक कुमार कडवाना | एसडीओ, सीएसएलएल, सीकर                       |
| ✓ श्री स्वप्न चौधरी      | कनिष्ठ अभियंता, आर.यू.आई.डी.पी. शुन्धुन     |
| ✓ श्री रवि सुन्दर        | कनिष्ठ अभियंता, फतेहपुर, सीकर               |
|                          | डीपीओएसरी, जयपुर                            |

श्रीमान अध्यक्ष महोदय की अनुमति से श्री प्रवीण आंकोदिया, अधीक्षण अभियंता आर.यू.आई.डी.पी., जयपुर ने पेयजल योजना लक्ष्मणगढ़ राशि रु. 56.97 करोड़ एवं सीवरेज योजना फतेहपुर राशि रु. 174.62 करोड़ की डीपीआर में प्रस्तावित कार्यों/घटकों की विस्तृत रूप में जानकारी दी, जिन पर चर्चा उपरान्त सर्वसहमति से अनुमोदन किया गया।

उपस्थित माननीय विधायक गणों ने निम्नलिखित अतिरिक्त सुझाव भी दिए—

1. डीपीआर में ड्रेनेज कार्यों का भी समावेश किया जाना चाहिए।
2. कार्य करते समय नगरपालिका/नगरपालिका का अभियंता भी साथ रहे ताकि सड़क, ड्रेनेज एवं गैंगलॉन आदि का टीप लेवल एक समान रखा जा सके।
3. किसी गली या सड़क के समस्त कार्य एक ही बार में शुरू किया जावे ताकि सड़क तथा सड़क का लेवल मैलिन रहे।

✓ श्रीमान जिला कलेक्टर ने निर्देश दिया कि लक्ष्मणगढ़ की डीपीआर में सड़क स्पेयर हेतु प्रस्तावित 28 करोड़ रुपये की आवश्यकतानुसार व्युत्पन्न राशि रखते हुए लगभग 15.20 करोड़ रुपये बनाकर, ड्रेनेज कार्य का समावेश प्रस्तावित है। जत में आर.यू.आई.डी.पी. के प्रतिनिधि ने सभी का धन्यवाद ज्ञापित किया। मीटिंग सौहार्दपूर्ण वातावरण में सम्पन्न हुई।

मीटिंग कार्यवाही विवरण श्रीमान जिला कलेक्टर सीकर से अनुमोदित है।

(समस्त मोना)  
अधीक्षण अभियंता, आर.यू.आई.डी.पी. (फंड III)  
पी.आई.यू. शुन्धुन  
दिनांक 31/05/2018

**क्रमांक 328-343**

1. माननीय सांसद महोदय, राजस्थान विधानसभा क्षेत्र फतेहपुर/लक्ष्मणगढ़
2. माननीय विधायक महोदय, विधानसभा क्षेत्र फतेहपुर/लक्ष्मणगढ़
3. मिजो सचिव, श्रीमान परियोजना निदेशक आर.यू.आई.डी.पी. जयपुर।
4. श्रीमान पुलिस अधीक्षक सीकर।
5. आयुक्त, नगरपालिका, सीकर
6. समापति, नगरपालिका, फतेहपुर/लक्ष्मणगढ़/सीकर
7. अधीक्षण अभियंता, नगरपालिका, फतेहपुर/लक्ष्मणगढ़
8. अधीक्षण अभियंता जल सेवा अधिक विभाग, सीकर (जल/प्रोजेक्ट)
9. अधीक्षण अभियंता, सार्वजनिक निर्माण विभाग, सीकर
10. नगर निरीक्षक, सीकर
11. स्थानीय गैर सरकारी संस्थान प्रतिनिधि/सीपीओएसरी.....

(समस्त मोना)  
अधीक्षण अभियंता, आर.यू.आई.डी.पी. (फंड III)  
पी.आई.यू. शुन्धुन

### Transcript in English of Summary of Minutes of CLC Meeting

Under the chairmanship of District Collector, District Sikar, City Level Committee (CLC) meeting was held on dated 23.05.2018 for approval of Detailed project report of proposed sewerage works of Fatehpur towns. RUDSICO-EAP officials informed that tentative cost of the project is 174.62 cr and briefed about the proposed components taken under the DRP. It was deliberated that drainage will also incorporated in the DRP and coordination between contractor, Implementation unit and nagarpalika will be required during execution. For maintaining the level of road, all the works will be started simultaneously.

S.S Meena

**Photographs of CLC Meeting****Attendance Sheet of CLC Meeting**

| RUIDP PHASE-4 CLC MEETING  |                       |                       |                |           |
|----------------------------|-----------------------|-----------------------|----------------|-----------|
| Town Fatehpur & Laxmangarh |                       |                       | Date 23-5-2018 |           |
| Dist. SIKAR                |                       |                       |                |           |
| S.No                       | NAME                  | DESIGNATION           | Mob. No.       | Signature |
| 1                          | Nareish Thakral       | Dist. Collector       |                |           |
| 2                          | Gajendra Singh        | M.P.                  | 9883337571     |           |
| 3                          | Prakash Kumar         | C.M. Fatehpur         |                |           |
| 4                          | A.K. Jais             | CE RUIDP              | 9413302483     |           |
| 5                          | Islam Khan            | Deputy Comm. Fatehpur | 9824067226     |           |
| 6                          | Madhur Kumar          | SP                    | 9414236771     |           |
| 7                          | Naveed Hussain Mahari | MLA Fatehpur          | 9823213581     |           |
| 8                          | Moham Lal Meena       | SE, RUIDP, JHUNJHUN   | 9460555000     |           |
| 9                          | Praveen Arora         | SE (W), RUIDP         | 9414057444     |           |
| 10                         | D.R. Jaisid           | SE (W), RUIDP, JH     | 9166022000     |           |
| 11                         | Ramesh Ch. Jain       | ED RUIDP, JH          | 9413304400     |           |
| 12                         | Jaideep Dandia        | Consultant            | 9414642626     |           |
| 13                         | Kavendra Kumar        | Consultant            | 9340061307     |           |
| 14                         | Naveen Kumar          | EO, Fatehpur          | 9414445155     |           |
| 15                         | Jitendra K.           | Asst. PHED, JH        | 9687182723     |           |
| 16                         | A.S. Sharma           | DPO NP, SIKAR         | 9414469152     |           |
| 17                         | Anil K. Meel          | SDO, BSNL             | 9414001011     |           |
| 18                         | Kamran Ali Sahni      | Asst. Comm. Fatehpur  | 9819556111     |           |
| 19                         | Vijay Kumar           | JE, NP, Fatehpur      | 9024676688     |           |
| 20                         | Ashok Kumar           | JE, NP, Fatehpur      | 9929833855     |           |
| 21                         | Lata Choudhary        | JR, PHED              | 962590993      |           |
| 22                         | Ravi Suthare          | WVE, PHED             | 913389455      |           |

| RUIDP PHASE-4 CLC MEETING                  |                  |                |               |           |
|--------------------------------------------|------------------|----------------|---------------|-----------|
| TOWN - LAXMANGARH, FATEHPUR (DISTT. SIKAR) |                  |                | DATE: 13/5/18 |           |
| S.NO.                                      | NAME             | DESIGNATION    | MOB. NO.      | SIGNATURE |
|                                            | K.K. Sharma      | CEM-CAPC RUIDP | 9314413811    |           |
|                                            | Moham. Jais      | Consultant     | 9461823211    |           |
|                                            | Anil Jais        | EO             | 9727891727    |           |
|                                            | Naveen Kumar     | J.E.           | 9928168772    |           |
|                                            | Shankar Salunkhe | J.E.           | 9814477363    |           |
|                                            | Tarika Jais      | Consultant     | 9822383939    |           |
|                                            | Sulay Jais       | Asst.          | 9799202240    |           |
|                                            |                  |                |               |           |
|                                            |                  |                |               |           |
|                                            |                  |                |               |           |

## Appendix 14: Semi Annual Environmental Monitoring Report Format

### I. INTRODUCTION

- Overall project description and objectives
- Environmental category as per ADB Safeguard Policy Statement, 2009
- Environmental category of each subproject as per national laws and regulations
- Project Safeguards Team

| Name           | Designation/Office | Email Address | Contact Number |
|----------------|--------------------|---------------|----------------|
| 1. PMU         |                    |               |                |
|                |                    |               |                |
|                |                    |               |                |
|                |                    |               |                |
| 2. PIUs        |                    |               |                |
|                |                    |               |                |
|                |                    |               |                |
|                |                    |               |                |
|                |                    |               |                |
| 3. Consultants |                    |               |                |
|                |                    |               |                |
|                |                    |               |                |
|                |                    |               |                |
|                |                    |               |                |

- Overall project and sub-project progress and status
- Description of subprojects (package-wise) and status of implementation (preliminary, detailed design, on-going construction, completed, and/or O&M stage)

| Package Number | Components/List of Works | Status of Implementation<br>(Preliminary Design/Detailed Design/On-going Construction/Completed/O&M)<br><sup>a</sup> | Contract Status<br>(specify if under bidding or contract awarded) | On-going Construction |                          |
|----------------|--------------------------|----------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|-----------------------|--------------------------|
|                |                          |                                                                                                                      |                                                                   | %Physical Progress    | Expected Completion Date |
|                |                          |                                                                                                                      |                                                                   |                       |                          |
|                |                          |                                                                                                                      |                                                                   |                       |                          |
|                |                          |                                                                                                                      |                                                                   |                       |                          |
|                |                          |                                                                                                                      |                                                                   |                       |                          |
|                |                          |                                                                                                                      |                                                                   |                       |                          |
|                |                          |                                                                                                                      |                                                                   |                       |                          |
|                |                          |                                                                                                                      |                                                                   |                       |                          |
|                |                          |                                                                                                                      |                                                                   |                       |                          |
|                |                          |                                                                                                                      |                                                                   |                       |                          |
|                |                          |                                                                                                                      |                                                                   |                       |                          |

<sup>a</sup> If on-going construction, include %physical progress and expected date of completion.





|  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|

- Identify the role/s of Safeguards Team including schedule of on-site verification of reports submitted by consultants and contractors.
- For each package, provide name/s and contact details of contractor/s' nodal person/s for environmental safeguards.
- Include as appendix all supporting documents including **signed** monthly environmental site inspection reports prepared by consultants and/or contractors.
- With reference to approved EMP/site-specific EMP/construction EMP, complete the table below
- Provide the monitoring results as per the parameters outlined in the approved EMP (or site-specific EMP/construction EMP when applicable).
- In addition to the table on EMP implementation, the main text of the report should discuss in details the following items:
  - (i) **Grievance Redress Mechanism.** Provide information on establishment of grievance redress mechanism and capacity of grievance redress committee to address project-related issues/complaints. Include as appendix Notification of the GRM (town-wise if applicable).
  - (ii) **Complaints Received during the Reporting Period.** Provide information on number, nature, and resolution of complaints received during reporting period. Attach records as per GRM in the approved IEE. Identify safeguards team member/s involved in the GRM process. Attach minutes of meetings (ensure English translation is provided).
- o Confirm if any dust was noted to escape the site boundaries and identify dust suppression techniques followed for site/s.
- o Identify muddy water was escaping site boundaries or muddy tracks were seen on adjacent roads.
- o Identify type of erosion and sediment control measures installed on site/s, condition of erosion and sediment control measures including if these were intact following heavy rain;
- o Identify designated areas for concrete works, chemical storage, construction materials, and refueling. Attach photographs of each area.
- o Confirm spill kits on site and site procedure for handling emergencies.
- o Identify any chemical stored on site and provide information on storage condition. Attach photograph.
- o Describe management of stockpiles (construction materials, excavated soils, spoils, etc.). Provide photographs.
- o Describe management of solid and liquid wastes on-site (quantity generated, transport, storage and disposal). Provide photographs.
- o Provide information on barricades, signages, and on-site boards. Provide photographs.
- o Provide information on
- o Checking if there are any activities being under taken out of working hours and how that is being managed.

**Summary of Environmental Monitoring Activities (for the Reporting Period)<sup>a</sup>**

| <b>Impacts<br/>(List from<br/>IEE)</b> | <b>Mitigation<br/>Measures<br/>(List from<br/>IEE)</b> | <b>Parameters<br/>Monitored (As a<br/>minimum those<br/>identified in the IEE<br/>should be<br/>monitored)</b> | <b>Method of<br/>Monitoring</b> | <b>Location<br/>of<br/>Monitoring</b> | <b>Date of<br/>Monitoring<br/>Conducted</b> | <b>Name of<br/>Person<br/>Who<br/>Conducted<br/>the<br/>Monitoring</b> |
|----------------------------------------|--------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|---------------------------------|---------------------------------------|---------------------------------------------|------------------------------------------------------------------------|
| <b>Design Phase</b>                    |                                                        |                                                                                                                |                                 |                                       |                                             |                                                                        |
|                                        |                                                        |                                                                                                                |                                 |                                       |                                             |                                                                        |
|                                        |                                                        |                                                                                                                |                                 |                                       |                                             |                                                                        |
|                                        |                                                        |                                                                                                                |                                 |                                       |                                             |                                                                        |
|                                        |                                                        |                                                                                                                |                                 |                                       |                                             |                                                                        |
| <b>Pre-Construction Phase</b>          |                                                        |                                                                                                                |                                 |                                       |                                             |                                                                        |
|                                        |                                                        |                                                                                                                |                                 |                                       |                                             |                                                                        |
|                                        |                                                        |                                                                                                                |                                 |                                       |                                             |                                                                        |
|                                        |                                                        |                                                                                                                |                                 |                                       |                                             |                                                                        |
|                                        |                                                        |                                                                                                                |                                 |                                       |                                             |                                                                        |
| <b>Construction Phase</b>              |                                                        |                                                                                                                |                                 |                                       |                                             |                                                                        |
|                                        |                                                        |                                                                                                                |                                 |                                       |                                             |                                                                        |
|                                        |                                                        |                                                                                                                |                                 |                                       |                                             |                                                                        |
|                                        |                                                        |                                                                                                                |                                 |                                       |                                             |                                                                        |
|                                        |                                                        |                                                                                                                |                                 |                                       |                                             |                                                                        |
| <b>Operational Phase</b>               |                                                        |                                                                                                                |                                 |                                       |                                             |                                                                        |
|                                        |                                                        |                                                                                                                |                                 |                                       |                                             |                                                                        |
|                                        |                                                        |                                                                                                                |                                 |                                       |                                             |                                                                        |
|                                        |                                                        |                                                                                                                |                                 |                                       |                                             |                                                                        |
|                                        |                                                        |                                                                                                                |                                 |                                       |                                             |                                                                        |

<sup>a</sup> Attach Laboratory Results and Sampling Map/Locations



**Overall Compliance with CEMP/EMP**

| No. | Sub-Project Name | EMP/ CEMP Part of Contract Documents (Y/N) | CEMP/ EMP Being Implemented (Y/N) | Status of Implementation (Excellent/ Satisfactory/ Partially Satisfactory/ Below Satisfactory) | Action Proposed and Additional Measures Required |
|-----|------------------|--------------------------------------------|-----------------------------------|------------------------------------------------------------------------------------------------|--------------------------------------------------|
|     |                  |                                            |                                   |                                                                                                |                                                  |
|     |                  |                                            |                                   |                                                                                                |                                                  |
|     |                  |                                            |                                   |                                                                                                |                                                  |

**V. APPROACH AND METHODOLOGY FOR ENVIRONMENTAL MONITORING OF THE PROJECT**

- Brief description on the approach and methodology used for environmental monitoring of each sub-project

**VI. MONITORING OF ENVIRONMENTAL IMPACTS ON PROJECT SURROUNDINGS (ambient air, water quality and noise levels)**

- Brief discussion on the basis for monitoring
- Indicate type and location of environmental parameters to be monitored
- Indicate the method of monitoring and equipment to be used
- Provide monitoring results and an analysis of results in relation to baseline data and statutory requirements

*As a minimum the results should be presented as per the tables below.*

**Air Quality Results**

| Site No. | Date of Testing | Site Location | Parameters (Monitoring Results) |                            |                          |                          |
|----------|-----------------|---------------|---------------------------------|----------------------------|--------------------------|--------------------------|
|          |                 |               | PM10<br>µg/m <sup>3</sup>       | PM2.5<br>µg/m <sup>3</sup> | SO2<br>µg/m <sup>3</sup> | NO2<br>µg/m <sup>3</sup> |
|          |                 |               |                                 |                            |                          |                          |
|          |                 |               |                                 |                            |                          |                          |
|          |                 |               |                                 |                            |                          |                          |

**Surface Water Quality Results**

| S.No. | Parameters     | Results           |                   |                   |
|-------|----------------|-------------------|-------------------|-------------------|
|       |                | Location-1 (Name) | Location-2 (Name) | Location-3 (Name) |
| 1.    | pH             |                   |                   |                   |
| 2.    | Turbidity      |                   |                   |                   |
| 3.    | Total Hardness |                   |                   |                   |
| 4.    | DO             |                   |                   |                   |
| 5.    | BOD            |                   |                   |                   |
| 6.    | COD            |                   |                   |                   |
| 7.    | Chloride       |                   |                   |                   |
| 8.    | Iron           |                   |                   |                   |
| 9.    | TSS            |                   |                   |                   |
| 10.   | Arsenic        |                   |                   |                   |
| 11.   | Cadmium        |                   |                   |                   |
| 12.   | Fluoride       |                   |                   |                   |
| 13.   | Potassium      |                   |                   |                   |
| 14.   | Sodium         |                   |                   |                   |

|     |                         |  |  |  |
|-----|-------------------------|--|--|--|
| 15. | Calcium                 |  |  |  |
| 16. | Zn                      |  |  |  |
| 17. | Cr <sup>+6</sup>        |  |  |  |
| 18. | Magnesium               |  |  |  |
| 19. | Copper                  |  |  |  |
| 20. | Manganese               |  |  |  |
| 21. | Sulphate                |  |  |  |
| 22. | Cyanide                 |  |  |  |
| 23. | Nitrate                 |  |  |  |
| 24. | Lead                    |  |  |  |
| 25. | Boron                   |  |  |  |
| 26. | Selenium                |  |  |  |
| 27. | Aluminium               |  |  |  |
| 28. | Total residual Chlorine |  |  |  |

#### Ground water Quality Results

| S.No. | Parameters        | Results           |                   |                   |
|-------|-------------------|-------------------|-------------------|-------------------|
|       |                   | Location-1 (Name) | Location-2 (Name) | Location-3 (Name) |
| 1.    | pH                |                   |                   |                   |
| 2.    | Total Alkalinity  |                   |                   |                   |
| 3.    | Total Hardness    |                   |                   |                   |
| 4.    | Chloride          |                   |                   |                   |
| 5.    | Iron              |                   |                   |                   |
| 6.    | TDS               |                   |                   |                   |
| 7.    | Arsenic           |                   |                   |                   |
| 8.    | Fluoride          |                   |                   |                   |
| 9.    | Zn                |                   |                   |                   |
| 10.   | Cr+6              |                   |                   |                   |
| 11.   | Copper            |                   |                   |                   |
| 12.   | Manganese         |                   |                   |                   |
| 13.   | Sulphate          |                   |                   |                   |
| 14.   | Phosphate         |                   |                   |                   |
| 15.   | Nitrate           |                   |                   |                   |
| 16.   | Lead              |                   |                   |                   |
| 17.   | Phenolic Compound |                   |                   |                   |

#### Noise Quality Results

| Site No. | Date of Testing | Site Location | LA <sub>eq</sub> (dBA) (Monitoring Results) |            |
|----------|-----------------|---------------|---------------------------------------------|------------|
|          |                 |               | Day Time                                    | Night Time |
|          |                 |               |                                             |            |
|          |                 |               |                                             |            |

## VII. ASBESTOS MANAGEMENT

Information on encountered or potential asbestos materials and capacity building activities on project sites should be included in this section.

| <b>FORM I – ASBETOS INVENTORY, INSPECTION AND ACTION FORM</b>                                                                                                                         |  |                                                      |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|------------------------------------------------------|
| <b>Format: RUDSICO-EAP/IIA/ LOCATION/NAME OF DBO CONTRACTOR/HSE 002/YEAR</b>                                                                                                          |  |                                                      |
| <b>Location:</b>                                                                                                                                                                      |  |                                                      |
| <b>Site coordinates:</b>                                                                                                                                                              |  |                                                      |
| <b>Elevation:</b>                                                                                                                                                                     |  | <b>Team:</b>                                         |
| <b>Date of visit:</b>                                                                                                                                                                 |  | <b>Sign:</b>                                         |
| Present Status                                                                                                                                                                        |  | Indicate if installed, operational, in storage, etc. |
| Original age                                                                                                                                                                          |  | Months or years since installation                   |
| Diameter                                                                                                                                                                              |  | mm or inches                                         |
| Length                                                                                                                                                                                |  | meters                                               |
| Volume                                                                                                                                                                                |  |                                                      |
| Total packet                                                                                                                                                                          |  |                                                      |
| Packing date                                                                                                                                                                          |  |                                                      |
| Disposal date                                                                                                                                                                         |  |                                                      |
| Existing Site (Photo or illustrations):                                                                                                                                               |  |                                                      |
| Illustration/ Design of Activities On-site with respect to existing asbestos (include details such as size of new pipes, distance from existing AC pipes, other notable observations) |  |                                                      |
| DBO Contractor Handling Asbestos:                                                                                                                                                     |  |                                                      |
| Number of persons handling waste                                                                                                                                                      |  |                                                      |
| Medical Records                                                                                                                                                                       |  |                                                      |
| Safety Gears                                                                                                                                                                          |  |                                                      |
| Vocational Training Last Conducted:                                                                                                                                                   |  |                                                      |
| Number of attendees:                                                                                                                                                                  |  |                                                      |
| Conducted by Schedule:                                                                                                                                                                |  |                                                      |
| Required Actions:                                                                                                                                                                     |  |                                                      |
| Remarks                                                                                                                                                                               |  |                                                      |
| <b>Conclusion/Remark</b>                                                                                                                                                              |  |                                                      |
| <b>HSE Signatory</b>                                                                                                                                                                  |  |                                                      |

### MATRIX FOR TRAINING & RECORDS

| <b>Format: RUDSICO-EAP/INSP.MATRIX/LOCATION/NAME OF DBO CONTRACTOR/HSE 001/YEAR</b>        |                                        |                     |                |
|--------------------------------------------------------------------------------------------|----------------------------------------|---------------------|----------------|
| <b>S. No.</b>                                                                              | <b>Aspects of Asbestos Materials</b>   | <b>Check points</b> | <b>Remarks</b> |
| <b>Training Schedule:</b>                                                                  |                                        |                     |                |
| <b>Trainer Details:</b>                                                                    |                                        |                     |                |
| <b>Date/Location of Training:</b>                                                          |                                        |                     |                |
| <b>Number of attendees:</b>                                                                |                                        |                     |                |
| <b>Training Schedule, Training Materials &amp; Attendance Sheet, Feedback of Trainees.</b> |                                        |                     |                |
| <b>Understanding of:</b>                                                                   |                                        |                     |                |
| <b>A. DOCUMENTS AND RECORDS</b>                                                            |                                        |                     |                |
| 1                                                                                          | Site Inventory                         |                     |                |
| 2                                                                                          | List of Asbestos materials storage and |                     |                |

|                     |                                                                                                                                          |                                                                              |
|---------------------|------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------|
|                     | installation points                                                                                                                      |                                                                              |
| 3                   | Structure of Asbestos materials management committee                                                                                     |                                                                              |
| <b>B. INVENTORY</b> |                                                                                                                                          |                                                                              |
| 1.                  | Inventory of Asbestos materials                                                                                                          |                                                                              |
|                     | Number of Asbestos materials/ pipes                                                                                                      |                                                                              |
|                     | Dimensions of Asbestos materials/ pipes                                                                                                  |                                                                              |
|                     | Total volume of Asbestos materials/ pipes                                                                                                |                                                                              |
| 2.                  | Storage facility/ installation location:                                                                                                 |                                                                              |
| A.                  | In-use                                                                                                                                   | Location                                                                     |
|                     |                                                                                                                                          | Condition                                                                    |
|                     |                                                                                                                                          | Intact/ damaged                                                              |
|                     |                                                                                                                                          | Purpose                                                                      |
|                     |                                                                                                                                          | Accessibility by the workers                                                 |
|                     | Evidence of physical damage and approximate size (length, width, volume) without coming into contact with The damaged Asbestos materials |                                                                              |
|                     | Impacts on the environment (Based on Asbestos fiber Monitoring)                                                                          |                                                                              |
| 3.                  | <b>LABELING AND SIGNAGE</b>                                                                                                              |                                                                              |
|                     | Notification to workplace safety and health                                                                                              |                                                                              |
|                     | Working instruction                                                                                                                      |                                                                              |
|                     | The risks associated with exposure to asbestos fibers                                                                                    |                                                                              |
|                     | Cautionary statement to not disturb materials containing asbestos                                                                        |                                                                              |
| 4.                  | <b>PERSONAL PROTECTIVE EQUIPMENT (PPE)</b>                                                                                               |                                                                              |
|                     | Record of PPE                                                                                                                            |                                                                              |
|                     | Mask                                                                                                                                     |                                                                              |
|                     | Eye glasses                                                                                                                              |                                                                              |
|                     | Gloves                                                                                                                                   |                                                                              |
|                     | Ear muffs                                                                                                                                |                                                                              |
|                     | Others                                                                                                                                   |                                                                              |
|                     | Training                                                                                                                                 |                                                                              |
|                     | On occupational risks of asbestos to the workers                                                                                         | Date:<br>Time:<br>In-house/ external:<br>Faculty:<br>No of workers attended: |
|                     | Training for maintenance, repair and renovation                                                                                          | Date:<br>Time:<br>In-house/ external:<br>Faculty:<br>No of workers attended: |
|                     | Training for workers working with asbestos                                                                                               | Date:<br>Time:<br>In-house/ external:<br>Faculty:<br>No of workers attended: |

|                                                                    |                                         |                                                                                                                                                     |
|--------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                    | Periodic air quality monitoring records | <ul style="list-style-type: none"> <li>• Within the permissible limits</li> <li>• Not within the permissible limits (specify the reason)</li> </ul> |
|                                                                    | Workers medical check-up records        | Date:<br>In-house/ external:<br>Performed by:<br>Remarks:<br>No of workers attended:                                                                |
| <b>Conclusion/Remark:</b><br><br><br><b>EHS Officer Signatory:</b> |                                         |                                                                                                                                                     |

### ASBESTOS MANAGEMENT In-situ Storage of Asbestos materials

| S.No                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Activity | Number of Stacks | Area occupied | Details of Asbestos materials Pipes | Day/month/year Of storage |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|------------------|---------------|-------------------------------------|---------------------------|
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |          |                  |               |                                     |                           |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |          |                  |               |                                     |                           |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |          |                  |               |                                     |                           |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |          |                  |               |                                     |                           |
| <b>Site History</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |          |                  |               |                                     |                           |
| For existing Stacks, details of re-handling of pipes in number or volume to be mentioned under supervision of Authorized Experts.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |          |                  |               |                                     |                           |
| Details of Location of re-handled Asbestos materials storage, new area should be <ul style="list-style-type: none"> <li>• Minimum 10-15 ft away from campus habitation.</li> <li>• 250m away from the water sources</li> <li>• 500-800m away from Children play area</li> <li>• The area should be isolated and covered from all the sides with restricted Access for Authorised Experts Only.</li> <li>• Register to be maintained for Entry&amp; Exit of personals.</li> <li>• Register to be maintained for Entry &amp; Exit of Asbestos materials</li> <li>• Labels to be displayed in legible format</li> <li>• Specific training of Asbestos materials to be inducted in the Asbestos materials storage area for residing population in the campus.</li> </ul> |          |                  |               |                                     |                           |
| Details of transit storage of Asbestos materials to be maintained as per norms in an isolated storage room full covered                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |          |                  |               |                                     |                           |

#### VIII. SUMMARY OF KEY ISSUES AND REMEDIAL ACTIONS

- Summary of follow up time-bound actions to be taken within a set timeframe.

#### IX. APPENDIXES

- Photos
- Summary of consultations
- Copies of environmental clearances and permits
- Sample of environmental site inspection report
- Other

## Appendix15: Sample Environmental Site Inspection Report

Project Name \_\_\_\_\_  
Contract Number \_\_\_\_\_

NAME: \_\_\_\_\_ DATE: \_\_\_\_\_  
TITLE: \_\_\_\_\_ DMA: \_\_\_\_\_  
LOCATION: \_\_\_\_\_ GROUP: \_\_\_\_\_

WEATHER: \_\_\_\_\_

|                              |                   |  |
|------------------------------|-------------------|--|
| Project<br>Activity<br>Stage | Survey            |  |
|                              | Design            |  |
|                              | Implementation    |  |
|                              | Pre-Commissioning |  |
|                              | Guarantee Period  |  |

| Monitoring Items                                                                         | Compliance |
|------------------------------------------------------------------------------------------|------------|
| <b>Compliance marked as Yes / No / Not applicable (NA) / Partially Implemented (PI)</b>  |            |
| EHS supervisor appointed by contractor and available on site                             |            |
| Construction site management plan (spoils, safety, schedule, equipment etc.,) prepared   |            |
| Traffic management plan prepared                                                         |            |
| Dust is under control                                                                    |            |
| Excavated soil properly placed within minimum space                                      |            |
| Construction area is confined; no traffic/pedestrian entry observed                      |            |
| Surplus soil/debris/waste is disposed without delay                                      |            |
| Construction material (sand/gravel/aggregate) brought to site as & when required only    |            |
| Tarpaulins used to cover sand & other loose material when transported by vehicles        |            |
| After unloading , wheels & undercarriage of vehicles cleaned prior to leaving the site   |            |
| No chance finds encountered during excavation                                            |            |
| Work is planned in consultation with traffic police                                      |            |
| Work is not being conducted during heavy traffic                                         |            |
| Work at a stretch is completed within a day (excavation, pipe laying & backfilling)      |            |
| Pipe trenches are not kept open unduly                                                   |            |
| Road is not completely closed; work is conducted on edge; at least one line is kept open |            |
| Road is closed; alternative route provided & public informed, information board provided |            |
| Pedestrian access to houses is not blocked due to pipe laying                            |            |
| Spaces left in between trenches for access                                               |            |
| Wooden planks/metal sheets provided across trench for pedestrian                         |            |
| No public/unauthorized entry observed in work site                                       |            |
| Children safety measures (barricades, security) in place at works in residential         |            |

|                                                                                             |                   |
|---------------------------------------------------------------------------------------------|-------------------|
| areas                                                                                       |                   |
| Prior public information provided about the work, schedule and disturbances                 |                   |
| Caution/warning board provided on site                                                      |                   |
| Guards with red flag provided during work at busy roads                                     |                   |
| Workers using appropriate PPE (boots, gloves, helmets, ear muffs etc)                       |                   |
| Workers conducting or near heavy noise work is provided with ear muffs                      |                   |
| Contractor is following standard & safe construction practices                              |                   |
| Deep excavation is conducted with land slip/protection measures                             |                   |
| First aid facilities are available on site and workers informed                             |                   |
| Drinking water provided at the site                                                         |                   |
| <b>Monitoring Items</b>                                                                     | <b>Compliance</b> |
| Toilet facility provided at the site                                                        |                   |
| Separate toilet facility is provided for women workers                                      |                   |
| Workers camps are maintained cleanly                                                        |                   |
| Adequate toilet & bath facilities provided                                                  |                   |
| Contractor employed local workers as far as possible                                        |                   |
| Workers camp set up with the permission of PIU                                              |                   |
| Adequate housing provided                                                                   |                   |
| Sufficient water provided for drinking/washing/bath                                         |                   |
| No noisy work is conducted in the nights                                                    |                   |
| Local people informed of noisy work                                                         |                   |
| No blasting activity conducted                                                              |                   |
| Pneumatic drills or other equipment creating vibration is not used near old/risky buildings |                   |

Signature

\_\_\_\_\_

**Sign off**

\_\_\_\_\_  
Name  
Position

\_\_\_\_\_  
Name  
Position

## Appendix 16: Sample Grievance Registration Form

(To be available in Hindi and English)

The \_\_\_\_\_ Project welcomes complaints, suggestions, queries and comments regarding project implementation.

Aggravated persons may provide grievance with their name and contact information to enable us to get in touch for clarification and feedback.

In case, someone chooses not to include personal details and wants that the information provided to remain confidential, please indicate by writing/typing **\*(CONFIDENTIAL)\*** above Grievance Format.

Thank you.

|                                                                                                                                                                                         |                              |               |                   |            |  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|---------------|-------------------|------------|--|
| <b>Date</b>                                                                                                                                                                             | <b>Place of registration</b> |               |                   |            |  |
| <b>Contact Information/Personal Details</b>                                                                                                                                             |                              |               |                   |            |  |
| <b>Name</b>                                                                                                                                                                             |                              | <b>Gender</b> | * Male<br>*Female | <b>Age</b> |  |
| <b>Home Address</b>                                                                                                                                                                     |                              |               |                   |            |  |
| <b>Place</b>                                                                                                                                                                            |                              |               |                   |            |  |
| <b>Phone no.</b>                                                                                                                                                                        |                              |               |                   |            |  |
| <b>E-mail</b>                                                                                                                                                                           |                              |               |                   |            |  |
| <b>Complaint/Suggestion/Comment/Question</b> Please provide the details (who, what, where and how) of your grievance below:<br>If included as attachment/note/letter, please tick here: |                              |               |                   |            |  |
| <b>How do you want us to reach you for feedback or update on your comment/grievance?</b>                                                                                                |                              |               |                   |            |  |

### FOR OFFICIAL USE ONLY

|                                                                             |           |
|-----------------------------------------------------------------------------|-----------|
| <b>Registered by:</b> (Name of Official registering grievance)              |           |
| <b>Mode of communication:</b><br>Note/Letter<br>E-mail<br>Verbal/Telephonic |           |
| <b>Reviewed by:</b> (Names/Positions of Official(s) reviewing grievance)    |           |
| <b>Action Taken:</b>                                                        |           |
| <b>Whether Action Taken Disclosed:</b>                                      | Yes<br>No |
| <b>Means of Disclosure:</b>                                                 |           |



## Appendix 17: Officer order for establishing GRM

167

**RUIDP**  
**Government of Rajasthan**  
**Office of Project Director**  
**Rajasthan Urban Infrastructure Development Project**  
 AVS Building, Jawahar Circle, JLN Marg, Jaipur - 302017

Tel No.: 0141-2721966, Fax No.: 0141-2721919, email: mail@ruidp.gov.in, web site: www.ruidp.gov.in  
 F3(301)(50)RUIDP/PMU/PH-IV/WS/GENERAL/ 1282 Dated: 04/05/18

**Office - Order**

**Subject:-** Grievance Redress Mechanism for Rajasthan Secondary Town Development Investment Program (RSTDIP) - RUIDP Phase IV

**Reference:-** Agreed Resettlement & Environmental framework -3183 IND (RUIDP Phase III) - <https://www.ath.org/projects/42267-026/main/project-documents>

It is directed that Grievance Mechanism of RUIDP Phase III will be replicated in RUIDP Phase IV and accordingly, PIU will maintain/ensure proper records of safeguard related Grievances received in their town. PIU will also ensure that the safeguard related Grievances received are resolved as per Grievance Redress Mechanism (GRM) prescribed in RP which is summarized as under (for ready reference) -

| Methodology of multi-tier GRM                                                                                                                                                                                                                                                         | Responsibility/Action to be taken                                                                                                                                             | Time Frame                                                                    | Record Keeping                                                                                                                          |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|
| <b>1st level:</b><br>Grievances that are immediate and urgent in the perception of the complainant, the contractor, and supervision personnel from PIU will provide the most easily accessible or first level of contact for quick resolution of grievances.                          | SE/EE PIU will resolve issues in consultation with supervision personnel, Contractor                                                                                          | PIU will resolve issues within 3 days of receipt of a complaint/ grievance.   | The grievance register will be endorsed by all field agencies involved in implementation of EMP and RP.                                 |
| <b>2nd level:</b><br>All grievances that cannot be redressed within 3 days at field will be referred to PMU through PO Social/Environment by PIU.                                                                                                                                     | Project Offices (Environment/Social) PMU in consultation with PMU. PIU and the Contractor will resolve the issues referred.                                                   | PMU will resolve issues within 7 days of receipt of a complaint/ grievance.   | PIU will keep records of the matter referred to PMU and will document the outcome of each grievance resolved in the Grievance Register. |
| <b>3rd level:</b><br>All the grievances that are not addressed by PMU within 7 days, will be brought to the notice of Grievance Redress Committee (GRC). The City Level Committee (CLC), which will be established in every project town will act as GRC.                             | SE/EE PIU will coordinate with PO Social/ PO Environment or other official of PMU and will prepare agenda for the GRC meeting accordingly and ensure keeping the same in GRC. | The GRC will resolve the grievance within 15 days of receiving the complaint. | PIU will be responsible to see through the process of redress of each grievance and document the outcome.                               |
| <b>4th level:</b><br>Very major issues that are beyond the jurisdictional authority of the CLC or those that have the potential to cause social conflicts or environmental damage or those that remain unresolved at PMU/CLC level, will be referred to the Empowered Committee (EC). | SE/EE PIU will assist PMU officials to prepare agenda for the Empowered committee meeting.                                                                                    |                                                                               | SE/EE PIU will keep records of Empowered committee meeting and will ensure documentation of outcome.                                    |

Please note that an aggrieved person shall have access to the country's legal system at any stage, and accessing the country's legal system can run parallel to accessing the GRM and is not dependent on the negative outcome of the GRM.

PIUs will be responsible to ensure redressal of grievances as per GRM procedures summarized above and intimate to the complainant.

Contractor's will keep information board depicting Grievance registration numbers at each working site, Grievance registration form and will maintain Grievance Registers (refer annexure 1 & 2).

Addl. Project Director, RUIDP  
 Dated: 04/05/18  
 (K. M. Mandawaria)  
 PO(Co-ord. & Social)

F3(301)(50)RUIDP/PMU/PH-IV/WS/GENERAL/ 1283-84  
 Copy to following for information and necessary action.  
 1) PA to PD /CE /FA/DyPD(A)/SE(WS)/SE(VW)/PO(Environment)/PO(Social)/, RUIDP, Jaipur  
 2) All EE, PIU, Phase-IV for ensuring maintenance of the Grievance register and helpline and for resolving of Grievances.

## Detailed order for setting up of GRC


 Government of Rajasthan  
**Office of Project Director**  
**Rajasthan Urban Infrastructure Development Project (Unit of RUDSICO)**  
 AVS Building, Jawahar Circle, JLN Marg, Jaipur - 302017  
 Tel No.: 0141-2721966, Fax No.: 0141-2721919,  
 email: mailruidp@gmail.com, mail.ruidp@rajasthan.gov.in web site : <https://urban.rajasthan.gov.in/ruidp>  
 No. F3 (301) (60)/RUIDP/PMU/PH-IV/ADB S. Safeguard /Gen adm./2020-21/11367 Date: 18/12/2020

**Suprintending Egnleer/Executive Engineer**  
 PIU- Abu Road, Sirohi, Ratangarh,  
 Fatehpur, Laxmangarh, Sardarsahar,  
 Kuchaman, Ladnu, Makrana, Didwana,  
 Khetri, Mandawa, Pratapgarh and  
 Banswara.

**Subject:-** Nomination of Safeguard and Safety Officer (SSO) of each PIUs for proper monitoring of Environmental and Resettlement issues and addressing of safeguard related Grievances through Grievance Redress Mechanism.

**Reference:-** Agreed Environmental Resettlement framework and EARF of RSTDSP.

All PIUs are directed to issue an office order to nominate one engineer from PIU to works as Safeguard and Safety Officer (SSO). It will be additional responsibility of Safeguard and Safety Officer (SSO), PIU to ensure day to day monitoring of implementation of Environmental Management and Monitoring Plan (EMMP) provisions, implementation & Monitoring Resettlement Plan (RP) and ADB Safeguard Policy & Safety compliance in concern town.

Further it is directed that all the PIU should maintain proper separate records of safeguard related Grievances received in their town and ensure that the safeguard related Grievances received are resolved as per Grievance Redress Mechanism (GRM) prescribed in EARF/RF which is summarized as under (for ready reference)

| Methodology of multi-tier GRM                                                                                                                                                                                                                                                | Responsibility/Action to be taken                                                                                                                                                                                                                                                | Time Frame                                                                  | Records Keeping                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>1st level.</b><br>Grievances that are immediate and urgent in the perception of the complainant, the contractor, and supervision personnel from PIU and CMSC on-site will provide the most easily accessible or first level of contact for quick resolution of grievances | EE PIU will resolve issues in consultation with supervision personnel (ACM), Safeguard and Safety Officer (SSO) PIU, Contractor, and Safeguard staff of CMSC. If required, city level monitoring committee (CLMC) will be involved in resolution of grievances at the 1st level. | PIU will resolve issues within 7 days of receipt of a complaint/ grievance. | Safeguard Support of CMSC will oversee the matters and prioritize / follow up the issues with PIU/Contractor.<br><br>Safeguard and Safety Officer of PIUs, Safeguard Support of CMSC will record Grievances timely in the format enclosed as Annexure 1.<br><br>Contractor/ Safeguard Support of CMSC will enter the general Grievance received (in a summarized manner) in Grievance Register (format enclosed as Annexure 2) on receipt form public forwarded to head of PIU or Safeguard and Safety Officer (SSO) for resolve in time and provide summarized information as and when require..<br><br>The grievance register will be endorsed by all field agencies involved in implementation of EMP and |

Project Management and Capacity Building  
 Consultancy (PMCB) RSTDSP (RUIDP-V)  
 Received on 21/12/2020 Inward No. 1000  
 TL PIU/EE GRC SDO  
 GRC EE EE SDO  
 PG L-2009 PFM IF  
 CG EE Smt. Smt. Aarti  
 PC CM EHV/ SDO

| Methodology of multi-tier GRM                                                                                                                                                                                                              | Responsibility/Action to be taken                                                                                                                                                           | Time Frame                                                                                       | Record Keeping                                                                                                                                                        |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                                                                                            |                                                                                                                                                                                             |                                                                                                  | RP.                                                                                                                                                                   |
| <b>2nd level:</b><br>All grievances that cannot be redressed within 7 days at field/PIU level will be brought to the notice of Zonal PIU headed by Additional Chief Engineer (ACE).                                                        | The ACE at zonal PIU will resolve issues in consultation with SE/EE PIU, assistant safeguard officer (ASO), field level PIU, CMSC, and the contractor.                                      | The ACE at zonal PIU will resolve the grievance within 7 days of receipt of complaint/grievance. | Environmental and Social Safeguards Personal of CMSC will keep all the records referred to zonal PIU and after compilation, all the details will be submitted to PMU. |
| <b>3rd level:</b><br>All the grievances that are not addressed by Zonal PIU within 7 days of receipt will be brought to the notice of the PMU.                                                                                             | Project Officer (Social/Environment) at PMU will resolve the grievance with necessary coordination of Zonal PIU and CMSC and guidance/instruction of additional project director (APD-PMU). | PMU will resolve the grievance within 15 days of receipt of grievance.                           | Safeguard Support of PMCBC will keep all the records referred to PMU.                                                                                                 |
| <b>4th level:</b><br>Grievances not redressed through this process within/at the project level within stipulated time period will be referred to the CLC/grievance redress committee (GRC).                                                | Zonal PIU will inform the CLC regarding any grievances required to be resolved urgently.                                                                                                    | The GRC will resolve the grievance within 15 days of receiving the complaint.                    | Environmental and Social Safeguards Personal of CMSC will keep all the records.                                                                                       |
| Please note that an aggrieved person shall have access to the country's legal system at any stage, and accessing the country's legal system can run parallel to accessing the GRM and is not dependent on the negative outcome of the GRM. |                                                                                                                                                                                             |                                                                                                  |                                                                                                                                                                       |

PIU Safeguard and Safety Officer (SSO) will monitor the entire process and all decisions taken by the PIU, zonal officers, PMU and GRC and will be communicated to the APs by Safeguard Support of CMSC.

It is also directed that names and contact number of the concerned Safeguard and Safety Officer (SSO) of PIU, contractors, safeguard support staff of CMSC will be posted at all construction sites at visible locations.

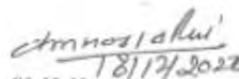
All PIUs will ensure timely issuance of order with a copy to Project Officer (Social & Environment), PMU. Further PIUs will be responsible to ensure redressal of grievances as per GRM procedures summarized above.



Chief Engineer, RUIDP

No. F3 (301) (60)/RUIDP/PMU/PH-IV/ Social Safeguard/General Adm./2020-21/ Date: 18/12/2020  
Copy to the following for information and necessary action please:- 18368-76

1. ACE-I & II Phase-IV, RUIDP zone Jaipur & Jodhpur
2. TL, PMCBC, Phase-IV, RUIDP
3. TL CMSC-01/02 Phase-IV, RUIDP zone Jaipur & Jodhpur



(K. M. Mandawaria)

PO (Co-ord. & Social)

## Appendix 18: IFC benchmark standards for workers accommodation

Appendix 18

13

### PART II: STANDARDS FOR AND MANAGEMENT OF WORKERS' ACCOMMODATION

#### I. Standards for workers' accommodation

This section looks at the principles and standards applicable to the location and construction of workers' accommodation, including the transport systems provided, the general living facilities, rooms/dormitories facilities, sanitary facilities, canteen and cooking facilities, food safety, medical facilities and leisure/social facilities.

##### A. National/local standards

The key standards that need to be taken into consideration, as a baseline, are those contained in national/local regulations. Although it is quite unusual to find regulations specifically covering workers' accommodation, there may well be general construction standards which will be relevant. These may include the following standards:

- **Building construction:** for example, quality of material, construction methods, resistance to earthquakes.
- **Housing and public housing:** in some countries regulations for housing and public housing contain requirements on issues such as the basic amenities, and standards of repair.
- **General health, safety and security:** requirements on health and safety are often an important part of building standards and might include provisions on occupation density, minimal air volumes, ventilation, the quality of the flooring (slip-resistant) or security against intrusion.
- **Fire safety:** requirements on fire safety are common and are likely to apply to housing facilities of any type. This can include provision on fire extinguishers, fire alarms, number and size of staircases and emergency exits, restrictions on the use of certain building materials.
- **Electricity, plumbing, water and sanitation:** national design and construction standards often include very detailed provisions on electricity or plumbing fixtures/fittings, water and sanitation connection/equipment.

#### Benchmark

1. The relevant national and local regulations have been identified and implemented.

#### B. General living facilities

Ensuring good standards in living facilities is important in order to avoid safety hazards and to protect workers from diseases and/or illness resulting from humidity, bad/stagnant water (or lack of water), cold, spread of fungus, proliferation of insects or rodents, as well as to maintain a good level of morale. The location of the facilities is important to prevent exposure to wind, fire, flood and other natural hazards, it is also important that workers' accommodation is unaffected by the environmental or operational impacts of the worksite (for example noise, emissions or dust) but is sufficiently close that workers do not have to spend undue amounts of time travelling from their accommodation to the worksite. Living facilities should be built using adequate materials and should always be kept in good repair, clean and free from rubbish and other refuse.

#### Benchmarks

1. Living facilities are located to avoid flooding and other natural hazards.
2. Where possible, living facilities are located within a reasonable distance from the worksite.
3. Transport from the living facilities to worksite is safe and free.
4. The living facilities are built with adequate materials, kept in good repair and kept clean and free from rubbish and other refuse.

#### Drainage

The presence of stagnant water is a factor of proliferation of potential disease vectors such as mosquitoes, flies and others, and must be avoided.

#### Benchmarks

1. The building site is adequately drained to avoid the accumulation of stagnant water.



**Heating, air conditioning, ventilation and light**

Heating, air-conditioning and ventilation should be appropriate for the climatic conditions and provide workers with a comfortable and healthy environment to rest and spend their spare time.

**Benchmarks**

1. For facilities located in cold weather zones, the temperature is kept at a level of around 20 degrees Celsius notwithstanding the need for adequate ventilation.
2. For facilities located in hot weather zones, adequate ventilation and/or air conditioning systems are provided.
3. Both natural and artificial lighting are provided and maintained in living facilities. It is best practice that the window area represents not less than 5% to 10% of the floor area. Emergency lighting is provided.

**Water**

Special attention to water quality and quantity is absolutely essential. To prevent dehydration, water poisoning and diseases resulting from lack of hygiene, workers should always have easy access to a source of clean water. An adequate supply of potable water must be available in the same buildings where bedrooms or dormitories are provided. Drinking water must meet local or WHO drinking water standards<sup>7</sup> and water quality must be monitored regularly. Depending on the local context, it could either be produced by dedicated catchment and treatment facilities or tapped from existing municipal facilities if their capacity and quality are adequate.

**Benchmarks**

1. Access to an adequate and convenient supply of free potable water is always available to workers. Depending on climate, weather conditions and accommodation standards, 80 to 180 litres per person per day are available.
2. Drinking water meets national/local or WHO drinking water standards.<sup>8</sup>
3. All tanks used for the storage of drinking water are constructed and covered as to prevent water stored therein from becoming polluted or contaminated.

<sup>7</sup> [http://www.who.int/dietary/nutrition/food/safety/food\\_quality/food\\_quality\\_standards/en/](http://www.who.int/dietary/nutrition/food/safety/food_quality/food_quality_standards/en/)  
<sup>8</sup> [http://www.who.int/dietary/nutrition/food/safety/food\\_quality/food\\_quality\\_standards/en/](http://www.who.int/dietary/nutrition/food/safety/food_quality/food_quality_standards/en/)

4. Drinking water quality is regularly monitored.

**Wastewater and solid waste**

Wastewater treatment and effluent discharge as well as solid waste treatment and disposal must comply with local or World Bank effluent discharge standards<sup>9</sup> and be adequately designed to prevent contamination of any water body, to ensure hygiene and to avoid the spread of infections and diseases, the proliferation of mosquitoes, flies, rodents, and other pest vectors. Depending on the local context, treatment and disposal services can be either provided by dedicated or existing municipal facilities.

**Benchmarks**

1. Wastewater, sewage, food and any other waste materials are adequately discharged, in compliance with local or World Bank standards – whichever is more stringent – and without causing any significant impacts on camp residents, the biophysical environment or surrounding communities.
2. Specific containers for rubbish collection are provided and emptied on a regular basis. Standards range from providing an adequate number of rubbish containers to providing leak proof, non-absorbent, rust and corrosion-resistant containers protected from insects and rodents. In addition it is best practice to locate rubbish containers 30 metres from each shelter on a wooden, metal, or concrete stand. Such containers must be emptied at regular intervals (to be determined based on temperatures and volumes generated) to avoid unpleasant odours associated with decaying organic materials.
3. Pest extermination, vector control and disinfection are carried out throughout the living facilities in compliance with local requirements and/or good practice. Where warranted, pest and vector monitoring should be performed on a regular basis.

<sup>9</sup> [http://www.who.int/dietary/nutrition/food/safety/food\\_quality/food\\_quality\\_standards/en/](http://www.who.int/dietary/nutrition/food/safety/food_quality/food_quality_standards/en/)  
<sup>10</sup> [http://www.who.int/dietary/nutrition/food/safety/food\\_quality/food\\_quality\\_standards/en/](http://www.who.int/dietary/nutrition/food/safety/food_quality/food_quality_standards/en/)

### C. Room/dormitory facilities

The standards of the rooms or dormitory facilities are important to allow workers to rest properly and to maintain good standards of hygiene. Overcrowding should be avoided particularly. This also has an impact on workers' productivity and reduces work-related accidents. It is generally acknowledged that rooms/dormitories should be kept clean and in a good condition. Exposure to noise and odour should be minimised. In addition, room/dormitory design and equipment should strive to offer workers a maximum of privacy. Resorting to dormitories should be minimised and single or double rooms are preferred. Dormitories and rooms must be single-sex.

#### Benchmarks

1. Rooms/dormitories are kept in good condition.
2. Rooms/dormitories are aired and cleaned at regular intervals.
3. Rooms/dormitories are built with easily cleanable flooring material.
4. Sanitary facilities are located within the same buildings and provided separately for men and women.
5. Density standards are expressed either in terms of minimal volume per resident or of minimal floor space. Usual standards range from 10 to 12.5 cubic metres (volume) or 4 to 5.5 square metres (surface).
6. A minimum ceiling height of 2.10 metres is provided.
7. In collective rooms, which are minimised, in order to provide workers with some privacy, only a reasonable number of workers are allowed to share the same room. Standards range from 2 to 8 workers.
8. All doors and windows should be lockable, and provided with mosquito screens where conditions warrant.
9. There should be mobile partitions or curtains to ensure privacy.
10. Every resident is provided with adequate furniture such as a table, a chair, a mirror and a bedside light.
11. Separate sleeping areas are provided for men and women, except in family accommodation.

#### Additional issue

Irrespective of whether workers are supposed to keep their facilities clean, it is the responsibility of the accommodation manager to ensure that rooms/dormitories and sanitary facilities are in good condition.

### Bed arrangements and storage facilities

The provision of an adequate numbers of beds of an appropriate size is essential to provide workers with decent, safe and hygienic conditions to rest and sleep. Here again, particular attention should be paid to privacy. Consideration should be given to local customs so beds could be replaced by hammocks or sleeping mats for instance.

#### Benchmarks

1. A separate bed for each worker is provided. The practice of "hot bedding" should be avoided.
2. There is a minimum space between beds of 1 metre.
3. Double deck bunks are not advisable for fire safety and hygiene reasons, and their use is minimised. Where they are used, there must be enough clear space between the lower and upper bunk of the bed. Standards range from 0.7 to 1.10 metres.
4. Triple deck bunks are prohibited.
5. Each worker is provided with a comfortable mattress, pillow, cover and clean bedding.
6. Bed linen is washed frequently and applied with repellents and disinfectants where conditions warrant (malaria).
7. Facilities for the storage of personal belongings for workers are provided. Standards vary from providing an individual cupboard for each worker to providing 475-litre big lockers and 1 metre of shelf unit.
8. Separate storage for work boots and other personal protection equipment, as well as drying/airing areas may need to be provided depending on conditions.

## D. Sanitary and toilet facilities

It is essential to allow workers to maintain a good standard of personal hygiene but also to prevent contamination and the spread of diseases which result from inadequate sanitary facilities. Sanitary and toilet facilities will always include all of the following: toilets, urinals, washbasins and showers. Sanitary and toilet facilities should be kept in a clean and fully working condition. Facilities should also be constructed of materials that are easily cleanable and ensure privacy. Sanitary and toilet facilities are never shared between male and female residents, except in family accommodation. Where necessary, specific additional sanitary facilities are provided for women.

### Benchmarks

1. Sanitary and toilet facilities are constructed of materials that are easily cleanable.
2. Sanitary and toilet facilities are cleaned frequently and kept in working condition.
3. Sanitary and toilet facilities are designed to provide workers with adequate privacy, including ceiling to floor partitions and lockable doors.
4. Sanitary and toilet facilities are not shared between men and women, except in family accommodation.

### Toilet facilities

Toilet arrangements are essential to avoid any contamination and prevent the spread of infectious disease.

### Benchmarks

1. An adequate number of toilets is provided to workers. Standards range from 1 unit to 15 persons to 1 unit per 6 persons. For urinals, usual standards are 1 unit to 15 persons.
2. Toilet facilities are conveniently located and easily accessible. Standards range from 30 to 60 metres from rooms/dormitories. Toilet rooms shall be located so as to be accessible without any individual passing through any sleeping room. In addition, all toilet rooms should be well-lit, have good ventilation or external windows, have sufficient hand wash basins and be conveniently located. Toilets and other sanitary facilities should be ("must be" in cold climates) in the same building as rooms and dormitories.

### Showers/bathrooms and other sanitary facilities

Hand wash basins and showers should be provided in conjunction with rooms/dormitories. These facilities must be kept in good working condition and cleaned frequently. The flooring for shower facilities should be of hard washable materials, damp-proof and properly drained. Adequate space must be provided for hanging, drying and airing clothes. Suitable light, ventilation and soap should be provided. Lastly, hand washing, shower and other sanitary facilities should be located within a reasonable distance from other facilities and from sleeping facilities in particular.

### Benchmarks

1. Shower/bathroom flooring is made of anti-slip hard washable materials.
2. An adequate number of handwash facilities is provided to workers. Standards range from 1 unit to each 15 persons to 1 unit per 6 workers. Handwash facilities should consist of a tap and a basin, soap and hygienic means of drying hands.
3. An adequate number of shower/bathroom facilities is provided to workers. Standards range from 1 unit to 15 persons to 1 unit per 6 persons.
4. Showers/bathrooms are conveniently located.
5. Shower/bathroom facilities are provided with an adequate supply of cold and hot running water.

## E. Canteen, cooking and laundry facilities

Good standards of hygiene in canteen/dining halls and cooking facilities are crucial. Adequate canteen, cooking and laundry facilities and equipments should also be provided. When caterers are contracted to manage kitchens and canteens, special attention should be paid to ensure that contractors take into account and implement the benchmarks below, and that adequate reporting and monitoring mechanisms are in place. When workers can individually cook their meals, they should be provided with a space separate from the sleeping areas. Facilities must be kept in a clean and sanitary condition. In addition, canteen, kitchen, cooking and laundry floors, ceilings and walls should be made of easily cleanable materials.

**Benchmarks**

1. Canteen, cooking and laundry facilities are built in adequate and easy to clean materials.
2. Canteen, cooking and laundry facilities are kept in a clean and sanitary condition.
3. If workers can cook their own meals, kitchen space is provided separate from sleeping areas.

**Laundry facilities**

Providing facilities for workers to wash both work and non-work related clothes is essential for personal hygiene. The alternative is for the employer to provide a free laundry service.

**Benchmarks**

1. Adequate facilities for washing and drying clothes are provided. Standards range from providing sinks or tubs with hot and cold water, cleaning soap and drying lines to providing washing machines and dryers.
2. When work clothes are used in contact with dangerous substance (for example, application of pesticide), special laundry facilities (washing machines) should be provided.

**Additional issue**

When workers are provided with facilities allowing them to individually do their laundry or cooking, it should be the responsibility of each worker to keep the facilities in a clean and sanitary condition. Nonetheless, it is the responsibility of the accommodation manager to make sure the standards are respected and to provide an adequate cleaning, disinfection and pest/vector control service when necessary.

**Additional issue**

When the employer provides family accommodation, it is best practice to provide each family with a private kitchen or the necessary cooking equipment to allow the family to cook on their own.

**Canteen and cooking facilities**

Canteen and cooking facilities should provide sufficient space for preparing food and eating, as well as conform to hygiene and safety requirements.

**Benchmarks**

1. Canteens have a reasonable amount of space per worker. Standards range from 1 square metre to 1.5 square metres.

2. Canteens are adequately furnished. Standards range from providing tables, benches, individual drinking cups and plates to providing special drinking fountains.

3. Places for food preparation are designed to permit good food hygiene practices, including protection against contamination between and during food preparation.

4. Kitchens are provided with facilities to maintain adequate personal hygiene including a sufficient number of washbasins designated for cleaning hands with clean, running water and materials for hygienic drying.

5. Wall surfaces adjacent to cooking areas are made of fire-resistant materials. Food preparation tables are also equipped with a smooth durable washable surface. Lastly, in order to enable easy cleaning, it is good practice that stoves are not sealed against a wall, benches and fixtures are not built into the floor, and all cupboards and other fixtures and all walls and ceilings have a smooth durable washable surface.

6. All kitchen floors, ceiling and wall surfaces adjacent to or above food preparation and cooking areas are built using durable, non-absorbent, easily cleanable, non-toxic materials.

7. Wall surfaces adjacent to cooking areas are made of fire-resistant materials. Food preparation tables are equipped with a smooth, durable, easily cleanable, non-corrosive surface made of non-toxic materials. Lastly, in order to enable easy cleaning, it is good practice that stoves are not sealed against a wall, benches and fixtures are not built into the floor, and all cupboards and other fixtures have a smooth, durable and washable surface.

8. Adequate facilities for cleaning, disinfecting and storage of cooking utensils and equipment are provided.

9. Food waste and other refuse are to be adequately deposited in sealable containers and removed from the kitchen frequently to avoid accumulation.



## F. Standards for nutrition and food safety

When cooking for a number of workers, hygiene and food safety are absolutely critical. In addition to providing safe food, providing nutritious food is important as it has a very direct impact on workers' productivity and well-being. An ILO study demonstrates that good nutrition at work leads to gains in productivity and worker morale, prevention of accidents and premature deaths and reductions in health care costs.<sup>10</sup>

### Benchmarks

1. The WHO 5 keys to safer food or an equivalent process is implemented (see Box 6 below).
2. Food provided to workers contains an appropriate level of nutritional value and takes into account religious/cultural backgrounds; different choices of food are served if workers have different cultural/religious backgrounds.
3. Food is prepared by cooks. It is also best practice that meals are planned by a trained nutritionist.

#### Box 6 - Five keys to safer food

##### Keep clean

Wash your hands before handling food and often during food preparation.  
Wash your hands after going to the toilet.  
Wash and sanitise all surfaces and equipment used for food preparation.  
Protect kitchen areas and food from insects, pests and other animals.

While most micro organisms do not cause disease, dangerous micro organisms are widely found in soil, water, animals and people. These micro organisms are carried on hands, wiping cloths and utensils, especially cutting boards and the slightest contact can transfer them to food and cause food borne diseases.

##### Separate raw and cooked

Separate raw meat, poultry and seafood from other foods.  
Use separate equipment and utensils such as knives and cutting boards for handling raw foods.  
Store food in containers to avoid contact between raw and prepared foods.

Raw food, especially meat, poultry and seafood, and their juices, can contain dangerous micro organisms which may be transferred onto other foods during food preparation and storage.

##### Cook thoroughly

Cook food thoroughly, especially meat, poultry, eggs and seafood.  
Bring foods like soups and stews to boiling to make sure that they have reached 70°C. For meat and poultry, make sure that juices are clear, not pink. Ideally, use a thermometer.  
Reheat cooked food thoroughly.

Proper cooking kills almost all dangerous micro organisms. Studies have shown that cooking food to a temperature of 70°C can help ensure it is safe for consumption. Foods that require special attention include minced meats, rolled roasts, large joints of meat and whole poultry.

##### Keep food at safe temperatures

Do not leave cooked food at room temperature for more than 2 hours.  
Refrigerate promptly all cooked and perishable food (preferably below 5°C).  
Keep cooked food piping hot (more than 60°C) prior to serving.  
Do not store food too long even in the refrigerator.  
Do not thaw frozen food at room temperature.

Micro organisms can multiply very quickly if food is stored at room temperature. By holding at temperatures below 5°C or above 60°C, the growth of micro organisms is slowed down or stopped. Some dangerous micro organisms still grow below 5°C.

##### Use safe water and raw materials

Use safe water or treat it to make it safe.  
Select fresh and wholesome foods.  
Choose foods processed for safety, such as pasteurised milk.  
Wash fruits and vegetables, especially if eaten raw.  
Do not use food beyond its expiry date.

Raw materials, including water and ice, may be contaminated with dangerous micro organisms and chemicals. Toxic chemicals may be formed in damaged and mouldy foods. Take care in selection of raw materials and implement simple measures such as washing.

Source: World Health Organization, Food Safety  
[www.who.int/foodsafety/publications/consumer/en/5keys\\_en.pdf](http://www.who.int/foodsafety/publications/consumer/en/5keys_en.pdf)

10. C. Wessely (2005), 'Food at Work - Workplace solutions for malnutrition, obesity and chronic disease', International Labour Organization, Geneva.

### G. Medical facilities

Access to adequate medical facilities is important to maintain workers' health and to provide adequate responses in case of health emergency situations. The availability or level of medical facilities provided in workers' accommodation is likely to depend on the number of workers living on site, the medical facilities already existing in the neighbouring communities and the availability of transport. However, first aid must always be available on site.

#### First aid facilities

Providing adequate first aid training and facilities can save lives and prevent minor injuries becoming major ones.

#### Other medical facilities

Depending on the number of workers living on site and the medical services offered in the surrounding communities, it is important to provide workers with additional medical facilities. Special facilities for sick workers and medical services such as dental care, surgery, a dedicated emergency room can, for instance, be provided.

#### Benchmarks

1. A number of first aid kits adequate to the number of residents are available.
2. First aid kits are adequately stocked. Where possible a 24/7 first aid service/facility is available.
3. An adequate number of staff/workers is trained to provide first aid.
4. Where possible and depending on the medical infrastructures existing in the community, other medical facilities are provided (nurse rooms, dental care, minor surgery).

### Box 7 - UK/HSE First Aid facilities

#### What should be in a first aid kit?

There is no standard list and it very much depends on the assessment of the needs in a particular workplace:

- a leaflet giving general guidance on first aid, for example HSE leaflet *Basic advice on first aid at work*
- individually wrapped sterile adhesive dressings (assorted sizes)
- two sterile eye pads
- four individually wrapped triangular bandages (preferably sterile)
- six safety pins
- six medium-sized (approximately 12 cm x 12 cm) individually wrapped sterile unmedicated wound dressings
- two large (approximately 18 cm x 18 cm) sterile individually wrapped unmedicated wound dressings
- one pair of disposable gloves.

#### What should be kept in the first aid room?

The room should contain essential first aid facilities and equipment. Typical examples of these are:

- a sink with hot and cold running water
- drinking water and disposable cups
- soap and paper towels
- a store for first aid materials
- foot-operated refuse containers, lined with disposable yellow clinical waste bags or a container for the safe disposal of clinical waste
- a couch with waterproof protection, clean pillows and blankets
- a chair
- a telephone or other communication equipment
- a record book for recording incidents where first aid has been given.

Source: UK Health and Safety Executive

## H. Leisure, social and telecommunication facilities

Basic leisure and social facilities are important for workers to rest and also to socialise during their free time. This is particularly true where workers' accommodation is located in remote areas far from any communities. Where workers' accommodation is located in the vicinity of a village or a town, existing leisure or social facilities can be used so long as this does not cause disruption to the access and enjoyment of local community members. But in any case, social spaces should also be provided on site. Exercise and recreational facilities will increase workers' welfare and reduce the impact of the presence of workers in the surrounding communities. In addition it is also important to provide workers with adequate means to communicate with the outside world, especially when workers' accommodation is located in a remote location or where workers live on site without their family or are migrants. Consideration of cultural attitudes is important. Provision of space for religious observance needs to be considered, taking account of the local context and potential conflicts in certain situations.

### Benchmarks

1. Basic collective social/rest spaces are provided to workers. Standards range from providing workers multi-purpose halls to providing designated areas for radio, TV, cinema.
2. Recreational facilities are provided. Standards range from providing exercise equipment to providing a library, swimming pool, tennis courts, table tennis, educational facilities.
3. Workers are provided with dedicated places for religious observance if the context warrants.
4. Workers have access to public phones at affordable/public prices (that is, not inflated).
5. Internet facilities can also be provided, particularly where large numbers of expatriates/Third Country Nationals (TCNs) are accommodated.

### Box 6 - Examples of social/leisure facilities

In Qatar there is a newly built 170-hectare complex which accommodates contractors and more than 35,000 workers for a project run by a major oil company. At the heart of this complex, the recreation area includes extensive sport facilities, a safety-training centre, an outdoor cinema and a park. The purpose of those facilities goes beyond providing adequate accommodation to the large numbers of contractors and workers on this project but is designed to provide the same level of services as a small town. The accommodation complex has a mayor, as well as a dedicated welfare team which is responsible for the workers' welfare, cultural festivals and also acts as the community's advocates.

## II. Managing workers' accommodation

Once the living facilities have been constructed and are operational, effective ongoing management of living facilities is essential. This encompasses issues such as the physical maintenance of buildings, security and consultation with residents and neighbouring communities in order to ensure the implementation of the housing standards in the long term.

### A. Management and staff

Worker camps and housing facilities should have a written management plan, including management policies or plans on health and safety, security, living conditions, workers' rights and representation, relationships with the communities and grievance processes. Part of those policies and plans can take the form of codes of conduct. The quality of the staff managing and maintaining the accommodation facilities will have a decisive impact on the level of standards which are implemented and the well-being of workers (for instance on the food safety or overall hygiene standards). It is therefore important to ensure that managers are competent and other workers are adequately skilled. The manager will be responsible for overseeing staff, for ensuring the implementation of the accommodation standards and for the implementation of the management plans. It is important the accommodation manager has the corresponding authority to do so.



If the facility is being managed by a contractor, as is often the case, the expected housing and management standards should be specified in the relevant contract, and mechanisms to ensure that those standards are implemented should be set up. As part of this process, the accommodation manager (or contractor) should have a duty to monitor the application of the accommodation standards and to report frequently on their implementation to the client.

#### Benchmarks

1. There are management plans and policies especially in the field of health and safety (with emergency responses), security, workers' rights, relationships with the communities.
2. An appointed person with the adequate background and experience is in charge of managing the workers' accommodation.
3. If contractors are being used, there are clear contractual management responsibilities and monitoring and reporting requirements.
4. Depending on the size of the accommodation, there is a sufficient number of staff in charge of cleaning, cooking and of general maintenance.
5. Such staff are recruited from the local communities.
6. Staff have received basic health and safety training.
7. Persons in charge of the kitchen are trained in nutrition and food-handling and adequately supervised.

#### B. Charging fees for accommodation and services

Charging fees for the accommodation or the services provided to workers such as food or transport should be avoided where workers do not have the choice to live or eat anywhere else, or if deemed unavoidable, should take into account the specific nature of workers' accommodation. Any charges should be transparent, discussed during recruitment and specified in workers' contracts. Any such charges should still leave workers with sufficient income and should never lead to a worker becoming indebted to an employer.

#### Benchmarks

1. When fees are charged, workers are provided with clear information and a detailed description of all payments made such as rent, deposit and other fees.
2. When company housing is considered to be part of workers' wages, it is best practice that workers are provided with an employment contract clearly specifying housing arrangements and regulations, in particular rules concerning payments and fees, facilities and services offered and rules of notice.
3. When fees are charged, the renting arrangements are fair and do not cost the worker more than a small proportion of income and never include a speculative profit.
4. Food and other services are free or are reasonably priced, never above the local market price.
5. The provision of accommodation or other services by employers as a payment for work is prohibited.

#### Additional issue

To avoid that fair renting arrangements turn into unfair ones, any deposit of advance should be set at a reasonable level and it is best practice that renting prices include a fixed fee covering the water needed and the use of the energy required to the functioning of the heating/cooling/ventilation/cooking systems. However, in such cases it might be necessary to raise workers' awareness to ensure that workers will use the facilities responsibly, particularly in areas where water is scarce.

#### C. Health and safety on site

The company or body in charge of managing the workers' accommodation should have the prime responsibility for ensuring workers' physical well-being and integrity. This involves making sure that the facilities are kept in good condition (ensuring that sanitary standards or fire regulations are respected for instance) and that adequate health and safety plans and standards are designed and implemented.

### Benchmarks

1. Health and safety management plans including electrical, mechanical, structural and food safety have been carefully designed and are implemented.
2. The person in charge of managing the accommodation has a specific duty to report to the health authorities the outbreak of any contagious diseases, food poisoning and other important casualties.
3. An adequate number of staff/workers is trained to provide first aid.
4. A specific fire safety plan is prepared, including training of fire wardens, periodic testing and monitoring of fire safety equipment and periodic drills.
5. Guidance on the detrimental effects of the abuse of alcohol and drugs and other potentially harmful substances and the risk and concerns relating to HIV/AIDS and of other health risk-related activities is provided to workers. It is best practice to develop a clear policy on this issue.
6. Workers have access to adequate preventive measures such as contraception (condoms in particular) and mosquito nets.
7. Workers have easy access to medical facilities and medical staff. Where possible, female doctors/nurses should be available for female workers.
8. Emergency plans on health and fire safety are prepared. Depending on the local context, additional emergency plans are prepared as needed to handle specific occurrences (earthquakes, floods, tornadoes).

### D. Security of workers' accommodation

Ensuring the security of workers and their property on the accommodation site is of key importance. To this end, a security plan must be carefully designed including appropriate measures to protect workers against theft and attacks. Policies regarding the use of force (force can only be used for preventive and defensive purposes in proportion to the nature and the extent of the threat) should also be

carefully designed. To implement those plans, it may be necessary to contract security services or to recruit one or several staff whose main responsibility is to provide security to safeguard workers and property. Before making any security arrangements, it is necessary to assess the risks of such arrangements to those within and outside the workers' accommodation and to respect best international practices, including IFC PS4 and EBRD PR4 and applicable law.<sup>11</sup> Particular attention should be paid to the safety and security of women workers.

### Benchmarks

1. A security plan including clear measures to protect workers against theft and attack is implemented.
2. A security plan including clear policies on the use of force has been carefully designed and is implemented.
3. Security staff have been checked to ensure that they have not been implicated in any previous crimes or abuses. Where appropriate, security staff from both genders are recruited.
4. Security staff have a clear mandate and have received clear instruction about their duties and responsibilities, in particular their duties not to harass, intimidate, discipline or discriminate against workers.
5. Security staff have received adequate training in dealing with domestic violence and the use of force.
6. Security staff have a good understanding about the importance of respecting workers' rights and the rights of the communities.
7. Body searches are only allowed in specific circumstances and are performed by specially trained security staff using the least-intrusive means possible. Pat down searches on female workers can only be performed by female security staff.
8. Security staff adopt an appropriate conduct towards workers and communities.
9. Workers and members of the surrounding communities have specific means to raise concerns about security arrangement and staff.

<sup>11</sup> See for instance the document *Principles and Guidelines for Human Rights* (www.undp.org/humanrights/standards).

### E. Workers' rights, rules and regulations on workers' accommodation

Freedoms and human rights of workers should be recognised and respected within their living quarters just as within the working environment. House rules and regulations should be reasonable and non discriminatory. It is best practice that workers' representatives are consulted about those rules. House rules and regulations should not prevent workers from exercising their basic rights. In particular, workers' freedom of movement needs to be preserved if they are not to become effectively "trapped". To this end it is good practice to provide workers with 24/7 access to the accommodation and free transport services to and from the surrounding communities. Any restriction to this freedom of movement should be limited and duly justified. Penalties for breaking the rules should be proportional and implemented through a proper procedure allowing workers to defend themselves and to challenge the decision taken. The relationship between continuing employment and compliance with the rules of the workers' accommodation should be clear and particular attention should be paid to ensure that housing rules do not create indirect limitation of the right to freedom of association. Best practice might include a code of conduct relating to the accommodation to be signed together with the contract of employment.

#### Box 9 - Dole housing plantation regulation in Costa Rica

In every plantation there is an internal accommodation regulation that every worker is required to sign together with his/her employment contract. That document describes the behaviour which is expected from workers at all times and basic rules such as the prohibition of alcohol and the interdiction to make noise after a certain time at night. In case there is any problem concerning the application of those internal rules, a set of disciplinary procedures which have been designed with the workers' representatives can be enforced. Workers are absolutely free to enter or leave the site and do not have any restrictions in relation to accessing their living quarters. Families are not allowed in the living quarters unless they have been registered for a visit.

#### Benchmarks

1. Restriction of workers' freedom of movement to and from the site is limited and duly justified. It is good practice to provide workers 24/7 access to the accommodation site. Any restrictions based on security reasons should be balanced by the necessity to respect workers' freedom of movement.
2. Where possible, an adequate transport system to surrounding communities is provided. It is good practice to provide workers with free transportation to and from local communities.
3. Withholding workers' ID papers is prohibited.
4. Freedom of association is expressly respected. Provisions restricting workers' rights on site should take into account the direct and indirect effect on workers' freedom of association. It is best practice to provide trade union representatives access to workers in the accommodation site.
5. Workers' gender and religious, cultural and social backgrounds are respected. In particular, workers should be provided with the possibility of celebrating religious holidays and observances.
6. Workers are made aware of their rights and obligations and are provided with a copy of the internal workers' accommodation rules, procedures and sanction mechanisms in a language or through a media which they understand.
7. Housing regulations, including those relating to allocation of housing, should be non-discriminatory. Any justifiable discriminatory rules - for example, all-male dormitories - should be strictly limited to the rules which are necessary to ensure the smooth running of the worker camp and to maintain a good relationship with the surrounding communities.
8. Where possible, visitor access should be allowed.
9. Decisions should be made on whether to prohibit alcohol, tobacco and third party access or not from the camp and the relevant rules should be clearly communicated to all residents and workers.
10. A fair and non-discriminatory procedure exists to implement disciplinary procedures including the right of workers to defend themselves (see also next section).



## F. Consultation and grievance mechanisms

All residents should be made aware of any rules governing the accommodation and the consequences of breaking such rules. Processes that allow for consultation between site management and the resident workers will assist in the smooth running of an accommodation site. These may include a dormitory or camp committee as well as formal processes that allow workers to lodge any grievances about their accommodation.

### Benchmarks

1. Mechanisms for workers' consultation have been designed and implemented. It is best practice to set up a review committee which includes representatives elected by workers.
2. Processes and mechanisms for workers to articulate their grievances are provided to workers. Such mechanisms are in accordance with PS2/PR2.
3. Workers subjected to disciplinary proceedings arising from behaviour in the accommodation should have access to a fair and transparent hearing with the possibility to contest decisions and refer the dispute to independent arbitration or relevant public authorities.
4. In case conflicts between workers themselves or between workers and staff break out, workers have the possibility of easily accessing a fair conflict resolution mechanism.
5. In cases where more serious offences occur, including serious physical or mental abuse, there are mechanisms to ensure full cooperation with the police authority (where adequate).

### Additional issue

Alcohol is a complex issue and requires a very clear policy from the workers' accommodation management. If a non alcohol policy is taken, special attention should be paid to clearly communicate the interdiction, how it applies and the consequences for breaching this rule. Special attention should also be paid to enforce it adequately.

## G. Management of community relations

Workers' living facilities have various ongoing impacts on adjacent communities. In order to manage these, it is good practice to design a thorough community relations management plan. This plan will contain the processes to implement the findings of the preliminary community impact assessment and to identify, manage, mitigate or enhance ongoing impacts of the workers' accommodation on the surrounding communities. Issues to be taken into consideration include:

- community development – impact of workers' camp on local employment, possibility of enhancing local employment and income generation through local sourcing of goods and services
- community needs – ways to identify and address community needs related to the arrival of specific infrastructures such as telecommunications, water sanitation, roads, health care, education, housing
- community health and safety – addressing and reducing the risk in the increase in communicable diseases, corruption, trade in illegal substances such as drugs, alcohol (in the Muslim context), petty crimes and other sorts of violence, road accidents
- community social and cultural cohesion – ways to mitigate the impact of the presence of large numbers of foreign workers, often males, with different cultural and religious background, ways to mitigate the possible shift in social, economic and political structures due to changes in access to income generation opportunities.

### Benchmarks

1. Community relations plans addressing issues around community development, community needs, community health and safety and community social and cultural cohesion have been designed and implemented.
2. Community relations plans include the setting up of a liaison mechanism allowing a constant exchange of information and consultation with the local communities in order to identify and respond quickly to any problems and maintain good working relationships.
3. A senior manager is in charge of implementing the community relations management plan and liaising with the community.

4. The impacts of workers' accommodation on local communities are periodically reviewed, mitigated or enhanced.
5. Community representatives are provided with an easy means to voice their opinions and to lodge complaints.
6. There is a transparent and efficient process for dealing with community grievances, in accordance with PS1/PR10.

**Box 10 - Examples of community relations management**

**Community consultation in the Baku-Tbilisi-Ceyhan (BTC) pipeline**

The BTC pipeline's Environment and Social Management Plans incorporated a Worker Camp Management Plan to be implemented by the construction contractor. As part of ongoing community liaison over the project as a whole, community liaison officers were appointed for worker camps who were responsible for meeting regularly with communities, identifying issues and addressing community concerns. A particular responsibility was to review HR records and disciplinary logs at worker camps to assess that rules were being implemented effectively and that any community liaison after any incidents was effective.



## **Appendix 19: Guidelines and Emergency plan for handling and storing chlorine**

*Instructions for Storage and Handling of Chlorine Cylinders (Based on the 'Manual on Operation and Maintenance of Water Supply Systems' published by the Central Public Health and Environmental Engineering Organization (CPHEEO) in 2005)*

### **1. Storage Area**

- (i) Obtain storage license from controller of explosives under Gas Cylinder Rules 2004 if the quantity of Cl<sub>2</sub> containers to be stored is more than 5 Nos;
- (ii) Storage area should be cool, dry, well ventilated, and clean of trash and protected from external heat sources. Please refer to Manual on "Water Supply and Treatment", (1999 Edition), for further details;
- (iii) Ventilation must be sufficient to prevent accumulation of vapour pockets. The exhaust should be located either near the floor or duct be provided extending to the floor. All fan switches should be outside the storage area;
- (iv) Do not store container directly under the sun;
- (v) Weather cock should be installed near the storage to determine wind direction;
- (vi) The storage building should be of non-combustible construction with at least two exits opening outside;
- (vii) Neutralization system should be provided
- (viii) Continuous monitoring of chlorine leak detection equipment with alarm should be installed in the storage area;
- (ix) The area should be free and remote from elevators, gangways or ventilating system to avoid dangerous concentration of Chlorine during leak;
- (x) Two portable foam type fire extinguishers should be provided in the premises;
- (xi) Corrosive substances shall not be stored nearby which react violently with each other;
- (xii) Unauthorized person should not be allowed to enter into the storage area.
- (xiii) The floor level of storage shed should be preferably 30 cms (at least one foot) higher from the ground level to avoid water logging; and
- (xiv) Ensure that all containers are properly fitted with safety caps or hooks.

### **2. Cylinder & Drum Containers**

- (i) Store chlorine cylinders upright and secure them so that they do not fall;
- (ii) Drum containers should be stored on their sides on rails, a few inches above the floor. They should not be stacked one upon the other. They should be stored such that the valves are in vertical plane;
- (iii) Keep enough space between containers so as to have accessibility in case of emergency;
- (iv) Store the containers in a covered shed only. Keep them away from any source of heat as excessive heat may increase the pressure in container which will result into burst;
- (v) Do not store explosives, acids, turpentine, ether, anhydrous ammonia, finely divided metals or other flammable material in the vicinity of Chlorine;
- (vi) Do not store containers in wet and muddy areas;
- (vii) Store filled and empty containers separately;
- (viii) Protective covers for valves are secured even when the containers are empty, except during use in the system;
- (ix) Never use containers as a roller to move other equipment;
- (x) Never tamper with fusible plugs of tonners;
- (xi) Check leakages every day by means of ammonia torch. However, it should not be touched to brass components like valves of container for safety;

- (xii) Never carry out any welding work on the chlorine system as combustion of steel takes place at 2510C in presence of chlorine; and
- (xiii) The boxes containing emergency kit, safety applications and self contained breathing apparatus should be kept in working order in an easily approachable area.

### 3. Use of Cylinders & Drum Containers in Process System

- (i) Use containers in the order of their receipt, as valve packing can get hardened during prolonged storage and cause gas leaks;
- (ii) Do not use oil or lubricant on any valve of the containers;
- (iii) Badly fitting connections should not be forced and correct tool should always be used for opening and closing valves. They should never be hammered;
- (iv) The area should be well ventilated with frequent air changes.
- (v) Transport the cylinders to the process area by using crane, hoist or railings etc;
- (vi) The drum containers should be kept in a horizontal position in such a way that the valves are in a vertical plane. The upper valve gives out gas and the lower one gives out liquid chlorine;
- (vii) The cylinder should be kept in upright position in order to release gas from the valve. For liquid chlorine withdrawal, it should be inverted with the help of an inverted rack;
- (viii) Connect the containers to the system by using approved accessories;
- (ix) Use copper flexible tube, with lead washer containing 2 to 4% antimony or bonded asbestos or teflon washer. Use yoke clamp for connecting chlorine container;
- (x) Never use rubber tubes, PVC tubes etc. for making connections;
- (xi) Use the right spanner for operating the valve. Always keep the spanner on the valve spindle. Never use ill fitting spanner;
- (xii) After making the flexible connection, check for the leakage by means of ammonia torch but it should not come in contact with a valve;
- (xiii) Keep minimum distance between the container valve and header valve so that during change-over of the container, minimum amount of gas leaks;
- (xiv) The material of construction of the adopter should be same as that of valve outlet threads.
- (xv) The valve should not be used as a regulator for controlling the chlorine. During regulation due to high velocity of Chlorine, the valve gets damaged which in turn can cause difficulty in closing;
- (xvi) The tools and other equipment used for operating the container should be clean and free of grease, dust or grit;
- (xvii) Wear breathing apparatus while making the change-over of the container from the process header;
- (xviii) Do not heat the container to withdraw more gas at faster rate;
- (xix) Use pressure gauge and flow measuring device to control the flow and to know the quantity of gas left in the container;
- (xx) Use an inverted U type barometric leg or vacuum breaking arrangement for connecting the container to the process piping;
- (xxi) Withdrawal of the gas should be stopped when the gas pressure inside the container is between 0.1 to 0.5 kg/cm<sup>2</sup> approximately;
- (xxii) If withdrawal of the gas from the container connected to the process system has to be suspended for long intervals, it should be disconnected from the system, and the valve cap and hood replaced;
- (xxiii) Gas containers should be handled by trained persons only.

#### 4. **Disconnecting Containers from Process System**

- (i) Use breathing apparatus before disconnecting the container;
- (ii) First close the container valve fully. After removal of chlorine the process valve should be closed;
- (iii) Remove the flexible connection, plug the flexible connection in order to avoid entry of humid air. Replace the valve cap or hood on the container;
- (iv) Put the tag on the empty container & bring it to storage area marked for empties; and
- (v) Check for the leakage.

#### 5. **Loading and Unloading of Containers**

- (i) The handling of containers should be done under the supervision of trained and competent person;
- (ii) It should be done carefully with a crane, hoist or slanted ramp. Do not use magnet or sharp object for lifting the containers;
- (iii) Small cylinders should not be lifted by means of valve caps as these are not designed to carry the weight;
- (iv) The containers should not be allowed to strike against each other or against any hard object;
- (v) Vehicles should be braked and isolated against any movement;
- (vi) After loading, the containers should be secured properly with the help of wooden wedges, rope or sling wire so that they do not roll away;
- (vii) The containers should never be dropped directly to the ground or on the tyre from the vehicle;
- (viii) There should be no sharp projection in the vehicle;
- (ix) Containers must have valve caps and plugs fitted properly; and
- (x) Check containers for leakage before loading/unloading.

#### 6. **Transportation of Container**

- (i) The name of the chemical along with diamond pictorial sign denoting the dangerous goods should be marked on the vehicle;
- (ii) The name of the transporter, his address and telephone number should be clearly written on the vehicle;
- (iii) The vehicle should not be used to transport any material other than what is written on it;
- (iv) Only trained drivers and cleaners should transport hazardous chemical
- (v) The driver should not transport any leaking cylinder;
- (vi) The cylinder should not project outside the vehicle;
- (vii) The transporter must ensure that every vehicle driver must carry "Trem Card" (Transport Emergency Card) and 'Instructions in writing booklet' and follow them;
- (viii) Every driver must carry safety appliances with him, viz; Emergency kit, breathing apparatus etc.;
- (ix) The vehicles must be driven carefully, specially in crowded localities and on bumpy roads. Do not apply sudden brakes;
- (x) Check for the leakage from time to time; and
- (xi) In the case of uncontrollable leakage the vehicle should be taken to an open area where there is less population.

7. **Emergency Kit.** It consists of various tools and appliances like gaskets, yokes, studs, tie rods hoods, clamps, spanners, mild steel channels, screws, pins, wooden pegs etc. of standard sizes. Separate kits are used for cylinders and tonners. All the gadgets are designed for using in

controlling or stopping the leakages from valves, fusible plug and side walls of cylinders and containers used for handling chlorine.

- (i) Leakage may occur through the valve. There are basically four types of valve leaks:
  - (a) Valve packing;
  - (b) Valve seat;
  - (c) Defective inlet thread; and
  - (d) Broken valve thread;
- (ii) Leakage may occur through container wall. For controlling such leakages, clamps are used for cylinders and chain and yoke arrangement is used for tonner. Sometimes wooden peg is used by driving into the leaking hole as a temporary arrangement and
- (iii) Leakage may occur through fusible plug.
  - (a) If the leakage is through the threads of fusible plug, yoke, hood and cap nut arrangement is used to control the leak; and
  - (b) If fusible metal itself in the plug is leaking, yoke and stud arrangement is used to control the leak.

#### 8. First Aid to be Provided for a Person Affected by Chlorine

- (i) **General.** Remove the affected person immediately to an uncontaminated area. Remove contaminated clothing and wash contaminated parts of the body with soap and plenty of water. Lay down the affected person in cardiac position and keep him warm. Call a physician for medical assistance at the earliest. Caution: Never attempt to neutralize chlorine with other chemicals;
- (ii) **Skin Contact.** Remove the contaminated clothes, wash the affected skin with large quantity of water. Caution: No ointment should be applied unless prescribed by the physician;
- (iii) **Eye Contact.** If eyes get affected with liquid chlorine or high concentration of chlorine gas, they must be flushed immediately with running water for atleast 15 minutes keeping the eyelids open by hand. Caution: No ointment should be used unless prescribed by an eye specialist; and
- (iv) **Inhalation.** If the victim is conscious, take him to a quiet place and lay him down on his back, with head and back elevated (cardiac position). Loosen his clothes and keep him warm using blankets. Give him tea, coffee, milk, peppermint etc. for making good effect on breathing system. If the victim is unconscious, but breathing, lay him down in the position mentioned above and give oxygen at low pressure until the arrival of doctor. If breathing has stopped, quickly stretch him out on the ground or a blanket if available, loosen his collar and belt and start artificial respiration without delay. Neilson arm lift back pressure method is useful. Automatic artificial respiration is preferable if available. Continue the respiration until the arrival of the doctor. Amboo bag can also be used for this purpose.

#### 9. On-Site Emergency Plan to Cover the Leakage of Chlorine

**Introduction.** As chlorine is a hazardous chemical, handling and storage of it demand adequate precautions to avoid possible hazards. Leakage of chlorine may develop into a major emergency. Therefore, the emergency procedure to cover this eventuality is essential. It is drawn in the form of on-site emergency plan. The elements of onsite emergency plan are as follows:

**Identification of Hazard Chart.** In this case the site risk is evaluated by the expert and the extent of the probable damage is calculated on the basis of stored chlorine quantity, nearby population, wind direction, type of equipment failure etc. For this purpose hazard analysis is conducted in which case all the hazardous properties of chlorine are considered. If evacuation is required, the range of it is calculated.

**Appointing Key Persons.** In order to control the incident like chlorine leakage, it is essential to appoint various persons with their well-defined responsibilities. Taking into account the various activities likely to be involved, the following key persons are appointed (i) Site Controller, (ii) Incident controller, (iii) Shift Executive In charge, (iv) Communication Officer, (v) Safety Officer, (vi) Fire and Security Officer, (vii) Utilities and Services In charge, (viii) Traffic Controller, and (ix) First Aider.

**Assembly Points.** These points are set up where persons from the plant would assemble in case of chlorine leakage. At these points the in-charge for counting the heads will be available.

**Emergency Control Center.** The control centre is the focal point in case of an emergency from where the operations to handle the emergency from are directed and coordinated. It contains site plan, telephone lines, public address system, safety equipment, first aid boxes, loud speaker, torches, list of essential telephone numbers, viz. fire brigade, police, hospital, civil defence, collector, factory inspector, organizational authorities, chlorine suppliers, mutual aid group, social workers, list of key persons and their addresses, copy of chemical fact sheet, location plan of fire hydrant, details of dispersion model of chlorine gas, population distribution pattern, location of alarm system.

**Procedure to Meet Emergency.** The actions to be taken by the staff and authority are given below; Emergency Alarm: An audible emergency alarm system is installed throughout the plant. On hearing the alarm the incident controller will activate the public address system to communicate with the staff about the emergency and give specific instructions for evacuations etc. anyone can report the occurrence of chlorine leakage to section in-charge or incident controller through telephone or intercom or in person.

**Communication.** Communication officer shall establish the communication suitable to that incident.

**Services.** For quickness and efficient operation of emergency plan the plant is divided into convenient number of zones and clearly marked on the plan. These are emergency services viz. fire fighting, first aid, rescue, alternative source of power supply, communication with local bodies etc. The incident controller will hand over the charge to the site controller of all these coordinating activities, when the site controller appears on the site. The site controller will coordinate all the activities of the key persons. On hearing the emergency alarm system all the key persons will take their charge. In case of their absence other alternatives are nominated. The person nominated for personnel and administration purposes will be responsible for informing all statutory authorities, keeping account of all persons in the plant including contract labour, casual workers and visitors. He will be responsible for giving information to press or any outside agencies. He is also responsible for organizing canteen facilities and keeping informed the families of affected persons. The person nominated as security officer should guide police, fire fighting and control the vehicle entries. The site controller or any other nominated person will announce resumption of normalcy after everything is brought under control. The onsite emergency plan needs to be evaluated by mock drill. Any weaknesses noticed during such drills should be noted and the plan is modified to eliminate the weaknesses.

**Emergency.** Measures in case of leakage or spillage of Chlorine, the following emergency measures should be taken:

- (i) Take a shallow breath and keep eyes opened to a minimum;

- (ii) Evacuate the area;
- (iii) Investigate the leak with proper gas mask and other appropriate Personal protection;
- (iv) The investigator must be watched by a rescuer to rescue him in emergency;
- (v) If liquid leak occurs, turn the containers so as to leak only gas;
- (vi) In case of major leakage, all persons including neighbours should be warned;
- (vii) As the escaping gas is carried in the direction of the wind all persons should be moved in a direction opposite to that of the wind. Nose should be covered with wet handkerchief;
- (viii) Under no circumstances should water or other liquid be directed towards leaking containers, because water makes the leak worse due to corrosive effect;
- (ix) The spillage should be controlled for evaporation by spraying chilled water having temperature below 9.4°C. With this water crystalline hydrates are formed which will temporarily avoid evaporation. Then try to neutralize the spillage by caustic soda or soda ash or hydrated lime solution carefully. If fluoroprotein foam is available, use for preventing the evaporation of liquid chlorine;
- (x) Use emergency kit for controlling the leak;
- (xi) On controlling the leakage, use the container in the system or neutralize the contents in alkali solution such as caustic soda, soda ash or hydrated lime. Caution: Keep the supply of caustic soda or soda ash or hydrated lime available. Do not push the leaking container in the alkali tank. Connect the container to the tank by barometric leg;
- (xii) If container commences leak during transport, it should be carried on to its destination or manufacturer or to remote place where it will be less harmful. Keeping the vehicle moving will prevent accumulation of high concentrations;
- (xiii) Only specially trained and equipped workers should deal with emergency arising due to major leakage;
- (xiv) If major leak takes place, alert the public nearby by sounding the siren;
- (xv) Any minor leakage must be attended immediately or it will become worse; and
- (xvi) If the leakage is in the process system, stop the valve on the container at once.

**Safety Systems Required at Chlorination Plant.** The following safety systems should be kept ready at the chlorination plant:

- (i) Breathing apparatus;
- (ii) Emergency kit;
- (iii) Leak detectors;
- (iv) Neutralisation tank;
- (v) Siren system;
- (vi) Display of boards in local language for public cautioning, first aid and list of different authorities with phone numbers;
- (vii) Communication system;
- (viii) Tagging system for equipments;
- (ix) First aid including tablets and cough mixtures;
- (x) Exhaust fans;
- (xi) Testing of pressure vessels, chlorine lines etc. every year as per factory act;
- (xii) Training & mock drill;
- (xiii) Safety showers;
- (xiv) Eye fountain;
- (xv) Personal protective equipment;
- (xvi) Protecting hoods for ton-containers;
- (xvii) Fire extinguishers; and

(xviii) Wind cock.

## Appendix 20: Management Plan for Night works at Project Sites

Following requirements should be fulfilled for construction works at night hours-

1. Night works should be avoided at construction sites specially in residential areas and should be performed only when day works are not possible due to excessive traffic/public/pedestrian movement, site of cultural or religious importance, where there is huge crowd during day hours or any other unavoidable circumstances.
2. Contractor should plan for night works only after directions from PMU/PIU/DSC consents/permissions should be taken prior to start of night works.
3. PIU/DSC engineers should check and ensure that all the preparation as per management plan is done by contractor and contractor is having all the necessary equipments and materials for night works.
4. Contractor is required to have following equipments/arrangements for night works-
  - Contractors should have hand held noise level meter for measurement of noise during night hours
  - Contractors should have hand held lux meter for the measurement of illumination during night hours
  - Preferably electrical connections is available for running equipments otherwise sound proof/super silent Diesel Generator set should be available
  - Sound level should not increase as per following-

| Type of area of work | Maximum noise level dB(A) |
|----------------------|---------------------------|
| Industrial           | 70                        |
| Commercial           | 55                        |
| Residential          | 45                        |
| Silence zone         | 40                        |

- Illumination should be as follows-

| Minimum illumination (lx) | Areas to be illuminated                                   | Type of work activity                                                                                                  |
|---------------------------|-----------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------|
| 54                        | Illumination throughout the work area                     | General work area lighting, and performance of visual tasks of large size, or medium contrast, or low require accuracy |
| 108                       | Illumination of work area and areas adjacent to equipment | Performance of visual tasks of medium size, or low to medium contrast, or medium required accuracy                     |
| 216                       | Illumination of task                                      | Performance of visual tasks of small size, or low contrast or high required accuracy or fine finish                    |

- As far as possible ready mix concrete from batching plant to be used, otherwise the concrete should be prepared away from residential areas and brought to the site
- All the noise activity like hammering, cutting, crushing, running of heavy equipments should be done in day time and avoided in night time
- Workers engaged in night works should have adequate rest/sleep in day time before start of night works
- Worker engaged for night works should have previous experience of night works and should be physically fit for such works including clear vision in night



- All the necessary provisions of traffic aids such as traffic signals, road signage, barricades, cautions boards, traffic diversion boards etc. should be available with fluorescent/retro-reflective arrangements
  - Workers should be trained before start of night works about risks and hazards of night works and their mitigation measures and should be provided all the protective aids (PPEs) including fluorescent/retro-reflective vests
  - Horns should not be permitted by equipments and vehicles
  - Workers should not shout and create noise
  - First aid and emergency vehicles should be available at site
  - Emergency preparedness plan should be operative during night works
  - Old persons and pregnant women and women having small kids should not work in night time
  - All the vehicles and equipments being used at night works should have adequate type of silencers/enclosures/mufflers to reduce noise
  - All the vehicles should be checked for working head lamps, tail lamps, inner lights etc. before start of night works
5. PIU/DSC site engineers and contractors safety personnel should closely monitor the safety of works continuously and noise and illumination levels on hourly basis and maintain photographic and videographic records as well as register the observations
  6. Night works should be stopped early in the morning at least one hour before start of pedestrian/traffic movement
  7. After completion of night works all the site should be cleaned and maintained obstruction free for day time movement of vehicles and pedestrians
  8. Drivers and workers should be alert and responsive during night works
  9. All the wages to workers working in night hours should be as per the applicable labour acts
  10. Avoid any nuisance which may create problems to nearby habitants and work peacefully during night hours
  11. Night works should not be conducted near hospitals and during peak seasons such as peak tourist season, students' exam times etc.

### Appendix 21: Photographs of Proposed Sites

|                                                                                     |                                                                                      |
|-------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|
|    |    |
| <p>Proposed SPS site at Nawal Garh Road<br/>(Near Railway Underpass)</p>            | <p>Proposed SPS site Behind Dholi Sati Temple<br/>Near Kabristan</p>                 |
|   |   |
| <p>Proposed STP site-Near Existing STP (Naribari<br/>village)</p>                   | <p>Proposed SPS site- Near Masjid Fatima (Zone-<br/>2)</p>                           |
|  |  |
| <p>Proposed site for construction of CRMC in Nagar Palika Office Campus</p>         |                                                                                      |



Meeno Ka Mohalla



Bhuwana colony



Kumaro ka Mohalla



Fatima mosque road



Subhash Nagar



Chauhan Colony

## Appendix 22: Environmental Monitoring Plan - Ambient Air, Noise, Water and Soil

1. Under RUDSICO-EAP works Environmental Monitoring will done for ambient air, noise, surface water, ground water and soils with following parameters-
  - A. **Ambient Air Quality-** Particulate Matters PM<sub>10</sub>, Particulate Matter PM<sub>2.5</sub>, SO<sub>x</sub>, NO<sub>x</sub>, Carbon Monoxide (CO) as per methods and norms approved by CPCB
  - B. **Ambient Noise Quality-** L<sub>day</sub> and L<sub>night</sub> (in Leq dBA) 24 hrs basis as per methods and norms approved by CPCB
  - C. **Surface Water Quality-** pH, Turbidity, Total Hardness, DO, BOD, COD, Chloride, Hg, Iron, TDS, TSS, Calcium, Zn, Cr<sup>+6</sup>, Magnesium, Copper, Manganese, Sulphate, Cyanide, Nitrate, Sodium, Potassium, Fluoride, Cadmium, Arsenic, Lead, Boron, Selenium, Aluminium, Total residual Chlorine
  - D. **Ground Water Quality-** pH, TDS, Total Hardness, Zn, Chloride, Iron, Copper, DO, Manganese, Suplhate, Nitrate, Fluiride, Hg, Cadmium, Cr<sup>+6</sup>, Arsenic, Lead, Total Alkalinity, Phosphate, Phenolic compound
  - E. **Soil quality-** pH, Elect. Conductivity (at 25<sup>0</sup>C), Moisture (at 105<sup>0</sup>C), Texture (silt, clay, sand), Calcium (as CaO), Magnesium (as Mg), Permeability, Nitrogen (as N), Sodium (as Na), Phosphate (as PO<sub>4</sub>), Potassium (as K), Organic Matter, oil and grease
2. During pre-construction stage monitoring is required to establish baseline at following sites-

### Details of Sampling locations

| Towns    | Project components where environmental monitoring is required | Total numbers of environmental monitoring required in one quarter    | Total numbers of environmental monitoring required in year (tree quarters leaving quarter of monsoon) | Project duration | Total number of environmental monitoring required during project duration |
|----------|---------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|------------------|---------------------------------------------------------------------------|
| Fatehpur | STP-1<br>SPS-3<br>WW networks-1<br><b>Total- 5</b>            | Air- 5<br>Noise- 5<br>Surface Water- 0<br>Ground Water- 4<br>Soil- 4 | Air- 15<br>Noise- 15<br>Surface Water- 0<br>Ground Water- 12<br>Soil- 12                              | 3 years          | Air- 45<br>Noise- 45<br>Surface Water- 0<br>Ground Water- 36<br>Soil- 36  |

### Note -

- i. All the tests should be done by labs approved by CPCB and/or RPCB and should be accredited by NABL
- ii. All the tests should be done as per the norms and methods approved by CPCB/RPCB
- iii. All the meteorological data like weather, wind, location, nearby features etc. should be recorded during sampling and indicated in the report for ambient air quality
- iv. If surface water is not available within 500 meters of the site, ground water quality monitoring should be done from the vicinity within 500 meters and if both surface and ground water is available at any site both should be taken
- v. For air quality monitoring, if any two sites are within the distance of 2 kms from each other, only one sampling can be done at any site

\* Sensitive receptors are hospitals, schools, any major religious place etc

## **Appendix 23: Sample Chance Find Protocol**

### **1.1     *Introduction***

Project town being a heritage town, there are possibility of any chance finds (artefacts) recovery during excavations. Contractors working at heritage towns must take additional care not to destroy or damage historic features during excavations. There may be many buried historic features in heritage towns such as – idols, toys, wells, ancient drains, remains of buildings, other walls, grain pits, etc. Every care must be made not to destroy these during excavations.

Excavator drivers need to be instructed to be aware of hitting buried features and that they must be investigated before continuing work. When features are encountered during mechanical excavation, work should stop and the PIU/Consultants engineers must be informed immediately so that they can be inspected at the first opportunity.

When historic features such as walls, brick constructions and other features are encountered during excavation the excavation must be stopped immediately and the PIU/Consultants must be informed immediately.

**1.2     *Contractors' instruction:*** As soon as contractor recovers any chance find during any excavation works for pipe laying, they should immediately inform PIU/Consultant present in town about the chance find recovery. Immediately stop the excavation activity near point of recovery. After PIU/consultants engineers come at site, contractor should follow cleaning and photography in supervision of PIU/Consultant engineers.

### **1.3     *Cleaning***

When a feature/chance find is discovered it must be defined by careful cleaning. Roots must be removed and dirt must be carefully cleaned away. The section or trench base should also be cleaned back for a little distance around the feature.

### **1.4     *Record photography***

When the feature is clean good photography should be taken – vertical and face-on shots and a few general shots of the feature, also showing its position in relation to surrounding features, buildings, etc. The photographed should be catalogued (date, location, direction of shot)

### **1.5     *Drawn record***

When features/chance finds are revealed a drawn record should also be made.

- a. General location record – measuring its position and orientation within the protected site / in relation to surrounding structures
- b. Record drawings – detail drawings made in plan and section/profile. The extent (edges) of the feature should be drawn and the level of the existing ground surface and the top and base of the feature should be recorded. These levels should be marked on the drawings. The drawings should include detail of the construction of the feature. Perspective sketches could also be made if necessary. Explanatory notes can also be put on the drawings.

### **1.6     *Reporting finds***

When finds are made these should be reported to PIU/Consultants. Photographs and record drawings should be sent.

**1.7**     *Discovery of historic objects*

When clearance and excavation takes place artifacts and historic objects are sometimes found. These should be recovered and kept in a safe place. The place of discovery should be recorded and each find given a number and tag tied to the find with the same number on it. A list of the finds should be kept (with the find No. And place of discovery and date of discovery recorded).

**1.8**     *PIU/Consultants responsibility-* PIU/Consultants should inform in written to the State Archaeological Department at the earliest with photographs and request to Archaeology Department to visit the site and hand over the chance finds to them.



## **Appendix 24: Guidelines for Safety during Monsoon/Heavy rainfall**

Excavation and refilling of earth are common activities, which, if not carefully executed may pose problems to the safety of works as well as passersby and road users during the impending Monsoon.

Normal and heavy rainfall event affect our ongoing works, It should be our conscientious effort to ensure that such events do not prove to be problematic to people and structures in town. During monsoon PIU/PMDSC should ensure that any further excavation work is taken up only after ensuring that the earlier work is in safe stage. It is desired that DCM/ACM & Ex En PIU should inspect all sites during rains and take proactive actions.

Some of the precautions and mitigation measures to be taken are discussed below-

1. The execution of works having deep excavation in smaller lanes and congested areas should be completed well before monsoon. The works of deep excavation during monsoon should not be preferably taken up or extensive care should be taken for execution of such works.
2. The settlement in refilled trenches of sewerage and water supply lines may occur during monsoon. PMDSC and PIU team should inspect all sites after a storm to identify such reaches and take immediate corrective action by proper refilling and compacting. It is responsibility of all engineers to look after this activity during monsoon and ensure corrective actions from Contractor's side.
3. The contractor's crew should be equipped with vehicle, gum boots, raincoats, torch etc. to tackle such situation during and after rains. Adequate quantities of earth, debris and gravel should be stacked at strategic places so that no time is lost in procuring such material.
4. In trenches where pipe laying has been done and duly tested and approved, refilling should be done and all surplus material relocated to safe disposal sites such that it does not obstruct traffic or waterways.
5. All open ends of WS and WW pipelines should be firmly plugged to prevent debris from entering the pipeline. Manhole covers of sewer lines should be fixed in place to avoid any harm to road users.
6. Drains are primary or secondary carriers of storm water. Any unutilized construction material should be relocated to allow free passage of storm water. Surplus earth should be suitably and immediately be relocated to avoid earth from falling into the drain so that choking does not occur.
7. Overhead works should not be carried on in-weather conditions that threaten the safety of workers. More frequent checks on scaffold and bracings should be done during monsoon season.
8. Additional precautions should be taken of the power lines, ignorance and carelessness can cause major accidents and casualty.
9. Take preventive measures for water logging in working areas by providing dewatering pumps. Place bright and reflective warning signs.
10. Inspection should also be carried out before resumption of work after a shower/rain.
11. Storage of Construction Material: Steel & Cement are vital ingredients for quality construction work but in absence of proper storage, especially during monsoon, cement and steel may rapidly decline in quality and strength. Care should be taken to protect these materials and use of any exposed material should be allowed only after conducting fresh tests. Improper storage of such material should be reported to SE PIU/ACM PMDSC and use of any apparently affected material should be done after permission of SE PIU/DCM/ACM.

### **Additional Precautions**

1. Adequate set up and resources such as dewatering pumps, electrical routings etc should be planned ahead. Water logging on main roads to be avoided, where construction works are going on.
2. Ensuring the monsoon specific PPE's issued in adequate and are used during monsoon.
3. Use of electric extension box should be avoided; extension cables (if used) should not be wet and damaged. Cables connections should be only weatherproof/waterproof. Electrical and HSE personnel of contractor should visit permanent and running sites regularly. Transparent protective sheets/rain sheds should be placed for the power distribution boards.
4. Welding machines, bar cutting machines etc. should be kept in dry conditions; should not stand in water logged area. Brakers and Drill machines should not be used when raining; dirt/mud should be scrubbed with cloth.
5. Special Trainings to all drivers and operators on safe practices and all vehicles/equipment's maintenance checks to be more frequent.
6. High boom equipment to be stopped during blowing of high speed wind and rain storm. Arresting of parked vehicles, equipment during monsoon should be done.
7. All chemicals should be stored as per MSDS, chemicals to be protected from water ingress. Chemical waste should be disposed for preventing overflow of chemicals.
8. At labor camps following precautions should be taken:-
  - Maintaining hygiene & proper housekeeping.
  - Additional health checkup camp to identify seasonal diseases
  - Preventive measures on mosquito/parasite breeding mainly in work locations and camps
  - Frequent cleaning of toilets
  - To avoid water borne diseases, high level of cleanliness to be maintained, drinking water containers need to be cleaned and kept covered. Walk areas and pathways to be covered with Murom and soft rock particles (to avoid soft soil conditions).
  - Obstacle free approach to rest sheds, camp and toilets.
  - Proper illumination, provision of battery operated emergency lights
  - No bonfires inside resting sheds. No use of wood.

SE-PIU and DCM/ACM-PMDSC should oversee the arrangements to effectively deal with the eventuality.

EHS officer of contractor should visit each site and camps more frequently. Contractor/EHS officer will also impart training on safe working methods during Monsoon and will keep a daily watch on weather conditions to share with site team to act accordingly.

Contractor should organize Monsoon Health Camps and Monitor Workmen Habitat and Hygiene.



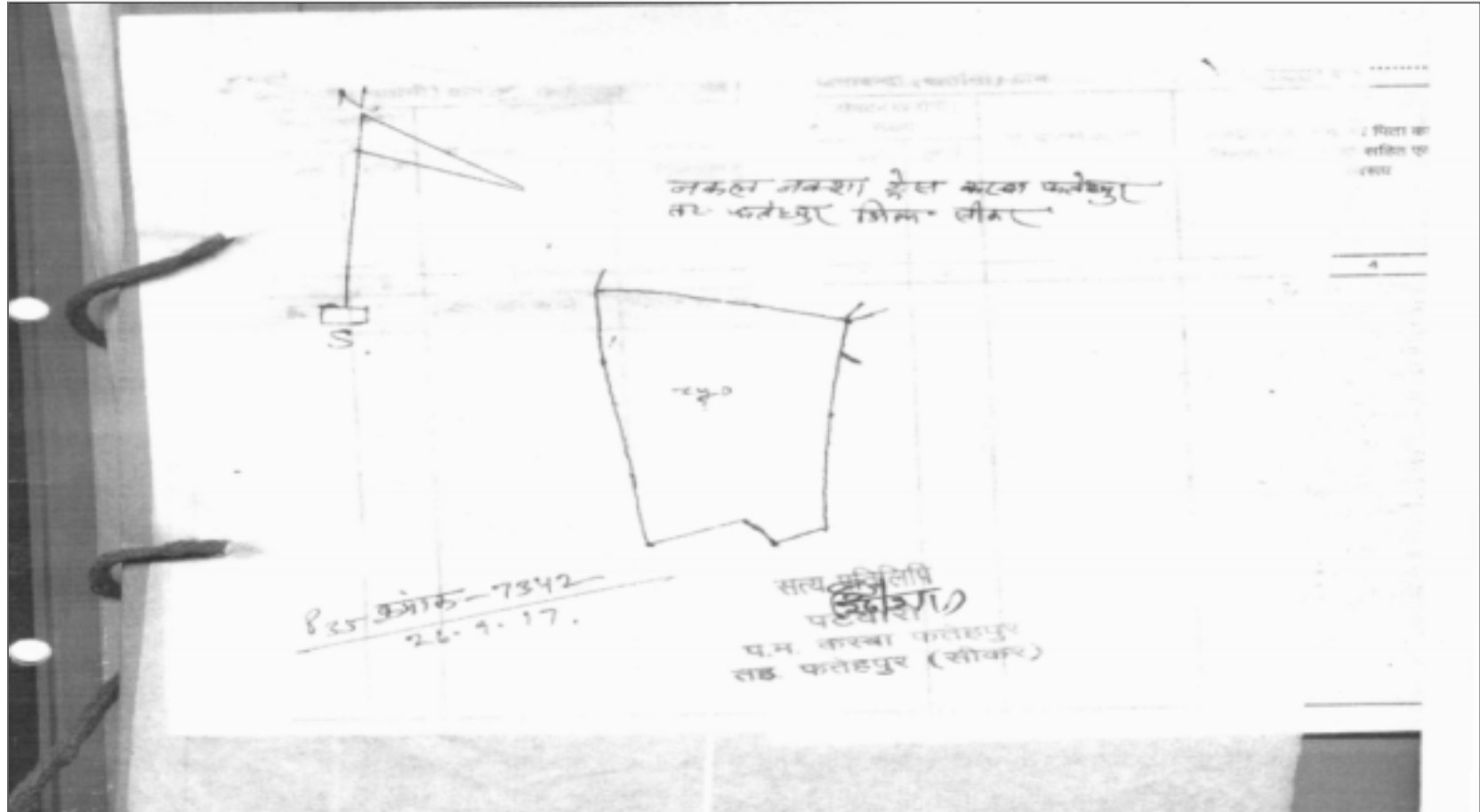
**Appendix 25: Details of land availability, Ownership and Status of No Objection Certificate (NOC) for Proposed Sites**

| Project Component                                           | Location                                          | Ownership                       | Area of government land available at the location (m <sup>2</sup> ) | Area required(m <sup>2</sup> ) | Khasra No.   | Remarks                                                  | Photo of Land                                                                        |
|-------------------------------------------------------------|---------------------------------------------------|---------------------------------|---------------------------------------------------------------------|--------------------------------|--------------|----------------------------------------------------------|--------------------------------------------------------------------------------------|
| <b>Sewerage</b>                                             |                                                   |                                 |                                                                     |                                |              |                                                          |                                                                                      |
| Construction of 1 nos of STP of 4.3 MLD with SBR technology | Near Naribari Village                             | Nagar Palika- Govt. land        | 82100                                                               | 4000                           | 138,625,1129 | Letter of Nagar Palika for availability of land attached |   |
| Construction of 3nos of Sewerage Pumping Station            | Near Fatima Masjid (0.6MLD)                       | Pvt. Land                       | 168.28                                                              | 200                            | -            | Letter of Nagar Palika for availability of land attached |   |
|                                                             | Behind Dholi Sati Temple (3.5 MLD) Near Kubristan | Nagar Palika/ Govt of Rajasthan | 4800                                                                | 1500                           | 850/1        | Letter of Nagar Palika for availability of land attached |  |

| Project Component | Location                                         | Ownership              | Area of government land available at the location (m <sup>2</sup> ) | Area required(m <sup>2</sup> ) | Khasra No. | Remarks                                                  | Photo of Land                                                                       |
|-------------------|--------------------------------------------------|------------------------|---------------------------------------------------------------------|--------------------------------|------------|----------------------------------------------------------|-------------------------------------------------------------------------------------|
|                   | Near Railway Underpass (1.10 MLD) Nawalgarh Road | Nagar Palika, Fatehpur | 5600                                                                | 300                            | 1806       | Letter of Nagar Palika for availability of land attached |  |

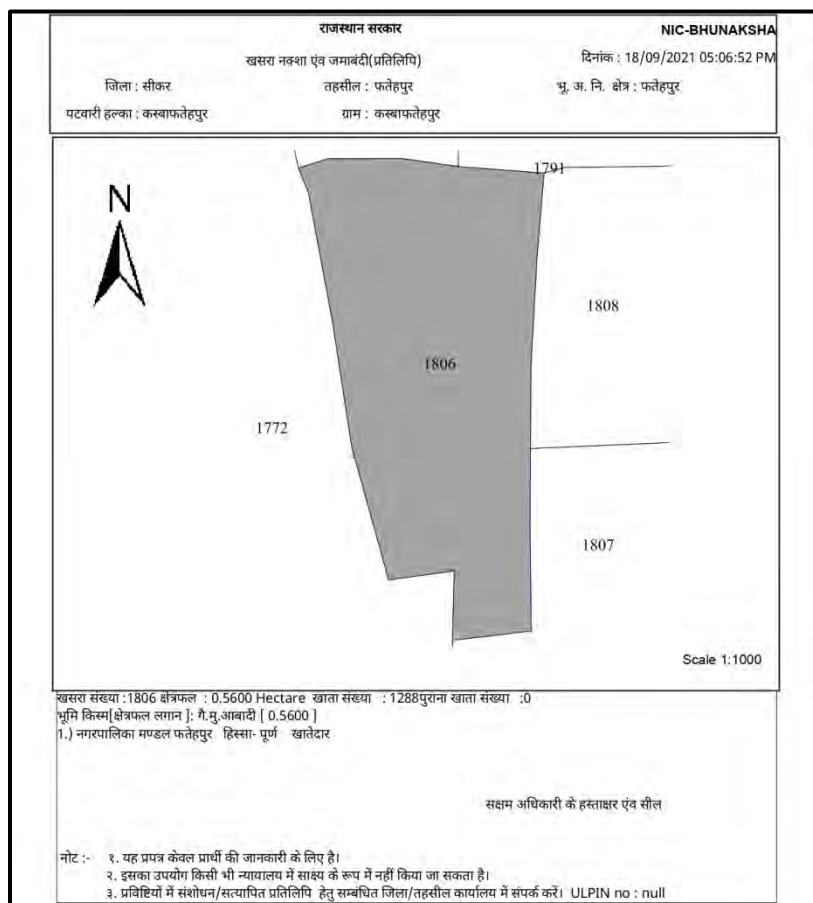
Source: Nagar Palika, Fatehpur

A. Khasra Map of proposed SPS Land (3.5 MLD)–Near Kabristan - Behind Dholi Sati Temple



| New | Old | Name of Land owners | Khasra No | Area (ha) | Ownership         |
|-----|-----|---------------------|-----------|-----------|-------------------|
| 1   | -   | Govt of Rajasthan   | 850/1     | 0.48      | Govt of Rajasthan |

### A. Khasra Map of proposed SPS Land (1.1MLD) –Nawalgarh Road- near Railway Underpass, Khasra no. 1806



कार्यालय नगरपालिका मण्डल फतेहपुर शेखावाटी सीकर  
दिनांक -

क्रमांक -नपाफ/2021-22/  
श्रीमान अधिकांश अभियन्ता  
RUIDP  
PIU रतनगढ़

विषय - आर.यू.आई.डी.पी. (चतुर्थ चरण) की योजना RUIDP के अन्तर्गत फतेहपुर  
सीवेरेज निर्माण कार्य में 1.1 MLD SPS निर्माण हेतु भूमि उपलब्ध कराने बाबत।

प्रसंग- अधिशासी अभियन्ता RUIDP PIU फतेहपुर का पत्रांक 166-68 दिनांक 02.09.2021

महोदय,

उपरोक्त विषयान्तर्गत प्राप्त प्रासांगिक पत्र के सन्दर्भ में निवेदन है कि सीवेरेज परियोजना फतेहपुर के अनुबन्ध अनुसार 1.1 MLD SPS निर्माण हेतु चिन्हित नगर पालिका स्वामित्व के खसरा नं० 1364 (नया खसरा नं० 1818) में घनी आबादी होने के कारण रिक्त भूमि उपलब्ध नहीं है।

अतः खसरा संख्या 1818 के पास स्थित नगर पालिका के स्वामित्व के खसरा नं० 1806 में उपलब्ध रिक्त भूमि पर 1.1 MLD SPS निर्माण कराये जाने की स्वीकृति प्रदान की जाती है।

अधिशासी अधिकारी  
नगर पालिका फतेहपुर  
दिनांक - 14/09/2021

क्रमांक -नपाफ/2021-22/ 5158-5260  
प्रतिलिपि -

1. अधिशासी अभियन्ता आर.यू.आई.डी.पी. (पी.आई.यू.) फतेहपुर।
2. सहायक अभियन्ता नगर पालिका फतेहपुर को भेज कर लेख है कि पत्र में वर्णित भूमि का आर.यू.आई.डी.पी. फतेहपुर को मोके पर अविलम्ब चिन्हीकरण कराया जाना सुनिश्चित करें।
3. सुक्ष्म पत्रावली।

अधिशासी अधिकारी  
नगर पालिका फतेहपुर

### Transcript

| New | Old | Name of Land owners | Khasra No | Area (ha) | Ownership         |
|-----|-----|---------------------|-----------|-----------|-------------------|
| 1   | -   | Govt of Rajasthan   | 1806      | 0.56      | Govt of Rajasthan |

### C. Khasra Map of proposed STP (4.3 MLD) Land- near Naribari Village (Existing STP)

| संस्था/कार्यक्रम |       | प्रकार | विवरण                                | प्रकार         | विवरण   | प्रकार             | विवरण  | प्रकार | विवरण | प्रकार | विवरण | प्रकार | विवरण | प्रकार | विवरण |
|------------------|-------|--------|--------------------------------------|----------------|---------|--------------------|--------|--------|-------|--------|-------|--------|-------|--------|-------|
| क्र.सं.          | विवरण | प्रकार | विवरण                                | प्रकार         | विवरण   | प्रकार             | विवरण  | प्रकार | विवरण | प्रकार | विवरण | प्रकार | विवरण | प्रकार | विवरण |
| 1                | 2     | 3      | 4                                    | 5              | 6       | 7                  | 8      | 9      | 10    | 11     | 12    | 13     | 14    | 15     | 16    |
| 101              | 1143  | गल     | पारलक्ष्य व अन्य सम्मानित कार्य हेतु | ✓ 138          | 2.6400  | अनुसंधान           | 2.6400 |        |       |        |       |        |       |        |       |
|                  |       | सहायक  |                                      | ✓ 625          | 3.3300  | अनुसंधान           | 3.3300 |        |       |        |       |        |       |        |       |
|                  |       |        |                                      | 820            | 0.1000  | गैर अनुसंधान       | 0.1000 |        |       |        |       |        |       |        |       |
|                  |       |        |                                      | 1128           | 0.0300  | गैर अनुसंधान       | 0.0300 |        |       |        |       |        |       |        |       |
|                  |       |        |                                      | ✓ 1129         | 2.2400  | अनुसंधान           | 2.2400 |        |       |        |       |        |       |        |       |
|                  |       |        |                                      | 1486           | 0.0900  | गैर अनुसंधान       | 0.0900 |        |       |        |       |        |       |        |       |
|                  |       |        |                                      | 1487/1         | 5.2900  | अनुसंधान           | 5.2900 |        |       |        |       |        |       |        |       |
|                  |       |        |                                      | 1548           | 1.2000  | अनुसंधान           | 1.2000 |        |       |        |       |        |       |        |       |
| कुल राशि = ₹     |       |        |                                      | कुल अनुसंधान = | 14.9200 | कुल गैर अनुसंधान = | 0.2200 |        |       |        |       |        |       |        |       |

## Transcript

| New | Old | Name of Land owners | Khasra No    | Area (ha) | Ownership         |
|-----|-----|---------------------|--------------|-----------|-------------------|
| 1   | -2  | Govt of Rajasthan   | 138,625,1129 | 8.21      | Govt of Rajasthan |

**C. Letter of Nagar palika for availability of land for proposed SPS near Masjid Fatima,  
National Highway no. 52, Jahanghir ki kui**

कार्यालय नगरपालिका मण्डल फतेहपुर शेखावाटी सीकर  
क्रमांक -नपाफ/2021-22/ 4865 दिनांक - 08/09/2021  
श्रीमान अधिक्षण अभियन्ता  
RUIDP  
PIU रतनगढ़

विषय - आर.यू.आई.डी.पी. (चतुर्थ चरण) की योजना RSTIDP के अन्तर्गत फतेहपुर  
सीवरेज निर्माण कार्य में 0.6 MLD SPS निर्माण हेतु भूमि उपलब्ध कराने बाबत।

प्रसंग:- अधिशाषी अभियन्ता RUIDP PIU फतेहपुर का पत्रांक 150-52 दिनांक 18.08.2021

महोदय,

उपरोक्त विषयान्तर्गत प्राप्त प्रासागिक पत्र के सन्दर्भ में निवेदन है कि फतेहपुर के  
वार्ड न0 02 पुराना व नया 04 . नेशनल हाईवे रोड न0 52 जहागीर के कुये से पश्चिम की तरफ  
चिन्हित नगर पालिका स्वामित्व के पुराना खसरा न0 982 में आवासीय भूखण्ड सख्यां 03 क्षेत्रफल 167.  
28 वर्गमीटर (45 फुटX40 फुट) भूमि पर 0.6 MLD SPS निर्माण कराये जाने की स्वीकृति प्रदान की जाती  
है।

क्रमांक -नपाफ/2021-22/  
प्रतिलिपि -

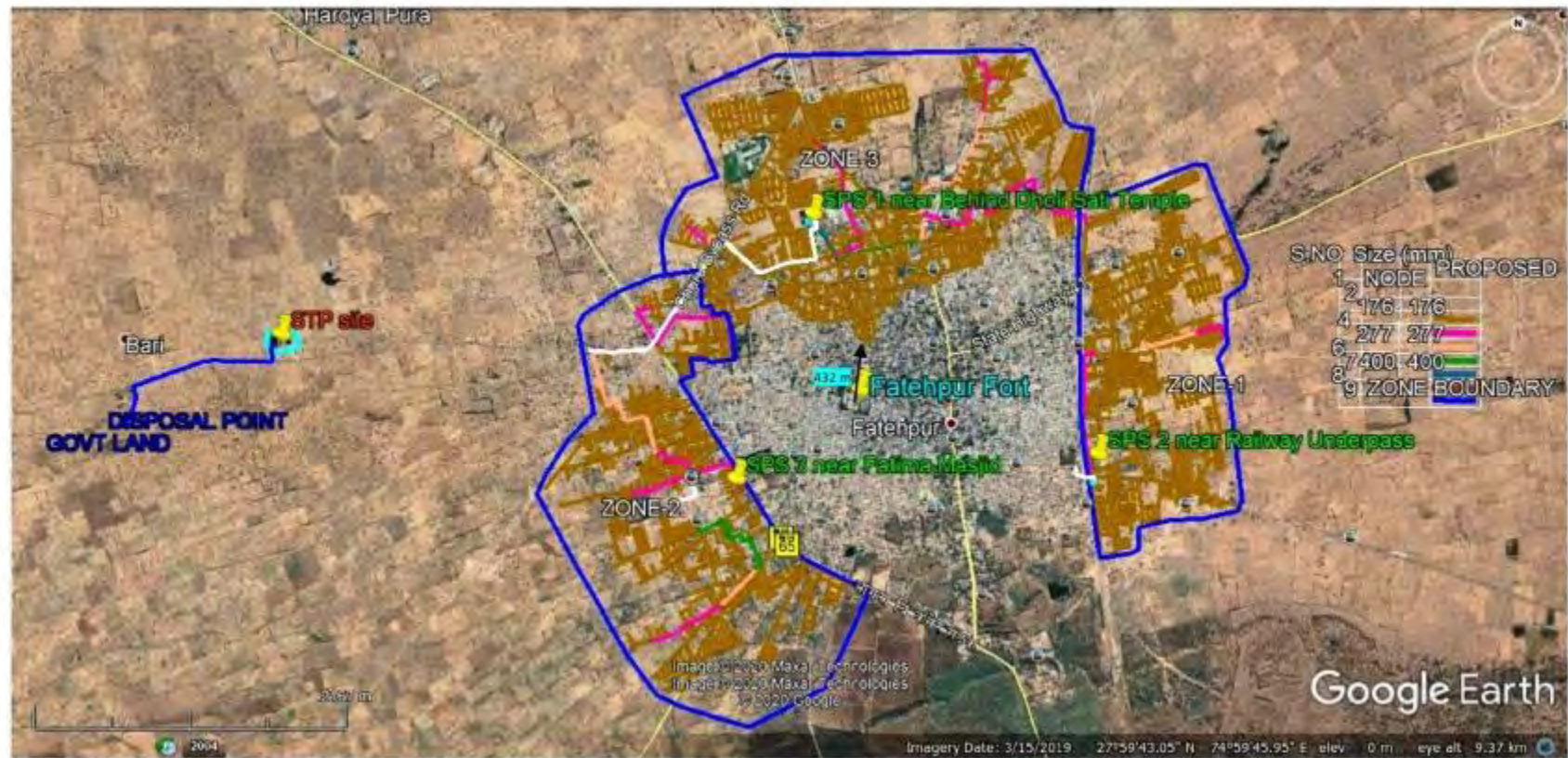
1. अधिशाषी अभियन्ता आर.यू.आई.डी.पी. (पी.आई.यू.) फतेहपुर।
2. सहायक अभियन्ता नगर पालिका फतेहपुर को भेज कर लेख है कि पत्र मे वर्णित भूमि का आर.यू.  
आई.डी.पी.फतेहपुर को मोके पर अविलम्ब चिन्हीकरण कराया जाना सुनिश्चित करें।
3. सुरक्षित पत्रावली।

अधिशाषी अधिकारी  
नगर पालिका फतेहपुर  
दिनांक -

अधिशाषी अधिकारी  
नगर पालिका फतेहपुर



Appendix 26: Physical Cultural Resources Map of Fatehpur Fort and Its distance from Proposed sewer work





## Appendix 27: IBAT Proxiatyreport for Subproject area



### Integrated Biodiversity Assessment Tool

## WORLD BANK GROUP BIODIVERSITY RISK SCREEN

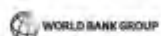
Report generated on 14/10/2019 by Ninette Pajarillaga under the license number 954-4546 held by ADB. [www.ibat-alliance.org](http://www.ibat-alliance.org)

**Project Name:** IND RSTDSP - Fatehpur

**Location:** [ 28, 75 ]

### Overlaps with:

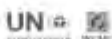
|                        |        |
|------------------------|--------|
| Protected Areas        | 1      |
| Key Biodiversity Areas | 1      |
| IUCN Red List          | 13     |
| Critical Habitat       | Likely |



This report is based on IFC Performance Standard 6 (PS6) but applies to World Bank Environmental and Social Standard 6 (ESS6)



KNOW YOUR  
ENVIRONMENT





## About this report

IBAT provides initial screening for critical habitat values. Performance Standard 6 (PS6) defines these values for critical habitat (PS6, para. 16) and legally protected and internationally recognized areas (PS6, para. 20). PS6 will be triggered when IFC client activities are located in modified habitats containing "significant biodiversity value," natural habitats, critical habitats, legally protected areas, or areas that are internationally recognized for biodiversity. References to PS6 and Guidance Note 6 (GN6) are provided to guide further assessment and detailed definitions where necessary. Please see <https://www.ifc.org/ps6> for full details on PS6 and GN6.

The report screens for known risks within a standard 50km buffer of the coordinates used for analysis. This buffer is not intended to indicate the area of impact. The report can be used to:

- Scope risks to include within an assessment of risks and impacts
- Identify gaps within an existing assessment of risks and impacts
- Prioritize between sites in a portfolio for further assessment of risks and impacts
- Inform a preliminary determination of critical habitat
- Assess the need for engaging a biodiversity specialist
- Identify additional conservation experts or organizations to inform further assessment or planning

**WARNING:** IBAT aims to provide the most up-to-date and accurate information available at the time of analysis. There is however a possibility of incomplete, incorrect or out-of-date information. All findings in this report must be supported by further desktop review, consultation with experts and/or on-the-ground field assessment as described in PS6 and GN6. Please consult IBAT for any additional disclaimers or recommendations applicable to the information used to generate this report.

## Legal disclaimer

The Integrated Biodiversity Assessment Tool (IBAT) and IBAT products, which include the IBAT Portal, reports, and data, are owned by IBAT Alliance and accessible by paid subscription.

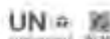
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### Priority Species

Habitat of significant importance to priority species will trigger critical habitat status (See PS6; para 16). IBAT provides a preliminary list of priority species that could occur within the 50km buffer. This list is drawn from the IUCN Red List of Threatened Species (IUCN RL). This list should be used to guide any further assessment, with the aim of confirming known or likely occurrence of these species within the project area. It is also possible that further assessment may confirm occurrence of additional priority species not listed here. It is strongly encouraged that any new species information collected by the project be shared with species experts and/or IUCN wherever possible in order to improve IUCN datasets.

### IUCN Red List of Threatened Species - CR & EN

The following species are potentially found within 50km of the area of interest.  
For the full IUCN Red List please refer to the associated csv in the report folder.

| Species name                 | Common name          | IUCN Category | Group    |
|------------------------------|----------------------|---------------|----------|
| <i>Ardeotis nigriceps</i>    | Great Indian Bustard | CR            | AVES     |
| <i>Vanellus gregarius</i>    | Sociable Lapwing     | CR            | AVES     |
| <i>Gyps bengalensis</i>      | White-rumped Vulture | CR            | AVES     |
| <i>Sarcogyps calvus</i>      | Red-headed Vulture   | CR            | AVES     |
| <i>Gyps indicus</i>          | Indian Vulture       | CR            | AVES     |
| <i>Manis crassicaudata</i>   | Indian Pangolin      | EN            | MAMMALIA |
| <i>Oxyura leucocephala</i>   | White-headed Duck    | EN            | AVES     |
| <i>Sypheotides indicus</i>   | Lesser Florican      | EN            | AVES     |
| <i>Sterna acuticauda</i>     | Black-bellied Tern   | EN            | AVES     |
| <i>Neophron percnopterus</i> | Egyptian Vulture     | EN            | AVES     |
| <i>Aquila nipalensis</i>     | Steppe Eagle         | EN            | AVES     |



| Species name       | Common name      | IUCN Category | Group |
|--------------------|------------------|---------------|-------|
| Falco cherrug      | Saker Falcon     | EN            | AVES  |
| Leptoptilos dubius | Greater Adjutant | EN            | AVES  |

### Restricted Range Species

|  | Common name | IUCN Category | Group |
|--|-------------|---------------|-------|
|--|-------------|---------------|-------|



## Biodiversity features which are likely to trigger Critical Habitat

### Protected Areas

The following protected areas are found within 10km and 50km of the area of interest.  
For further details please refer to the associated csv file in the report folder.

| Area name   | Distance | Recommendation                                                                                                 |
|-------------|----------|----------------------------------------------------------------------------------------------------------------|
| Tal Chhapar | 50km     |  Assess for biodiversity risk |

### Key Biodiversity Areas

The following key biodiversity areas are found within 10km and 50km of the area of interest.  
For further details please refer to the associated csv file in the report folder.

| Area name                      | Distance | Recommendation                                                                                                  |
|--------------------------------|----------|-----------------------------------------------------------------------------------------------------------------|
| Tal Chhapar Wildlife Sanctuary | 50km     |  Assess for critical habitat |

### Species with potential to occur

| Area Taxonomic group | Total assessed species | Total (CR, EN & VU) | CR | EN | VU | NT | LC  | DD |
|----------------------|------------------------|---------------------|----|----|----|----|-----|----|
| AVES                 | 260                    | 22                  | 5  | 7  | 10 | 15 | 223 | 0  |
| MAMMALIA             | 57                     | 5                   | 0  | 1  | 4  | 3  | 49  | 0  |
| REPTILIA             | 11                     | 1                   | 0  | 0  | 1  | 0  | 9   | 1  |
| MAGNOLIOPSIDA        | 35                     | 1                   | 0  | 0  | 1  | 0  | 33  | 1  |
| LILIOPSIDA           | 48                     | 1                   | 0  | 0  | 1  | 0  | 45  | 2  |





| Area Taxonomic group | Total assessed species | Total (CR, EN & VU) | CR | EN | VU | NT | LC | DD |
|----------------------|------------------------|---------------------|----|----|----|----|----|----|
| ACTINOPTERYGII       | 26                     | 0                   | 0  | 0  | 0  | 3  | 23 | 0  |
| AGARICOMYCETES       | 1                      | 0                   | 0  | 0  | 0  | 1  | 0  | 0  |
| AMPHIBIA             | 10                     | 0                   | 0  | 0  | 0  | 0  | 10 | 0  |
| INSECTA              | 32                     | 0                   | 0  | 0  | 0  | 0  | 32 | 0  |
| GASTROPODA           | 16                     | 0                   | 0  | 0  | 0  | 0  | 16 | 0  |
| POLYPODIOPSIDA       | 2                      | 0                   | 0  | 0  | 0  | 0  | 2  | 0  |
| BIVALVIA             | 6                      | 0                   | 0  | 0  | 0  | 0  | 6  | 0  |
| MALACOSTRACA         | 2                      | 0                   | 0  | 0  | 0  | 0  | 2  | 0  |
| ARACHNIDA            | 1                      | 0                   | 0  | 0  | 0  | 0  | 1  | 0  |







### Recommended Experts and Organizations

For projects located in critical habitat, clients must ensure that external experts with regional expertise are involved in further assessment (GN6: GN22). Clients are encouraged to develop partnerships with recognized and credible conservation organizations and/or academic institutes, especially with respect to potential developments in natural or critical habitat (GN6: GN23). Where critical habitats are triggered by priority species, species specialists must be involved. IBAT provides data originally collected by a large network of national partners, while species information is sourced via the IUCN Red List and affiliated Species Specialist Groups. These experts and organizations are listed below. **Please note that this is not intended as a comprehensive list of organizations and experts. These organizations and experts are under no obligation to support any further assessment and do so entirely at their discretion and under their terms. Any views expressed or recommendations made by these stakeholders should not be attributed to the IFC or IBAT for IFC partners.**

### Relevant national or regional organizations

IBAT integrates information developed by a global network of conservation agencies, organizations and experts. These efforts are coordinated by the IBAT Alliance (BirdLife International, Conservation International, IUCN and UNEP-WCMC) who compile and maintain this information as globally standardized databases. The local partners most relevant to the area of analysis are:

**Wild Bird Society of Japan Address:** Manjwa Building, 3-9-23 Nishi-Gotanda, Shinagawa-ku, Tokyo 141-0031, Japan **Web:** <http://www.wbsj.org/>

**BirdLife Asia Regional Office Address:** 354 Tanglin Road, #01-16/17, Tanglin International Centre, Singapore 247672  
Email: [singapore.offices@birdlife.org](mailto:singapore.offices@birdlife.org) Web: <http://www.birdlife.org/asia>

### Directory for Species Survival Commission (SSC) Specialist Groups and Red List Authorities

URL: [http://www.iucn.org/about/work/programmes/species/what\\_we\\_are/ssc\\_specialist\\_groups\\_and\\_red\\_list\\_authorities\\_directory/](http://www.iucn.org/about/work/programmes/species/what_we_are/ssc_specialist_groups_and_red_list_authorities_directory/)

## **Appendix 28 : Biodiversity Assessment –Fatehpur Town Sewerage Subproject**

### **Introduction**

This detailed biodiversity assessment is carried out for the proposed Fatehpur Town Sewerage Subproject located in Fatehpur Town, Sikar district of Rajasthan State in India. This subproject is included in the Rajasthan Secondary Towns Development Sector Project (RSTDSP) to be financed by ADB. The assessment has been carried out to identify critical habitats and anticipated impacts from the subproject implementation. The assessment is based on the desk review and analysis of the secondary information collected through various sources and supported by the results of the proximity report generated by the Integrated Biodiversity Assessment Tool (IBAT). The findings and assessment will be further strengthened following field assessments and consultations with key stakeholders involving forest and wildlife officials from the state before project implementation.

### **Project Description**

The subproject is located in Fatehpur Town of Sikar District of Rajasthan State. All the project components are planned and will be located inside the municipality limits. The components under this subproject include (i) Construction of 1no. new STP of 4.30MLD (on sequential batch reactor or SBR process including co-treatment of faecal sludge management) (ii) 3 nos. sewage pumping station (SPS) of capacities of 3.5 MLD, 1.1 MLD and 0.6 MLD for unsewered area of the Fatehpur town.

The pipelines will be buried below in a trench on the edge of road within the existing right of way (RoW). The sewerage Treatment Plant of 4.30MLD capacity will be developed in existing campus on land in possession of Nagar Palika. The location of subproject components in Fatehpur Town on google map is given in Figure-1.



Figure 1: Subproject component and town area on Google Map





### Establishing project area of influence (PAI)

The project area of influence (PAI) is established on basis of location on project component proposed project. The scope of work covering all components and associated facilities under the subproject in Fatehpur Town are considered as a project area of influence (PAI). The critical habitat assessment has been conducted within the boundaries of PAI which has been established using ecological units and/or physical features within the landscape such as road, railway line or seasonally stream and village settlement. The established PAI for sewerage treatment system and facilities in Fatehpur Town is given in Figure -2.

**Figure 2: Map showing boundary of PAI Fatehpur Town subproject**



*The boundaries of PAI for subproject has been based on physical/topographical features surrounding Fatehpur Town. In the east direction of the town there is Railway track, boundary of PAI is taken with buffer of 1.5km along the track in South east after crossing State Highway-41 and 0.5 km before road crossing. Churu bypass road upto merge point with National Highway-65 in north direction of the town is limit for PAI on North. On west direction the limits of study area are established with boundary of existing STP on M. Bari Village road. In the south of the town boundary of Bihad forest (a social forest area) is considered as limit for PAI for the subproject.*

## Biodiversity Assessment

Biodiversity assessment in the project influence area has been conducted as per the International Finance Corporation's (IFC) Performance Standard No 6 of 2012, within updated version in June 2019 on the Guidance Note for the Performance Standard. The process of biodiversity assessment based on presence of protected or designated areas and the potential presence of Critical Habitat in the study area. The Critical Habitat assessment is based on presence of Threatened species (Critically Endangered (CR), Endangered (EN) species, and to a lesser extent Vulnerable (VU) species as defined by the IUCN.

### Key Biodiversity Area

The project areas have been screened to determine presence of Key Biodiversity Areas (KBAs) using the Integrated Biodiversity Assessment Tool (IBAT)<sup>42</sup>. As per the Proximity report generated by IBAT, provided as Appendix 27 of the IEE Report, there are neither protected area nor any key biodiversity areas within the buffer of 10km radius of the subproject components. The nearest protected area is Tal Chappar Wildlife Sanctuary; which is approx. 50 km away (nearest aerial distance) from the Fatehpur town area. However, there are 30 International Union for the Conservation of Nature (IUCN) Red List threatened species. The key findings of the IBAT report are presented in the subsequent sections.

### Protected Area- Tal Chappar Wildlife Sanctuary

The area is nationally notified protected area under Wildlife Protection Act 1972, located on South west direction of the project town at an approx. 50 km nearest aerial distance. Figure-3 shows map of the Tal Chappar Wildlife Sanctuary area.

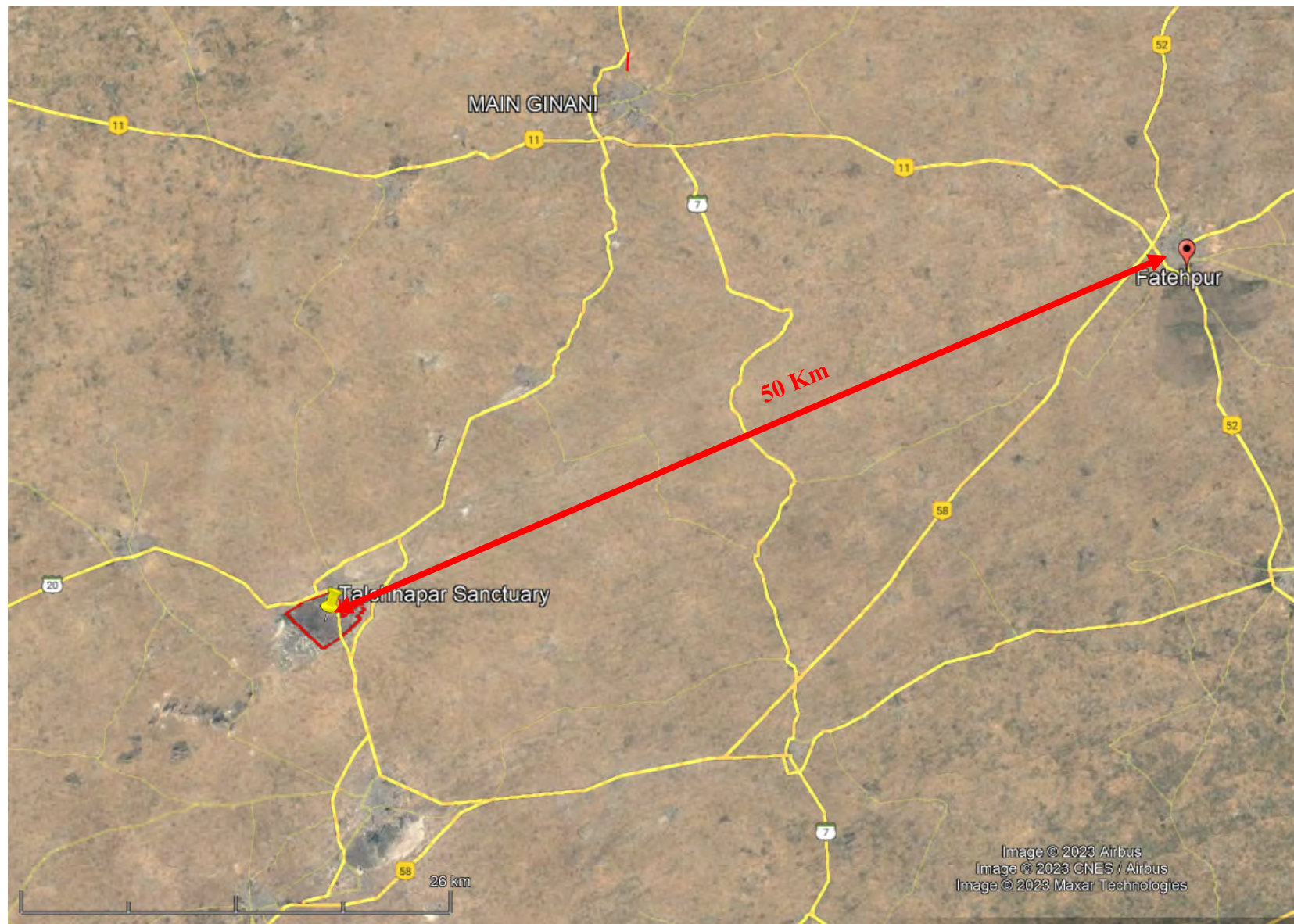
Tal Chapper sanctuary is located on Nokha- Sujangarh state Highway in the Sujangarh Tehsil of Churu District of Rajasthan State. The area of 7.19 sq.km within the Lat. 27°79'77.77" and Long. 74°43'71.30" was notified on 19<sup>th</sup> September 1962 as Wildlife Sanctuary for Black Buck (*Antelope cervicapra*). Tal Chapper sanctuary with almost flat tract and interspersed shallow low lying areas has open grassland with scattered Acacia and prosopis trees which give it an appearance of a typical Savanna. Some small hillocks and exposed rocks of slate and quartzite are found in the western side of the sanctuary. Area between hillocks and the sanctuary constitutes the watershed area of the sanctuary.

The salt pans located on the South-western boundary of the protected area. The migratory birds visit this area during winter starting from month of September. Birds commonly seen in the sanctuary are harriers, Eastern Imperial Eagle, Tawny Eagle, Short-toed Eagle, sparrow, and Little Green Bee-eaters, Black Ibis and Demoiselle Cranes, which have habitat in protected area by month of end March. The other bird species like skylark, crested lark, Ring Dove, brown dove and blue jay are seen throughout the year in the protected area. Desert fox and desert cat can also be spotted along with typical avifauna such as partridge and sand grouse.

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<sup>42</sup>IBAT is a multi-institutional programme of work involving BirdLife International, Conservation International, IUCN and UNEP-WCMC. IBAT provides a basic risk screening on biodiversity. It draws together information on globally recognised biodiversity information drawn from a number of IUCN's Knowledge Products: IUCN Red List of Threatened Species, Key Biodiversity Areas (priority sites for conservation) and Protected Planet/The World Database on Protected Areas (covering nationally and internationally recognised sites, including IUCN management categories I–VI, Ramsar Wetlands of International Importance and World Heritage sites).

Figure 3: Map showing Tal Chappar Wildlife Sanctuary and its aerial distance from subproject town Fatehpur





### Critically Endangered / Endangered Species:

A total of 30 IUCN red list (CR, EN & VU) species reported within 50km radius. Out of these 30 IUCN red list species, 13 species are classified as Critically Endangered (CR) and Endangered (EN). Birds are common species which includes 22 species (CR-5, EN-7& VU-10), 5 mammals (EN-1, VU-4), 1 reptile (VU-1) and 2 plants (VU-2). Table-1 lists the species designated by the International Union for the Conservation of Nature (IUCN) as Critically Endangered (CR), Endangered (EN) and Vulnerable (VU) having recorded ranges that include the study area.

**Table 1: List of species designated by IUCN threatened species as CR, EN & VU**

| S.No. | Scientific Name                | Common Name            | IUCN Red List category |
|-------|--------------------------------|------------------------|------------------------|
|       | <b>Birds</b>                   |                        |                        |
| 1     | <i>Ardeotis nigriceps</i>      | Great Indian Bustard   | CR                     |
| 2     | <i>Vanellus gregarius</i>      | Sociable Lapwing       | CR                     |
| 3     | <i>Gyps bengalensis</i>        | White-rumped Vulture   | CR                     |
| 4     | <i>Sarcogyps calvus</i>        | Red-headed Vulture     | CR                     |
| 5     | <i>Gyps indicus</i>            | Indian Vulture         | CR                     |
| 6     | <i>Oxyura leucocephala</i>     | White-headed Duck      | EN                     |
| 7     | <i>Neophron percnopterus</i>   | Egyptian Vulture       | EN                     |
| 8     | <i>Aquila nipalensis</i>       | Steppe Eagle           | EN                     |
| 9     | <i>Falco cherrug</i>           | Saker Falcon           | EN                     |
| 10    | <i>Leptoptilos dubius</i>      | Greater Adjutant       | EN                     |
| 11    | <i>Sterna acuticauda</i>       | Black-bellied tern     | EN                     |
| 12    | <i>Syphiotides indicus</i>     | Lesser Florican        | EN                     |
| 13    | <i>Antigone antigone</i>       | Sarus crane            | VU                     |
| 14    | <i>Aquila heliaca</i>          | Eastern imperial eagle | VU                     |
| 15    | <i>Aquila rapax</i>            | Tawny eagle            | VU                     |
| 16    | <i>Aythya ferina</i>           | Common pochard         | VU                     |
| 17    | <i>Chlamydotis macqueenii</i>  | Asian houbara          | VU                     |
| 18    | <i>Ciconia episcopus</i>       | Asian woollyneck       | VU                     |
| 19    | <i>Clanga clanga</i>           | Greater spotted eagle  | VU                     |
| 20    | <i>Columba eversmanni</i>      | Yellow-eyed pigeon     | VU                     |
| 21    | <i>Rynchops albicollis</i>     | Indian skimmer         | VU                     |
| 22    | <i>Saxicola macrorhynchus</i>  | White-browed bushchat  | VU                     |
|       | <b>Mammals</b>                 |                        |                        |
| 23    | <i>Manis crassicaudata</i>     | Indian Pangolin        | CR                     |
| 24    | <i>Lutrogale perspicillata</i> | Smooth-coated otter    | VU                     |
| 25    | <i>Panthera pardus</i>         | Leopard                | VU                     |
| 26    | <i>Tetracerus quadricornis</i> | Four-horned antelope   | VU                     |
| 27    | <i>Rusa unicolor</i>           | Sambar                 | VU                     |
|       | <b>Reptile</b>                 |                        |                        |
| 28    | <i>Crocodylus palustris</i>    | Mugger                 | VU                     |
|       | <b>Plant</b>                   |                        |                        |
| 29    | <i>Anacyclus pyrethrum</i>     | Atlas daisy            | VU                     |
| 30    | <i>Oryza malampuzhaensis</i>   | Asian Rice             | VU                     |

### **Critical Habitat Assessment Process:**

***Habitats that are critical to the survival of International Union for the Conservation of Nature (IUCN) designated Critically Endangered or Endangered species, migratory species, congregatory species and endemic or restricted range species are classified as critical habitats.***

***The screening of Critical Habitat in the area has been conducted based on species which enlisted in red list of IUCN. The presence of habitat of these species in the area will designate Critical Habitat. There are five criterion set out in updated Performance Standard No 6 Guidance note (IFC 2019) to consider the area as Critical Habitat. The five criterion for Critical Habitat determination is:***

- Criterion 1: Critically Endangered and Endangered Species
- Criterion 2: Endemic and Restricted range Species
- Criterion 3: Migratory and Congregatory Species
- Criterion 4: Highly Threatened or Unique Ecosystems
- Criterion 5: Key Evolutionary Processes

***Out of these five criteria, first three criteria are related to species and threshold of species enlisted in the IUCN Red list representing the risk of extinction of species at global level. While the criterion 4 & 5 are related to ecosystems and evolutionary processes. The brief description of criteria is mentioned in below sections.***

#### **Criterion 1: Critically Endangered and Endangered Species**

Species threatened with global extinction and listed as CR and EN on the IUCN Red List of Threatened Species shall be considered as part of Criterion 1. Critically Endangered species face an extremely high risk of extinction in the wild. Endangered species face a very high risk of extinction in the wild.

As described in footnote 11 of Performance Standard 6, the inclusion in Criterion 1 of species that are listed nationally/regionally as CR or EN in countries that adhere to IUCN guidance shall be determined on a project-by-project basis in consultation with competent professionals.

Thresholds for Criterion 1 are the following:

- a) Areas that support globally important concentrations of an IUCN Red-listed EN or CR species ( $\geq 0.5\%$  of the global population AND  $\geq 5$  reproductive units of a CR or EN species).
- b) Areas that support globally important concentrations of an IUCN Red-listed Vulnerable (VU) species, the loss of which would result in the change of the IUCN Red List status to EN or CR and meet the thresholds.
- c) As appropriate, areas containing important concentrations of a nationally or regionally listed EN or CR species.



## **Criterion 2: Endemic and Restricted range Species**

For purposes of this Guidance Note, the term endemic is defined as restricted range. Restricted range refers to a limited extent of occurrence (EOO).

- For terrestrial vertebrates and plants, restricted range species are defined as those species that have an EOO less than 50,000 km<sup>2</sup>.
- For marine systems, restricted range species are provisionally being considered those with an EOO of less than 100,000 km<sup>2</sup>.
- For coastal, riverine, and other aquatic species in habitats that do not exceed 200 km width at any point (for example, rivers), restricted range is defined as having a global range of less than or equal to 500 km linear geographic span (i.e., the distance between occupied locations furthest apart).

The threshold for Criterion 2 is the following:

- a) Areas that regularly hold  $\geq 10\%$  of the global population size and  $\geq 10$  reproductive units of a species.

## **Criterion 3: Migratory and Congregatory Species**

Migratory species are defined as any species of which a significant proportion of its members cyclically and predictably move from one geographical area to another (including within the same ecosystem).

Congregatory species are defined as species whose individuals gather in large groups on a cyclical or otherwise regular and/or predictable basis. Examples include the following:

- Species that form colonies.
- Species that form colonies for breeding purposes and/or where large numbers of individuals of a species gather at the same time for non-breeding purposes (for example, foraging and roosting).
- Species that utilise a bottleneck site where significant numbers of individuals of a species occur in a concentrated period of time (for example, for migration).
- Species with large but clumped distributions where a large number of individuals may be concentrated in a single or a few sites while the rest of the species is largely dispersed (for example, wildebeest distributions).
- Source populations where certain sites hold populations of species that make an inordinate contribution to recruitment of the species elsewhere (especially important for marine species).

Thresholds for Criterion 3 are the following:

- a) Areas known to sustain, on a cyclical or otherwise regular basis,  $\geq 1$  percent of the global population of a migratory or congregatory species at any point of the species' lifecycle.
- b) Areas that predictably support  $\geq 10$  percent of the global population of a species during periods of environmental stress.

## **Criterion 4: Highly Threatened or Unique Ecosystems**

The IUCN is developing a Red List of Ecosystems, following an approach similar to the Red List for Threatened Species. The client should use the Red List of Ecosystems where formal IUCN assessments have been performed. Where formal IUCN assessments have not been performed, the client may use assessments using systematic methods at the national/regional level, carried out by governmental bodies, recognized academic institutions and/or other relevant qualified organizations (including internationally recognized Non-Government Organizations (NGOs)).

The thresholds for Criterion 4 are the following:

- a) Areas representing  $\geq 5\%$  of the global extent of an ecosystem type meeting the criteria for IUCN status of CR or EN.
- b) Other areas not yet assessed by IUCN but determined to be of high priority for conservation by regional or national systematic conservation planning.

### **Criterion 5: Key Evolutionary Processes**

The structural attributes of a region, such as its topography, geology, soil, temperature, and vegetation, and combinations of these variables, can influence the evolutionary processes that give rise to regional configurations of species and ecological properties. In some cases, spatial features that are unique or idiosyncratic of the landscape have been associated with genetically unique populations or subpopulations of plant and animal species. Physical or spatial features have been described as surrogates or spatial catalysts for evolutionary and ecological processes, and such features are often associated with species diversification. Maintaining these key evolutionary processes inherent in a landscape as well as the resulting species (or subpopulations of species) has become a major focus of biodiversity conservation in recent decades, particularly the conservation of genetic diversity. By conserving species diversity within a landscape, the processes that drive speciation, as well as the genetic diversity within species, ensures the evolutionary flexibility in a system, which is especially important in a rapidly changing climate.

For illustrative purposes, some potential examples of spatial features associated with evolutionary processes are as follows:

- Landscapes with high spatial heterogeneity are a driving force in speciation, as species are naturally selected based on their ability to adapt and diversify.
- Environmental gradients, also known as ecotones, produce transitional habitat, which has been associated with the process of speciation and high species and genetic diversity.
- Edaphic interfaces are specific juxtapositions of soil types (for example, serpentine outcrops, limestone, and gypsum deposits), which have led to the formation of unique plant communities characterized by both rarity and endemism.
- Connectivity between habitats (for example, biological corridors) ensures species migration and gene flow, which is especially important in fragmented habitats and for the conservation of metapopulations. This also includes biological corridors across altitudinal and climatic gradients and from “crest to coast.”
- Sites of demonstrated importance to climate change adaptation for either species or ecosystems are also included within this criterion.

**Critical Habitat Assessment in PAI**

A critical habitat assessment has been carried out using the above mentioned five criterion of Performance Standard No 6 Guidance note (IFC 2019).

**IBAT study output for habitat analysis (Criteria 1-3)**

A habitat analysis carried out for the Critically Endangered (CR) and Endangered species (EN) reported in the project area of influence (50km) shows that it is likely that the 30 species identified in IBAT are mostly located inside the ecological areas and not within the project area of influence (PAI). Details of the habitat analysis in the PAI is presented in Table-2.

Table 2: Critical Habitat Analysis in Project Area of Influence for Critically Endangered (CR) and Endangered (EN) species.

| S. No.       | Common Name (Species Name)                         | IUCN Category | Habitat Preferences                                           | Likelihood of Occurrence in PAI                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|--------------|----------------------------------------------------|---------------|---------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Birds</b> |                                                    |               |                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 1            | Great Indian Bustard ( <i>Ardeotis nigriceps</i> ) | CR            | Grassland - Subtropical/Tropical Dry                          | None: Possible range extend in State of Rajasthan. The species occurs in the Indian Subcontinent, with strongholds in the Thar desert in the north-west and the Deccan tableland of the Peninsula. Mainly found in Western Rajasthan with small population in in Gujarat, Maharashtra, Andhra Pradesh and Karnataka.<br><br><a href="https://www.iucnredlist.org/species/22691932/134188105#geographic-range">https://www.iucnredlist.org/species/22691932/134188105#geographic-range</a> |
| 2            | Sociable Lapwing ( <i>Vanellus gregarious</i> )    | CR            | Desert, Wetlands (inland), Grassland, Artificial/Terrestrial  | None; The species occurs in the north-west part of India, as non-breeding habitat, mainly in Great Rann of Kutch.<br><br><a href="https://www.iucnredlist.org/species/22694053/130586388#habitat-ecology">https://www.iucnredlist.org/species/22694053/130586388#habitat-ecology</a>                                                                                                                                                                                                      |
| 3            | White-rumped vulture ( <i>Gyps bengalensis</i> )   | CR            | Forest, Grassland, Shrubland, Savanna, Artificial/Terrestrial | The species habitat in South Asia and South-east Asia. Habitat reported in North India including Rajasthan state. Project area being urban area under the Fatehpur municipality, it provides little foraging opportunity to this bird species.<br><br><a href="https://www.iucnredlist.org/species/22695194/118307773">https://www.iucnredlist.org/species/22695194/118307773</a>                                                                                                         |
| 4            | Red-headed Vulture ( <i>Sarcogyps calvus</i> )     | CR            | Forest, Grassland, Shrubland, Savanna, Artificial/Terrestrial | None; As per IUCN Red list, this species is not distributed in Fatehpur area of Rajasthan State.<br><br><a href="https://www.iucnredlist.org/species/22695254/205031246">https://www.iucnredlist.org/species/22695254/205031246</a>                                                                                                                                                                                                                                                       |
| 5            | Indian Vulture ( <i>Gyps indicus</i> )             | CR            | Forest, Grassland, Shrubland, Savanna, Artificial/Terrestrial | None; As per IUCN Red List distribution of this species is possible extinct from PAI..<br><br><a href="https://www.iucnredlist.org/species/22729731/204672586">https://www.iucnredlist.org/species/22729731/204672586</a>                                                                                                                                                                                                                                                                 |
| 6            | White-headed Duck ( <i>Oxyura</i> )                | EN            | Wetlands (inland), Artificial/Aquatic & Marine,               | None: Habitat range in South Asia is limited to Pakistan and rarely reported in India.                                                                                                                                                                                                                                                                                                                                                                                                    |

| S. No.         | Common Name (Species Name)                        | IUCN Category | Habitat Preferences                                                                                                       | Likelihood of Occurrence in PAI                                                                                                                                                                                                                                                                     |
|----------------|---------------------------------------------------|---------------|---------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                | <i>leucocephala</i> )                             |               | Marine Coastal/Supratidal                                                                                                 | <a href="https://www.iucnredlist.org/species/22679814/119403602">https://www.iucnredlist.org/species/22679814/119403602</a>                                                                                                                                                                         |
| 7              | Egyptian Vulture ( <i>Neophron percnopterus</i> ) | EN            | Rocky areas (eg. inland cliffs, mountain peaks), Wetlands (inland), Grassland, Shrubland, Savanna, Artificial/Terrestrial | Extend of migratory bird species breeding habitat during winter in India including Rajasthan state. However, the bird was not sighted in PAI.<br><a href="https://www.iucnredlist.org/species/22695180/154895845">https://www.iucnredlist.org/species/22695180/154895845</a>                        |
| 8              | Steppe Eagle ( <i>Aquila nipalensis</i> )         | EN            | Rocky areas (eg. inland cliffs, mountain peaks), Grassland, Savanna                                                       | Extend of migratory bird species non-breeding habitat during winter in India including Rajasthan state. The species of eagle was not sighted in PAI and in Fatehpur.<br><a href="https://www.iucnredlist.org/species/22696038/155419092">https://www.iucnredlist.org/species/22696038/155419092</a> |
| 9              | Saker Falcon ( <i>Falco cherrug</i> )             | EN            | Marine Intertidal, Wetlands (inland), Grassland, Shrubland, Artificial/Terrestrial                                        | Extend of migratory bird species non-breeding habitat during winter in India including Rajasthan state.<br><a href="https://www.iucnredlist.org/species/22696495/110525916">https://www.iucnredlist.org/species/22696495/110525916</a>                                                              |
| 10             | Greater Adjutant ( <i>Leptoptilos dubius</i> )    | EN            | Forest, Wetlands (inland), Artificial/Terrestrial, Grassland                                                              | None; in India species breeding habitat extend is limited in Assam and Bihar state only.<br><a href="https://www.iucnredlist.org/species/22697721/93633471">https://www.iucnredlist.org/species/22697721/93633471</a>                                                                               |
| 11             | Black-bellied Tern ( <i>Sterna acuticauda</i> )   | EN            | Wetlands (inland)                                                                                                         | None: breeding range extend into parts of India excluding Rajasthan State.<br><a href="https://www.iucnredlist.org/species/22694711/110488626">https://www.iucnredlist.org/species/22694711/110488626</a>                                                                                           |
| 12             | Lesser Florican ( <i>Sypheotides indicus</i> )    | EN            | Grassland, Artificial/Terrestrial                                                                                         | None; As per IUCN Red List, this species is not distributed in Fatehpur area..<br><a href="https://www.iucnredlist.org/species/22692024/199959007">https://www.iucnredlist.org/species/22692024/199959007</a>                                                                                       |
| <b>Mammals</b> |                                                   |               |                                                                                                                           |                                                                                                                                                                                                                                                                                                     |
| 13             | Indian Pangolin                                   | CR            | Forest, Savanna,                                                                                                          | This species is distributed in South Asia through much of India                                                                                                                                                                                                                                     |

| S.<br>No. | Common<br>Name<br>(Species<br>Name) | IUCN<br>Category | Habitat Preferences                                | Likelihood of Occurrence in PAI                                                                                                                                                                                                   |
|-----------|-------------------------------------|------------------|----------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|           | (Manis<br>crassicaudata)            |                  | Shrubland,<br>Artificial/Terrestrial,<br>Grassland | including Rajasthan. However, the species is not observed in PAI<br>which is predominantly a urban area.<br><a href="https://www.iucnredlist.org/species/12761/123583998">https://www.iucnredlist.org/species/12761/123583998</a> |

### **Vulnerable species**

For the 17 Vulnerable species identified through the IBAT search, a review of these indicates that none would reach the threshold for Criterion 1, namely loss of a population which would push the IUCN status from VU to either CR or EN. Thus, none of the vulnerable species as listed in IBAT report generated for the project, qualify criterion 1,2 or 3.

### **Assessment output based on Criteria 4 & 5:**

The ecosystem within the project area of influence has not been assessed to date under IUCN assessment for the extinction threat to species. The ecosystem within the PAI is not considered to be highly threatened, as main landuse is of residential, commercial & industrial in urban or agricultural in the surrounding locality. Further, there is no key evolutionary processes<sup>43</sup> within the PAI, as the key indicator for evolutionary process areas is presence of a high number of endemic or range restricted species. Therefore, the project area of influence does not meet the thresholds set out in Criterion 4 & 5.

### **Protected Areas:**

As per ADB's SPS 2009; the designated<sup>44</sup> or proposed protected areas at national and international level should be considered as Critical Habitat. In the PAI for subproject in Fatehpur Town there is no national protected area and no international designated site or proposed and notified protected area. The nearest national protected area is Tal Chappar Wildlife Sanctuary, which is approx. 50 km away from the PAI boundary established for critical habitat assessment study.

### **Impacts & Mitigation Measures**

***The project components are proposed to be implemented within the developed areas of Fatehpur Town and on land under municipal limits. The project area is approx. 50 km (nearest aerial distance) away from the boundary of Tal Chappar Wildlife Sanctuary. The protected area of Tal Chappar has also been established an IBA site due to migratory birds visit the area during winter season.***

***The species of vulture and eagle reported from Tal Chappar wildlife sanctuary or passing by may visit the project area mainly in Fatehpur Bihad; due extend range of habitat or in search of food during migration period. The availability of these species in the PAI need to be confirm with local community and district forest and wildlife department.***

***Implementation of subproject for water supply and sewage treatment plant for the town will result in reduce in waste water in reservoir. It is generally known that birds generally migrate in winter season. The treated water from proposed sewerage treatment plant will be used for irrigation purposes in agriculture fields and horticulture plantation in area. This will increase in food sources and with horticulture plantation will be improve settler and nesting ground for birds.***

<sup>43</sup>The evolutionary processes often occur in an isolated habitat and ecosystem due to physical or biological barriers, for example habitat on islands or valley.

<sup>44</sup>As per ADB's SPS the sites which are of international designation, such as Ramsar and UNESCO sites should be considered as Critical Habitat.

Construction workers may hunt, birds or carry out other activities that will negatively impact wildlife. No construction or labour camps, batching plants, and quarrying activities will be allowed within or near the satellite wetland area. The contractor will clearly brief the construction workers on strict forestry rules on illegal harvesting of forest products and poaching of wildlife. Contractor will ensure supply of all necessary food items; cooking fuel and proper housing is provided to prevent illegal hunting and tree felling.

The operation of various construction equipment is likely to generate significant noise. Noise disturbance may cause migration of the birds to other areas which may increase the probability of habitat loss. Setting of construction camp near forests or protected area may generally disturb surrounding fauna.

The such impacts on avifauna can be mitigated by following measures:

- Project improvement proposals are restricted to available land with minimal tree cutting.
- Adequate measure is included in the design for development of green belt development and horticulture plantation scheme at proposed STP area.
- Noise generating equipment like DG set, compressors will have acoustic enclosures. Noise generating activities should not be permitted during night.
- Workers should be warned about wild life protected areas and possible movement.
- project staff and work crews should not be allowed to have fire-arms and animal traps etc.;
- construction facilities such as workers camp, construction camp, batching plant should be located at least 1 km away from any the forest stretches (Fatehpur Bihad).
- employment agreements should specify heavy penalties for illegal hunting, trapping and wildlife trading – all other ancillary works should also agree not to participate in such activities.
- Strict anti-poaching surveillance measures need to be implemented, especially during project construction phase.

The anticipated impacts on ecological system due to project and proposed mitigation measures for EMP are provided in Table -3.



**Table 3: Impact-mitigation measures for EMP**

| <b>Field</b>                                        | <b>Anticipated Impact</b>                                                                                    | <b>Mitigation Measure</b>                                                                                                                                                                                           | <b>Responsible for Implementation</b> | <b>Monitoring of Mitigation</b> | <b>Cost and Source of Funds</b>                                             |
|-----------------------------------------------------|--------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------|---------------------------------|-----------------------------------------------------------------------------|
| <b>Design</b>                                       |                                                                                                              |                                                                                                                                                                                                                     |                                       |                                 |                                                                             |
| Site selection for project component implementation | Disruption of biodiversity & damage to habitat due to project infrastructure at specified location           | (i) Avoid or no land acquisition in forest area or in notified wildlife area                                                                                                                                        | PIU/PMU                               | PMU                             | No cost required<br>Mitigation measures are part of project design          |
| Water in wastewater drain and tree cutting          | Sources of food and water for birds in the area                                                              | (i) Green belt and landscaping development plan at location of proposed STP                                                                                                                                         | PIU/PMU                               | PMU                             | No cost required<br>mitigation measures are part of project design          |
| Permission                                          | Failure to obtain necessary permits for tree cutting can result to design revisions and/or stoppage of works | (i) Ensure all permission before start of construction in place<br>(ii) Removal of trees after verification and approval from concerned agency<br>(iii) Plantation of three trees against every single tree removed | PIU/PMU                               | PMU                             | No cost required<br>mitigation measures are part of project design          |
| <b>Construction</b>                                 |                                                                                                              |                                                                                                                                                                                                                     |                                       |                                 |                                                                             |
| Construction camp location                          | Impacts on forest resources for establishing construction camp and plants.                                   | (i) Prior approvals for establishing construction facilities from PMU with submission of location details<br>(ii) Construction facilities such as                                                                   | Construction Contractor               | PMU                             | Cost for implementation of mitigation measures responsibility of contractor |

| Field                                                                                        | Anticipated Impact                                                                                                                                  | Mitigation Measure                                                                                                                                                                                                                                                                                                                                                                                       | Responsible for Implementation | Monitoring of Mitigation | Cost Source and of Funds                                                    |
|----------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|--------------------------|-----------------------------------------------------------------------------|
|                                                                                              |                                                                                                                                                     | workers camp, construction camp, batching plant should be located at least 1 km away from Satellite Wetland and the forest stretches                                                                                                                                                                                                                                                                     |                                |                          |                                                                             |
| Construction work camps, hot mix plants, stockpile areas, storage areas, and disposal areas. | (i) Impact due to noise generated from project activities<br>(ii) Contamination of water and soil due to construction waste disposal in forest area | (i) Minimize noise from construction equipment by using vehicle silencers, fitting jackhammers with noise-reducing mufflers to reduce impact to surrounding sensitive receptor; and<br>(ii) Prepare and implement construction waste management plan<br>(iii) Disposal of waste at designated sites and approval from local authorities<br>(iv) Conduct surface quality monitoring according to the EMP. | Construction Contractor        | PMU                      | Cost for implementation of mitigation measures responsibility of contractor |

| Field                | Anticipated Impact                                            | Mitigation Measure                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Responsible for Implementation | Monitoring of Mitigation | Cost Source and of Funds                                                    |
|----------------------|---------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|--------------------------|-----------------------------------------------------------------------------|
| Construction Workers | Involvement in illegal hunting, trapping and wildlife trading | <p>(i) Awareness training to workers on wildlife presence in the project area and protection of wildlife.</p> <p>(ii) Reporting to PMU on slighting of wild animals in the project area.</p> <p>(iii) Employment agreements should specify heavy penalties for illegal hunting, trapping and wildlife trading – all other ancillary works should also agree not to participate in such activities.</p> <p>(iv) Strict anti-poaching surveillance measures need to be implemented, especially during project construction phase</p> | Construction Contractor        | PMU                      | Cost for implementation of mitigation measures responsibility of contractor |

| Field                    | Anticipated Impact                                                                                                                                                         | Mitigation Measure                                                                                                                                                                                                                    | Responsible for Implementation | Monitoring of Mitigation | Cost and Source of Funds |
|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|--------------------------|--------------------------|
| <b>Operational Stage</b> |                                                                                                                                                                            |                                                                                                                                                                                                                                       |                                |                          |                          |
| Project area development | Failure of STP for wastewater treatment, plantation of non-fruit bearing trees, contamination of water source or agriculture fields due to inadequately treated wastewater | (i) Regular monitoring of treated effluent quality before discharge or reuse for plantation and irrigation purposes<br>(ii) Tree plantation and landscaping in the project area as per approved plan with preference to local species | O&M Contractor                 | Fatehpur Nagar Palika    | Contractor's O&M cost    |

## Conclusion

ADB SPS's requires that project will not be developed in critical habitat area or will not adversely affect the identified critical habitat. The below mentioned criteria should be considered for assessment of impacts on biodiversity, if project development in an identified critical habitat:

- There should be no measurable adverse impacts, or likelihood of such impacts on the critical habitat which could deteriorate its high biodiversity value or the ability to function of ecology of the area.
- The project is not anticipated to lead to a reduction in the population of any recognized endangered or critically endangered species or a loss in area of the habitat concerned such that the persistence of a viable and representative of host ecosystem be compromised.
- Any lesser impacts are mitigated.

This assessment study for Critical Habitat indicates that within the PAI there are no known species which would qualify the area as Critical Habitat for Criterion 1 - 3. Further, within the PAI there are no chance that migratory bird species may visit in the area of wetlands. The presence of birds in area need to be confirmed with local community and divisional forest & wildlife department. However, the PAI does not qualify as Critical Habitat under Criteria 4 and 5.

The implementation of project component is not anticipated to trigger in a population reduction of any recognized endangered or critically endangered species or a loss in area of the habitat for wildlife. The construction activities of the project may lead to some impacts on biodiversity and ecosystem in the area, these impacts can be mitigated with application of measures in design and construction phase.

## Recommendation

Following are the recommendations to support and reconfirm the identified potential adverse impacts and suggested mitigation measures for biodiversity due to project implementation:

- i. PIU should conduct consultation before start of work with local community in subproject area and district forest and wildlife department to know presence of IUCN red list species as mentioned (refer Table -2 ) in this assessment report.
- ii. A local biodiversity expert is engaged to further verify the presence of IUCN red list species in the subproject area and no IUCN Red List species was observed in PAI.
- iii. On confirmation of enlisted species in the subproject area, same will be reported to PIU and do not start of works on site until clearance from PIU.
- iv. Update Environmental management plan based on findings/verification of IUCN species in the subproject area, and
- v. The finding/presence of IUCN red list species in the subproject area are confirmed in course of construction work, PIU shall stop the work and coordinate with forest department for the translocation of species.

## Appendix 29: WHO Interim Guidance on Water, Sanitation, Hygiene and Waste Management for the COVID19 virus



# Water, sanitation, hygiene, and waste management for the COVID-19 virus

Interim guidance  
19 March 2020

## Background

This interim guidance supplements the infection prevention and control (IPC) documents by summarizing WHO guidance on water, sanitation and health care waste relevant to viruses, including coronaviruses. It is intended for water and sanitation practitioners and providers and health care providers who want to know more about water, sanitation and hygiene (WASH) risks and practices.

The provision of safe water, sanitation, and hygienic conditions is essential to protecting human health during all infectious disease outbreaks, including the COVID-19 outbreak. Ensuring good and consistently applied WASH and waste management practices in communities, homes, schools, marketplaces, and health care facilities will help prevent human-to-human transmission of the COVID-19 virus.

The most important information concerning WASH and the COVID-19 virus is summarized here.

- Frequent and proper hand hygiene is one of the most important measures that can be used to prevent infection with the COVID-19 virus. WASH practitioners should work to enable more frequent and regular hand hygiene by improving facilities and using proven behavior-change techniques.
- WHO guidance on the safe management of drinking-water and sanitation services applies to the COVID-19 outbreak. Extra measures are not needed. Disinfection will facilitate more rapid die-off of the COVID-19 virus.
- Many co-benefits will be realized by safely managing water and sanitation services and applying good hygiene practices.

Currently, there is no evidence about the survival of the COVID-19 virus in drinking-water or sewage. The morphology and chemical structure of the COVID-19 virus are similar to those of other human coronaviruses for which there are data about both survival in the environment and effective inactivation measures. This document draws upon the evidence base and WHO guidance on how to protect against viruses in sewage and drinking-water. This document will be updated as new information becomes available.

## 1. COVID-19 transmission

There are two main routes of transmission of the COVID-19 virus: respiratory and contact. Respiratory droplets are generated when an infected person coughs or sneezes. Any person who is in close contact with someone who has respiratory symptoms (sneezing, coughing) is at risk of being exposed to potentially infective respiratory droplets.<sup>1</sup> Droplets may also land on surfaces where the virus could remain viable; thus, the immediate environment of an infected individual can serve as a source of transmission (contact transmission).

Approximately 2–10% of cases of confirmed COVID-19 disease present with diarrhoea<sup>2,4</sup> and two studies detected COVID-19 viral RNA fragments in the faecal matter of COVID-19 patients.<sup>5,6</sup> However, only one study has cultured the COVID-19 virus from a single stool specimen.<sup>7</sup> There have been no reports of faecal-oral transmission of the COVID-19 virus.

## 2. Persistence of the COVID-19 virus in drinking-water, faeces and sewage and on surfaces.

Although persistence in drinking-water is possible, there is no evidence from surrogate human coronaviruses that they are present in surface or groundwater sources or transmitted through contaminated drinking water. The COVID-19 virus is an enveloped virus, with a fragile outer membrane. Generally, enveloped viruses are less stable in the environment and are more susceptible to oxidants, such as chlorine. While there is no evidence to date about survival of the COVID-19 virus in water or sewage, the virus is likely to become inactivated significantly faster than non-enveloped human enteric viruses with known waterborne transmission (such as adenoviruses, norovirus, rotavirus and hepatitis A). For example, one study found that a surrogate human coronavirus survived only 2 days in dechlorinated tap water and in hospital wastewater at 20°C.<sup>8</sup> Other studies concur, noting that the human coronavirus transmissible gastroenteritis coronavirus and mouse hepatitis virus demonstrated a 99.9% die-off in from 2 days<sup>9</sup> at 23°C to 2 weeks<sup>10</sup> at 25°C. Heat, high or low pH, sunlight, and common disinfectants (such as chlorine) all facilitate die off.

It is not certain how long the virus that causes COVID-19 survives on surfaces, but it seems likely to behave like other coronaviruses. A recent review of the survival of human

coronaviruses on surfaces found large variability, ranging from 2 hours to 9 days.<sup>13</sup> The survival time depends on a number of factors, including the type of surface, temperature, relative humidity, and specific strain of the virus. The same review also found that effective inactivation could be achieved within 1 minute using common disinfectants, such as 70% ethanol or sodium hypochlorite (for details, see Cleaning practices).

### 3. Keeping water supplies safe

The COVID-19 virus has not been detected in drinking-water supplies, and based on current evidence, the risk to water supplies is low.<sup>14</sup> Laboratory studies of surrogate coronaviruses that took place in well-controlled environments indicated that the virus could remain infectious in water contaminated with faeces for days to weeks.<sup>15</sup> A number of measures can be taken to improve water safety, starting with protecting the source water; treating water at the point of distribution, collection, or consumption; and ensuring that treated water is safely stored at home in regularly cleaned and covered containers.

Conventional, centralized water treatment methods that use filtration and disinfection should inactivate the COVID-19 virus. Other human coronaviruses have been shown to be sensitive to chlorination and disinfection with ultraviolet (UV) light.<sup>16</sup> As enveloped viruses are surrounded by a lipid host cell membrane, which is not robust, the COVID-19 virus is likely to be more sensitive to chlorine and other oxidant disinfection processes than many other viruses, such as coxsackieviruses, which have a protein coat. For effective centralized disinfection, there should be a residual concentration of free chlorine of  $\geq 0.5$  mg/L after at least 30 minutes of contact time at pH  $\leq 8.0$ .<sup>17</sup> A chlorine residual should be maintained throughout the distribution system.

In places where centralized water treatment and safe piped water supplies are not available, a number of household water treatment technologies are effective in removing or destroying viruses, including boiling or using high-performing ultrafiltration or nanomembrane filters, solar irradiation and, in non-turbid waters, UV irradiation and appropriately dosed free chlorine.

### 4. Safely managing wastewater and faecal waste

There is no evidence that the COVID-19 virus has been transmitted via sewerage systems with or without wastewater treatment. Further, there is no evidence that sewage or wastewater treatment workers contracted the severe acute respiratory syndrome (SARS), which is caused by another type of coronavirus that caused a large outbreak of acute respiratory illness in 2003. As part of an integrated public health policy, wastewater carried in sewerage systems should be treated in well-designed and well-managed centralized wastewater treatment works. Each stage of treatment (as well as retention time and dilution) results in a further reduction of the potential risk. A waste stabilization pond (an oxidation pond or lagoon) is generally considered a practical and simple wastewater treatment technology, particularly well suited to destroying pathogens, as relatively long retention times (20 days or longer) combined with sunlight, elevated pH levels, biological activity, and other factors serve to accelerate pathogen destruction. A final disinfection step may be considered if existing wastewater treatment plants are not optimized to remove viruses. Best practices for protecting the health of workers at sanitation treatment facilities should

be followed. Workers should wear appropriate personal protective equipment (PPE), which includes protective outerwear, gloves, boots, goggles or a face shield, and a mask; they should perform hand hygiene frequently; and they should avoid touching eyes, nose, and mouth with unwashed hands.

## WASH in health care settings

Existing recommendations for water, sanitation and hygiene measures in health care settings are important for providing adequate care for patients and protecting patients, staff, and caregivers from infection risks.<sup>18</sup> The following actions are particularly important: (i) managing excreta (faeces and urine) safely, including ensuring that no one comes into contact with it and that it is treated and disposed of correctly; (ii) engaging in frequent hand hygiene using appropriate techniques; (iii) implementing regular cleaning and disinfection practices; and (iv) safely managing health care waste. Other important measures include providing sufficient safe drinking-water to staff, caregivers, and patients; ensuring that personal hygiene can be maintained, including hand hygiene, for patients, staff and caregivers; regularly laundering bedsheets and patients' clothing; providing adequate and accessible toilets (including separate facilities for confirmed and suspected cases of COVID-19 infection); and segregating and safely disposing of health care waste. For details on these recommendations, please refer to Essential environmental health standards in health care.<sup>19</sup>

### 1. Hand hygiene practices

Hand hygiene is extremely important. Cleaning hands with soap and water or an alcohol-based hand rub should be performed according to the instructions known as "My 5 moments for hand hygiene".<sup>20</sup> If hands are not visibly dirty, the preferred method is to perform hand hygiene with an alcohol-based hand rub for 20–30 seconds using the appropriate technique.<sup>21</sup> When hands are visibly dirty, they should be washed with soap and water for 40–60 seconds using the appropriate technique.<sup>22</sup> Hand hygiene should be performed at all five moments, including before putting on PPE and after removing it, when changing gloves, after any contact with a patient with suspected or confirmed COVID-19 infection or their waste, after contact with any respiratory secretions, before eating, and after using the toilet.<sup>23</sup> If an alcohol-based hand rub and soap are not available, then using chlorinated water (0.05%) for handwashing is an option, but it is not ideal because frequent use may lead to dermatitis, which could increase the risk of infection and asthma and because prepared dilutions might be inaccurate.<sup>24</sup> However, if other options are not available or feasible, using chlorinated water for handwashing is an option.

Functional hand hygiene facilities should be present for all health care workers at all points of care and in areas where PPE is put on or taken off. In addition, functional hand hygiene facilities should be available for all patients, family members, and visitors, and should be available within 5 m of toilets, as well as in waiting and dining rooms and other public areas.



## 2. Sanitation and plumbing

People with suspected or confirmed COVID-19 disease should be provided with their own flush toilet or latrine that has a door that closes to separate it from the patient's room. Flush toilets should operate properly and have functioning drain traps. When possible, the toilet should be flushed with the lid down to prevent droplet splatter and aerosol clouds. If it is not possible to provide separate toilets, the toilet should be cleaned and disinfected at least twice daily by a trained cleaner wearing PPE (gown, gloves, boots, mask, and a face shield or goggles). Further, and consistent with existing guidance, staff and health care workers should have toilet facilities that are separate from those used by all patients.

WHO recommends the use of standard, well-maintained plumbing, such as sealed ballcock drains, and backflow valves on sprayers and faucets to prevent aerosolized faecal matter from entering the plumbing or ventilation system,<sup>20</sup> together with standard wastewater treatment.<sup>21</sup> Faulty plumbing and a poorly designed air ventilation system were implicated as contributing factors to the spread of the aerosolized SARS coronavirus in a high-rise apartment building in Hong Kong in 2003.<sup>22</sup> Similar concerns have been raised about the spread of the COVID-19 virus from faulty toilets in high-rise apartment buildings.<sup>23</sup> If health care facilities are connected to sewers, a risk assessment should be conducted to confirm that wastewater is contained within the system (that is, the system does not leak) before its arrival at a functioning treatment or disposal site, or both. Risks pertaining to the adequacy of the collection system or to treatment and disposal methods should be assessed following a safety planning approach,<sup>24</sup> with critical control points prioritized for mitigation.

For smaller health care facilities in low-resource settings, if space and local conditions allow, pit latrines may be the preferred option. Standard precautions should be taken to prevent contamination of the environment by excreta. These precautions include ensuring that at least 1.5 m exists between the bottom of the pit and the groundwater table (more space should be allowed in coarse sands, gravels, and fissured formations) and that the latrines are located at least 30 m horizontally from any groundwater source (including both shallow wells and boreholes).<sup>25</sup> If there is a high groundwater table or a lack of space to dig pits, excreta should be retained in impermeable storage containers and left for as long as feasible to allow for a reduction in virus levels before moving it off-site for additional treatment or safe disposal, or both. A two-tank system with parallel tanks would help facilitate inactivation by maximizing retention times, as one tank could be used until full, then allowed to sit while the next tank is being filled. Particular care should be taken to avoid splashing and the release of droplets while cleaning or emptying tanks.

## 3. Toilets and the handling of faeces

It is critical to conduct hand hygiene when there is suspected or direct contact with faeces (if hands are dirty, then soap and water are preferred to the use of an alcohol-based hand rub). If the patient is unable to use a latrine, excreta should be collected in either a diaper or a clean bedpan and immediately and carefully disposed of into a separate toilet or latrine used only by suspected or confirmed cases of COVID-19. In all health care settings, including those with suspected or confirmed COVID-19 cases, faeces must be treated as a biohazard and handled as little as possible. Anyone handling

faeces should follow WHO contact and droplet precautions<sup>18</sup> and use PPE to prevent exposure, including long-sleeved gowns, gloves, boots, masks, and goggles or a face shield. If diapers are used, they should be disposed of as infectious waste as they would be in all situations. Workers should be properly trained in how to put on, use, and remove PPE so that these protective barriers are not breached.<sup>26</sup> If PPE is not available or the supply is limited, hand hygiene should be regularly practiced, and workers should keep at least 1 m distance from any suspected or confirmed cases.

If a bedpan is used, after disposing of excreta from it, the bedpan should be cleaned with a neutral detergent and water; disinfected with a 0.5% chlorine solution; and then rinsed with clean water; the rinse water should be disposed of in a drain or a toilet or latrine. Other effective disinfectants include commercially available quaternary ammonium compounds, such as cetylpyridinium chloride, used according to manufacturer's instructions, and peracetic or peroxyacetic acid at concentrations of 500–2000 mg/L.<sup>19</sup>

Chlorine is ineffective for disinfecting media containing large amounts of solid and dissolved organic matter. Therefore, there is limited benefit to adding chlorine solution to fresh excreta and it is possible that this may introduce risks associated with splashing.

## 4. Emptying latrines and holding tanks, and transporting excreta off-site

There is no reason to empty latrines and holding tanks of excreta from suspected or confirmed COVID-19 cases unless they are at capacity. In general, the best practices for safely managing excreta should be followed. Latrines or holding tanks should be designed to meet patient demand, considering potential sudden increases in cases, and there should be a regular schedule for emptying them based on the wastewater volumes generated. PPE (long-sleeved gown, gloves, boots, masks, and goggles or a face shield) should be worn at all times when handling or transporting excreta off-site, and great care should be taken to avoid splashing. For crews, this includes pumping out tanks or unloading pumper trucks. After handling the waste and once there is no risk of further exposure, individuals should safely remove their PPE and perform hand hygiene before entering the transport vehicle. Soiled PPE should be put in a sealed bag for later safe laundering (see Cleaning practices). Where there is no off-site treatment, on-site treatment can be done using lime. Such treatment involves using a 10% lime slurry added at 1-part lime slurry per 10 parts of waste.

## 5. Cleaning practices

Recommended cleaning and disinfection procedures for health care facilities should be followed consistently and correctly.<sup>19</sup> Laundry should be done and surfaces in all environments in which COVID-19 patients receive care (treatment units, community care centres) should be cleaned at least once a day and when a patient is discharged.<sup>27</sup> Many disinfectants are active against enveloped viruses, such as the COVID-19 virus, including commonly used hospital disinfectants. Currently, WHO recommends using:

- 70% ethyl alcohol to disinfect small areas between uses, such as reusable dedicated equipment<sup>28</sup> (for example, thermometers);
- sodium hypochlorite at 0.5% (equivalent to 5000 ppm) for disinfecting surfaces.



All individuals dealing with soiled bedding, towels, and clothes from patients with COVID-19 infection should wear appropriate PPE before touching soiled items, including heavy duty gloves, a mask, eye protection (goggles or a face shield), a long-sleeved gown, an apron if the gown is not fluid resistant, and boots or closed shoes. They should perform hand hygiene after exposure to blood or body fluids and after removing PPE. Soiled linen should be placed in clearly labelled, leak-proof bags or containers, after carefully removing any solid excrement and putting it in a covered bucket to be disposed of in a toilet or latrine. Machine washing with warm water at 60–90°C (140–194°F) with laundry detergent is recommended. The laundry can then be dried according to routine procedures. If machine washing is not possible, linens can be soaked in hot water and soap in a large drum using a stick to stir and being careful to avoid splashing. The drum should then be emptied, and the linens soaked in 0.05% chlorine for approximately 30 minutes. Finally, the laundry should be rinsed with clean water and the linens allowed to dry fully in sunlight.

If excreta are on surfaces (such as linens or the floor), the excreta should be carefully removed with towels and immediately safely disposed of in a toilet or latrine. If the towels are single use, they should be treated as infectious waste; if they are reusable, they should be treated as soiled linens. The area should then be cleaned and disinfected (with, for example, 0.5% free chlorine solution), following published guidance on cleaning and disinfection procedures for spilled body fluids.<sup>27</sup>

#### 6. Safely disposing of greywater or water from washing PPE, surfaces and floors

Current WHO recommendations are to clean utility gloves or heavy duty, reusable plastic aprons with soap and water and then decontaminate them with 0.5% sodium hypochlorite solution after each use. Single-use gloves (nitrile or latex) and gowns should be discarded after each use and not reused; hand hygiene should be performed after PPE is removed. If greywater includes disinfectant used in prior cleaning, it does not need to be chlorinated or treated again. However, it is important that such water is disposed of in drains connected to a septic system or sewer or in a soakaway pit. If greywater is disposed of in a soakaway pit, the pit should be fenced off within the health facility grounds to prevent tampering and to avoid possible exposure in the case of overflow.

#### 7. Safe management of health care waste

Best practices for safely managing health care waste should be followed, including assigning responsibility and sufficient human and material resources to dispose of such waste safely. There is no evidence that direct, unprotected human contact during the handling of health care waste has resulted in the transmission of the COVID-19 virus. All health care waste produced during the care of COVID-19 patients should be collected safely in designated containers and bags, treated, and then safely disposed of or treated, or both, preferably on-site. If waste is moved off-site, it is critical to understand where and how it will be treated and destroyed. All who handle health care waste should wear appropriate PPE (boots, apron, long-sleeved gown, thick gloves, mask, and goggles or a face shield) and perform hand hygiene after removing it. For more information refer to the WHO guidance, 'Safe management of wastes from health-care activities'.<sup>28</sup>

### Considerations for WASH practices in homes and communities

Upholding best WASH practices in the home and community is also important for preventing the spread of COVID-19 and when caring for patients at home. Regular and correct hand hygiene is of particular importance.

#### 1. Hand hygiene

Hand hygiene in non-health care settings is one of the most important measures that can prevent COVID-19 infection. In homes, schools and crowded public spaces – such as markets, places of worship, and train or bus stations – regular handwashing should occur before preparing food, before and after eating, after using the toilet or changing a child's diaper, and after touching animals. Functioning handwashing facilities with water and soap should be available within 5 m of toilets.

#### 2. Treatment and handling requirements for excreta

Best WASH practices, particularly handwashing with soap and clean water, should be strictly applied and maintained because these provide an important additional barrier to COVID-19 transmission and to the transmission of infectious diseases in general.<sup>13</sup> Consideration should be given to safely managing human excreta throughout the entire sanitation chain, starting with ensuring access to regularly cleaned, accessible, and functioning toilets or latrines and to the safe containment, conveyance, treatment, and eventual disposal of sewage.

When there are suspected or confirmed cases of COVID-19 in the home setting, immediate action must be taken to protect caregivers and other family members from the risk of contact with respiratory secretions and excreta that may contain the COVID-19 virus. Frequently touched surfaces throughout the patient's care area should be cleaned regularly, such as bedside tables, bed frames and other bedroom furniture. Bathrooms should be cleaned and disinfected at least once a day. Regular household soap or detergent should be used for cleaning first and then, after rinsing, regular household disinfectant containing 0.5% sodium hypochlorite (that is, equivalent to 5000 ppm or 1-part household bleach with 5% sodium hypochlorite to 9 parts water) should be applied. PPE should be worn while cleaning, including mask, goggles, a fluid-resistant apron, and gloves,<sup>29</sup> and hand hygiene with an alcohol-based hand rub or soap and water should be performed after removing PPE.

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US Centers for Disease Control and Prevention, United States of America; David Berendes, US Centers for Disease Control and Prevention, United States of America; Lisa Casanova, Georgia State University, United States of America; David Cunliffe, SA Health, Australia; Rick Gelting, US Centers for Disease Control and Prevention, United States of America; Dr Thomas Handzel, US Centers for Disease Control and Prevention, United States of America; Paul Hunter, University of East Anglia, United Kingdom; Ana Maria de Roda Husman, National Institute for Public Health and the Environment, the Netherlands; Peter Maes, Médecins Sans Frontières, Belgium; Molly Patrick, US Centers for Disease Control and Prevention, United States of America; Mark Sobsey, University of North Carolina-Chapel Hill, United States of America.

WHO continues to monitor the situation closely for any changes that may affect this interim guidance. Should any factors change, WHO will issue a further update. Otherwise, this interim guidance document will expire 2 years after the date of publication.

### Contributors

This interim guidance was written by staff from WHO and UNICEF. In addition, a number of experts and WASH practitioners contributed. They include Matt Arduino,

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WHO reference number: WHO/2019-nCoV/IPC\_WASH/2020.2

### **Appendix 30: Site Visit Report and Contractor Orientation Report**

Site visit of Fatehpur was conducted in the month of December 2020, for the orientation of PIU, consultant and contractor's team in town regarding requirements of environmental safeguard during project implementation, visit of sites for updating the IEE and RP for compliance of ADB SPS. Following is the observations of site visit-

#### **Report on Orientation for Environmental Safeguard Compliance at Fatehpur**

**Date:** 15.12.2020, 12.00 noon to 2.30 pm

**Place:** Contractor's Office at Fatehpur

**Orientation done by:** Mr. Abhay Srivastava, Environmental Safeguard Specialist, PMCBC, Jaipur

**Participants:** As per attached attendance sheet, following were present-

**PIU:** Executive Engineer was on leave due to COVID infection, no participant from PIU

**CMSC-2:**

1. Mr. Yatendra Gupta, Senior Construction Engineer
2. Mr. Amit Bhardwaj, Social Safeguard Support
3. Md. Rizwan Khan, Support Engineer

**Contractor:**

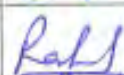
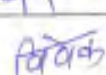
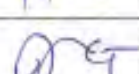
1. Mr. Madheshwar, Project Manager
2. Mr. P. Pandikumaran, ACM
3. Mr. Vinayak Dev, HSE Engineer-in-charge
4. Mr. Subankar Paul, Design Engineer
5. Mr. Sai Venkatesh & Gajanan Kabra, Planning Engineers
6. Mr. Arup Kar, Quality Engineer
7. Mr. Ayush Singh, Rahul Kumar, Nilanjan Chakraborty, civil engineers
8. Mr. Jeevan Das, Vivek Pandey, Ms. Anju Purohit, SOT members

**Topics Discussed:** A presentation was given by Environmental Safeguard Specialist, PMCBC, Jaipur to all participants covering following topics-

1. ADB SPS 2009 and RUIDP safeguards requirements
2. Contractual and legal requirements as per ADB, RUIDP, Govt. of Rajasthan and Govt. of India legislations, requirement of consents (CTE/CTO) from RSPCB for WTP/STP/DG set etc.,
3. Safeguard provisions in contract documents, pre-construction requirements
4. Safeguards implementation arrangements and roles and responsibility of different functionaries in the project
5. Assessment of environmental impacts and planning for mitigation measures, including best management practices, in the design, construction, operation and maintenance of water supply and sewerage subprojects
6. Preparation, updating, and review of IEE
7. Preparation of site-specific EMPs/EHS Plan
8. Occupational and community health and safety
9. Labor and public safety and labor laws
10. Heritage conservation, Biodiversity conservation, Asbestos Management
11. Solid waste (domestic, construction, and spoils) management
12. Environmental monitoring including air, noise, water and soil
13. Preparation of monitoring checklists and reports
14. Areas of safety concerns in construction works
15. Public consultations and grievance redress mechanism of RUIDP
16. Good practices, tree plantations
17. Probable pollutions during construction works and their mitigation measures
18. Reuse of treated effluent and sludge from STP

### Appendix 1 Attendance Sheet of Orientation program

and social safeguards and G.E.T.  
in RSTOSP project

| S. no. | Name                 | Occupation                   | Mobile Number | Signature                                                                             |
|--------|----------------------|------------------------------|---------------|---------------------------------------------------------------------------------------|
| 1-     | Madheshwar           | Project manager<br>G.E.T.    | 9219570352    |    |
| 2-     | Vinayak Dev          | EHSO                         | 9412313638    |    |
| 3-     | SUBANKAR PAUL        | Design Engg. (C&E)           | 8274806990    |    |
| 4-     | AYUSH SINGH          | G.E.T.                       | 8279586639    |    |
| 5      | Jeeran Dutt          | SOT                          | 9521018271    |    |
| 6      | Rahul Kumar          | Civil Engg                   | 9236737443    |   |
| 7      | Niharjan Chakraborty | CIVIL ENG                    | 8509959187    |  |
| 8      | N. Sai Venkatesh     | Planning Engineer            | 7416630102    |  |
| 9      | P. Pandi kumaran     | A.C.M. I&T                   | 6367831817    |  |
| 10     | Vivek Pandey         | S.O.I.T                      | 9125443332    |  |
| 11     | Amit                 | SOCIAL SAFEGUARDS<br>PIV-RTI | 9719628073    |  |

12. Yatanidha Gupta  
S.C.E.,  
CMSC-2 9057294048 

13. Gajanan Kabra  
Planning Engineer (RTI) 8087737078 

14. MOHD RIZWAN KHAN  
Support Engineer (CMSC-2) 8003735990 

15. Arup Kar.  
QC-CIVIL 8318226785 

16. Anshu Prashit  
SOT 6378000706 

## Appendix 2- Photographs of Orientation program



**Sites Visited:** Safeguard team visited different locations of Zone-1 and 3, for which approval for pipe laying works is given. Necessary instructions for compliance of safeguards during construction works were given to contractor. Public consultations were also done with few households at this site.

Safeguard team also visited remaining areas of zone 2, proposed CRMC building site at Nagar Palika campus and proposed sites of STP (at Nari Bari village) and SPSs (near Doli Sati Temple and near Railway under bridge) for updating the IEE as required by ADB.

**Due Diligence of compliance of environmental safeguards:** Following is the compliance status of environmental safeguards in the project town-

1. Site specific EHS plan has been recently submitted by contractor, which is under review by consultants, in the meanwhile contractor has assured to follow the EMP as suggested in IEE and bid documents.
2. Contractor has mobilized full time EHS officer at site
3. Application for Consent to Establish for proposed STP (4.3 MLD) is in process. No works has been started in STP and SPS and it was instructed to contractor that no physical works should be started before CTE is obtained

4. It was instructed to contractor to provide proper pipe barricades with steel fixtures/clamps at the sites of pipe laying/manhole works
5. No tree cutting was done till date and contractor was informed to access the requirement of tree cutting and take prior permission before any tree cutting
6. During consultation with nearby habitants, it was noted that social outreach team of contractor have consulted the nearby habitants about the nature of works and pamphlets of proposed project was also circulated in the area and people are well aware about the works to be carried out
7. Though project information was given by contractor to nearby habitants, it was instructed to contractor to provide project information board at each site during pipe laying works. It was informed by contractor's representative that boards are under preparation and shall be provided at site soon.
8. Contractor was instructed to provide First aid box, site order book, labour attendance register, grievance registration form/ complaint register, mobile toilets etc. for workers at sites



### Appendix 31: Locations and Results of environmental monitoring in Fatehpur

#### Ambient Air Quality Monitoring

| Date of Monitoring                        | Locations                                               | Results                                          |                                         |                                           |                                       |                                        |
|-------------------------------------------|---------------------------------------------------------|--------------------------------------------------|-----------------------------------------|-------------------------------------------|---------------------------------------|----------------------------------------|
|                                           |                                                         | CO (mg/m <sup>3</sup> )                          | NO <sub>2</sub> (µg/m <sup>3</sup> )    | SO <sub>2</sub> (µg/m <sup>3</sup> )      | PM <sub>10</sub> (µg/m <sup>3</sup> ) | PM <sub>2.5</sub> (µg/m <sup>3</sup> ) |
| 26.11.2020 to 27.11.2020                  | STP (4.3 MLD) Near Naribari Village Road, Fatehpur      | 0.73                                             | 14.10                                   | 9.96                                      | 76.36                                 | 35.50                                  |
| 26.11.2020 to 27.11.2020                  | Sever Network near Ganesh Mandir, Laxmi Nagar, Fatehpur | 0.78                                             | 18.43                                   | 10.28                                     | 80.55                                 | 34.21                                  |
| 27.11.2020 to 28.11.2020                  | SPS (3.5 MLD) Dholi Sati Temple                         | 0.71                                             | 19.30                                   | 12.09                                     | 79.63                                 | 34.44                                  |
| 27.11.2020 to 28.11.2020                  | SPS (0.6 MLD) Near Fatima maszid, Fatehpur              | 0.78                                             | 20.92                                   | 11.08                                     | 72.14                                 | 33.58                                  |
| 27.11.2020 to 28.11.2020                  | SPS (1.1 MLD) near Railway Underpass                    | 0.79                                             | 20.65                                   | 12.62                                     | 92.99                                 | 51.44                                  |
| 28.11.2020 to 29.11.2020                  | Near CRMC Building                                      | 0.74                                             | 16.00                                   | 11.94                                     | 98.53                                 | 54.93                                  |
| <b>India Ambient Air Quality Standard</b> |                                                         | 2,000 (8-hr)<br>4,000 (1-hr)                     | 40 (Annual)<br>80 (24-hr)               | 50 (Annual)<br>80 (24-hr)                 | 60 (Annual)<br>100 (24-hr)            | 40 (Annual)<br>60 (24-hr)              |
| <b>IFC standards</b>                      |                                                         | 2,000 (8-hr)<br>4,000 (1-hr)<br>100,000 (15-min) | 40 (Annual)<br>80 (24-hr)<br>200 (1-hr) | 50 (Annual)<br>20 (24-hr)<br>500 (10-min) | 20 (Annual)<br>50 (24-hr)             | 10 (Annual)<br>25 (24-hr)              |

#### Ambient Noise Level Monitoring

| Date                                            | Locations                                               | Leq day time dB(A) | Leq night time dB(A) |
|-------------------------------------------------|---------------------------------------------------------|--------------------|----------------------|
| <b>National Standards for residential areas</b> |                                                         | <b>55</b>          | <b>45</b>            |
| 26.11.2020 to 27.11.2020                        | STP (4.3 MLD) Near Naribari Village Road, Fatehpur      | 54.19              | 43.18                |
| 26.11.2020 to 27.11.2020                        | Sever Network near Ganesh Mandir, Laxmi Nagar, Fatehpur | 53.22              | 42.63                |
| 27.11.2020 to 28.11.2020                        | SPS (3.5 MLD) Dholi Sati Temple                         | 52.67              | 42.82                |
| 27.11.2020 to 28.11.2020                        | SPS (0.6 MLD) Near Fatima maszid, Fatehpur              | 53.39              | 44.54                |
| 27.11.2020 to                                   | SPS (1.1 MLD) near Railway                              | 54.34              | 44.17                |



|                             |                    |       |       |
|-----------------------------|--------------------|-------|-------|
| 28.11.2020                  | Underpass          |       |       |
| 27.11.2020 to<br>28.11.2020 | Near CRMC Building | 53.81 | 44.34 |

**CPCB Limits for**

Industrial area (I): Day Time= 75 dB(A), Night Time (10 PM to 6 AM)= 70 dB(A)

Commercial (C) area: Day Time= 65 dB(A), Night Time (10 PM to 6 AM)= 55 dB(A)

Residential (R) area: Day Time= 55 dB(A), Night Time (10 PM to 6 AM)= 45 dB(A)

Silence Zone (S): Day Time= 50 dB(A), Night Time (10 PM to 6 AM)= 40 dB(A)

**IFC's limits for Noise Level**

Residential; institutional; educational - Day Time= 55 dB(A), Night Time (10 PM to 7 AM)= 45 dB(A)

Industrial area and commercial : Day Time= 70 dB(A), Night Time (10 PM to 7 AM)= 70 dB(A)

**Ground Water Quality Monitoring**

(Date of sampling 27.11.2020)

| S.No | Parameters                          | Units | Results                                                          |                                                    |                                                         |                                 |                                            |                                      |                    |
|------|-------------------------------------|-------|------------------------------------------------------------------|----------------------------------------------------|---------------------------------------------------------|---------------------------------|--------------------------------------------|--------------------------------------|--------------------|
|      |                                     |       | National Standards as per IS:10500-Desirable/ permissible limits | STP (4.3 MLD) Near Naribari Village Road, Fatehpur | Sever Network near Ganesh Mandir, Laxmi Nagar, Fatehpur | SPS (3.5 MLD) Dholi Sati Temple | SPS (0.6 MLD) Near Fatima Maszid, Fatehpur | SPS (1.1 MLD) near Railway Underpass | Near CRMC Building |
| 1    | pH                                  | -     | 6.5 – 8.5                                                        | 7.78                                               | 7.3                                                     | 7.82                            | 7.31                                       | 8.18                                 | 7.19               |
| 2    | Total Dissolved Solids (TDS)        | mg/l  | 500 (2,000)                                                      | 1194.00                                            | 428.00                                                  | 1483.00                         | 989.0                                      | 1020.0                               | 1838.00            |
| 3    | Total Hardness as CaCO <sub>3</sub> | mg/l  | 200 (600)                                                        | 158.40                                             | 59.40                                                   | 154.44                          | 135.96                                     | 103.0                                | 506.76             |
| 4    | Total alkanity as CaCO <sub>3</sub> | mg/l  | -                                                                | 799.92                                             | 323.20                                                  | 731.24                          | 779.72                                     | 799.92                               | 812.04             |
| 5    | Chloride as Cl                      | mg/l  | 250 (1,000)                                                      | 242.83                                             | 19.43                                                   | 240.89                          | 139.87                                     | 128.22                               | 406.02             |
| 6    | Sulphate as SO <sub>4</sub>         | mg/l  | 200 (400)                                                        | 62.50                                              | 37.16                                                   | 79.39                           | 72.64                                      | 54.05                                | 175.68             |
| 7    | Calcium as Ca                       | mg/l  | 75 (200)                                                         | -                                                  | -                                                       | -                               | -                                          | -                                    | -                  |
| 8    | Iron as Fe                          | mg/l  | 0.3                                                              | -                                                  | -                                                       | -                               | -                                          | -                                    | -                  |
| 9    | Magnesium as Mg                     | mg/l  | -                                                                | -                                                  | -                                                       | -                               | -                                          | -                                    | -                  |
| 10   | Dissolved oxygen                    | mg/l  | -                                                                | 4.3                                                | 4.20                                                    | 5.4                             | 4.8                                        | 5.8                                  | 4.4                |
| 11   | Copper as Cu                        | mg/l  | 0.05 (1.5)                                                       | -                                                  | -                                                       | -                               | -                                          | -                                    | -                  |
| 12   | Manganese as Mn                     | mg/l  | 0.1 (0.3)                                                        | BDL                                                | BDL                                                     | BDL                             | BDL                                        | BDL                                  | BDL                |
| 13   | Chlorine (as cl)                    | mg/l  | 0.2                                                              | -                                                  | -                                                       | -                               | -                                          | -                                    | -                  |
| 14   | Nickel as Ni                        | mg/l  | -                                                                | -                                                  | -                                                       | -                               | -                                          | -                                    | -                  |

| S.No | Parameters                              | Units | Results                                                          |                                                    |                                                         |                                 |                                            |                                      |                    |
|------|-----------------------------------------|-------|------------------------------------------------------------------|----------------------------------------------------|---------------------------------------------------------|---------------------------------|--------------------------------------------|--------------------------------------|--------------------|
|      |                                         |       | National Standards as per IS:10500-Desirable/ permissible limits | STP (4.3 MLD) Near Naribari Village Road, Fatehpur | Sever Network near Ganesh Mandir, Laxmi Nagar, Fatehpur | SPS (3.5 MLD) Dholi Sati Temple | SPS (0.6 MLD) Near Fatima Maszid, Fatehpur | SPS (1.1 MLD) near Railway Underpass | Near CRMC Building |
| 15   | Lead (as Pb)                            | mg/l  | 0.01                                                             | BDL                                                | BDL                                                     | BDL                             | BDL                                        | BDL                                  | BDL                |
| 16   | Arsenic (as As)                         | mg/l  | 0.01 (0.05)                                                      | BDL                                                | BDL                                                     | BDL                             | BDL                                        | BDL                                  | BDL                |
| 17   | Nitrate (as NO <sub>3</sub> )           | Mg/l  | 45                                                               | 24.54                                              | 13.71                                                   | 20.38                           | 14.79                                      | 15.79                                | 28.54              |
| 18   | Fluoride (as F)                         | Mg/l  | 1 (1.5)                                                          | 1.38                                               | 0.36                                                    | 1.38                            | 1.28                                       | 1.07                                 | 0.74               |
| 19   | Mercury (as Hg)                         | Mg/l  | 0.001                                                            | BDL                                                | BDL                                                     | BDL                             | BDL                                        | BDL                                  | BDL                |
| 20   | Phosphate (as P)                        | Mg/l  | -                                                                | 0.25                                               | BDL                                                     | 0.26                            | BDL                                        | BDL                                  | 0.31               |
| 21   | Hexavalent Chromium (Cr <sup>+6</sup> ) | Mg/l  | 0.05                                                             | BDL                                                | BDL                                                     | BDL                             | BDL                                        | BDL                                  | BDL                |

BDL= Below Detectible Limits

### Soil Quality Monitoring

Date of sampling- 24.11.2020

| S.No. | Parameters                        | Units    | Results                                            |                                                         |                                           |                                            |                                                |                              |
|-------|-----------------------------------|----------|----------------------------------------------------|---------------------------------------------------------|-------------------------------------------|--------------------------------------------|------------------------------------------------|------------------------------|
|       |                                   |          | STP (4.3 MLD) Near Naribari Village Road, Fatehpur | Sever Network near Ganesh Mandir, Laxmi Nagar, Fatehpur | SPS (3.5 MLD) Dholi Sati Temple, Fatehpur | SPS (0.6 MLD) Near Fatima Maszid, Fatehpur | SPS (1.1 MLD) near Railway Underpass, Fatehpur | Near CRMC Building, Fatehpur |
| 1     | pH                                | -        | 8.16                                               | 8.05                                                    | 7.91                                      | 8.17                                       | 7.63                                           | 8.02                         |
| 2     | Electrical Conductivity (at 25°C) | mS/cm    | 0.249                                              | 0.228                                                   | 0.185                                     | 0.196                                      | 0.360                                          | 0.289                        |
| 3     | Calcium (as Ca)                   | mg/Kg    | 115.6                                              | 82.6                                                    | 156.9                                     | 123.85                                     | 272.5                                          | 148.62                       |
| 4     | Sodium (as Na)                    | mg/Kg    | 35.1                                               | 59.45                                                   | 36.4                                      | 45.8                                       | 88.34                                          | 53.1                         |
| 5     | Potassium (as K)                  | Kg/hect. | 91.52                                              | 50.3                                                    | 124.34                                    | 67.2                                       | 161.3                                          | 78.44                        |
| 6     | Organic Carbon                    | %        | 0.40                                               | 0.36                                                    | 0.91                                      | 0.26                                       | 0.69                                           | 0.23                         |
| 7     | Magnesium (as Mg)                 | mg/Kg    | 55.01                                              | 10.01                                                   | 35.04                                     | 105.12                                     | 135.2                                          | 130.2                        |
| 8     | Total Kjeldhal Nitrogen (as       | mg/Kg    | 151.04                                             | 113.9                                                   | 285.04                                    | 82.33                                      | 231.05                                         | 104.3                        |

|    | TKN)                            |          |                       |                       |                       |                       |                       |                       |
|----|---------------------------------|----------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| 9  | Permeability                    | Cm/sec   | $1.92 \times 10^{-6}$ | $1.54 \times 10^{-4}$ | $2.10 \times 10^{-3}$ | $1.75 \times 10^{-4}$ | $1.90 \times 10^{-4}$ | $2.05 \times 10^{-3}$ |
| 10 | Moisture Content                | %        | 6.6                   | 7.7                   | 8.0                   | 6.22                  | 6.71                  | 6.64                  |
| 11 | Phosphate (as PO <sub>4</sub> ) | Kg/hect. | 33.64                 | 37.52                 | 40.14                 | 27.75                 | 43.53                 | 39.0                  |
| 12 | Soil texture                    | -        | -                     | -                     | -                     | -                     | -                     | -                     |
| 13 | Oil and grease                  | mg/kg    | 5.54                  | 6.0                   | 6.0                   | 9.0                   | 8.0                   | 7.0                   |



**Locations of environmental monitorings in Fatehpur town**

## **Appendix 32: Consent to Establish for 4.3 MLD STP and its Compliance Status**



Head Office Liquid Waste  
**Rajasthan State Pollution Control Board**  
 4, Institutional Area, Jhalana Doongari, Jaipur-302  
 Phone: 0141-2716852 Fax: 0141-276852



Registered

File No : F(Tech)/Sikar(Fatehpur)/18(1)/2020-2021/399-401

Order No : 2021-2022/Liquid Waste/1230

Dispatch Date: 03/06/2021

Unit Id : 106650

M/s E.O. Municipal Board Fatehpur - Shekhawati (4.3 MLD STP)

NEAR EXISTING STP, NARI-BARI ROAD , FATEHPUR

Tehsil:Fatehpur

District:Sikar

Sub: **Consent to Establish** under section 25/26 of the Water (Prevention & Control of Pollution) Act, 1974.

Ref: Your application(s) for Consent to Establish dated 16/02/2021 and subsequent correspondence.

Sir,

**Consent to Establish** under the provisions of section 25/26 of the Water (Prevention & Control of Pollution) Act, 1974 (hereinafter to be referred as the Water Act) as amended to date and rules & the orders issued thereunder **is hereby granted** for your **4.3 MLD STP plant** situated / proposed at **NEAR EXISTING STP, NARI-BARI ROAD SIKAR , FATEHPUR Tehsil:Fatehpur District:Sikar** , Rajasthan under the provisions of the said Act(s). This consent is granted on the basis of examination of the information furnished by you in consent application(s) and the documents submitted therewith, subject to the following conditions:-

- 1 That this Consent to Establish is valid for a period from **16/02/2021 to 31/01/2026 or date of Commencement of production / commissioning of the project or activities whichever is earlier**.
- 2 That this Consent is granted for manufacturing / producing following products / by products or carrying out the following activities or operation/processes or providing following services with capacities given below.

| Particular             | Type    | Quantity / Capacity |
|------------------------|---------|---------------------|
| Sewage Treatment Plant | Service | 4,300.00 KLD        |

- 3 That in case of any increase in capacity or addition / modification / alteration or change in product mix or process or raw material or fuel the project proponent is required to obtain fresh consent to establish.
- 4 That the control equipment as proposed by the applicant shall be installed before trial operation is started for which prior consent to operate under the provision of the **Water Act** shall be obtained. This consent to establish shall not be treated as consent to operate.

Page 1 of 4

Signature Not Verified

Digitally signed by Niraj Mathur  
 Date: 2021.06.03 15:14:16 IST  
 Reason: Self Attested  
 Location:







**Head Office Liquid Waste**  
**Rajasthan State Pollution Control Board**  
 4, Institutional Area, Jhalana Doongari, Jaipur-302  
 Phone: 0141-2716852 Fax: 0141-276852

Registered

**File No :** F(Tech)/Sikar(Fatehpur)/18(1)/2020-2021/399-401

**Order No :** 2021-2022/Liquid Waste/1230

**Dispatch Date:** 03/06/2021

**Unit Id :** 106650

- 5 That the quantity of effluent generation and disposal along with mode of disposal for the treated effluent shall be as under:

| Type of effluent | Max. effluent generation (KLD) | Quantity of effluent to be recycled (KLD) | Quantity of treated effluent to be disposed (KLD) and mode of disposal    |
|------------------|--------------------------------|-------------------------------------------|---------------------------------------------------------------------------|
| Domestic Sewage  | 4300.000                       | NIL                                       | 4,300.000<br>On Land For Plantation/Horticulture after adequate treatment |

- 6 That the domestic sewage shall be treated before disposal so as to conform to the standards prescribed by the Board as notified under the Environment (Protection) Act-1986 for disposal **Into Inland Surface Water**. The main parameters for regular monitoring shall be as under.

| Parameters                                | Standards                    |
|-------------------------------------------|------------------------------|
| pH Value                                  | Between 5.5 to 9.0           |
| Phosphate as P                            | Not to exceed 1.0 mg/l       |
| Biochemical Oxygen Demand (3 days at 27C) | Not to exceed 10 mg/l        |
| Chemical Oxygen Demand                    | Not to exceed 50 mg/l        |
| Fecal Coliform                            | not to exceed 230 MPN/100 ml |
| N total                                   | 10 mg/l                      |

- 7 In addition to above total suspended solids in the treated effluent before disposal shall not exceed 20 mg/l.
- 8 That fee for this consent to establish has been deposited on the basis of estimated project cost of Rs.2064 lacs and in case of any increase in the project cost, the project proponent shall be liable to deposit balance amount.

Page 2 of 4

Signature Not Verified

Digitally signed by Niraj Mathur  
 Date: 2021.06.03 15:14:16 IST  
 Reason: Self Attested  
 Location:





**Head Office Liquid Waste**  
**Rajasthan State Pollution Control Board**  
 4, Institutional Area, Jhalana Doongari, Jaipur-302  
 Phone: 0141-2716852<sup>004</sup> Fax: 0141-276852

**Registered**

**File No :** F(Tech)/Sikar(Fatehpur)/18(1)/2020-2021/399-401

**Order No :** 2021-2022/Liquid Waste/1230

**Dispatch Date:** 03/06/2021

**Unit Id :** 106650

- 9 That no treated/untreated effluent shall be discharged into any water body and entire treated sewage shall be utilized in plantation/horticulture/ other gainful purposes.
- 10 That the sludge will be properly digested, de-watered and used as manure or disposed in a scientific manner.
- 11 That the unit shall undertake spray of insecticides from time to time to control fly/mosquito growth in the area.
- 12 That the unit shall undertake plantation in two rows of suitable species all along the periphery of the site of the STP to control foul smell.
- 13 That above stated effluent standards are subject to the Hon'ble NGT order dated 30/4/2019 in matter of O.A. no 1069/2018 Nitin Shankar Deshpande Vs Union of India and Ors.
- 14 That efforts should be made to reuse the treated sewage to the maximum possible extent and minimize its discharge. A network of pipelines should be laid from the treated sewage collection tank to fields for utilisation.
- 15 That adequate measures shall be taken to avoid foul odour during treatment and disposal of sewage and sludge.
- 16 That, notwithstanding anything provided hereinabove, the State Board shall have power and reserves its right, as contained **section 27(2) of the Water Act** to review anyone or all the conditions imposed here in above and to make such variation as it deemed fit for the purpose of compliance of the **Water Act**.
- 17 That the grant of this **Consent to Establish** is issued from the environmental angle only, and does not absolve the project proponent from the other statutory obligations prescribed under any other law or any other instrument in force. The sole and complete responsibility, to comply with the conditions laid down in all other laws for the time-being in force, rests with the industry/ unit/ project proponent.
- 18 That the grant of this **Consent to Establish** shall not, in any way, adversely affect or jeopardize the legal proceedings, if any, instituted in the past or that could be instituted against you by the State Board for violation of the provisions of the Act or the Rules made thereunder.

This **Consent to Establish** shall also be subject, beside the aforesaid specific conditions, to the general conditions given in the enclosed Annexure. The project proponent will comply with the provisions of the **Water Act** and to such other conditions as may, from time to time, be specified by the State Board under the provisions of the aforesaid Act(s). Please note that, non compliance of any of the above stated conditions would tantamount to revocation of **Consent to Establish** and project proponent / occupier shall be liable for legal action under

Page 3 of 4

**Signature Not Verified**

Digitally signed by Niraj Mathur  
 Date: 2021.06.03 15:14:16 IST  
 Reason: SelfAttested  
 Location:





**Head Office Liquid Waste**  
**Rajasthan State Pollution Control Board**  
 4, Institutional Area, Jhalana Doongari, Jaipur-302  
 Phone: 0141-2716852<sup>004</sup> Fax: 0141-276852

**Registered**

**File No :** F(Tech)/Sikar(Fatehpur)/18(1)/2020-2021/399-401

**Order No :** 2021-2022/Liquid Waste/1230

**Dispatch Date:** 03/06/2021

**Unit Id :** 106650

the the relevant provisions of the said Act(s).

This bears the approval of the competent authority.

Yours Sincerely

Group Incharge[ Liquid Waste]

(A): **Copy To:-**

- 1 Regional Officer, Regional Office, Rajasthan State Pollution Control Board,,Sikar to ensure compliance of conditions of CTE
- 2 Master File.

Group Incharge[ Liquid Waste]

**Signature Not Verified**

Digitally signed by Niraj Mathur  
 Date: 2021.06.03 15:14:16 IST  
 Reason: SelfAttested  
 Location:



**Compliance Status of Conditions mentioned in CTE (4.3 MLD), Fatehpur**

| S. No.                     | Consent Conditions                                                                                                                                                                                                                 | Status of Compliance                                                                                                                                                                                                                                                                                        |
|----------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>General conditions</b>  |                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                             |
| 1                          | In addition to above total suspended solids in the treated effluent before disposal shall not exceed 20 mg/l.                                                                                                                      | Complied in design- Total suspended solids in the treated effluent before disposal shall not exceed 20 mg/l.                                                                                                                                                                                                |
| 2                          | That no treated/untreated waste water shall be discharged into any river or water body and entire treated sewage shall be utilized in plantation/horticulture and other gainful purposes.                                          | To be complied during operation- No treated/untreated waste water shall be discharged into any river or water body and entire treated sewage shall be utilized in plantation/horticulture and other gainful purposes, plan will be prepared by contractor for reuse of treated effluent in beneficial uses. |
| 3                          | That the Sludge will be properly digested de-watered & the treated sludge will be used as manure or will be disposed in a scientific manner.                                                                                       | Complied in design- sludge drying units have been proposed in STPs. Sludge will be properly digested de-watered & the treated sludge will be used as manure or will be disposed in a scientific manner.                                                                                                     |
| 4                          | That the unit shall undertake spray of insecticides time to time to control fly/mosquito growth in the area                                                                                                                        | To be complied during operation- Regular spray of insecticides shall be done during operation in premises of STP to control fly/mosquito growth in the area                                                                                                                                                 |
| 5                          | That the unit shall undertake plantation in two rows of suitable species all along the periphery of the site of the STP to control foul smell.                                                                                     | To be complied before operation- Plantations are proposed in two rows all along the periphery of the site of the STP to control foul smell                                                                                                                                                                  |
| 6                          | That above stated effluent standards is subject to the Hon'ble NGT order dated 30/4/2019 in matter of O.A. no 1069/2018 Nitin Shankar Deshpande Vs Union of India and Ors.                                                         | Complied- treated effluent parameters meets the standards as per given NGT orders in all STP designs                                                                                                                                                                                                        |
| 7                          | That efforts should be made to reuse the treated sewage to the maximum possible extent and minimize its discharge. A network of pipelines should be laid from the treated sewage collection tank to fields for utilization.        | To be complied- Treated sewage shall be reused in beneficial purposes to the maximum possible extent, reuse plan for treated effluent and sludge shall be prepared by DBO contractor                                                                                                                        |
| 8                          | That adequate measures shall be taken to avoid foul odour during treatment and disposal of sewage and sludge.                                                                                                                      | To be complied- Adequate measures such as dense plantations around STPs shall be taken to avoid foul odour during treatment and disposal of sewage and sludge before start of operation of STPs                                                                                                             |
| <b>Specific conditions</b> |                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                             |
| 1                          | That fee for this consent to establish has been deposited on the basis of estimated project cost of Rs. 2064 lacs and in case of any increase in the project cost, the project proponent shall be liable to deposit balance amount | Noted and shall be complied if there is any change in project cost- presently not applicable                                                                                                                                                                                                                |